

The effect of probiotics on cognitive function across the human lifespan: a systematic review

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15	Abstract
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17 Recently the scientific community has seen a growing interest in the role of the gut-brain axis and, in 18 particular, how probiotic supplementation may influence neural function and behaviour via 19 manipulation of the gut microbiota. The purpose of this review was to systematically review the 20 current literature exploring the effect of probiotic intervention on cognitive function. PsychINFO, 21 Web of Science, PubMed and Google Scholar were searched for human trials. Studies selected for 22 inclusion administered a probiotic intervention and included at least one behavioural measure of 23 cognitive performance. A total of 30 experimental papers were included, exploring the effect of 24 probiotics across a variety of ages, populations and cognitive domains. The evidence suggests there 25 may be potential for probiotics to enhance cognitive function or attenuate cognitive decline, 26 particularly in clinically relevant adult populations for whom cognitive dysfunction may be present. 27 However, the limited number of studies and the quality of the existing research makes it challenging 28 to interpret the data. Further research is clearly warranted. PROSPERO: CRD42020164820. 29

30 Key words

	3	l	Probiotics,	cognition,	cognitive	function,	gut-brain	axis
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34	1. Introduction
35	
36	The gut microbiota (GM) plays a critical role in determining overall host health (Jandhyala et al.,
37	2015) and is shaped by a number of factors across the lifespan, including mode of delivery, host
38	genetics, age, diet and stress (Long-Smith et al., 2020). Residing in the human gastrointestinal tract,
39	the GM is the vast community of microorganisms including bacteria, eukarya and archaea. Although
40	the previously well-cited prediction that microbes outnumber human cells by 10:1 has recently been
41	revised in favour of a figure closer to 1:1, estimates still suggest there are 100 times more genes in the
42	gut microbiome than the human genome (Gilbert et al., 2018), highlighting the diversity of these

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43

organisms.

45 Although not a novel concept (Read et al., 1966; Quigley, 2017), research has converged over the last 46 two decades to establish a bidirectional connection between the brain and the gut, often referred to as 47 the microbiota-gut-brain axis following emerging evidence for a role of the GM and derived 48 metabolites in altering neural function and behaviour (Heijtz et al., 2011; Cryan and Dinan, 2012). It 49 is increasingly clear that this top-down, bottom-up exchange between enteric microbiota and the brain 50 represents a fragile, symbiotic relationship that contributes to both host health and disorders of the 51 body and the brain. This communication framework is thought to be served by a number of neuronal, 52 endocrine and immunological pathways which are well summarised elsewhere and will not be 53 repeated in this review (Farmer et al., 2014; Bauer et al., 2016; Long-smith et al., 2020), although 54 precise mechanisms still remain somewhat elusive.

55

56 In particular, there is increasing evidence for an association of the gut microbiome with psychiatric 57 and cognitive dysfunction. Studies of germ-free mice and antibiotic-induced dysbiosis have shown 58 altered production of metabolites crucial to cognitive processes such as brain-derived neurotrophic 59 factor (BDNF), gamma-Aminobutyric acid (GABA), N-methyl-D-aspartate (NMDA) receptors and 60 tryptophan (Bercik et al., 2011; Desbonnet et al., 2015; Fröhlich et al., 2016; Soto et al., 2018), in 61 addition to alterations in cognitive function, anxious and social behaviours (Zhu et al., 2020). 62 Interestingly, these behavioural phenotypes are reproduced in mice following faecal transplants of 63 intestinal microbiota (Collins et al., 2013), providing evidence for a direct role of the gut microbiota in 64 modulating neural function. Similarly, studies of conditions characterised in part by cognitive 65 impairment such as Parkinson's Disease (PD), Alzheimer's Disease (AD), Schizophrenia and Major 66 Depressive Disorder (MDD) have also implicated altered GM composition as a contributing factor to 67 the onset of and disease progression (Rogers et al., 2016; Ticinesi et al., 2018; Dutta et al., 2019; 68 Kowalski and Mulak, 2019). As such, the gut microbiota may provide a valuable target for 69 modulation of cognitive health in both clinical and non-clinical populations (Sun et al., 2020). 70 71 As defined by the World Health Organisation, probiotics are "live microorganisms which, when 72 administered in adequate amounts, confer a health benefit on the host" (Joint, 2020). Oral 73 consumption of probiotics can directly alter the GM by increasing the diversity and number of 74 beneficial microbes, potentially leading to changes in microbiota-derived metabolite production, 75 reduction in inflammation, alterations to HPA axis function and changes to gut-barrier integrity 76 (Lebeer et al., 2018; Plaza-Diaz et al., 2019). Therefore, by exploiting the gut-brain axis, probiotics 77 present an opportunity for modulation of the CNS and as such have been explored as therapeutic 78 adjuncts to target a number of CNS related conditions (Wang et al., 2016; Dutta et al., 2019; Genedi 79 et al., 2019; Liu et al., 2019; Ng et al., 2019; Smith and Wissel, 2019).

80

Increasingly, probiotics are being investigated for their potential to reduce cognitive deficits and to enhance cognition in the absence of clinical impairment. Studies in rodents have consistently reported positive effects of both single and multi-strain probiotics on spatial and non-spatial memory (Wang et al., 2016). Reversal of cognitive deficits have also been reported in animal models of diabetes (Davari et al., 2013), anxiety (Savignac et al., 2015) and Parkinson's (Castelli et al., 2020), to name a few. Experimental trials in humans, largely published within the last decade, have also explored this

87 potential benefit across a variety of clinical and non-clinical populations. A preliminary search for 88 reviews of these experimental trials, across a range of resources including Google Scholar, JBI 89 CONNECT+, Prospero and Cochrane Library, finds a small number of existing reviews. The literature 90 in ageing populations experiencing Mild Cognitive Impairment (MCI) and AD was recently reviewed 91 in a meta-analysis by Den et al (2020), who concluded that the preliminary evidence was promising 92 for enhancing cognition in both MCI and AD. Conversely, a review into the impact of early probiotic 93 intervention on subsequent neurocognitive development in infants and children up to age 13 found the 94 evidence to be less compelling, with only one study reporting positive results in the form of a reduced 95 risk of developing Attention Deficit Hyperactive Disorder (ADHD) or Autism Spectrum Disorder 96 (ASD) (Rianda et al., 2019). The efficacy of probiotics for improved cognition was recently reviewed 97 in a meta-analysis from Lv and colleagues (2020), who included 11 animal and 7 human trials in 98 healthy and cognitively impaired populations across a range of ages. The overall effect of probiotic 99 intervention on cognition was found to be non-significant in both animal and human studies when 100 supplementing healthy populations. In populations with cognitive impairment, however, interventions 101 in animals had a large effect size regardless of whether a single or multi-strain intervention was used, 102 while the effect in human studies was small and showed greater efficacy following single strain 103 interventions rather than multi-strain. Interestingly, the results appear to show a 'capping effect' of the 104 length of intervention, where significant effects were only reported in studies of <12 weeks. Most 105 recently, Marx and colleagues (2020) concluded, following a meta-analysis including 7 human trials, 106 that the evidence was not sufficient to support the use of probiotic supplementation for cognitive 107 outcomes, suggesting that a greater number of well-designed, adequately powered studies are needed. 108

109 Although a number of studies have now considered cognitive outcomes following probiotic 110 intervention, heterogeneity within the methodologies employed makes navigating this literature and 111 interpreting the results challenging. Where previous reviews have focused on the effects of 112 supplementation within specific populations or age groups, and therefore only ever included a small 113 number of human trials, this review aims to collate the full extent of the current human literature. This 114 is important as interest in the field begins to grow, not only to consider the populations for whom

115	probiotics may provide a beneficial tool in the improvement of cognitive function, but to begin to
116	discuss in what contexts an intervention might be successful with regards to probiotic strain(s), the
117	length of supplementation and the cognitive domain(s) beneficially effected by probiotic treatment.
118	Additionally, this review provides a unique opportunity to look at the overall quality of the existing
119	literature and identify where future studies might improve upon this to further our understanding of
120	how probiotics could enhance cognition.
121	
122	As such, the aim of this review is to systematically review a broad range of experimental trials in
123	human subjects to address the question of whether probiotic supplementation may improve cognitive
124	function, and for whom this approach may be beneficial.
125	
126	2. Method
127	Methods for conducting this review were pre-specified in a registered protocol on PROSPERO
128	(registration number CRD42020164820).
129	
130	Experimental human trials, recruiting participants of any age, gender or ethnicity, were eligible for
131	inclusion if they supplemented participants with at least one live probiotic strain. With a view to
132	including as many studies as possible, no restrictions were placed on type, quantity or length of
133	probiotic intervention, and studies using probiotic supplements in conjunction with other interventions
134	were also included. To that end, studies without a comparator, such as a placebo control group, were
135	also included. To be eligible for inclusion, studies were also required to include at least one cognitive
136	outcome measuring performance in a cognitive domain such as memory, executive function or
137	attention. Studies that did not include a behavioural measure on a cognitive task were excluded. As
138	such, studies solely measuring cognitive reactivity or cognitive control via use of questionnaires were
139	not included, as these were not deemed standardised behavioural measures of cognitive performance.
140	Studies using resting state functional Magnetic Resonance Imaging (fMRI) with no cognitive task
141	were also excluded.
142	

