

Topological constraints by the Greenland-Scotland Ridge on AMOC and climate

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ABSTRACT

Changes in the geometry of ocean basins have been influential in driving climate change 14 throughout Earth's history. Here, we focus on the emergence of the Greenland-Scotland 15 Ridge (GSR) and its influence on the ocean state, including large-scale circulation, heat 16 transport, water mass properties and global climate. Using a coupled atmosphere-ocean-17 sea ice model, we consider the impact of introducing the GSR in an idealized Earth-like 18 geometry, comprising a narrow Atlantic-like basin and a wide Pacific-like basin. Without 19 the GSR, deep-water formation occurs near the North Pole in the Atlantic basin, associated 20 with a deep meridional overturning circulation (MOC). By introducing the GSR, the volume 21 transport across the sill decreases by 64%, and deep convection shifts south of the GSR, 22 dramatically altering the structure of the high-latitude MOC. Due to compensation by the 23 subpolar gyre, the northward ocean heat transport across the GSR only decreases by $\sim 30\%$. 24 As in the modern Atlantic ocean, a bidirectional circulation regime is established with warm 25 Atlantic water inflow and a cold dense overflow across the GSR. In sharp contrast to the large 26 changes north of the GSR, the strength of the Atlantic MOC south of the GSR is unaffected. 27 Outside the high-latitudes of the Atlantic basin, the surface climate response is surprisingly 28 small, suggesting that the GSR has little impact on global climate. Our results suggest that 29 caution is required when interpreting paleoproxy and ocean records, which may record large 30 local changes, as indicators of basin-scale changes in the overturning circulation and global 31 climate. 32

1. Introduction

Changes in the distribution of land masses driven by continental drift and plate tectonics play 34 a fundamental role in shaping the geometry of ocean basins. The presence of meridional barriers 35 in the ocean has a profound effect on large-scale ocean circulation, localization of deep water 36 formation and oceanic heat transport that help modulate global energy transports and control the 37 Earth's energy budget (Toggweiler and Bjornsson 2000; Enderton and Marshall 2009; Ferreira 38 et al. 2010). Changes in ocean basin geometry have been invoked as a key factor in setting the 39 mean climate of the Earth, explaining some of the major transitions in global climate over the past 40 50 million years (Barker and Burrell 1977; Haug and Tiedemann 1998). 41

Bathymetry, such as oceanic ridges, also influence ocean basin geometry and global ocean cir-42 culation. Topographic features can steer major ocean currents, enhance vertical mixing over rough 43 topography (Polzin et al. 1997; De Lavergne et al. 2017) providing a major energy source for 44 driving the meridional overturning circulation and serve as solid barriers limiting water exchange 45 between adjacent ocean basins (Gille and Smith 2003). Hence, exploring the impacts of changes 46 in bathymetry on global ocean circulation allows us to separate the effects of bathymetry from 47 changes in e.g. CO_2 in driving major climate events (e.g., the glaciation of Antarctica: Drake 48 Passage opening versus declining atmospheric CO_2 (DeConto and Pollard 2003)). 49

In the North Atlantic region, oceanic gateway changes induced by the emergence of the Greenland-Scotland Ridge (GSR) have been argued to play an important role in the evolution of high latitude surface climate and North Atlantic ocean circulation throughout the Cenozoic (the past 65 million years to present) (Wright and Miller 1996; Stärz et al. 2017). The GSR presents a zonal barrier separating the North Atlantic from the Nordic Seas and Arctic Ocean and constricting the exchange of water between these ocean basins (Fig. 1). At present, the main sills of the GSR

are less than 500 m deep, with deeper channels at water depths of ~840 m, where dense waters formed in the Nordic Seas can escape. As these cold, dense waters flow over the sill, they mix with warmer, lighter Atlantic water to form North Atlantic Deep Water (NADW) (Hansen and Østerhus 2000), which constitutes the lower branch of the Atlantic Meridional Overturning Circulation (AMOC). Therefore even minor changes in sill-depth may significantly impact deep-water exchange and NADW volume transport, potentially causing basin-wide changes in circulation (e.g., Roberts and Wood 1997).

Paleo-oceanographic data suggests that variations in the depth of the GSR correlates with major 63 changes in North Atlantic and global climate (see Uenzelmann-Neben and Gruetzner 2018, for 64 a review). In particular, during the late Eocene to early Miocene period (35 - 16 Ma), the GSR 65 gradually deepened, but was interrupted by episodes of topographic uplift, thus impacting the 66 water exchange between the Norwegian Seas and North Atlantic (Wright and Miller 1996; Davies 67 et al. 2001; Via and Thomas 2006). Evidence from δ^{13} C-records in the deep North Atlantic have 68 suggested that, at times when the GSR was higher, the production of Northern Component Water 69 (NCW; a precursor of the modern NADW) ceased, with limited deep-water exchange across the 70 GSR. As the GSR deepened, it resulted in high NCW fluxes into the deep North Atlantic marking 71 the onset of the modern AMOC. This onset of the interhemispheric northern-sourced circulation 72 cell has also been suggested to play a role in the glaciation of Antarctica at the Eocene-Oligocene 73 Transition (EOT), about 33.5 Myr ago, prompting the EOT global cooling (Abelson et al. 2008; 74 Abelson and Erez 2017). However, there is much uncertainty associated with the timing of tectonic 75 gateway changes and their actual impact on AMOC evolution (see Fig. 3 in Ferreira et al. 2018). 76 It is widely accepted that the presence of the GSR is important for the surface climate conditions 77

and the formation of dense water in the Nordic Seas, based on both numerical model simulations
 and observational data (e.g., Roberts and Wood 1997; Iovino et al. 2008). Meanwhile, its effect

on large-scale ocean circulation is not well established. In some models, even modest changes in
the sill-depth produce large changes in Atlantic overturning circulation, with major consequences
for ocean heat transport (OHT) and North Atlantic surface climate (e.g., Roberts and Wood 1997),
while in others changes in the sill does not affect AMOC strength (Robinson et al. 2011; Born
et al. 2009).

Using a coupled climate model with Miocene boundary conditions ($\sim 20-15$ Ma), Stärz et al. 85 (2017) investigated the long-term subsidence history of the GSR. As the GSR deepened, the Arctic 86 Ocean gradually transitioned from a freshwater dominated environment towards the establishment 87 of a bi-directional flow regime characterizing the modern North Atlantic-Arctic water exchange. 88 This lead to an "Atlantification" of the Nordic Seas and Arctic Ocean and increased sea surface 89 temperature (SST) and salinity (SSS) in the high northern latitudes. A similar mechanism has been 90 invoked to explain the observed high-latitude warming of the Mid-Pliocene warm period (MPWP, 91 3.3-3.0 Ma) (Robinson et al. 2011; Hill 2015). Reconstructions of ocean temperature from marine 92 proxy data indicate that, during the MPWP, global mean temperature was warmer by $2 - 3^{\circ}C$ 93 compared to modern (Dowsett et al. 2010), while summer temperatures in the Arctic were about 94 8° C warmer (Brigham-Grette et al. 2013). As a consequence, the North Atlantic equator-to-pole 95 SST gradient was reduced to about 18° C (modern is $\sim 27^{\circ}$ C). The favoured hypothesis for the 96 Mid-Pliocene warmth is that the AMOC was stronger (inferred from the observed SST pattern), 97 contributing to enhanced meridional heat transport in the North Atlantic ocean (Dowsett et al. 98 1992; Raymo et al. 1996; Dowsett et al. 2009). Using a climate model simulation with Pliocene 99 boundary conditions, Robinson et al. (2011) argued that such changes in ocean heat transport could 100 be explained by depth variations in the GSR and showed that lowering the sill by 800 m leads to 101 a stronger overturning circulation in the subpolar region, increased high-latitude OHT and higher 102 Arctic SSTs, consistent with the proxy data. 103

