

Was the extended rainy winter of 2018/2019 over the Middle and Lower reaches of the Yangtze River driven by anthropogenic forcing?

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Anthropogenic influence on the extended wet and overcast winter of 2018/2019 over the Middle and Lower reaches of the Yangtze River, China --Manuscript Draft--

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Was the extended rainy winter 2018/2019 over the Middle and Lower reaches of the Yangtze River driven by anthropogenic forcing?

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28 **Abstract**

29 Anthropogenic forcing has reduced the probability of rainfall amount in the extended
30 rainy winter of 2018/2019 over the Middle and Lower reaches of the Yangtze River, China by
31 ~19%, but exerted no influence on the excessive rainy days, based on HadGEM3-GA6-N216
32 ensembles. Instead the natural variability played a large and important role in this event.

33

Introduction

During December 2018 to February 2019, the Middle and Lower reaches of the Yangtze River Valley (MLYRV) experienced an unprecedentedly extended rainy extreme weather event. This extreme event had more than 50 rainy days over the MLYRV in 2018/2019 winter, resulting in a dramatic decrease in sunshine hours. According to the records from China Meteorological Administration (CMA), daily-mean sunshine duration was less than 2 hours during this event in many stations, reaching the lowest record in historical observations since 1961. This has led to severe impacts on natural systems, such as reduced agriculture productivity and increased load on power system supplies and transportations, and on human health (Liu et al. 2020). As such, this extended rainy event was defined as one of the top 10 extreme weather and climate events over China in 2019 by the CMA (http://www.cma.gov.cn/2011xwzx/2011xqxxw/2011xqxyw/202001/t20200103_543940.htm).

Before this extreme event occurred (about September 2018), the tropical Pacific entered into a weak El Niño state (Fig. S1a), which favors a westward shift of the Western Pacific Subtropical High (WPSH) and excessive rainfall over the MLYRV (Wang et al. 2000; Wu et al. 2003; Zhou and Wu 2010). Anthropogenic warming since preindustrial times has been found to have affected extreme rainfall over East Asia, intensifying particularly short-term extreme rainfall (Burke et al. 2016; Zhang et al. 2007, 2017; Min et al. 2011; Westra et al. 2014; Dong et al. 2020). The aim of this study is to investigate whether anthropogenic warming has changed the likelihood of the extended rainy winter of 2018/2019.

Data and methods

Daily rainfall observations for the period of 1961–2019 from ~2400 stations are obtained from CMA, and interpolated into $0.5^{\circ} \times 0.5^{\circ}$ grid cells with the thin plate spline method (Shen et al. 2010). To analyze circulation fields associated with this event, monthly wind and geopotential height datasets from the NCEP/NCAR Reanalysis (Kalnay et al. 1996) were used.

Simulations at $0.56^{\circ} \times 0.83^{\circ}$ horizontal resolution with 85 vertical levels from the Met Office HadGEM3-GA6-N216 model (Ciavarella et al. 2018) are employed to assess anthropogenic influences on the probability of this extreme event. These simulations are driven by observed monthly sea-surface temperature (SST) and sea ice concentration (SIC) from the Hadley Centre Sea Ice and Sea Surface Temperature dataset (Rayner et al. 2003) with both natural and anthropogenic forcings (HistoricalExt), and with natural forcing only for which anthropogenic contributions to the observed SST and SIC are removed (HistoricalNatExt). More details about the forcings used can be found in Christidis et al (2013). Each experiment comprises an ensemble of 15 initial-condition simulation members for the period of 1960–2013 from which 525 members are extended up to 2019. This study particularly uses the 2018/2019 winter simulations. Extreme rainfall events at local to regional spatial scales can be influenced greatly by internal climate variability, and the large ensemble of initial-condition simulations helps obtain reliable attribution results by providing a more adequate sampling of internal variability (Li et al. 2019).

The 2018/2019 winter rainfall event is concentrated in 27° – 32° N, 112° – 122° E (Fig. 1a) and so, this region is the focus of the analysis. Both the number of days with rainfall as well as the cumulative rainfall amount are considered. A rainy day is a day with more than 1 mm precipitation, including rain and snow. The total number of rainy days and accumulated rainfall amount are computed for each winter (December to February) during 1961/1962–2018/2019, and are expressed as anomalies relative to the 1961/1962–2010/2011 climatology for both observations and simulations.