143 A search of the databases PsychINFO, Web of Science, PubMed and Google Scholar was performed 144 between December 2019 and January 2020 to identify formally published experimental trials in 145 humans published in the English language. Reference lists of relevant studies, including review 146 papers, were also checked, and Scholar was used primarily for this purpose. As this review focused on 147 formally published papers, grey literature databases were not searched. Each database was 148 systematically searched using the following terms: probiotic* AND gut AND brain AND axis, probiotic* AND clinical AND trial, probiotic* AND cognit*, probiotic* AND neuro*, probiotic* 149 150 AND brain, probiotic* AND (memory OR learning OR attention), Lactobacill* AND cognit*, 151 Lactobacill* AND (memory OR learning OR attention), Bifidobacteri* AND cognit*, Bifidobacteri* 152 AND cognit*, Bifidobacteri* AND (memory OR learning OR attention) (see supplementary data 1 153 for example of full search strategy). In PubMed and PsychINFO, each search was run through 'all 154 fields', including title, abstract, keywords and Medical Subject Headings (MeSH), using the advanced 155 search feature. For Web of Science, each term was searched using 'topic' search fields, which 156 includes title, abstract, author keywords and keywords plus. No other filters or descriptors were used 157 except for in PubMed, where searches were restricted to 'clinical' and 'human' due to the larger 158 volume of animal and *in vitro* papers available. 159 160 Study selection was initially performed by JE, and excluded papers were independently verified by 161 DL. Initially, papers were excluded based on the title if it was evident that the research fell outside of 162 the inclusion criteria specified- e.g. animal studies. All studies of potential interest were then 163 shortlisted before reading the full publications to decipher eligibility for inclusion. Where database

165 enquire whether this data had since been published (Owen et al., 2014; Noorwali et al., 2017;

166 Bloemendaal et al., 2019; Rieger et al., 2019). This was not the case for any of the research studies in

searches flagged up relevant conference abstracts or study protocols, authors were contacted to

167 question and therefore these were not included in this review.

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Studies selected for inclusion were assessed for overall quality of methodology and the potential risk
of bias using the Evidence Analysis Manual Quality Criteria Checklist (QCC) from the Academy of

173	procedures, use of blinding, and funding. As one of the aims of this review was to explore the quality
174	of the existing literature and highlight current limitations, all eligible papers were included regardless
175	of methodological quality.
176	
177	Data extraction was conducted independently by JE and DL following the Evidence Analysis Manual
178	Data Extraction Template from the Academy of Nutrition and Dietetics (2016). This allowed
179	systematic extraction of key information regarding design, sample characteristics, intervention/
180	exposure/ compliance, outcome measures and reported results. For the purpose of this review, only
181	data relevant to cognitive outcomes was extracted for analysis, although some papers also explored
182	physical, psychological and biochemical outcome measures.
183	
184	With regards to data synthesis, extracted data were handled in tabular form in order to aid comparison
185	of study characteristics and guide the grouping of studies for narrative synthesis. Due to the
186	heterogeneity in key study characteristics, namely population, intervention and cognitive outcome,
187	statistical synthesis of study findings was not performed.
188	
189	3. Results
190	
191	Initial searches flagged a total of 7871 citations, which, after initially screening out 7441 papers based
192	on titles and abstracts and a further 305 papers following more in-depth review, resulted in a total of
193	30 studies that met the inclusion criteria described (see figure 1).
194	
195	3.1. Study characteristics
196	
197	Selected papers included Randomised Control Trials (RCTs), single-arm Pilot Studies, a Non-
198	Randomised Control Trial and one Non-Randomised Cross-over Trial published between 2007 and

Nutrition and Dietetics (2016). Studies were assessed independently by JE and DL and disagreements

were resolved with a third party. Potential areas of bias included selection and randomisation

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199 2019 in a total of 19 countries. Of the 30 papers included, only 6 explicitly report the age range of 200 participants (Benton et al., 2007; Tillisch et al., 2013; Ceccarelli et al., 2017a; Kelly et al., 2017; 201 Ohsawa et al., 2018; Wallis et al., 2018) and many are unclear as to whether they are reporting mean 202 or median and standard deviation or standard error (Malaguarnera et al 2010; Tillisch et al., 2013; 203 Bajaj et al., 2014; Chung et al., 2014; Lunia et al., 2014; Agahi et al., 2018; Slykerman et al., 2018; 204 Lew et al., 2019) of the sample. Based on the mean ages reported, these papers collectively included 205 individuals from 27-weeks gestation to 82 years, although this may not reflect the full range of ages 206 studied. Five papers studied infants and children (Chou et al., 2010; Firmansyah et al., 2011; Akar et 207 al., 2017; Jacobs et al., 2017; Slykerman et al., 2018), 17 focused on a general adult population and 8 208 specifically on ageing adults (Chung et al., 2014; Akbari et al., 2016; Agahi et al., 2018; Inoue et al., 209 2018; Hwang et al., 2019; Kobayashi et al., 2019a; Kobayashi et al., 2019b; Tamtaji et al., 2019). 210 Across these age groups there were a number of clinical populations targeted for probiotic 211 intervention, including very low birth weight (VLBW) preterm infants (Chou et al., 2010; Akar et al., 212 2017; Jacobs et al., 2017), Human Immunodeficiency Virus-1 (HIV-1) (Ceccarelli et al., 2017a; 213 Ceccarelli et al., 2017b), Cirrhosis (Malaguarnera et al., 2010; Bajaj et al., 2014; Lunia et al., 2014; 214 Román et al., 2019), Fibromyalgia (Roman et al., 2018), Major Depressive Disorder (MDD) (Rudzki 215 et al., 2019), Chronic Fatigue Syndrome (CFS) (Wallis et al., 2018), Mild Cognitive Impairment 216 (MCI) (Hwang et al., 2019; Kobayashi et al., 2019a; Kobayashi et al., 2019b) and Alzheimer's 217 Disease (AD) (Akbari et al., 2016; Agahi et al., 2018; Tamtaji et al., 2019) with a further 12 studies 218 carried out in 'healthy' individuals. As such, outcome measures were often clinically relevant to the 219 population studied, with only 24 papers stating a primary focus on cognition.

220

The majority of studies assessed cognitive outcomes at baseline and post-intervention, with the exception those studying infants and one other (Lew et al., 2019). Data were reported across a number of cognitive domains, as defined by Lezak and colleagues (2012), using a combination of 41 different composite and individual task measures (see table 1). Choice of measure(s) was often guided by age of the population, such as frequent use of the Bayley Scales of Infant Development for studies in

226	infants and the Mini Mental State Examination for those in ageing adults, or by medical condition,
227	where cognitive ability was measured using assessment tools rather than standard cognitive tasks.

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229	Cognitive outcomes were assessed following a variety of probiotic interventions. Most papers
230	provided details of the exact probiotic strain(s) administered, while 8 only described the specie(s)
231	(Chou et al, 2010; Lunia et al., 2014; Akbari et al., 2016; Akar et al., 2017; Agahi et al., 2018; Roman
232	et al., 2018; Wallis et al., 2018; Tamtaji et al., 2019) and 1 just the genus (Malaguarnera et al., 2010).
233	Fourteen studies utilised a single strain intervention, 16 a multi-strain intervention and 6 administered
234	the probiotic supplement in conjunction with an additional treatment for a combined intervention.
235	These included medicines (Wallis et al., 2018; Rudzki et al., 2019), exercise, and other dietary
236	supplements (Malaguarnera et al., 2010; Firmansyah et al., 2011; Hwang et al., 2019; Tamtaji et al.,
237	2019). Key study information regarding population, intervention used, and significant cognitive
238	findings are summarised in table 2.
239	
240	Using the QCC, the quality of all studies was assessed as 'neutral', with a small number
241	demonstrating a stronger methodology and bordering a positive rating (Firmansyah et al., 2011;
242	Ohsawa et al., 2018; Roman et al., 2018; Papalini et al., 2019; Rudzki et al., 2019) (supplementary
243	data 2). Generally, the risk of bias across studies from sources of funding and use of blinding was
244	low, but subject selection and randomisation procedures presented a higher risk for bias. Implications
245	of this are discussed below in section 4.7.
246	
247	3.2. Infants and children
248	

250 neurodevelopment in VLBW preterm infants (gestational age \leq 32 weeks or birth weight \leq 1500g). In

Three RCTs used a prospective follow-up to assess the impact of early probiotic intervention on

each case neurodevelopment was assessed using the Bayley Scales of Infant Development (BSID) II

252 (Chou et al., 2010; Akar et al., 2017;) or III (Jacobs et al., 2017), with one study also using the

253 Wechsler Preschool and Primary Scale of Intelligence III as an alternative for children who were

254 followed up over the age of 42 months (Jacobs et al., 2017). Infants were supplemented with a 255 mixture of Lactobacillus reuteri (Akar et al., 2017), Lactobacillus acidophilus and Bifidobacterium 256 infantis (Chou et al., 2010), or B. infantis, Streptococcus thermophilus and Bifidobacterium lactis 257 (Jacobs et al., 2017) from when first able to feed until discharged from hospital. All three studies 258 reported no significant effects on neurodevelopment. Similarly, studies in full-term infants reported 259 no positive effect of intervention on cognitive development, either when supplemented from a 260 gestational age of 37 weeks until 2 years with Lactobacillus rhamnosus and B. animalis subsp. Lactis 261 (Slykerman et al., 2018), or with a combined supplement of *Bifidobacterium longum* and 262 Lactobacillus rhamonosus, prebiotics inulin and fructo-oligosaccharide and long-chain 263 polyunsaturated fatty acids AA and DHA from 12 months until 24 months of age (Firmansyah et al., 264 2011). Cognitive outcomes were assessed at 11 years and 24 months, respectively. 265 266 3.3. Young and middle-aged adults 267 268 Hepatic Encephalopathy (HE) is a severe complication of cirrhosis resulting in brain dysfunction due 269 to a build-up of toxins in the blood stream. A number of papers explored how probiotic intervention

270 may reduce the incidence of HE in cirrhosis patients. One study in patients evidencing HE found a 271 positive effect of a combined Bifidobacterium and fructo-oligosaccharide supplement on tasks 272 measuring visuospatial awareness, processing speed and psychomotor and executive functions 273 (Malaguarnera et al., 2010). This improvement in performance was evident after 30 days of 274 intervention and similar to that which was reported in the comparison group taking lactulose (a 275 common treatment in HE). A further 3 studies focused on cirrhosis patients with no evidence of overt 276 HE. An improvement in PHES score (a composite assessment of cognitive impairment common in 277 HE) was reported in 2 studies following multi-strain interventions for 12 weeks (Lunia et al., 2014; 278 Román et al., 2019), while the other reported no significant effect of 8 weeks of L. rhamnosus GG on 279 a selection of tasks from the PHES (Bajaj et al., 2014).