Common for many of these earlier studies (both proxy and model based) is that they assume 104 AMOC strength and poleward ocean heat transport are correlated with the height of the GSR 105 through the production of dense water in the Nordic Seas and its control on the overflow strength 106 (Dowsett et al. 1992; Wright and Miller 1996; Raymo et al. 1996; Dowsett et al. 2009; Robinson 107 et al. 2011). There are two main issues with this view. Firstly, from a modern observational 108 point of view there has been no conclusive evidence linking AMOC variability to the formation 109 of Nordic Seas overflow water (Hansen and Østerhus 2007; Olsen et al. 2008; Medhaug et al. 110 2012; Lozier et al. 2017). Rather, AMOC variability is largely attributed to deep water formation 111 south of the GSR in the subpolar North Atlantic (Olsen et al. 2008). Secondly, it assumes that 112 the OHT across the GSR is nearly completely dominated by the AMOC, which conflicts with a 113 number of studies (e.g., Wunsch 2005; Ferrari and Ferreira 2011; Tett et al. 2014; Årthun et al. 114 2019) showing a decoupling between northern high-latitude climate and the AMOC at 26° N. This 115 calls into questions the role of the GSR in controlling AMOC strength and high-latitude OHT, in 116 particular on geological time-scales, where changes in sill-depth are invoked as the primary driver 117 for changes in large-scale ocean circulation and global climate. 118

In this study, we explore the impact of introducing the GSR in a coupled ocean-atmosphere-sea 119 ice general circulation model (GCM) with idealised land-sea geometry, focusing on the response 120 of the Atlantic overturning circulation. Rather than investigating a specific time in Earth's history, 121 we consider the fundamental role of ocean-basin geometry (induced by changes in bathymetry) in 122 shaping the ocean circulation and mean climate. This builds on previous work by Ferreira et al. 123 (2010). We note, however, that the present study provides valuable insight into understanding 124 Cenozoic climate evolution, where Arctic-Atlantic gateway changes are likely to have played a 125 major role (Stärz et al. 2017; Hutchinson et al. 2019). 126

In contrast to earlier model studies, we focus on a detailed understanding of how the presence of the GSR influences the location of deep water formation and its role in shaping the overturning circulation and ocean heat transport. Special attention is paid to the relationship between the AMOC and the northward transport of heat across the GSR and water mass transformation. This allows us to study and review how long-term changes in sill-depth, inferred from proxy-records, can impact high-latitude climate as well as global surface climate.

2. Model and experiment

We use the coupled atmosphere-ocean-sea ice configuration of the Massachusetts Institute of 134 Technology General Circulation Model (MITgcm) (Marshall et al. 1997) with idealized land-sea 135 geometry, which has been used previously to investigate fundamental aspects of large-scale ocean 136 circulation and its impact on mean climate (e.g., Marshall et al. 2007; Ferreira et al. 2010, 2011; 137 Rose et al. 2013). The model uses the rescaled pressure coordinate p^* for the compressible atmo-138 sphere and the rescaled height coordinate z^* for the Boussinesq ocean (Adcroft and Campin 2004). 139 The atmosphere, ocean and sea-ice components are configured on the same cubed-sphere grid at 140 a low-horizontal resolution C32 (32x32 points per face), yielding a resolution of roughly 2.8° at 141 the equator. The cubed-sphere grid allows for better representation of dynamics in high latitude 142 regions by avoiding problems with the converging meridian at the poles. 143

The atmospheric model is a five-level primitive equation model of intermediate complexity based on the simplified parameterizations primitive-equation dynamics (SPEEDY) scheme (Molteni 2003). This method comprises a four-band radiation scheme, a parameterization of moist convection, diagnostic clouds, and a boundary layer scheme.

The flat-bottomed ocean is 3 km deep with 30 vertical levels, increasing from 10 m in the surface layers to 200 m in the deep ocean. Effects of mesoscale eddies are parameterized as an advective

process (Gent and Mcwilliams 1990) and isopycnal diffusion (Redi 1982), both with a transfer coefficient of $1200 \text{ m}^2 \text{ s}^{-1}$. For the vertical mixing the non-local K-Profile Parameterization (KPP) scheme (Large et al. 1994) is used, which deals with the different mixing processes in the ocean interior and surface boundary layer.

The sea ice model is a two-and-a-half-layer thermodynamic model based on Winton (2000) with prognostics variables including sea ice area, snow and ice thickness, brine pockets and sea ice salinity. There is no ice dynamics, but sea ice deformation is crudely represented by a horizontal thickness diffusivity of $2000 \text{ m}^2 \text{ s}^{-1}$.

The land model is a simple two layer model with prognostic temperature, liquid groundwater, and snow height. Precipitation that falls on land (as snow or rain) is evenly distributed along the coast as run-off. There is no orographic effect from land masses and no continental ice. Land albedo is set to 0.10, plus a contribution from snow, if present, which varies from 0.25 to 0.80 depending on snow height, surface temperature and snow age. Orbital forcing and CO_2 levels are at present-day values.

¹⁶⁴ *a. Description of experiments*

To test the impact of the Greenland-Scotland Ridge on ocean circulation and climate, two bathy-165 metric configurations of the model are considered. These are illustrated in Fig. 2. The reference 166 configuration (*noridge*) comprises two 45° -wide strips of land, set 90° apart, extending from the 167 North Pole to 40°S and separates the ocean into a small "Atlantic-like" and a large "Pacific-like" 168 basin, with a zonally unbound Southern Ocean. For simplicity we refer to these as the Atlantic 169 and Pacific basin respectively. The second configuration (*ridge*) is similar to *noridge*, with the 170 only difference that an oceanic ridge, extending across the small basin between $61^{\circ} - 65^{\circ}$ N, is 171 introduced. The ridge has a uniform sill-depth of 500 meters, roughly corresponding to the av-172

erage depth of the modern GSR. Thereby, the *ridge* configuration mimics the effect of the GSR,
by separating the Atlantic basin into a semi-enclosed (polar) basin at high latitudes, representing
the Nordic Seas and Arctic Ocean, and a larger basin representing the subtropical and subpolar
Atlantic. In contrast, *noridge* presents an Atlantic ocean without zonal boundaries (i.e. ridges).

Despite the simplified geometry, the model captures the general features associated with the 177 large-scale ocean circulation in the subpolar and high-latitude Atlantic: shallow wind-driven gyres 178 at mid-latitudes; a surface current resembling the North Atlantic Current (NAC) transporting warm 179 and saline water from the subtropics to the polar regions; and deep water formation at northern 180 high latitudes (Fig. 6 and 9). It also reproduces a key asymmetry between the two basins: deep 181 water formation in the small basin drives a strong and deep meridional overturning circulation (i.e. 182 an AMOC), while deep water formation is absent in the large basin (see also, Ferreira et al. 2010). 183 The *noridge* was initialized with global temperature and salinity fields from the "Double-Drake" 184 simulation in Ferreira et al. (2010) and integrated forward until reaching a steady state solution 185 after 4000 years. The initial conditions for *ridge* were obtained by adding the GSR to the *noridge* 186 simulation and then run for 4000 years, allowing the ocean state to adjust to the altered bathymetry. 187 This is sufficient time for both surface and deep waters to equilibrate. Note, that the local ocean 188 adjustment to the GSR is relatively fast (within the first 200 years). In the following, we compare 189 the last 100 years of the *ridge* experiment to the last 100 years of the *noridge* solution. 190

191 3. Results

a. Mean climate without the Greenland-Scotland Ridge (noridge)

The mean climate of *noridge* is depicted in Fig. 3 (left) showing the annual mean SST and sea ice thickness. The equilibrium solution of *noridge* is warm with a global mean SST of 20.7°C