To test the reliability of model simulations, a Kolmogorov–Smirnov (K–S) test comparing the distributions of observed and simulated anomalies of the number of rainy days and rainfall amount is used. As both the number of rainy day and rainfall amount anomaly follow closely a normal distribution according to the F–test for variances and K–S test (Fig. S1d, e), Gaussian fits are used to quantify the occurrence probabilities and return periods of the number of rainy days and rainfall amount for 2018/2019 in both observations and simulations with and without anthropogenic influence. Then, the risk ratio comparing the occurrence probability of the extended rainy event is computed, and the corresponding 5–95% confidence interval are estimated via a bootstrapping procedure for 1000 times, in which 525 samples are drawn from the 525 ensemble members with each time replacement.

Results

The observations show significant positive anomalies in rainy days (Fig. 1a) and rainfall amount (Fig. 1b) over the MLYRV during 2018/2019 winter. The regional-mean rainy days anomaly is more than 19 days relative to the 1961/1962–2010/2011 climatology, approaching 1.5 times the long-term mean value and breaking the historical record since 1961/1962 (Fig. 1c). The regional-mean rainfall amount anomaly observed over the MLYRV exceeds 140 mm (Fig. 1b), which is the third wettest event during the whole period (Fig. 1d). In terms of return periods, rainy days and rainfall amount anomalies greater than 100 years (Fig. 1e) and 20 years (Fig. 1f) respectively, indicating the unusual rareness of an extended rainy event like the 2018/2019 winter.

Although this extreme rainfall event occurred during a weak El Niño event, it is primarily driven by a persistent northwestward shift of the WPSH, as evidenced by the geopotential height contours of 5860 gpm at 500 hPa extending to Southern China (~22°N), about 5–8

degrees north of its climatological mean position (Fig. 1g). The associated low-level southwesterly winds over the northwest side of WPSH carry warm moist air which converges over the MLYRV, producing more-than-normal rainy days and rainfall amount in this region. Correspondingly, the positive 500-hPa height anomalies over the northwestern Pacific are obvious in 2018/2019 winter, as supported by the regional-mean (20° – 40° N, 120° – 150° E) height anomaly that is as high as +24 gpm (Fig. 1h). The magnitude of the 500-hPa height anomalies over the northwestern Pacific in 2018/2019 winter is about two times larger than that in regression pattern for 1961/1962–2010/2011, consistent with the record-breaking rainy day anomaly in this winter (Fig. 1a).

The HadGEM3-A-N216 model simulations for 1961/1962–2012/2013 reasonably capture the observed rainy day and rainfall amount variabilities (Fig. 2a, b). The distributions of rainy day and rainfall amount anomalies are comparable in model simulations and observations. Further, the observations fall within the range of model simulations. A K-S test reveals that the distributions of simulated and observed anomalies during 1961/1962–2012/2013 are statistically indistinguishable at 95% confidence level (P-value = 0.39 for rainy day; P-value = 0.31 for rainfall amount). Overall, the model provides reasonably well simulations of rainy day and rainfall amount over the MLYR that enable a reliable attribution analysis.

Although distributions of rainy day anomalies exhibit a small drying shift from HistoricalNatExt to HistoricalExt, they are very close in the upper tails where the number of rainy days in 2018/2019 winter is observed. In particular, 7 of 525 ensemble members exceeds the observed anomaly of 19 days in both HistoricalNatExt and HistoricalExt. Correspondingly, the occurrence probability is 0.12 for both HistoricalNatExt (0.001–0.025) and HistoricalExt (0.002–0.024), with a risk ratio of 1.00 (0.90–1.18). The associated return period is estimated to be about 86 years (56–131 years; 5th–95th) in both ensembles, indicating that the

anthropogenic forcing has relatively little influence on the rainy day anomaly (Fig. 2e), which might be a manifestation of the large local-to-regional internal variability.

Although the observed rainfall anomaly of 145 mm is slightly more likely without anthropogenic warming, the changed distribution between HistoricalNatExt and HistoricalExt is similar to that for rainy day anomalies (Fig. 2d). Correspondingly, the anthropogenic forcing is estimated to have decreased the occurrence probability from 0.16 (0.09–0.19) in HistoricalNatExt to 0.13 (0.07–0.18) in HistoricalExt, with a risk ratio of 0.81 (0.75–0.99). Compared to observations, the return period (~10 years) in rainfall amount anomalies is significantly decreased in model simulations (Fig. 1f vs. Fig. 2f). The obviously different return period for rainfall amount anomaly between the simulations and observations is associated with the overestimated rainfall interannual variability in simulations (Fig. S1d, e). Moreover, the circulation pattern anomalies are consistent regardless of the presence of anthropogenic warming (Fig. S1b, c). These different lines of evidence suggest that the natural variability played a large and important role in the extended rainy event in 2018/2019 winter over MLYRV.