280

281 The cognitive functioning of individuals with HIV-1 was also a target for probiotic intervention, with 282 the authors producing an initial pilot study (Ceccarelli et al., 2017a) followed by a larger placebo-283 controlled trial (Ceccarelli et al., 2017b). In both studies, HIV-1 infected adults were supplemented 284 with the same multi-strain probiotic (Lactobacillus plantarum, S. thermophilus, Bifidobacterium 285 breve, Lactobacillus paracasei, Lactobacillus delbrueckii subsp. bulgaricus, L. acidophilus, B. 286 *longum* and *B. infantis*) for six months before change in cognition was assessed using a large battery 287 of standardised tests covering memory, executive functions and fluid intelligence. In both studies, 288 significant improvements relative to baseline and controls were reported in immediate and delayed 289 memory, visuospatial working memory and verbal fluency, with additional improvement in executive 290 function and psychomotor speed reported in the latter trial. It should be noted that, in the controlled 291 trial, assignment to condition was not random but based on cerebral spinal fluid (CSF) neopterin 292 levels at baseline, with only those who demonstrated higher levels assigned to take the probiotic 293 treatment, presumably because higher levels of neopterin were correlated with lower cognitive 294 performance at baseline, therefore providing greater potential for improvement. As a result, only 9 295 subjects were studied for change in cognitive function following probiotic treatment, compared to 26 296 control subjects.

297

298 Probiotic interventions may also positively affect cognitive status in adults with other clinical 299 conditions associated with altered gut microbiota composition. One pilot study explored this potential 300 in individuals with Fibromyalgia (Roman et al., 2018). Following 8 weeks of L. rhamnosus GG, 301 Lactobacillus casei, L. acidophilus, and Bifidobacterium bifidus, those who received probiotic 302 treatment displayed a significantly reduced number of impulsive choices in a reward based decision-303 making task. In another study combining antibiotic (erythromycin) and probiotic treatment (L. 304 rhamnosus, B. lactis, B. breve and B. longum) over the course of four weeks (Wallis et al., 2018), 305 moderate treatment effects were observed for attention, processing speed, cognitive flexibility, story 306 memory and verbal fluency in subjects with CFS. However, this was a single-arm pilot study, making 307 it difficult to attribute these effects specifically to the intervention. Finally, one study explored the use 308 of L. plantarum in combination with selective serotonin reuptake inhibitor treatment (SSRI) for MDD 309 (Rudzki et al., 2019). After 8 weeks of supplementation, those taking the combined treatment as

310 opposed to just SSRIs demonstrated improved visual search and short-term memory function, but no

311 effect on other executive functions including inhibition and verbal fluency.

312

313 A number of studies also focused on the potential for improved cognition in clinically healthy adults. 314 One study assessed cognition following 12 weeks of L. plantarum intervention in moderately stressed 315 adults, pre-determined by the Cohen's Perceived Stress Scale, using a cognitive battery assessing 316 memory, processing speed and social emotional cognition (Lew et al., 2019). Probiotic intervention 317 was associated with significantly faster emotional processing in women and greater verbal memory in 318 men compared to a placebo, although no baseline data was recorded and analysing by gender resulted 319 in smaller samples than the authors' calculations suggested necessary for sufficient statistical power, 320 particularly in male subjects. Similar findings were reported in a study employing emotional decision 321 and recognition tasks during fMRI, where authors reported both a significant increase in response 322 accuracy and significantly less decision change to unpleasant stimuli following a multi-strain 323 intervention compared to those of the control treatment (Bagga et al., 2018). Similarly, a decrease in 324 recruitment of affective, viscerosensory, and somatosensory regions was reported during an emotional 325 decision task following supplementation with a multi-strain fermented milk (Bifidobacterium animalis 326 subsp lactis, S. thermophilus, L. bulgaricus & L. lactis) in healthy adults when compared to a no-327 intervention group. However, a positive effect on emotional processing was not consistently reported 328 across studies. One study utilising a range of tasks measuring attention, memory, learning and 329 emotional processing only reported an improvement in visual memory and learning following four 330 weeks of *B. longum*, and such improvements were also seen in the control group (Allen et al., 2016). 331 Additionally, a study in female subjects found no effect of a four-week multi-strain intervention (B. 332 bifidum, B. lactis, L. acidophilus, L. brevis, L. casei, Lactobacillus salivarius & Lactococcus lactis) 333 on tasks focused on emotional processing or executive function, but did find that the probiotic 334 intervention provided a 'buffer' of sorts against the negative effects of an acute physiological stressor 335 on working memory (Papalini et al., 2019). One study, recruiting healthy middle-aged adults with 336 self-reported forgetfulness, also found using a standardised composite measure of cognitive function

337 that total cognitive score, attention and delayed recall abilities significantly improved following 8 338 weeks of *Lactobacillus helveticus* fermented milk product. Attention scores were also significantly 339 greater in the active group compared with the placebo group post-intervention. On the other hand, two 340 studies in healthy adults that supplemented with *L. casei* and *L. rhamnosus* for 3 and 8 weeks, 341 respectively, reported no significant effect of probiotic intervention on any of the cognitive domains 342 assessed including memory, verbal fluency, attention, motor speed, learning, executive function, 343 information processing and emotional cognition (Benton et al., 2007; Kelly et al., 2017). 344 345 3.4. Ageing adults 346 347 Three studies explored the efficacy of probiotic interventions for improving cognitive outcomes in 348 ageing adults with MCI. Two of these were published in succession as an initial single-arm pilot study 349 (Kobayashi et al., 2019a) followed by a larger placebo-controlled trial (Kobayashi et al., 2019b). Both 350 studies explored the effects of *B. breve* over 24 weeks and 12 weeks, respectively, and used the 351 MMSE to assess cognitive status and a digit symbol substitution task. The latter trial also included a 352 larger task battery comprising of 11 other sub-tests to assess multiple facets of memory, language and 353 executive function. In the pilot study, MMSE composite score significantly improved after 24 weeks 354 of supplementation. In the latter trial, MMSE composite score significantly improved after 12 weeks, 355 but this was true of both the active and placebo group. The probiotic group evidenced an 356 improvement in delayed recall memory in both the MMSE and cognitive battery, but only in those 357 with lower MMSE scores at baseline. Similarly, improvements in language and attention sub-tests 358 were seen only in those with lower baseline scores, although once again the same improvements were 359 also reported in the placebo group taking matched placebo capsules. The third study assessed change 360 in the composite z score of three tasks measuring memory and attention following 12 weeks of L. 361 plantarum and fermented soybean powder, finding a significant improvement in composite score

driven by improvement in sustained attention (Hwang et al., 2019).

363

364 A further 3 studies using a similar dose of probiotic species explored the effects of 12-week probiotic 365 supplementation in those with diagnosed AD. Using the MMSE as a sole measure of cognitive status, 366 two studies found a significant improvement in total score following supplementation with L. 367 acidophilus, L. casei, Lactobacillus fermentum and Bifidobacterium bifidum (Akbari et al., 2016), and 368 with L. acidophilus, B. bifidum and B. longum administered in combination with selenium (Tamtaji et 369 al., 2019). The third study utilised an alternative measure to the MMSE known as 'Test Your 370 Memory' in order to assess the potential efficacy of a multi-strain intervention (L. fermentum, L. 371 plantarum, B. lactis, L. acidophilus, B. bifidum & B. longum) but found no significant effect on 372 cognition (Agahi et al., 2018).

373

374 In addition to those with age-related disorders, 2 studies utilised probiotic interventions in generally 375 healthy ageing adults. One study aimed to explore the efficacy of a 12-week intervention with L. 376 *helveticus* in improving performance, particularly during cognitive fatigue (Chung et al., 2014). 377 Cognitive measures of information processing, executive function and sustained attention were 378 administered consecutively and repeated four times to induce cognitive fatigue, while additional tasks 379 assessed aspects of memory. Subjects on probiotic treatment showed significantly improved 380 information processing and higher accuracy in a task of executive function compared with placebomatched control subjects. The second combined 12 weeks of multi-strain (B. longum, B. infantis & B. 381 382 *breve*) probiotic supplementation with moderate resistance training to explore the impact on cognitive 383 function using a standardised battery of cognitive assessments covering memory, attention, language, 384 executive function and visuospatial processing (Inoue et al., 2018). Both the active and control group 385 (just resistance training) demonstrated a significant increase in composite score with no difference 386 between groups, suggesting a significant effect of resistance training only.