(Table 1) and a relatively weak equator-pole SST gradient of only 19.0° C (in the Atlantic basin) 195 owing to the strong northward heat transport associated with the MOC. Consequently, the northern 196 high latitudes are completely ice-free. In addition, there is an east-west asymmetry in high-latitude 197 SST and SSS, with warmer and saltier surface waters on the eastern side of the basin, associated 198 with the northward warm boundary current (analogous to the North Atlantic Drift), and extension 199 of colder and fresher waters on the western side and in the interior of the basin. In the Southern 200 Hemisphere, a strong zonal current driven by the intense westerly winds suppresses poleward 201 ocean heat transport (i.e. the Drake Passage effect; Toggweiler and Samuels (1995)) allowing a 202 large and thick (~ 10 m) ice cap to form. We note that the surface climate of *noridge* has many 203 similarities to the climate of the MPWP, where Arctic sea ice cover was dramatically reduced, or 204 absent, and the North Atlantic-Arctic SST gradient was reduced to $\sim 18^{\circ}$ C (Dowsett et al. 2010). 205 Therefore, the MPWP is often used as an analogue for future warming scenario. 206

In Fig. 4 we show the residual-mean overturning circulation integrated over the Atlantic basin, 207 i.e. the total meridional volume transport defined as the sum of the Eulerian meridional velocity, 208 and the eddy-induced velocity parameterized with the Gent-McWilliams scheme. In the upper 209 ocean (0-500 m), the circulation is dominated by shallow overturning cells, whose horizontal 210 structure is related to the wind-driven circulation in the subtropical and subpolar gyres. The deep 211 overturning circulation is characterized by a clockwise circulation, associated with deep water 212 formation in the northern high latitudes, extending from below the wind-driven layer to the abyssal 213 ocean. In the absence of a zonal barrier in the Atlantic basin, the MOC stretches to the North Pole, 214 where surface water cools and sinks, returning southward in the deep overturning branch with 215 a maxima of 23.2 Sv at 26°N. We note the absence of an abyssal overturning cell associated 216 with Antarctic Bottom Water (AABW) formation. This is due to insufficient brine release in the 217 Southern Ocean possibly related to the absence of an Antarctic continent. As a consequence, the 218

overturning circulation in the Atlantic basin is dominated by deep water formation in the North
 Atlantic.

The meridional ocean heat transport in the Atlantic basin, shown in Fig. 5, is northward ev-221 erywhere in the basin, with a cross-equatorial transport of 0.7 PW, that can be attributed to the 222 MOC transporting warm water north and returning cold water at depth. The Atlantic OHT peaks 223 at about 20°N with a maximum of 1.18 PW, to be compared to the observed ~ 1.2 PW (Trenberth 224 and Caron 2001), and is associated with a "mixed" circulation spanning both the shallow Ekman-225 driven subtropical cell and the deep overturning cell (Ferrari and Ferreira 2011). We also notice a 226 relatively large interdecadal variability (shading) in the subtropical OHT, which is due to stronger 227 variability in the MOC at these latitudes (not shown). At subpolar and high northern latitudes, 228 the OHT is dominated by the strong MOC transporting roughly 16 Sv across 70° N (just north of 229 the GSR) corresponding to a heat transport of 0.22 PW (Table 1) maintaining the northern high 230 latitudes warm and ice free. By comparison, the observed modern transport across the GSR is only 231 about 8.5 Sv (Østerhus et al. 2005). 232

²³³ b. Effect of the GSR on meridional overturning circulation

When the GSR is introduced, the transport of warm and salty Atlantic water over the GSR by the 234 MOC is reduced by 64% (from 16 Sv to 5.7 Sv at 70° N). As a consequence, the spatial structure 235 of the MOC changes dramatically, but mostly at subpolar and high latitudes (Fig. 4; right). The 236 downwelling branch of the deep overturning cell shifts southwards, and the streamfunction decays 237 sharply immediately to the south of the GSR. A small overturning circulation remains north of the 238 ridge, associated with a dense overflow from the polar basin, balanced by a warm inflow at the 239 surface. Despite significant changes in the structure of the overturning at high latitudes, the MOC 240 south of 60°N is generally not affected; the volume transport at 26°N decreases from 23.2 Sv to 241

²⁴² 22.1 Sv, i.e. a 5% reduction. This suggests that the net deep water production in the North Atlantic
²⁴³ and high latitudes remains unchanged, while the main difference between *noridge* and *ridge* is in
²⁴⁴ the localization of deep water formation and MOC structure.

The impact of the GSR on the horizontal circulation is illustrated in Fig. 6b, showing the 245 barotropic streamfunction featuring a basin-wide anticyclonic gyre in the subtropics (STG), a cy-246 clonic subpolar gyre (SPG) at sub-arctic latitudes (between 40° and $70^{\circ}N$) and an anticyclonic 247 gyre at high latitudes driven by the polar easterlies north of 57°N. For *noridge* the wind-forced 248 barotropic volume transport at the GSR latitudes is relatively small (less than 5 Sv), indicating 249 that the total transport is dominated by the MOC (Table 1). In *ridge*, however, the barotropic flow 250 intensifies associated with a poleward expansion of the SPG. Note, that there are no major changes 251 in zonal wind stress, i.e. Fig. 6a, which implies that the changes in the barotropic streamfunction 252 are a direct consequence of the topography changes. As a result, the barotropic component of 253 the volume transport over the GSR increases (from <5 Sv to ~8 Sv), while the transport by the 254 MOC decreases (Fig. 4). Hence, there is a partial conversion of the flow from an overturning to a 255 barotropic flow with the introduction of the GSR. Nonetheless, the net (barotropic+MOC) volume 256 transport over the GSR decreases as the MOC weakens and so does the OHT. 257

To better understand the changes in the gyre circulation, horizontal velocities averaged over the 258 top 100 m for the North Atlantic are shown in Fig. 6c and d. In both cases, the surface flow in the 259 polar basin is dominated by a warm cyclonic boundary current entering at the eastern side of the 260 basin. Due to the zonal barrier in *ridge*, however, a substantial part of the poleward flow is steered 261 along the GSR (following constant f/H contours), seen as an enhanced westward barotropic flow 262 (at the GSR latitudes). This is consistent with observations and models showing that a substantial 263 part of the NAC is steered by the complex bottom topography and recirculates south of the GSR 264 (Bower et al. 2019; Stärz et al. 2017). 265

266 c. Hydrographic changes in the North Atlantic

As suggested by the MOC response, the GSR also affects the distribution of water mass proper-267 ties (i.e. potential temperature and salinity) in the northern Atlantic basin. A cooling and freshen-268 ing $(3-6^{\circ}C \text{ and } 0.1-0.7 \text{ psu respectively})$ is simulated over most of the water column north of the 269 GSR, as the shallow sill weakens the flow of warm, salty subtropical waters (Fig. 7). As a result, 270 the polar basin becomes more stratified, notably because a strong polar halocline can develop. 271 However, the polar basin remains too warm for sea ice to form. South of the GSR, the surface 272 water becomes warmer and saltier, as a result of the changes in the barotropic circulation shown 273 above (Fig. 6b). In addition, a small increase in temperature and salinity ($\sim 1^{\circ}$ C and ~ 0.1 psu) 274 can be seen at mid-depth in the subtropical Atlantic associated with changes in the properties of 275 the NADW. 276

Because of the high-latitude cooling, the density increases dramatically north of the GSR, while 277 it decreases slightly south of the GSR, resulting in an upward sloping of isopycnals from south to 278 north (Fig. 7; bottom row). This is due to the fact that stratification is dominated by temperature 279 in this warm state. In a colder climate, with a small thermal expansion coefficient, density might 280 decrease from the freshening. The density structure reveals a modern-like bi-directional circulation 281 regime with Atlantic water inflow in the surface-subsurface and a dense ($\sigma_{\theta} > 27.6$) southward 282 outflow above the sill. Here, the enhanced density contrast across the GSR help drive the overflow, 283 which in turn, is balanced by the inflow (Hansen and Østerhus 2000). Hence, by introducing the 284 GSR, the polar basin is transformed into a reservoir of dense and less ventilated deep water with 285 longer residence time due to limited exchange with the North Atlantic. 286