Conclusion and discussion

In 2018/2019 winter, an unprecedented extended rainy event occurred over the Middle and Lower reaches of the Yangtze River Valley, with more than 50 rainy days breaking the historical record since 1961/1962. This event is primarily driven by persistent northwestward shift of the WPSH, where the associated low-level southwesterly winds carry warm moist air which converges over the region. By analyzing two large ensemble simulations with and without the influence of anthropogenic warming from the HadGEM3-A-N216 model, we found that anthropogenic forcing has reduced the probability of rainfall amount in this event by ~19%,

but exerted no influence on the excessive rainy days. Instead the natural variability played a large and important role in this event.

Generally, the extratropical land precipitation at monthly to seasonal time scales is dominated by atmospheric internal processes with external forcings (SST, SIC, etc) played a secondary role (Hu et al. 2020). The shift of the PDF in 2018/2019 winter, relative to the mean climatology, to wetter conditions for both rainy day and rainfall amount anomalies in both ensembles (Fig. 2b vs. Fig. S1d; Fig. 2c vs. Fig. S1e) suggests that this event is driven by the external forcings. This conclusion is consistent with the study of Liu et al. (2020), which further indicates that tropical Atlantic warming, interdecadal variation, and central tropical Pacific warming are three major factors leading to this extended rainy winter. Also, a drying shift of the probability density functions for anomalies of rainfall amount in HistoricalExt compared HistoricalNatExt suggests the anthropogenic signal is detected to some extent, and thus more work is necessary to separate the human influences on this shift (Power et al. 2013; Balan et al. 2016).

Additionally, our conclusions are only based on daily observed rainfall from CMA and ensembles from a single atmospheric model forced by observed SST or SIC with and without anthropogenic warming. Multiple observational datasets (Hegerl et al. 2015) and a comparison with estimates from fully coupled models (Sun et al. 2014; Massey et al. 2015; Ren et al. 2020) are needed to test our results, as ocean-atmosphere interaction is important for East Asian climate (Wang et al. 2005).