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388

4. Discussion

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Overall, the evidence in this review provides some support for the use of probiotics to enhancecognition, with 21/30 of the included studies reporting an improvement in at least one cognitive

392 measure. This figure is somewhat skewed by the inclusion of studies in children, as those exploring 393 early supplementation in infants consistently reported no effect on subsequent neurocognitive 394 development up to 11 years of age, regardless of whether supplementing VLBW and premature 395 infants or those who reached full-term. It may be that that the development during this period is too 396 rapid to see any effect of a probiotic intervention. Additionally, studying infants brings with it a 397 greater number of challenges. Most looked to supplement infants from when first able to feed until 398 discharged from hospital; factors which are unique to the individual and therefore resulted in 399 heterogeneity in supplementation length within studies. Due to personal circumstances or preferences, 400 the vehicle for administration of the probiotic was also inconsistent for a number of these studies. 401 with some parents using breast milk (a natural prebiotic), others formula, and some studies not 402 disclosing the method of administration, making the nutrient content of the intervention itself a 403 potential confounder (Deoni et al., 2018).

404

405 If we exclude those studies in children, 20/24 studies report a positive effect of probiotic cognition on 406 cognition. The evidence suggests that probiotics may provide a useful therapeutic adjunct to those 407 with a variety of conditions leading to impaired cognitive functioning. In young and middle-aged 408 adults, improved cognition was reported in those with HIV-1 (Ceccarelli et al., 2017a; Ceccarelli et 409 al., 2017b), MDD (Rudzki et al., 2019), Fibromyalgia (Roman et al., 2018) and CFS (Wallis et al., 410 2018), although it is important to note that these effects were explored in singular studies (with the 411 exception of Ceceralli and colleagues who ran a follow up to their pilot study in HIV-1 patients), 412 some of which being open-label and not randomised control trials. Reports of improved cognition 413 were more consistent in studies exploring supplementation in cirrhosis patients, with three of four 414 randomised control trials reporting improvement in PHES composite score (Lunia et al., 2014; Román 415 et al., 2019) and similar sub-tests (Malaguarnera et al., 2010). While the aforementioned 416 methodological issues need to be taken into consideration, the existing evidence in these clinical 417 populations is positive and suggests a need for further study.

418

419 In older clinical populations, improved cognition was consistently reported in those with MCI. 420 Interestingly, findings from the pilot study showing a significant improvement in MMSE score were 421 only replicated in an RCT in subjects who had a lower score (poorer performance) at baseline 422 (Kobayashi et al., 2019b), suggesting that disease progression influences the efficacy of the 423 intervention. Two studies using the MMSE to assess cognition in those with AD both reported 424 improvement following probiotic intervention compared to placebo or alternative therapy (Akbari et 425 al., 2016; Tamtaji et al., 2019), while a third study using the TYM reported no significant effect 426 (Agahi et al., 2018). All three studies used a 12-week intervention and similar multi-strain 427 supplements. Again, lack of detail regarding exact strains, comprehensive demographic data and a 428 'probiotic only' group (Tamtaji et al., 2019) make it more challenging to integrate findings across 429 studies. While the preliminary evidence is positive, more trials are needed to make informed 430 conclusions. In particular, the clinical field would benefit from RCTs longer than 12 weeks to follow 431 the progression of these conditions, and to explore more thoroughly whether probiotics are more 432 effective during earlier stages of AD and MCI, or whether subjects respond better when cognitive 433 impairment is more severe.

434

435 The evidence for enhancing cognitive function in 'healthy' subjects is more parsimonious. A total of $\frac{6}{6}$ 436 studies report a positive effect of probiotic intervention on cognitive function, with a further two 437 reporting no effects on cognition. However, more sophisticated analyses highlight that these findings 438 are not so clear-cut. Two studies report a positive effect on emotional cognition (Bagga et al., 2018; 439 Lew et al., 2019) – the latter only finding so in women. An earlier fMRI study appears to support 440 these findings, being the first to demonstrate modulation of cortical activity across a widely 441 distributed brain network during an emotional decision task following supplementation with a 442 probiotic fermented milk (Tillisch et al., 2013). However, the descriptive results for task performance 443 were not provided here, making it difficult to infer the effect of the probiotic intervention on cognitive 444 performance itself. Unfortunately, this study also used an all-female sample, providing no further 445 opportunity to assess whether the effect could be more pertinent in females than in males. While 446 there is some indication that affective cognition may be a domain for improvement through probiotic

supplementation, improved performance was not consistently reported (Allen et al., 2016 Kelly et al.,
2017; Papalini et al., 2019). One study also reports significant improvement in attention and memory
domains in the probiotic group, with attention scores being significantly greater than that of the
placebo group following intervention. However, some improvements were also seen in learning and
recall subtests in the placebo group, which the authors recognise may represent a learning effect
across test visits (Ohsawa et al., 2018).

453

454 Despite seeing no improvement in cognitive performance across the task battery, Papalini and 455 colleagues did find probiotic supplementation to be associated with maintenance of working memory 456 performance under conditions of acute stress (induced by the socially evaluated cold-pressor test 457 (SECPT)) where it was otherwise hindered, suggesting a buffering effect against the negative impact 458 of stress on cognitive performance. Similar findings were reported by Allen and colleagues where 459 total cortisol output following exposure to acute stress, again induced using the SECPT, was 460 significantly lower following probiotic intervention, as were reported daily stress levels. 461 Additionally, a greater improvement in conditional learning was observed in the latter following 462 probiotic supplementation compared to the placebo. However, the stepwise improvement in learning 463 appears to be consistent with practice effects and, given that the study employed a non-randomised 464 design with no blinding, it is difficult to ascertain whether any of these results were affected by 465 subject bias. Additionally, the authors included both an emotional recognition task and an emotional 466 Stroop task but report no effect of intervention on either task, further adding to the inconsistency of 467 findings in these heathy populations.

468

Looking specifically at studies using healthy ageing adults as participants, only two studies have explored the impact of probiotic supplementation on cognition to date, so conclusions are necessarily limited. One study reported improvements in executive function, working memory and sustained attention (Chung et al., 2014). The other reported an improvement in composite score of the Montreal Cognitive Assessment (MoCA), although, much like the MMSE, this is a brief screening tool for MCI and dementia and therefore may not be an appropriate measure for a healthy adult population. Additionally, as probiotics were only administered in combination with resistance training, we can
only assume that there is no additional effect of the probiotic supplement to that of the training, since
the control subjects engaged solely in the resistance training programme demonstrated similar
improvements.

479

Overall, the evidence in this review suggests that, for healthy young and middle-aged adults, there may be a protective effect against stress-induced declines in cognition and a potential to enhance cognitive function when processing emotional stimuli, but it is difficult to draw firm conclusions from the current literature and further well-controlled randomised trials are needed. Importantly, it should also be noted that no adverse effects on cognition were reported in any of the studies discussed here, including those in infants and children.

486

487 4.1. Single versus multi-strain supplements

488

489 Fourteen studies provided single strain supplements and 16 provided multi-strain supplements of 490 between two and nine different strains. Of these, 9 papers report a positive effect on at least 1 491 cognitive measure following a single-strain intervention, and 11 report a beneficial effect following a 492 combination of strains. Additionally, positive effects were reported across a range of both healthy and 493 clinical populations, in younger, middle-aged and older adults. When comparing the efficacy of 494 single-versus multi-strain interventions it is important to do so based on exact strains, taking into 495 account the specific population being supplemented (McFarland, 2020). Unfortunately, there are too 496 few studies incorporating the same strains into single and multi-strain supplements to draw such 497 comparisons at present. As such, there doesn't appear to be any clear evidence for use of one 498 supplement type over the other, regardless of age, population or cognitive domain being targeted. This 499 is consistent with findings from a recent review which found that, in most cases, multi-strain 500 interventions were no more effective than single strain interventions in relieving a range of medical 501 conditions, despite speculation that multi-strain products would potentially cover a wider range of 502 mechanisms of action or result in synergistic effects between the strains (McFarland, 2020).

503

504 4.2. Species/strains

505

506	Studies included species of Lactobacillus and Bifidobacterium as single-strain supplements, and
507	Lactobacillus, Bifidobacterium, Lactococcus and Streptococcus species in various combinations as
508	multi-strain supplements. The only species to be identified as a single-strain intervention in multiple,
509	separate studies was L. plantarum (Bagga et al., 2018; Hwang et al., 2019; Lew et al., 2019), although
510	it was used in combination with fermented soybean powder and SSRIs in two of these, and each study
511	used a different L. plantarum strain. Despite exploring the effects in very different populations, all
512	three report a significant positive effect of supplementation following a double-blind RCT,
513	particularly in the domain of sustained attention (Bagga et al., 2018; Hwang et al., 2019). L.
514	plantarum has demonstrated good survival and colonisation rates in the human GI tract compared to
515	other lactobacilli species (De Vries et al., 2006) and previous work has reported anti-inflammatory
516	properties, reducing the permeability of the intestinal barrier (White et al., 2006; Wang et al., 2018),
517	increasing SCFA levels (Wang et al., 2014) and restoring BDNF levels in cognitively impaired
518	participants (Jeong et al., 2015). A greater number of double-blind RCTs, preferably selecting strains
519	that have demonstrated relevant neuroactive potential and that include a group supplemented solely
520	with the probiotic strain, are needed to establish whether certain probiotic strains are more effective in
521	altering cognitive performance than others.

522

523 The variety of multi-strain supplements and lack of detail regarding exact strains that were included in 524 any intervention makes it challenging to explore whether there may be particular combinations of 525 strains that are consistently effective at improving cognitive performance. Competition between 526 strains is often quoted as a possible reason for reduced efficacy of multi-strain probiotic supplements, 527 although such literature does not yet exist in relation to cognitive outcomes (Joseph & Law., 2019). 528 However, even when strains are found to have inhibitory effects on each other in a mixed 529 environment, efficacy is not always reduced, and in some cases these combinations outperform the 530 strains individually (Chapman, Gibson & Rowland., 2012). While the complex nature of

host/probiotic interactions reduces the likelihood of a 'one size fits all' product, understanding more
about the individual mechanisms of action and how stains may interact with, enhance or inhibit one
another will be important for ensuring maximum efficacy of probiotic interventions for cognitive
health.