²⁸⁷ d. Ocean heat transport changes

The changes in the North Atlantic ocean circulation are also reflected in the meridional OHT. 288 Northward OHT decreases throughout the Atlantic basin (Fig. 5) when introducing the ridge. 289 South of the GSR the reduction in OHT (by 0.08 PW (7%) at 20°N) is consistent with the small 290 weakening ($\sim 5\%$) of the deep MOC (Fig. 4). The most prominent differences in OHT occur 291 in the subpolar and high latitude regions, where the changes in the MOC are largest. However, 292 despite the weak MOC in this region, the OHT across the GSR only decreases by 32% (from 0.22) 293 PW to 0.15 PW at 70°N), which implies a disconnect between changes in the MOC and high-294 latitude OHT. We note that there are no compensating effects by the atmospheric heat transport. 295 Further north, the poleward OHT decays rapidly because less warm Atlantic water reaches the 296 high latitudes. 297

To help understand the changes in OHT between the two states, we consider the scaling argu-298 ment by Ferrari and Ferreira (2011) which states that the heat transport associated with a closed 299 circulation scales as $\sim \rho_0 c_p \Psi \Delta_z \theta$, where Ψ is the strength of the overturning circulation and $\Delta_z \theta$ 300 the vertical temperature gradient it encounters. ρ_0 is a reference density and c_p is the heat capacity 301 of sea water. In the absence of a zonal barrier (*noridge*), the volume transport across 70° N is high 302 $(\Psi = 16 \text{ Sv}; \text{ Table 1})$, and the MOC spans the entire water column with a temperature gradient of 303 about 4°C. The scaling yields a poleward OHT of roughly 0.26 PW, slightly more than the actual 304 OHT in Fig. 5. In this case, the poleward heat transport is dominated by the deep MOC. For *ridge*, 305 Ψ is only 5.7 Sv (over the GSR), but is compensated by a larger temperature contrast of almost 306 8° C between the surface inflow and the deep outflow over the sill (Fig. 7). Thus the scaling gives 307 an OHT of 0.18 PW close to the simulated 0.15 PW. The larger temperature contrast is a result of 308 the stronger gyre circulation (Fig. 6b) providing warmer water at the surface, while the outflow is 309

³¹⁰ colder thereby facilitating a more efficient heat transport. Hence, the presence of the GSR com³¹¹ pletely changes the dynamics of the North Atlantic-Arctic circulation; as the deep MOC vanishes
³¹² poleward of 50°N, the heat transport across the GSR is dominated by a shallow circulation (driven
³¹³ by a combination of surface winds and buoyancy forcing). This is consistent with earlier model
³¹⁴ studies suggesting that the SPG accounts for most of the OHT across the GSR in the modern day
³¹⁵ climate (Spall 2001; Born et al. 2009; Ferrari and Ferreira 2011; Li and Born 2019).

³¹⁶ e. High-latitude and global surface climate response

The GSR has a large impact on the surface climate conditions in the North Atlantic region 317 through the aforementioned ocean circulation changes (Fig. 3; right and 7). The high latitude 318 surface ocean cools and freshens by $2-4^{\circ}$ C and 1-2 psu respectively, with the largest changes 319 occurring on the western side of the polar basin. The average SST in the polar basin decreases to 320 10.3°C (Table 1), and the Atlantic equator-to-pole SST gradient increases to 22.8°C (compared 321 to 19.0°C in noridge). This is consistent with proxy data and previous model simulations (e.g., 322 Robinson et al. 2011; Stärz et al. 2017), suggesting that shallow sill-depths produce lower Arctic 323 surface temperatures and greater North Atlantic temperature gradients. Meanwhile, the polar basin 324 remains too warm for sea ice to form. The effect on global surface climate, however, is small, as 325 illustrated by Fig. 8, showing the global surface air temperature (SAT) difference between *ridge* 326 and *noridge*. While the presence of the GSR has a strong local effect at northern high latitudes, 327 the surface climate outside the polar basin does not change much. We note, however, a small 328 warming over the Southern Ocean and reduced sea-ice thickness (Fig. 3b), which is likely due to 329 the weakening of the AMOC and northward OHT (Fig. 5). Ultimately, the simulated changes in 330 SAT are linked to the spatial pattern of the OHT changes (Fig. 5). At low-latitudes the uniform 331 shift in the transport profile results in the same heat flux convergence and hence same flux to the 332

atmosphere. Consequently, there is no change in surface air temperature. In contrast, the surface
climate changes at subpolar and high latitudes are attributed to a southward shift in the location of
OHT convergence. The fact that surface climate response is confined to the northern high-latitudes
is conflicting with earlier proxy-based studies which suggest that deepening of the GSR triggered
global cooling at the EOT (e.g., Abelson et al. 2008; Abelson and Erez 2017).

In the present climate, it is widely accepted that variations in air-sea fluxes over the subpolar 338 North Atlantic have a significant effect on AMOC strength (e.g., Lozier et al. 2017; Sévellec 339 et al. 2017). To better understand the link between the simulated changes in high-latitude surface 340 climate and the MOC, we compare the surface density fluxes (Fig. 10) to the mixed layer depth 341 (Fig. 9) reflecting the location of deep convection. Overall, there is a net buoyancy loss (i.e. 342 densification) over the North Atlantic dominated by ocean heat loss, while the freshwater fluxes, 343 mainly associated with E-P and run-off along the boundaries (in the absence of sea ice), contributes 344 only with a small buoyancy gain. In *noridge* deeper mixed layers are found in the northwestern 345 part of the basin, where there is strong ocean heat loss. As the Atlantic inflow weakens in *ridge*, 346 the surface water gets colder and the ocean-atmosphere heat flux is reduced poleward of 70° N 347 (Fig. 10). At the same time, the imprint of the freshwater forcing, by precipitation and run-off, is 348 more pronounced, although its effect on surface density is small compared to the changes in heat 349 flux. The reduced buoyancy loss weakens deep convection in the polar basin, also illustrated by the 350 shallower mixed layers in Fig. 9. This results in poorly ventilated deep waters below the sill-depth. 351 Meanwhile, deep convection is enhanced south of the GSR as indicated by the increased buoyancy 352 loss between $60^{\circ}N$ and $70^{\circ}N$, which is uniquely attributed to warmer SSTs along the GSR that 353 favours stronger ocean-atmosphere heat fluxes and deeper mixed layers. Hence, when the GSR 354 is present, deep convection occurs both in the polar basin (albeit weaker) and the subpolar North 355 Atlantic. A similar response was found in a recent modelling study, using late Eocene boundary 356

³⁵⁷ conditions (Hutchinson et al. 2019), demonstrating that deep water formation shifted to the south
 ^{of} of the GSR in response to a shoaling of the sill from 500 m to 25 m. They also suggest that
 th the Arctic-Atlantic freshwater transport may be critical in determining the location of deep water
 <sup>formation, including the preferred basin of sinking (i.e. Atlantic versus Pacific sinking).
</sup>

f. Water mass transformation estimates

To understand how variations in the density fluxes over the North Atlantic and polar regions 362 shown in Fig. 10 influence the structure and strength of the overturning circulation we estimate 363 the surface-forced water mass transformation (WMT) based on the approach by Walin (1982). 364 This approach is particularly useful because it provides a way to estimate the rate of deep water 365 formation based solely on the surface forcing conditions. Walin (1982) showed that the transfor-366 mation of surface waters by density fluxes between two isopycnals σ and $\sigma + \delta \sigma$ (an outcrop 367 region), is equivalent to a diapycnal volume flux across the outcropping isopycnal. Adopting the 368 notation from Brambilla et al. (2008) and Speer and Tziperman (1992) the WMT over a year can 369 be written as: 370

$$F(\sigma) = \frac{1}{\Delta T \Delta \sigma} \int_{year} dt \iint_{area} dA \delta(\sigma - \sigma') D(x, y, t)$$
(1)