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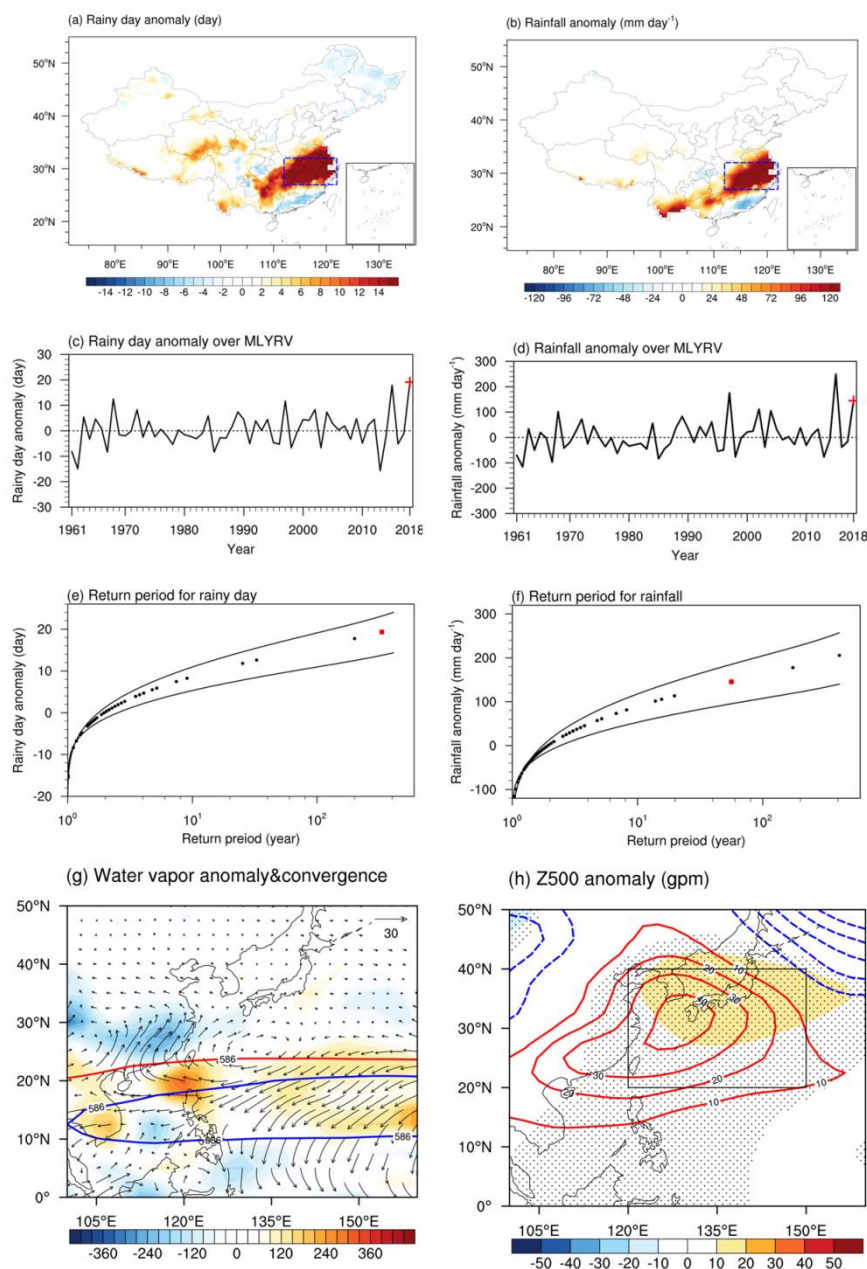
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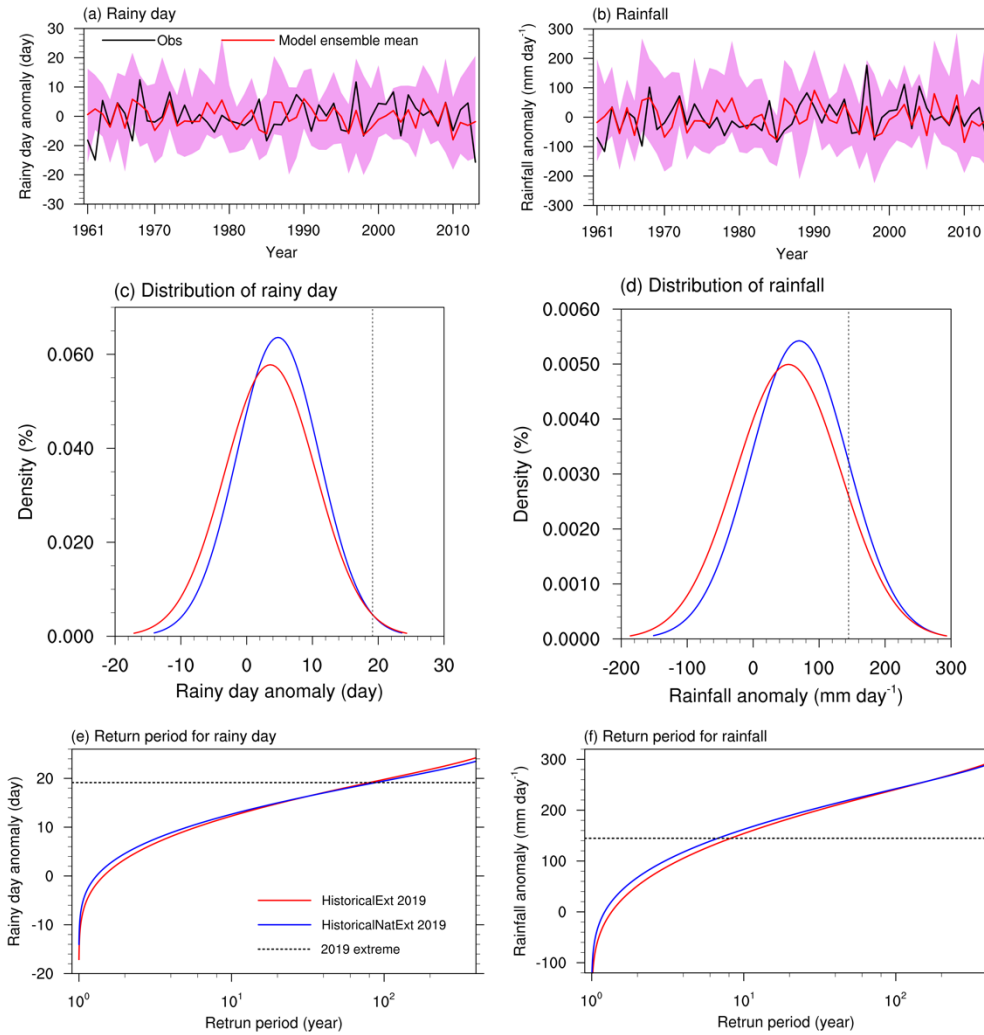
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270 Fig. 1. (a)–(b) Observed rainy days anomaly and rainfall amount anomaly in 2018/2019 winter
 271 relative to the 1961/1962–2010/2011 climatology. (c)–(d) Observed regional-mean rainy day
 272 anomaly and rainfall amount anomaly over the MLYRV in each winter for 1961/1962–
 273 2018/2019. (e)–(f) Return periods and associated 95% confidence intervals for anomalies of
 274 regional-mean rainy days and rainfall amount, where the red dot denotes the value in
 275 2018/2019 winter. (g) 2018/19 winter 850-hPa moisture flux anomaly (arrows; $\text{g m}^{-1} \text{s}^{-1} \text{Pa}^{-1}$)
 276 and convergence (shaded; $10^{-7} \text{g m}^{-2} \text{s}^{-1} \text{Pa}^{-1}$) 5860 gpm contours of 500-hPa height for
 277 2018/2019 winter (red line) and climatology (blue line). (h) 500-hPa height anomalies in