535

536 4.3. Dose

537

Specified doses ranged from 7.5 x 10⁶ - 1.8 x 10¹² colony forming units (CFU) per day, with 3 studies 538 539 not disclosing exact quantities (Malaguarnera et al., 2010; Bajaj et al., 2014; Chung et al., 2014). The 540 evidence presented in this review suggests there is currently little consensus regarding an 'optimum' 541 dose, with studies reporting positive effect across the full range of doses. While all trials reporting no 542 significant effect of intervention on cognitive outcomes used a daily dose of below 10¹⁰ CFU, positive 543 effects on cognition were reported following consumption of 10⁹ CFU/day and lower. Additionally, 544 trials reporting no significant effect of intervention did so across a range of clinical conditions, ages, 545 single and multi-strain interventions. 546 547 4.4. Length of intervention period 548 549 Regarding length of intervention, the current literature comprises of studies ranging from 3 weeks to 6 550 months. A significant positive effect was consistently reported in studies between and including 4 551 weeks to 6 months. While other health benefits have been reported following 3 or fewer weeks of 552 intervention (Nixon et al., 2012), it is perhaps the case that 3 weeks is too short to measure an effect of 553 probiotic interventions on cognition. However, as there is only one study at this length it is not 554 possible to draw any conclusions from this review. 555 556 4.5. Areas of cognition

557

558 Despite the number of studies that have now focused on change in cognitive performance following 559 probiotic intervention, heterogeneity in cognitive tasks and common design issues such as 560 randomisation procedures, lack of blinding and the potential for practice effects makes it inherently 561 difficult to identify whether there are particular cognitive domains that are more sensitive to probiotic 562 interventions than others. As described previously, there does appear to be some consistent findings 563 regarding emotionally loaded cognitive tasks (Tillisch et al., 2013; Bagga et al., 2018; Lew et al., 564 2019), although further research is needed to explore this. A recent review (Long-smith et al., 2020) 565 highlights the mounting support for the use of probiotics in the treatment of psychological disorders, 566 with a number of studies reporting amelioration of affective symptoms and changes in mood. It is also 567 well established that mood affects cognitive function, both in terms of valence and information 568 processing (Forgas, 2017). In particular, studies have demonstrated a robust effect of mood on the 569 processing of face stimuli, both in clinically depressed (Gilboa-Schechtman et al., 2002; Leppänen et 570 al., 2004) and healthy subjects (Van Honk et al., 2003; Curby et al., 2012). This interplay between 571 affect and cognition is perhaps one reason why these emotional decision and recognition tasks may be 572 sensitive to the effects of probiotic intervention.

573

574 While not a direct effect on cognitive performance itself, the limited research currently available 575 indicates that probiotics may provide a buffering effect against stress, meaning that cognitive 576 performance is maintained where it would otherwise be negatively affected (Staar et al., 2008). 577 Similar findings have previously been reported following supplementation with milk-based 578 phospholipids, where reaction times in an attention switching task following the SECPT were 579 improved post-intervention compared to pre-intervention performance. Studies in this review 580 employing the SECPT to induce psychological and physiological stress have reported maintenance of 581 working memory performance (Papalini et al., 2019) and lower cortisol output (Allen et al., 2016) 582 following probiotic interventions compared to that of placebos. The effects of probiotics on stress and 583 anxiety are well documented, with animal studies consistently reporting behavioural and biochemical 584 alterations following supplementation, not only in models of physiological stress, but also in those of 585 social and chronic stress (Zareie et al., 2006; Machos et al., 2016). Additionally, a recent human trial

586	found altered neural activity following supplementation during a game designed to induce social
587	stress in adults (Wang et al., 2019). While further research is needed to ascertain the legitimacy of this
588	buffering effect following probiotic intervention, future work may wish to establish whether the
589	protective effects extend not only to other cognitive domains, but whether there is a potential to
590	improve cognitive function in individuals facing chronic or perceived stress, as opposed to acute,
591	physiological stress.
592	
593	
594	4.6. Possible mechanisms of action
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596	The mechanisms through which probiotics may exert effects on the CNS are not well understood,
597	with much of the current evidence originating from studies in animal models. Bacterial species may
598	produce a number of neurotransmitters including GABA, dopamine, serotonin and norepinephrine
599	(Barrett et al., 2012; Holzer and Farzi, 2014), as well as increasing the availability of precursors such
600	as tryptophan (Yano et al., 2015) (see figure 2). Probiotics may also increase the availability of
601	neuroactive compounds indirectly by stimulating metabolites that promote biosynthesis (Yano et al.,
602	2015). A study in adult male mice demonstrated that chronic supplementation with L. rhamnosus was
603	associated with altered expression of GABA receptors in the brain and consistent reductions in stress-
604	related behaviour and corticosterone output (Bravo et al., 2011). Additionally, magnetic resonance
605	spectroscopy (MRS) research in mice found that supplementation with L. rhamnosus led to a
606	significant increase in functional metabolites in the brain, including glutamate, N-acetyl aspartate and
607	GABA. These studies indicate that probiotic induced changes to the gut likely led to functional
608	changes in the brain, providing some mechanistic insight into behavioural changes. However, exactly
609	how changes in gut derived metabolites mediates altered neurochemistry is still unclear. For example,
610	Bravo and colleagues (Bravo et al., 2011) found that these effects were not demonstrated in
611	vagotomised mice, suggesting a role of the vagus nerve, while others found increased hippocampal
612	brain-derived neurotropic factor (BDNF) expression and exploratory behaviour to be independent of

whether mice received a vagotomy or not (Bercik et al, 2011). This suggests the vagus nerve may onlybe a partial mediator in these gut-brain interactions.

615

616 In addition to altered neurotransmitter production, it is thought that probiotics may influence the 617 production of other bacteria-derived metabolites, particularly short-chain fatty acids (SCFAs), which 618 are thought to be implicated in gut-brain axis communication (Dalile et al., 2019; Silva et al., 2020). 619 In vitro models have demonstrated an increase in SCFAs (particularly acetate, butyrate and 620 propionate) as a result of probiotic bacteria (Nagpal et al., 2018; Sivieri et al., 2013). Additionally, 621 Wang and colleagues (2018) conducted a human trial supplementing young, middle-aged and older 622 adults with L. plantarum and found that faecal levels of acetate and propionate significantly increased 623 in all three age groups, and slowly declined to near baseline levels once supplementation ceased. 624 625 Finally, probiotics may influence neural function via interactions with immunological pathways. 626 Alterations to the gut microbiota is a key contributing factor to chronic, low-grade inflammation 627 which is present in a number of clinical conditions (Z Alam et al., 2014; Bauer and Teixeira, 2019; 628 Walker et al., 2019) and is thought to contribute to cognitive dysfunction across the lifespan 629 (Marsland et al., 2015; Arnoriaga-Rodríguez and Fernández-Real, 2019; McGrattan et al., 2019). In 630 particular, poor gut barrier integrity leads to a rise in systemic inflammation as a result of endotoxin 631 being able to cross the lumen into the blood stream. Increased levels of plasma endotoxin have been 632 shown to increase blood-brain-barrier permeability both directly and indirectly, leading to notions 633 such as the endotoxin hypothesis of neurodegeneration (Brown, 2019). Probiotics have been 634 associated with improved gut barrier integrity and reduced permeability (van Hemert et al., 2013), 635 thought to occur as a result of increased mucin expression and tight-junction stability, protecting the 636 epithelial barrier (Stoidis et al., 2011). As a result, probiotic intervention may reduce endotoxemia and 637 therefore levels of inflammation.

638

639 In addition, probiotics may offer an opportunity to attenuate the damaging effects of pro-

640 inflammatory cytokines on the gut barrier, both by reducing proinflammatory and increasing anti-

641 inflammatory responses. For example, in humans, chronic supplementation with L. salivarius has 642 been associated with a significant reduction in serum concentrations of inflammatory markers such as 643 high sensitivity C-reactive protein (hs-CRP), interleukin (IL) 6, IL-1b, and TNF- α (Rajkumar et al., 644 2015). These findings were echoed in a recent review which discussed frequent reports of a 645 significant reduction in serum concentrations of proinflammatory markers, particularly tumour 646 necrosis factor alpha (TNF- α) and C-reactive protein, in addition to less frequent reports of increased 647 anti-inflammatory markers following probiotic intervention (Maia et al., 2019). However, the 648 mechanisms responsible for changes in inflammatory response are less clear. One suggestion is that 649 introduction of probiotic bacteria can alter the signalling for inflammatory cytokine activation. For 650 example, in vitro work has demonstrated that L. rhamnosus GG reduced the effects of pro-651 inflammatory cytokines on epithelial barrier integrity, in part, through inhibition of NF-kB signalling 652 (Donato et al., 2010).