 $F(\sigma)$ is the annual mean water mass transformation function equal to the diapycnal volume flux (m³ s⁻¹) over the isopycnal outcrop region with the area *dA* and D(x,y,t) is the surface density flux sampled at the surface density σ by the delta function δ . Negative/positive values indicate that waters become lighter/denser. The total surface density flux (D; kg m⁻² s⁻¹) consists of a thermal (D_{HF}) and a haline component (D_{FW}):

$$D(x,y,t) = D_{HF} + D_{FW} = -\frac{\alpha Q_{HF}}{c_p} + \beta S Q_{FW}$$
(2)

³⁷⁶ where c_p (J kg⁻¹ K⁻¹) is the specific heat of sea water, α and β are the thermal expansion and ³⁷⁷ haline contraction coefficients respectively (calculated from UNESCO formulas; see McDougall ³⁷⁸ 1987), Q_{HF} (W m⁻²) the net heat flux (positive for ocean heat gain), and Q_{FW} (kg m⁻² s⁻¹) the ³⁷⁹ net freshwater flux into the ocean associated with evaporation-precipitation, run-off and melt-³⁸⁰ ing/freezing of sea ice. *S* is the sea surface salinity and all variables are functions of space and ³⁸¹ time.

From the surface forced WMT function it is possible to estimate the water mass *formation*, which is defined as the divergence of the WMT in Eq. (1):

$$Md\sigma = -[F(\sigma + d\sigma) - F(\sigma)]$$
(3)

corresponding to the water that accumulates or is lost over a year between two isopycnals $\sigma + d\sigma$ and σ . The formation per unit density becomes: $M(\sigma) = dF/d\sigma$.

In practice we obtain the annual-mean surface forced WMT by calculating the surface density flux in each grid cell for the interval $28.4 \le \sigma_2 \le 36.4 \text{ kg m}^{-3}$ with $\Delta \sigma = 0.1$ and a time-step of $\Delta t = 5 \text{ days}$ for a total of $\Delta T = 10$ years taken from the end of the integration. The WMT function is then integrated over the Atlantic basin from $40^\circ - 90^\circ \text{N}$.

The surface forced basin-integrated WMT (Eq. 1) in the entire North Atlantic region (40° – 90°N) is shown in Fig. 11 (upper panel) for the two experiments. The transformation rates for *noridge* and *ridge* shows the same general features and compare well with previous studies (e.g., Speer and Tziperman 1992; Brambilla et al. 2008). Negative WMT (associated with a *buoyancy gain*) is found at low densities in the interval $31.0 \le \sigma_2 \le 33.5$ (i.e. subtropical water), while posi-

tive WMT (associated with *buoyancy loss* from surface cooling) occurs at higher surface densities in the range 33.6 $\leq \sigma_2 \leq$ 36.4 (i.e. subpolar water). In *noridge*, however, there is no transformation for densities greater than σ_2 >36.1. Both cases have comparable peak WMT (27.7 Sv and 27.9 Sv crossing the 35.3 and 35.2 isopycnal for *noridge* and *ridge* respectively). This is the magnitude of convection in the Atlantic basin due to surface exchanges, and implies that the production of dense water is virtually constant regardless of the GSR. We note that these values are comparable with the transport in the upper branch of the MOC in Fig. 4 (see also Brambilla et al. 2008).

The spatial distribution of the WMT, however, is quite different in the two configurations. To 402 illustrate this in more detail, the total WMT in the North Atlantic is separated into contributions 403 from the subpolar and polar regions (Fig. 11; middle and lower panel). This reveals a much 404 weaker WMT in the polar basin for *ridge* (7 Sv versus 15.2 Sv in *noridge*), occurring at higher 405 surface densities because the surface waters are colder. It is partly compensated by larger WMT 406 in the subpolar North Atlantic, with a maximum of 26.4 Sv at the 35.2 isopycnal. This reflects an 407 increase in deep water formation south of the GSR, consistent with the enhanced surface density 408 fluxes and deeper mixed layers (Fig. 9 and 10). Hence, for *ridge* most of the dense water is 409 formed in the subpolar North Atlantic between 40° and $70^{\circ}N$ (although $\sim 10\%$ of the total WMT 410 still occurs north of the GSR; i.e., 2.8 kg/s of the net density input, 28 kg/s, occurs north of 70° N). 411 In summary, the surface forced WMT is in good agreement with the overturning transport (i.e. 412 Fig. 4), which both show that the GSR significantly impact the location of deep water formation, 413 but does not affect the total deep water production over the Atlantic basin. As a consequence, the 414 maximum transport by the MOC shows little change. 415

416 g. Water mass formation

The surface forced water mass *formation* can be estimated from the divergence of the WMT (Fig. 11), where a negative (positive) slope is associated with water mass formation (destruction) (see Eq. 3). We compare the implied isopycnal transport from the surface fluxes to the overturning streamfunction in density coordinates (i.e. MOC_{σ}) in Fig. 12.

The overturning circulation in latitude-density space depicts the gradual densification of surface 421 waters as they move northwards. The densification is consistent with a positive WMT (i.e. buoy-422 ancy loss) in the subpolar and polar region for densities greater than 33.5 and is similar in strength 423 in both cases. Here the negative slope $(dF/d\rho < 0)$ for $\sigma_2 > 35.3$ in Fig. 11 gives a formation 424 of about 27.6 Sv for *noridge* and 23 Sv in *ridge* and is balanced by a destruction of interme-425 diate water masses in the range $32.6 \le \sigma_2 \le 35.2$ where dF/d $\rho > 0$. These values are in good 426 agreement with the simulated volume transport by MOC_{σ} , which shows a maximum strength 427 of 25.5 Sv for *noridge* and 23.3 for *ridge*, slightly higher than the overturning in latitude-depth 428 space (i.e. MOC_z). In addition, the overturning maximum is shifted toward the subpolar region 429 $(\sim 50^{\circ} \text{N})$, reflecting the transport by the horizontal gyre circulation, which tends to average to zero 430 in latitude-depth space and therefore has little imprint on MOC_7 . 431

⁴³² For *noridge* MOC_{σ} reveals a relatively low density ($35.6 \le \sigma_2 \le 35.8$) outflow from the polar ⁴³³ basin, indicating that it is formed by local conversion of lighter water originating from the subpolar ⁴³⁴ region. Meanwhile, the water mass characteristics of the southward flow changes very little with ⁴³⁵ latitude, which reflects an interior circulation that is largely adiabatic. In *ridge*, on the other ⁴³⁶ hand, the southward transport over the GSR at 60°N consist of about 6 Sv of intermediate density ⁴³⁷ waters being recirculated in the SPG and a very dense overflow ($\sigma_2 > 36.0$) of ~ 3 Sv formed by ⁴³⁸ strong surface cooling in the polar basin. At 50°N, however, there is no transport of these extreme

waters suggesting that the dense overflow mixes downstream of the GSR to form waters of lighter densities. As a result, there is a sharp peak (18 Sv) in the southward flux across 50°N with density $\sigma_2=35.7$.