278 2018/2019 winter (contours; gpm). The regression of 500-hPa height anomalies onto the
 279 standardized rainy day number anomaly for 1961/1962–2010/2011 is also shown (shaded;
 280 gpm), where the dotted area is the region exceeding the 95% confidence level.



281

282 Fig. 2. (a)–(b) Time series of observed (blue line) and simulated ensemble mean (red line) of
 283 rainy day anomaly and rainfall amount anomaly over the MLYRV in each winter for
 284 1961/1962–2012/2013, with 15 member spread shown as light pink shading. (c)–(d)
 285 Probability density function, using Gaussian-fits, of rainy days anomaly and rainfall amount
 286 anomaly in 2018/2019 winter with 525-member HistoricalExt (red line) and HistoricalNatExt
 287 (blue line) simulations. The dashed line denotes the observed 2018/2019 winter. (e)–(f) As in
 288 (c)–(d), but for return periods.



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Supplemental Material

Qiao-Supplementary information-0921.docx



Anonymous Referee #1

Response: We would like to thank the anonymous reviewer for spending valuable time to read the manuscript and provide constructive feedbacks. We have revised the manuscript according to your comments.

1. *I appreciate the clarification by the authors to address my previous concerns regard to the role of anthropogenic forcing. I think it would need to be made very clear in the Abstract and Conclusion that ""Both the number of days with rainfall as well as the cumulative rainfall amount are considered" (as in Line 79), and there is no influence on the former but there is influence on the latter. The authors keep saying there is a slight change on the rainfall amount, but I do not think 20% is a slight influence to be ignored.*

Response: Thank you for raising the question. We had changed the content of the Abstract to “*Anthropogenic forcing has reduced the probability of rainfall amount in the extended rainy winter of 2018/2019 over the Middle and Lower reaches of the Yangtze River, China by ~19%, but exerted no influence on the excessive rainy days, based on HadGEM3-GA6-N216 ensembles. Instead the natural variability played a large and important role in this event.*”. Also, we have changed the sentence in the Conclusion (line 153-157) to “*By analyzing two large ensemble simulations with and without the influence of anthropogenic warming from the HadGEM3-A-N216 model, we found that anthropogenic forcing has reduced the probability of rainfall amount in this event by ~19%, but exerted no influence on the excessive rainy days. Instead the natural variability played a large and important role in this event.*”.

2. *I welcome the changes of the title to be a more engaging one : "Was the extended rainy and wet winter 2018/2019 over the Middle and Lower reaches of the Yangtze River driven by anthropogenic forcing?" But I think rainy and wet here mean the same thing , right? If so, please delete wet, because it can mean totally different*

things such as soil moisture or atmospheric water vapor content. On the same token, the authors responded to my minor Comment 11 by saying "Rainy and snowy are both in this paper." Please double check before in the Response and in the Revision, it gives a strong impression that the paper is about rainy days and rain amount.

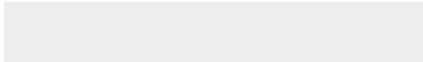

Response: Thank you for your suggestion. We have deleted the “wet” and changed the title to “*Was the extended rainy winter 2018/2019 over the Middle and Lower reaches of the Yangtze River driven by anthropogenic forcing?*”. Also, we have delete the “wet” throughout the whole manuscript.


In order to make the text of "rainy" clear in this manuscript, we have add the “, *including rain and snow*” in line 79.




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