653

654 Of the studies included in this review, only a handful looked to explore potential mechanisms behind 655 change to cognition, all of which supplemented clinical or sub-clinical populations. Bajaj and 656 colleagues found following supplementation with L. rhamnosus GG that subjects with HE displayed a 657 significant decrease in endotoxemia and TNF- α in faecal microbiota analysis, in addition to various 658 changes to serum and urine metabolites including amino acids, secondary bile acid and vitamins 659 (Bajaj et al., 2014). However, it should be noted that these metabolic changes were found in the 660 absence of change to cognitive performance. Lew and colleagues describe similar findings following 661 L. plantarum intervention in mildly stressed adults, where better emotional cognition and recognition 662 memory were associated with a significant reduction in pro-inflammatory cytokines interferon gamma 663 (IFN- γ) and TNF- α (Lew et al., 2019). Two studies supplementing patients with AD with multi-strain 664 Lactobacillus and Bifidobacterium interventions reported similar changes in metabolic outcomes, 665 including reduced serum hs-CRP, triglycerides and a decrease in insulin resistance and increase in 666 insulin sensitivity (Akbari et al., 2016; Tamtaji et al., 2019). Additionally, Tamtaji and colleagues 667 report a downregulation in gene expression of $TNF-\alpha$ and a concurrent upregulation in genes 668 associated with maintenance of low cholesterol and energy homeostasis (low-density lipoprotein

669 receptor and peroxisome proliferator-activated receptor gamma, respectively). However, subjects here 670 were supplemented with a combination of selenium and probiotic strains, and, while these effects 671 were greater than in those just taking selenium, no probiotic alone group was included. In subjects 672 with MCI, improvement in cognitive function, particularly sustained attention, following consumption 673 of L. plantarum was associated with increased serum BDNF levels (Hwang et al., 2019) - an 674 important protein for neural health and one that is heavily implicated in learning and memory 675 processes (Cunha et al., 2010). Finally, combined supplementation of SSRIs and L. plantarum was 676 found to be associated with a decrease in kynurenine concentration, which may affect cognition via a 677 number of mechanisms (Rudzki et al., 2019). While it seems L. plantarum supplementation is 678 associated with different metabolic changes in each study that it was used, it is important to note that 679 each of these studies focused on different biochemical outcomes and therefore common mechanisms 680 of action cannot be ruled out.

681

By altering the composition of the gut microbiota, probiotic interventions may affect neural function and thus cognition via one or a combination of mechanisms. The current literature provides some evidence for improved cognition in clinical populations via modulation of immunological pathways and reduction in systemic inflammation, but these effects are inherently linked to physiological changes in the clinical parameters of interest, and there is little understanding regarding potential mechanisms in healthy subjects. Further research is required to elucidate precise mechanisms and factors that may influence these, such as host age, health status and microbiota composition.

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691 4.7. Limitations and considerations for future work

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While this area of research is gaining traction, this review highlights a number of recurring limitations to study designs which impede our ability to integrate the studies and draw reliable inferences for the effect of probiotics on cognitive function. While many studies employed RCT designs, a number of these were not carried out double-blind to the intervention and a small number used alternative singlearm or non-randomised designs. The QCC also highlighted a general lack of clarity regarding participant demographics across the studies in this review, with many not providing basic information such as an explicit age range and mean, or not indicating gender splits. Additionally, a number of studies did not include any form of power calculation to determine sample size, and those who did often did not reach this quota for all cognitive measures. Going forward it is important that studies are well powered, particularly as nutrition interventions do not typically have large effects and require a sensitive design (Flanagan et al., 2020).

704

705 As this review aimed to incorporate as many experimental trials as possible, this led to the inclusion 706 of a number of studies that used probiotics in combination with additional treatments for cognitive 707 impairment or a particular clinical condition. While important to explore combined effects, not all 708 included a comparison group only taking the probiotic supplement. As such, it is difficult to 709 extrapolate reliably the effect of the probiotic supplement relative to the other. It would be helpful in 710 future studies wishing to explore combined effects to include a comparison group for each treatment 711 component separately, in order to better understand both the individual and combined treatment 712 effects.

713

714 One of the key limitations in the current literature is a lack of explicit detail regarding the probiotic 715 interventions themselves, particularly in neglecting to specifically identify the strain(s). This is 716 increasingly important as research suggests that effects are frequently strain specific (Savignac et al., 717 2014; Kekkonen et al., 2018). Additionally, despite investigating how alterations to the GM might 718 affect cognitive function, few studies performed faecal analysis to assess microbiota composition post-intervention and none to date have collected pre-intervention samples. Assessing both pre- and 719 720 post-intervention faecal community allows insights into how the intervention may have altered the 721 composition of the resident microbiota. While this data is useful to have, current research actually 722 suggests that probiotic interventions are unlikely to result in observable changes to the composition, 723 particularly in healthy populations, both in terms of diversity and richness (Kristensen et al., 2016). 724 Instead, it may be of greater insight to explore how probiotics help to stabilise and reinforce the

725 microbiota, as opposed to numerically changing the composition (Sanders, 2016). Additionally, faecal 726 samples provide an opportunity to explore how probiotics may enhance neurotransmitter synthesis 727 through changes to metabolite production, which may also be crucial to understanding the 728 mechanisms behind change in cognitive function following supplementation. Due to the complex 729 nature of the human gut, the same probiotic intervention will inherently affect different hosts in a 730 multitude of different ways (Wieërs et al., 2020). For example, baseline microbiota composition and 731 diet have been identified as factors that may influence the efficacy of a dietary supplement such as 732 probiotics for the host (mobini et al., 2017; Volokh et al., 2019). As such, it may be of greater 733 importance for future studies to collect baseline faecal samples to see for whom certain probiotics 734 may be more effective. To this end it may be useful to collect information regarding habitual diet, too. 735

736 While the majority of studies in this review utilised standardised cognitive tasks with clear outcome 737 measures, very few indicated whether parallel task versions had been used where appropriate in order 738 to avoid practice effects. In addition, few, if any, provided subjects with sufficient practice in the 739 cognitive tasks prior to beginning the experimental trial. Including such practice allows subjects to 740 become comfortable with the task(s) and perform towards the ceiling of their natural capacity at 741 baseline, therefore helping to remove practice as a confound for improved performance (Bell et al., 742 2018). Finally, factors such as time-of-day effects were rarely acknowledged. There is strong 743 evidence for the existence of time-of-day effects in cognitive testing, where an individual's 744 performance on a range of cognitive tasks can differ depending on the time of day that it is being 745 tested (Schmidt et al., 2007). The same is true of meals, where exacerbated time-of-day effects known 746 as post-prandial dips can be seen in cognitive performance following food intake, particularly after 747 lunch (Craig, 1986; Rogers et al., 1994). Again, this phenomenon was rarely acknowledged in the 748 current literature, with very few stating what time in the day cognitive performance was measured, 749 whether participants were provided with a standardised meal prior to cognitive testing and whether 750 time of testing remained consistent both within and between participants. These are therefore 751 important considerations going forwards in order to strengthen the design of studies exploring 752 probiotic effects on cognition.

753

754	With regards to limitations of the review itself, study selection was initially performed by one author
755	(JE) and independently verified by another (DL). As the full process was not performed by two
756	independent authors, it should be noted that this is a potential source of bias. Additionally, while this
757	review acknowledges the importance of factors which undoubtably influence the efficacy of probiotic
758	interventions, such as strain and dose, heterogeneity within the current literature makes it difficult to
759	draw conclusions. As this field continues to grow it will be necessary to explore these factors further,
760	likely by way of a meta-analysis, in order to better understand how these factors interact with age and
761	clinical status to affect cognitive function.
762	
763	5. Conclusions
764	
765	In summary, the evidence thus far provides some support for enhancing cognition through probiotic
766	intervention. Studies in infants and children find very little benefit of early probiotic supplementation
767	to enhance subsequent neurocognitive development. However, studies in young and middle-aged
768	adults do provide some support for supplementary probiotics, particularly in clinical populations
769	where cognitive function may be negatively affected. Affective cognition and cognition under stress

may be two aspects of cognitive function that are particularly sensitive to any effect of probiotics at

this age. Similarly, studies in older adults provide some consistent evidence for a beneficial effect of

probiotics, particularly on memory processes. However, this review has highlighted a number of

consistent methodological issues within the current literature that make interpretation of data

challenging. A greater number of well-controlled RCTs with a primary focus on cognitive

performance and potential mechanisms of action are needed in order to clarify how effective probiotic

interventions are for improving cognitive function, and which cognitive functions, within specific

populations. Such research may then inform exciting opportunities for both clinical and individual

- practice for those who might see a benefit of supplemental probiotics on cognitive function.
- 779

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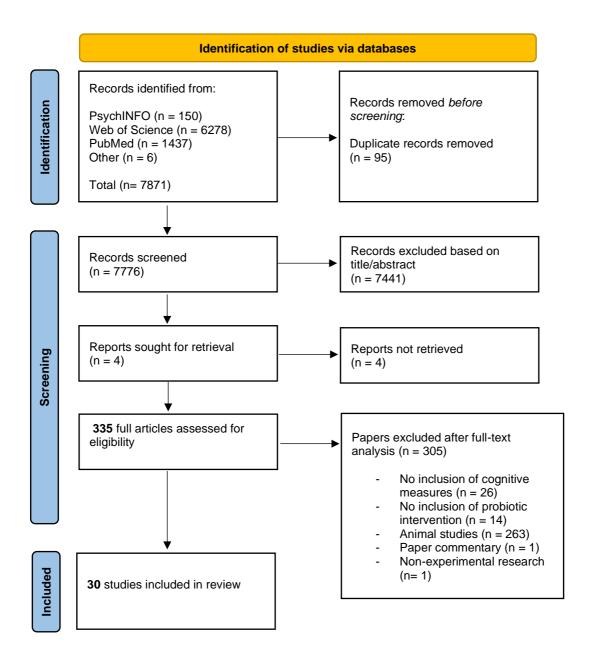


Figure 1- Flow diagram illustrating the identification of studies for inclusion.