We note that the estimated WMT by Walin (1982) only consider transformation due to air-442 sea fluxes, but neglects the WMT associated with mixing processes in the ocean interior and 443 therefore we do not expect a perfect fit between the WMT estimates and MOC_{σ} . Vertical mixing is 444 particularly important for the transformation of dense-water as it flows over the GSR (Hansen and 445 Østerhus 2000), where it roughly doubles in volume due to turbulent entrainment with ambient 446 waters (Beaird et al. 2013). As a consequence, it is estimated that the overflows supply about one-447 third of the total volume transport of the AMOC (Hansen et al. 2004). Using a similar entrainment 448 rate implies that the dense overflow from the polar basin (see insert in Fig. 12b), would contribute 449 with about 6 Sv to the total overturning transport. Still, this is small compared to the air-sea 450 transformation in the subpolar North Atlantic $(40^{\circ} - 70^{\circ}N)$ with an average rate of about 15 Sv, 451 which is the main source of deep water feeding into the MOC (Fig. 11). 452

453 h. Sensitivity to the background climate state

To test the sensitivity of the circulation response to the background climate state, we perform an additional experiment where the GSR is introduced in a colder climate. The main goal is to test if the model responds differently under cold climate conditions (when sea ice is present), where the climate system might be more sensitive to perturbations (e.g., Bitz et al. 2007). This is achieved by reducing the solar constant in *noridge* by 6 W m⁻², from the default $S_0 = 1366$ W m⁻² (*warm state*) to $S_0 = 1360$ W m⁻² (*cold state*), mimicking a reduction in atmospheric pCO₂ (equivalent to slightly less than a factor of 2 reduction).

⁴⁶¹ By lowering the solar constant the climate cools and the model (i.e. *cold noridge*) reaches ⁴⁶² a new steady state with a global mean SST of 18.2°C (a reduction of 2.5°C). The cooling is ⁴⁶³ amplified at the poles, causing sea ice to form in the Northern Hemisphere (although mainly in the ⁴⁶⁴ Pacific basin). The MOC in the Atlantic basin is shallower and slightly weaker (20.1 Sv at 26°N) ⁴⁶⁵ compared to the warm case, and a weak AABW cell emerges.

When the GSR is introduced in this colder climate state (i.e. *cold ridge*), the ocean circulation response is virtually identical to the warm case (Fig. 13): the main downwelling region shifts south of the GSR, the volume transport by the MOC across the GSR is greatly reduced (\sim 65%) and the poleward OHT weakens by 29%. Meanwhile, the overall AMOC strength is not affected, which emphasizes the small impact of the GSR on global overturning circulation strength.

The surface climate response is also similar, although the magnitude of the SST and SSS changes in the Atlantic basin are slightly stronger for the cold case (i.e. the polar basin cools by up to 6°C compared to 4°C in the warm case). We attribute this to the appearance of a small (seasonal) sea ice cover in the polar basin which amplifies the cooling and freshening of the high latitude surface ocean. However, the changes are too small to have an impact on deep water formation, and thus MOC strength and global climate.

477 **4. Discussion**

478 a. The relationship between GSR height and AMOC strength

Earlier proxy-based studies (e.g., Wright and Miller 1996; Davies et al. 2001; Via and Thomas 2006), suggest that changes to the GSR during the Cenozoic had a major impact on the Atlantic overturning circulation and global climate. For example, Abelson et al. (2008) suggested that the global cooling during the EOT, culminating in the glaciation of Antarctica, is linked to the deep-

ening of the GSR thereby triggering the onset of the AMOC. According to this hypothesis, the 483 AMOC strength is directly correlated to the height of the GSR: deepening of the sill leads to a 484 stronger overflow and higher NADW fluxes; while a shallower sill limits deep water exchange and 485 prevents North Atlantic sinking. Similarly, our results does show a connection between variations 486 in sill-depth and deep water production in the "Nordic Seas": the average production rate of dense 487 water north of the GSR decreases from \sim 7 Sv to \sim 3 Sv between *noridge* and *ridge*. This leads to 488 a weaker (but denser) overflow across the GSR, consistent with other paleo-climate model simu-489 lations (e.g., Robinson et al. 2011; Hill 2015). However, the total amount of deep water formed is 490 unchanged as a result of changes south of the GSR (Fig. 11), and the AMOC at 26°N decreases 491 by less than 2 Sv. This implies that variations in the strength of the Nordic Seas overflow does 492 not necessarily translate into large changes in the AMOC, as suggested by modern observational 493 studies (e.g., Olsen et al. 2008; Tett et al. 2014; Moffa-Sanchez et al. 2015). 494

On the other hand, the AMOC might be more strongly affected if the GSR is significantly shal-495 lower, by restricting the North Atlantic-Arctic water exchange. To test the effect of a shallower 496 GSR on the AMOC response we performed an experiment comparing the *ridge* configuration 497 (with a sill-depth of 500 m) to a shallow GSR of \sim 100m. This experiment was run for 200 years. 498 With a shallower GSR we found that the inflow of warm and salty Atlantic water to the polar 499 basin is drastically reduced, and thus prevents deep water from forming in the polar basin (not 500 shown). Consequently, the maximum AMOC at 26°N decreases from 22.1 Sv in *ridge* to 18.5 501 Sv in *ridge100*. This weakening of the AMOC (by 3.6 Sv) is consistent with an absence of dense 502 overflow water formed in the polar basin (roughly 3 Sv in *ridge*). Nevertheless, despite there being 503 virtually no overflow, the AMOC remains relatively strong as a result of active deep water forma-504 tion south of the GSR. These results support our conclusion that GSR sill-depth changes are not 505

likely the primary driver of AMOC variations and changes in global climate during the Cenozoic
 (see also Hutchinson et al. 2019).

⁵⁰⁸ b. The impact of dense overflows

One explanation for the weak MOC response to changes in the sill-depth is that our model does 509 not realistically simulate the GSR overflow. In reality, the dense-water overflow occurs in nar-510 row and deep channels, through the Denmark Strait (\sim 840 m) and Faroe Bank Channel (\sim 630 511 m), which are poorly represented in most present-day ocean models (Heuzé and Årthun 2019). 512 Using a coarse resolution ocean model, Roberts and Wood (1997) showed that even small topo-513 graphic changes in these deep channels can have a large impact on overflow transports and AMOC 514 strength. Therefore, we might expect that the absence of such channels in our idealized setup, re-515 sults in too weak overflow transports. On the other hand, the overflow response to topographic 516 changes can be sensitive to the model's vertical resolution and coordinate system (Griffies et al. 517 2000; Riemenschneider and Legg 2007). Hence, the larger number of vertical levels in our model 518 (30 levels compared to 20 in Roberts and Wood 1997) allows for a substantial overflow transport, 519 evident in Fig. 12, despite the lack of deep overflow channels. We note, however, that the sim-520 ulated overflow of 3 Sv when the GSR is present, is only about half of the observed estimates 521 (Hansen and Østerhus 2000). This is probably a combination of the idealized representation of the 522 GSR bathymetry and low horizontal resolution. Admittedly, at coarse resolution (2.8°) we may 523 not fully resolve the narrow boundary currents at high latitudes, which could affect the poleward 524 penetration of Atlantic water across the GSR (Heuzé and Arthun 2019; Spence et al. 2008). As a 525 consequence, we may underestimate the amount of deep water formed north of the GSR. 526

From Fig. 12 we see that no dense water mass is found south of the GSR, and is most likely due to strong vertical mixing at the GSR as our model lacks an implicit overflow parameterization.