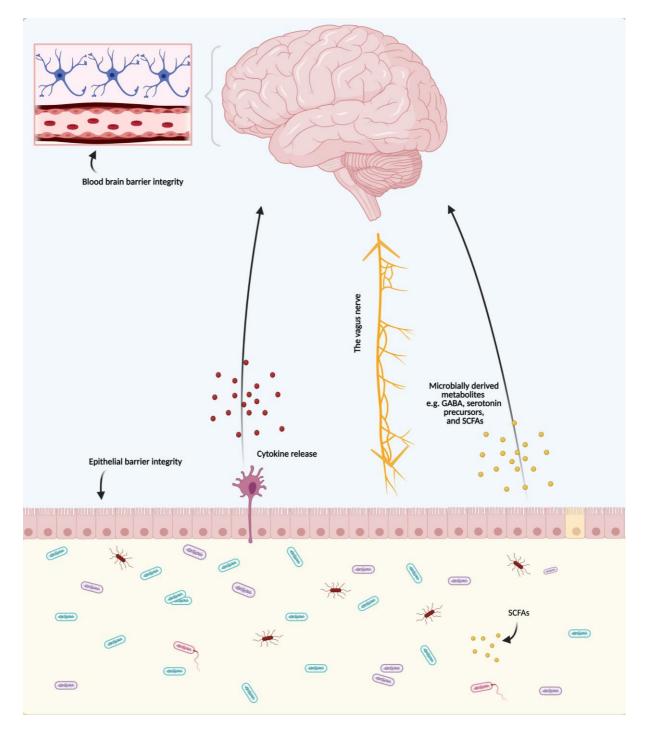


Figure 2 - Key microbiota-gut-brain communication pathways that may be modulated by probiotic interventions to affect cognitive health. These include synthesis of short-chain fatty acids (SCFAs), neuroactive compounds such as GABA and serotonin precursors, immune responses such as pro-inflammatory cytokine release and the integrity of both the gut epithelial barrier and the blood brain barrier (illustration made with BioRender.com).

 Table 2 - Key characteristics of included experimental trials.

			Participants			Intervention	-	
Citation	Design						Cognitive measures	s Significant cognitive outcomes
		No.	Age	Clinical population	Length	Probiotic strain(s)		
Agahi et al. (2018)	Double-blind RCT	48	m = 80 (assumed mean)	AD	12 weeks	Lactobacillus fermentum, Lactobacillus plantarum, Bifidobacterium lactis, Lactobacillus acidophilus, Bifidobacterium bifidum, and Bifidobacterium longum (3 × 10 ⁹ CFU/day)	s TYM	No effect of intervention on cognition
Akar et al. (2017)	RCT with prospective follow-up	249	m = 28 weeks gestation (assumed mean)	VLBW preterm infants	Supplemented from first feed until discharge Followed up at between 18-24 months) BSID-II	No effect of intervention on cognitive development
Akbari et al. (2016)	Double-blind RCT	52	m = 79	AD	12 weeks	200 ml/day probiotic milk containing <i>Lactobacillus</i> <i>acidophilus, Lactobacillus</i> <i>casei, Bifidobacterium bifidum,</i> and <i>Lactobacillus fermentum</i> (2 × 10 ⁹ CFU/day)	MMSE	Significant improvement in MMSE score in the probiotic group following 12 weeks of supplementation compared to placebo
Allen et al. (2016)	Non-randomised crossover (no blinding)	27 (all male)	m = 25	N/A	4 weeks of placebo 4 weeks of probiotic + 2-week follow up	Bifidobacterium longum 1714 (1 × 10 ⁹ CFU/day)	PAL RVIP Emotional recognition task Emotional Stroop task	Significantly less errors in PAL following probiotics compared to baseline. Similar improvement seen following placebo

Bagga et al. (2018)	Double-blind RCT	45	m = 27	N/A	4 weeks	Lactobacillus casei W56, Lactobacillus acidophilus W22, Lactobacillus paracasei W20, Bifidobacterium lactis W51, Lactobacillus salivarius W24, Lactococcus lactis W19, Bifidobacterium lactis W52, Lactobacillus plantarum W62 and Bifidobacterium bifidum W23. (7.5x10 ⁶ CFU/day)	Emotional decision task Emotional recognition task	Significantly less decision change for unpleasant stimuli following probiotics compared with placebo controls (improved emotional attention). Also, a significant increase in response accuracy to unpleasant stimuli in the recognition task
Bajaj et al. (2014)	Double-blind RCT	30	m = 57 (assumed mean)	Cirrhosis	8 weeks	Lactobacillus GG AT strain 53103	NCT-A NCT-B DST ^a BDT	No effect of intervention on cognition
Benton et al. (2007)	Double-blind RCT	124	48 - 79 m = 61	N/A	3 weeks	Yoghurt drink with <i>Lactobacillus casei</i> 6.5 x 10 ⁹	WMS Logical memory Recall of capital cities Verbal fluency task	No effect of intervention on cognition
Ceccarelli et al. (2017a)	Single-arm pilot (no blinding)	10 (all male)	22 - 53 med = 42	HIV-1	6 months	Lactobacillus plantarum DSM 24730, Streptococcus thermophilus DSM 24731, Bifidobacterium breve DSM 24732, Lactobacillus paracasei DSM 24733, Lactobacillus delbrueckii subsp. bulgaricus DSM 24734, Lactobacillus acidophilus DSM 24735, Bifidobacterium longum DSM 24736, and Bifidobacterium infantis DSM 24737 (1.8 x 10 ¹² CFU/day)	ROCF RAVLT Verbal fluency CBTT VST TMT STEP PVF/SVF RCPM	Significant improvement from baseline in immediate and delayed recall of RAVLT and immediate and delayed copying in ROCF. Also, significant improvements in PVF, STEP and CBTT test scores.

Ceccarelli et al. (2017b)	Non-randomised control trial (no blinding)	35	IQR 38 - 54 med = 48	HIV-1	6 months	Lactobacillus plantarum DSM 24730, Streptococcus thermophilus DSM 24731, Bifidobacterium breve DSM 24732, Lactobacillus paracasei DSM 24733, Lactobacillus delbrueckii subsp. bulgaricus DSM 24734, Lactobacillus acidophilus DSM 24735, Bifidobacterium longum DSM 24736, and Bifidobacterium infantis DSM 24737 (9 x 10 ¹¹ CFU/day)	ROCF RAVLT Verbal fluency CBTT VST TMT STEP PVF/SVF RCPM	Significant improvement from baseline in immediate and delayed recall of RAVLT and immediate and delayed copying of ROCF in the probiotic group. Also, significant improvements in STEP, PVF, TMT-A and CBTT test scores.
Chou et al. (2010)	RCT with prospective follow-up	301	m = 28 weeks gestation (assumed mean)	VLBW preterm infants	Supplemented from 7 days old until discharge Followed up at 3 years CA	Lactobacillus acidophilus and Bifidobacterium infantis (2 x 10 ⁹ CFU/day)	BSID-II	No effect of intervention on cognitive development
Chung et al. (2014)	Double-blind RCT	36	m = 65 (assumed mean)	N/A	12 weeks	fermented milk with <i>Lactobacillus</i> helveticus IDCC380	DST ^b Story recall test VLT RVIP Stroop task Serial 3/7s	Significant improvement from baseline in Stroop accuracy and serial 3/7s in probiotic group. Significantly higher accuracy following probiotics compared to placebo in RVIP and Stroop task.
Firmansyah et al. (2011)	Double-blind RCT	290	m =377 days	N/A	12 months	Bifidobacterium longum BL999, Lactobacillus rhamnosus LRR + inulin, fructo-oligosaccharides and Long-chain polyunsaturated fatty acids (~ 1.7 x 10 ⁷ CFU/day)	BSID-III	No effect of intervention on cognitive development
Hwang et al. (2019)	Double-blind RCT	92	m = 68	MCI	12 weeks	Lactobacillus plantarum C29 (1.25 x 10 ¹⁰ CFU/day) + fermented soybean powder	VLT ACPT DST ^b	Significantly greater improvement in composite score following probiotics than placebo, which appears to be driven by improvement in ACPT
Inoue et al. (2018)	Double-blind RCT	38	m = 70	N/A	12 weeks	Bifidobacterium longum subsp. longum BB536, Bifidobacterium longum subsp. infantis M-63, Bifidobacterium breve M-16V and Bifidobacterium breve B-3 (1.25×10 ¹⁰ CFU/day) + resistance training	MoCA Modified Flanker task	Significant improvement in composite score of both groups

Jacobs et al. (2017)	Double-blind RCT	664	m = 27 weeks gestation	VLBW preterm infants	Supplemented from first feed until discharge Followed up at 2 - 5 years	Bifidobacterium infantis BB-02 96579, Streptococcus thermophilus TH-4 15957 and Bifidobacterium lactis BB- 12 15954 (1x10 ⁹ CFU/day)	BSID-III	No effect of intervention on cognitive development
Kelly et al. (2017)	Cross-over RCT (no blinding)	29 (all male)	20 - 33 m = 24	N/A	8 weeks	Lactobacillus rhamnosus (1x10 ⁹ CFU/day)	MOT PAL AST RVIP Emotional recognition task Emotional Stroop task	No effect of intervention on cognition
Kobayashi et al (2019a)	• Open-label single-arm pilot	27	m = 82	MCI	6 months	Bifidobacterium breve A1 (2x10 ¹⁰ CFU/day)	MMSE DSST (WAIS III)	Significant improvement in MMSE score following probiotic supplementation
Kobayashi et al (2019b)	. Double-blind RCT	117	m = 61	MCI	12 weeks	Bifidobacterium breve A1 (2x10 ¹⁰ CFU/day)	RBANS MMSE	Significant improvement in delayed memory score (MMSE) in 'low scorers' at baseline. Also, significant improvement following both probiotic and placebo treatment in language and attention (RBANS) in 'low scorers' at baseline
Lew et al. (2019)	Double-blind RCT	103	m = 31 (assumed mean)	N/A	12 weeks	Lactobacillus planturum P8 (2 x 10 ¹⁰ CFU/day)	CBB	Significantly greater social emotional cognition in women and greater recognition memory in men following probiotic intervention compared to a placebo
Lunia et al. (2014)	RCT (no blinding)	160	m = 48 (assumed mean)	Cirrhosis	3 months	Bifidobacterium breve, Bifidobacterium longum, Bifidobacterium infantis, Lactobacillus acidophilus, Lactobacillus plantarum, Lactobacillus paracasei, Lactobacillus bulgaricus, and Streptococcus thermophilus (3.3 x 10 ¹¹ CFU/day)	PHES	Significant improvement in PHES score following probiotic intervention

Malaguarnera et al. (2010)	Double-blind RCT	125	m = 50 (assumed, for control group only)	Cirrhosis/mild HE	60 days	<i>Bifidobacterium</i> + fructo-oligosaccharides	TMT SDMT BDT	Significant improvement from baseline in all 3 tasks following probiotic intervention. Similar improvements seen in control group taking lactulose
Ohsawa et al. (2018)	Double-blind RCT	60	M = 58	N/A	8 weeks	Fermented milk with <i>Lactobacillus</i> helveticus CM4	RBANS	Significant improvement from baseline in total score, delayed recall and attention following the fermented milk. Difference between placebo and intervention group was significant post- intervention for attention.
Papalini et al. (2019)	Double-blind RCT	58 (all female)	m = 21	N/A	4 weeks	Bifidobacterium bifidumW23, Bifido- bacterium lactisW51, Bifidobacterium lactisW52,L. acidophilusW37,Lactobacillus brevisW63,Lactobacillus caseiW56,Lactobacillus salivariusW24, Lactococcus lactisW19 and Lactococcus lactisW58 (5 x 10° CFU/day)	Emotional face matching paradigm Emotional Stroop task Stroop task DST-backwards	Working memory performance maintained in DST under acute stress following probiotic but not placebo treatment. Probiotics associated with a 'buffering effect' against stress
Roman et al. (2018)	Double-blind RCT (pilot)	31	m = 52	Fibromyalgia	8 weeks	Lactobacillus rhamnosus GG® Lactobacillus casei, Lactobacillus acidophilus, and Bifdobacterium bifdus (1.2 x 107CFU/day)	Two-choice task Iowa gambling task MMSE	Significantly reduced number of impulsive choices following probiotic treatment

Román et al. (2019)	Double-blind RCT	34	m = 64	Cirrhosis	12 weeks	Streptococcus thermophilus DSM 24731, Bifidobacterium longum DSM 24736, Bifidobacterium infantis DSM 24737, Lactobacillus paracasei (L. paracasei) DSM 24733, Lactobacillus acidophilus DSM 24735, Lactobacillus delbrueckii subsp bulgaricus DSM 24734, and Lactobacillus plantarum DSM 24730 Bifidobacterium breve DSM 24732 (9 x 10 ¹¹ CFU/day)	PHES	Significant improvement in PHES score after probiotic treatment.
Rudzki et al. (2019)	Double-blind RCT	60	m= 39	MDD	8 weeks	SSRI + Lactobacillus plantarum 299v 10×10 ⁹ CFU/day	APT Stroop task TMT AVLT RFFT	Significant improvement in work speed (APT) and total AVLT recall in probiotic group compared to placebo
Slykerman et al. (2018)	. Single-blind RCT	342	no data	N/A	From 35 weeks gestation until six months if breastfeeding and their infants the same treatment from birth to two years.	Lactobacillus rhamnosus HN001 (6x10 ⁹ CFU/day) or Bifidobacterium animalis subsp. lactis HN019 (9 x 10 ⁹ CFU/day)	WISC -IV AST SWM OTS	No significant effect of either probiotic treatment on neurocognitive outcomes.
Tamtaji et al. (2018)	Double-blind RCT	79	m = 77	AD	12 weeks	Lactobacillus acidophilus, Bifidobacterium bifidum and Bifidobacterium longum + 200 mg of selenium (6 x 10 ⁹ CFU/day)	MMSE	Significantly greater improvement in MMSE score in the probiotic + selenium group than selenium alone or control groups.
Tillisch et al. (2013)	Double-blind RCT 27	(all female)	18 - 53 (m = 30)	N/A	4 weeks	Fermented milk with <i>Bifidobacterium</i> <i>animalis</i> subsp <i>lactis</i> (I-2494), <i>Streptococcus</i> <i>thermophilus</i> (I-1630), <i>Lactobacillus</i> <i>bulgaricus</i> (I-1632 and I-1519) and <i>Lactococcus lactis</i> subsp <i>lactis</i> (I-1631) (~2.9 x 10 ¹⁰ CFU/day)	task	FMPP associated with decreased activity in widely distributed brain network during emotional task, particularly in the somatosensory cortices and insula.

Wallis et al.	Open-label	44	16 - 85	CFS	6 weeks	Combined antibiotic and probiotic	RVIP	Large treatment effects suggested for attention,
(2018)	single-arm pilot		(m = 44)			therapy on alternate weeks:	AST	processing speed, cognitive flexibility, story
						Erythromycin (800 mg) during weeks 2	SWM	memory and verbal fluency. ¹
						and 4 and Lactobacillus rhamnosus (2.5	PAL	
						$ imes 10^{10}$ CFU/day), Bifidobacterium	RAVLT	
						lactis (1.5×10^{10} CFU/day),	Logical Memory	
						Bifidobacterium breve (5 $ imes 10^6$	(WMS-IV)	
						CFU/day), Bifidobacterium longum (5	COWAT	
						$\times 10^6$ CFU/day) weeks 3 and 5.		

TYM, Test Your Memory; BSDI, Bayley Scales of Infant Development; MMSE, Mini Mental State Examination; PAL, Paired Associated Learning; RVIP, Rapid Visual Information processing; NCT, Number Connection Test; DST^a, Digit Symbol Test; BDT, Block Design Test; WMS, Wechsler Memory Scale; ROCF, Rey-Osterrieth Complex Figure Test; (RA)VLT; (Rey Auditory) Verbal Learning Task; CBTT, Corsi Block-Tapping Test; VST, Visual Search Task; TMT, Trail Making Task; STEP, Time and Weight Estimation Test; PVF, Phonological Verbal Fluency; SVF, Semantic Verbal Fluency; RCPM, Ravens Coloured Progressive Matrices; DST^b; Digit-Span Test; ACPT, Auditory Continuous Performance Test; MoCA, Montreal Cognitive Assessment; MOT, Motor Screening Test; AST, Attention Switching Task; DSST, Digit Symbol Substitution Task; WAIS, Wechsler Adult Intelligence Scale; RBANS, Repeatable Battery for the Assessment of Neuropsychological Status; CBB, CogState Brief Battery; PHES, Psychometric Hepatic Encephalopathy Score; SDMT, Symbol Digit Modalities Test; DST-backwards, DST^b-backwards; APT, Attention and Perceptivity Test; RFFT, Ruff Figural Fluency Test; WISC, Wechsler Intelligence Scale for Children; SWM, Spatial Working Memory; OTS, One Touch Stockings; COWAT, Controlled Oral Word Association Task.

Table 1 - Number of studies reporting a significant positive effect of probiotic intervention versus no effect of probiotic intervention (effect/no effect) on cognitive tasks, and the respective cognitive function(s) targeted.

Cognitive function	Tasks used
Attention/vigilance (6/6)	Attention Switching task (1/2)
	Rapid Visual Information Processing (2/2)
	Digit Symbol Substitution Task/ Symbol Digit
	Modalities Test (1/2)
	Attention and Perceptivity Test (1/0)
	Auditory Continuous Performance Test (1/0)
Working memory (3/4)	Digit span (1/3)
	Serial 3/7s (1/0)
	Spatial Working Memory (1/1)
Immediate spatial memory (2/0)	Corsi-blocks (2/0)
Verbal memory (immediate) (6/5)	(Rey Auditory) Verbal Learning Task (4/2)
	Paired Associated Learning (1/2)
	Wechsler Memory Scale logical memory (1/1)
Verbal memory (delayed) (4/2)	(Rey Auditory) Verbal Learning Task (3/0)
	Weschler Memory Scale logical memory (1/1)
	Story recall (0/1)
Visuo-spatial memory (delayed) (2/0)	Rey-Osterrieth Complex Figure Test (2/0)
Episodic memory (0/1)	Capital city recall (0/1)
Psychomotor skill (2/4)	Trail Making Test A/B (2/2)
	Motor Screening Test (0/1)
	Number Connection Test A/B (0/1)
Executive function (8/16)	Stroop task (classic) (1/3)
	Controlled Oral Word Association Task (1/0)

	Block Design Test (1/1)
	Phonemic Verbal Fluency (2/0)
	Ruff Figural Fluency Test (0/1)
	Semantic Verbal Fluency (0/2)
	Stroop task (emotional) (0/2)
	Verbal Fluency Task (0/3)
	One Touch Stockings (CANTAB) (0/1)
	Flanker task (0/1)
	Iowa Gambling Task (0/1)
	Number Connection Test B (0/1)
	Two-choice task (1/0)
	Emotional decision task (2/0)
Affective processing (3/4)	Stroop task (emotional) (0/2)
	Emotional recognition task (1/2)
	Emotional decision task (2/0)
Composite measures (9/7)	Mini Mental State Examination (4/1)
	Montreal Cognitive Assessment (1/0)
	Repeatable Battery for the Assessment of
	Neuropsychological Status (2/0)
	CogState Brief Battery (1/0)
	Bayley Scales of Infant Development II/III (0/4)
	Psychometric Hepatic Encephalopathy Score (2/0)
	Test Your Memory (0/1)
	Wechsler Intelligence Scale for Children -IV (0/1)
Fluid intelligence (0/2)	Ravens Coloured Progressive Matrices (0/2)