This is a common issue in coarse resolution models, causing excessive convective entrainment and 529 deep waters that are too light (Willebrand et al. 2001; Ezer and Mellor 2004; Danabasoglu et al. 530 2010; Yeager and Danabasoglu 2012). Hence, the representation of overflow water may have a sig-531 nificant impact on the MOC volume transport. By implementing an overflow parameterization in a 532 fully-coupled climate model, Yeager and Danabasoglu (2012) found that the AMOC increases by 533 4-6 Sv in the subpolar North Atlantic between $40^{\circ} - 60^{\circ}$ N, while the maximum AMOC transport 534 at 37°N decreases. Meanwhile, other studies using a parameterization based on hydraulic con-535 straints (e.g., Legutke and Maier-Reimer 2002; Kösters et al. 2005; Born et al. 2009) only show 536 a small (less than 2 Sv), effect of Nordic Seas overflows on North Atlantic overturning strength. 537 Despite the weak response in overturning, Kösters et al. (2005) show that the GSR overflow has 538 a large impact on North Atlantic climate, by increasing the northward ocean heat transport and 539 warming the Nordic Seas. In our simulations as well, the overflows play a relatively small role in 540 determining the strength of the Atlantic overturning circulation. Instead, we argue that the over-541 turning circulation in our model is more sensitive to changes in surface buoyancy fluxes over the 542 North Atlantic region, setting the location and strength of NADW formation. This is further sup-543 ported by model simulations from Stärz et al. (2017), who show that a substantial NADW flow can 544 exist, even for extremely shallow sill-depths (<50 m) with virtually no overflow. Alternatively, 545 other mechanisms are likely to influence the strength of the AMOC (see review by Kuhlbrodt 546 et al. 2007), for example: wind-driven upwelling of NADW in the Southern Ocean (Toggweiler 547 and Samuels 1995; Marshall and Speer 2012). As such, the fact that surface winds and upwelling 548 in the Southern Ocean remain constant in our model simulation (not shown), may contribute to the 549 relatively stable AMOC. 550

⁵⁵¹ *c. Implications for paleoclimate*

The prevailing explanation for past changes in North Atlantic and high-latitude climate involves 552 changes in the AMOC and northward OHT ranging from relatively abrupt centennial-to-millennial 553 time-scales (e.g., Broecker et al. 1985; Rahmstorf 2002; Clark et al. 2002; Lynch-Stieglitz 2016), 554 to very slow multi-million year time-scales associated with bathymetry changes and plate-tectonics 555 (e.g., Wright and Miller 1996; Davies et al. 2001; Via and Thomas 2006). Such changes in past 556 climate are inferred from indirect measurements (i.e. proxies) that record a local climate signal, 557 reflecting past changes in surface climate conditions, circulation strength or deep water properties, 558 but is often interpreted as representing changes in large-scale ocean circulation. For example, it 559 is generally assumed that the high SSTs found in the North Atlantic and Arctic ocean during the 560 MPWP is evidence for a stronger AMOC compared to modern, driving a stronger OHT to the high 561 latitudes (Dowsett et al. 1992; Raymo et al. 1996). In this view, the strength of the overturning 562 circulation is inferred from the SST pattern recorded in the proxies, thus assuming that global 563 AMOC strength is directly correlated with the poleward heat transport and surface temperature at 564 high latitudes. 565

In contrast to this view, our simulations illustrate that large changes in high-latitude surface 566 climate can occur without invoking changes in large-scale ocean circulation. A central element 567 to this is the disconnect between the AMOC and high-latitude OHT, which has been highlighted 568 by several studies (e.g., Spall 2001; Wunsch 2006; Ferrari and Ferreira 2011; Zhang et al. 2013; 569 Nummelin et al. 2017; Li and Born 2019; Arthun et al. 2019), and questions the traditional view 570 that the AMOC is the main driver of changes in high-latitude climate. This has implications for the 571 interpretation of paleo-proxy records; while proxies could indicate a large change in high-latitude 572 surface climate, water mass properties, or ventilation they do not necessarily imply a large change 573

in the AMOC on a global scale. For example, several models have shown that OHT across the 574 GSR may actually increase despite an AMOC slowdown (e.g., Zhang et al. 2013; Arthun et al. 575 2019), as a result of changes in the horizontal circulation. Similarly, our results emphasize the 576 importance of the shallow overturning by the subpolar gyre in controlling high-latitude climate. 577 In fact, the gyre maintains a substantial heat transport to the polar regions, despite a weakening of 578 the heat transport by the deep MOC. Hence, an improved understanding of the processes affecting 579 the horizontal gyre circulation is critical for understanding past climate variability at high northern 580 latitudes (see also Li and Born 2019, for a discussion). 581

582 **5.** Conclusion

⁵⁸³ Using a coupled atmosphere-ocean-sea ice model with idealized Earth-like geometry, we explore ⁵⁸⁴ the role of the Greenland-Scotland Ridge (GSR) in shaping the modern ocean circulation and its ⁵⁸⁵ control on deep-water formation, ocean heat transport and high latitude surface climate.

When the GSR is absent, deep-water formation occurs near the North Pole in the Atlantic basin and a deep meridional overturning circulation (MOC) extends well into the high latitudes. This is associated with a strong northward ocean heat transport that warms the high latitudes and weakens the equator-to-pole SST gradient.

⁵⁹⁰ By introducing an idealized GSR, the main location of deep-water formation shifts southward ⁵⁹¹ causing the structure of the overturning circulation at subpolar and high latitudes to change dra-⁵⁹² matically. The meridional volume transport by the MOC across the GSR decreases by more than ⁵⁹³ 64% and the poleward ocean heat transport is reduced by \sim 30%. However, the overall strength of ⁵⁹⁴ the MOC south of the GSR remains largely unaffected and the AMOC at 26°N decreases by only ⁵⁹⁵ 2 Sv (a 5% decrease). This relatively weak response is due to enhanced deep water production ⁵⁹⁶ south of GSR, as a result of surface buoyancy changes. This suggests that AMOC strength may

⁵⁹⁷ be decoupled from the flow across the GSR. As the subpolar North Atlantic (south of the GSR)
⁵⁹⁸ becomes the main region of deep water formation and the polar basin becomes less ventilated, the
⁵⁹⁹ overall rate of water mass transformation in the Atlantic remains unchanged, while a modern-type
⁶⁰⁰ bidirectional flow regime is established with warm inflow at the surface and a cold, dense overflow
⁶⁰¹ above the sill.

As a result, the water column north of the GSR cools and freshens, precipitation accumulates 602 because of reduced exchange, while the subpolar region (south of the GSR) becomes warmer 603 and saltier. The surface temperature and salinity in the polar basin decreases, which leads to a 604 weakening of the equator-to-pole temperature gradient in the Atlantic basin. These results are 605 consistent with paleo-proxies and previous modelling efforts that indicate that Arctic surface cli-606 mate is sensitive to changes in the height of the GSR (Dowsett et al. 2010; Robinson et al. 2011; 607 Brigham-Grette et al. 2013; Stärz et al. 2017), and is likely due to reductions in the northward 608 ocean heat transport. 609

The smaller sensitivity of the OHT across 70°N, compared to the MOC, shows that the horizontal 610 circulation by the subpolar gyre maintains a significant transport of heat to the high latitudes. Our 611 results emphasize that the shallow horizontal circulation is critical for controlling mid-to-high 612 latitude OHT, as shown by previous studies (e.g., Ferrari and Ferreira 2011; Li and Born 2019). 613 However, despite relatively large changes in ocean circulation, heat transport and surface climate 614 at high-latitudes, the large-scale global climate shows no change. This result is independent of the 615 background climate state in which the GSR is added. Contrary to previous suggestions (Wright and 616 Miller 1996; Abelson et al. 2008), our results suggest that variations in GSR height alone cannot 617 explain dramatic planetary climate change. At least, further amplification by internal feedbacks 618 (e.g. CO_2) needs to be invoked. 619

Our results have potential implications for the interpretation of paleo-proxies by highlighting 620 a potential disconnect between the AMOC and high-latitude surface climate response, which is 621 often inferred in paleoclimate studies (e.g., Dowsett et al. 1992; Raymo et al. 1996; Rahmstorf 622 2002). In contrast, we show that large changes in water mass properties and surface climate at 623 high latitudes can be simulated without large AMOC changes on hemispheric and global scales. 624 Therefore we propose, that caution be taken when inferring a direct relationship between indirect 625 and non-local measurements of overturning strength, high latitude SST/SSS changes recorded in 626 proxies and large-scale climate. 627

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TABLE 1. Climate variables for *noridge* and *ridge* experiments. Mean SST (°C) and SSS (psu) in the polar basin averaged north of 70°N. AMOC_{max} (Sv) is calculated as the maximum overturning streamfunction at 26°N below 500 m depth in the Atlantic basin. $\Psi_{O,70N}$ and $\Psi_{B,70N}$ is the maximum volume transport by the meridional overturning and barotropic streamfunction respectively across the GSR. OHT_{70N} is the meridional northward OHT in petawatts (1 PW = 10¹⁵ W) across 70°N in the Atlantic basin.

Experiment	Global mean SST (°C)	mean SST po- lar basin (°C)	mean SSS po- lar basin (psu)	maximum AMOC (Sv)	$\Psi_{O,70N}~(\mathrm{Sv})$	$\Psi_{B,70N}~(\mathrm{Sv})$	OHT _{70N} (PW)
noridge	20.7	11.5	34.55	23.2	16.0	<5	0.22
ridge	20.8	10.3	34.48	22.1	5.7	8.0	0.15

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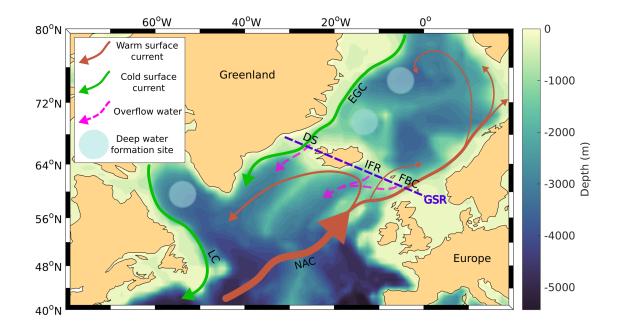


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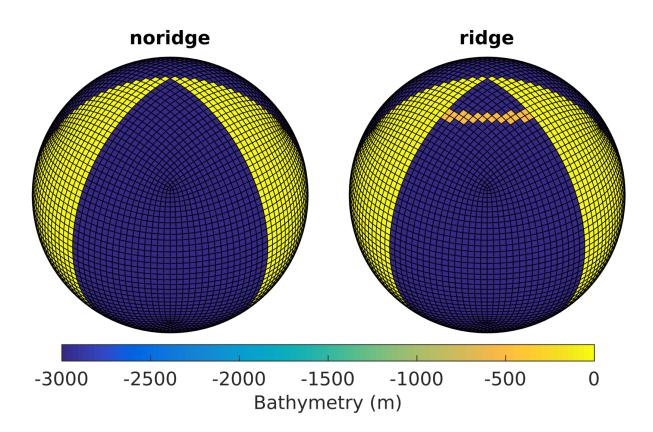


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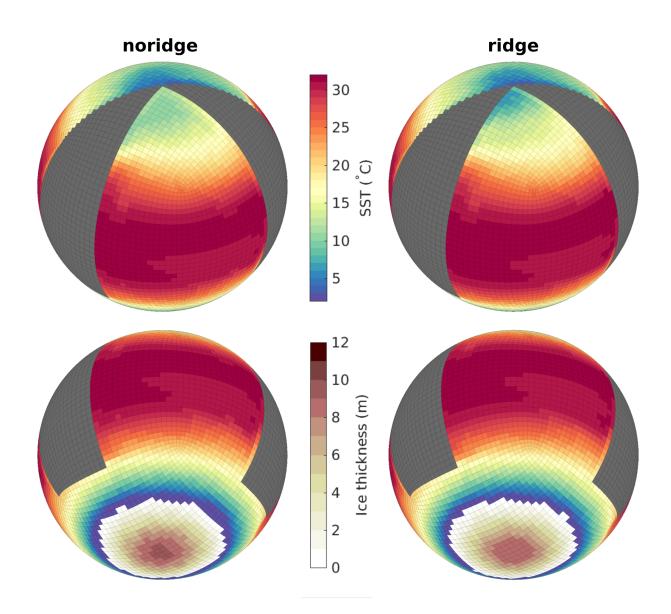


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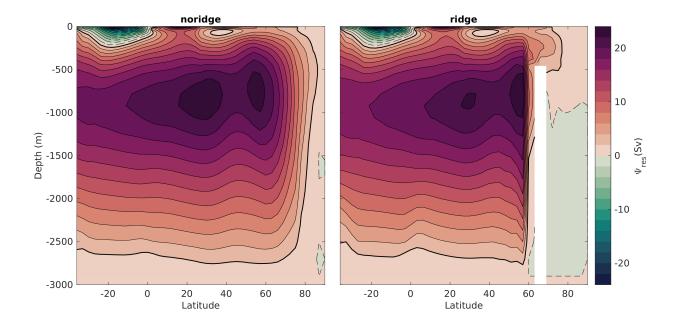


FIG. 4. Residual meridional overturning circulation (MOC_z) in Sverdrups ($1 \text{ Sv} = 10^6 \text{ m}^3 \text{ s}^{-1}$) averaged over the Atlantic basin for *noridge* (left) and *ridge* (right). Contour lines are plotted at 2 Sv intervals, with solid (dashed) lines corresponding to clockwise (counterclockwise) circulation and the zero-contour indicated by the thick black line.

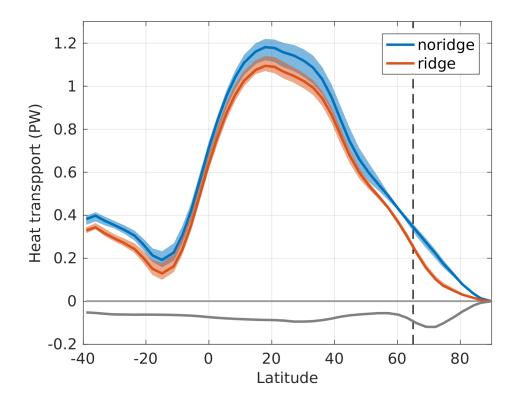


FIG. 5. Zonal mean northward ocean heat transport (in PW) over the Atlantic basin for the *noridge* (blue), *ridge* experiment (red) and the difference between the two (grey). Shading shows the interdecadal spread in OHT for each experiment, calculated as the difference between the maximum and minimum value over the last 100 years. The approximate location of the GSR is shown by the black dashed line.

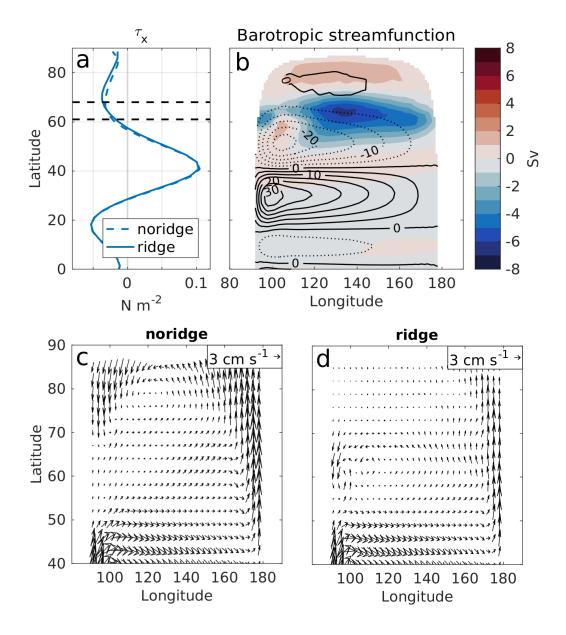


FIG. 6. Wind stress and horizontal circulation in the Atlantic basin. (a) Zonal mean wind stress (τ_x ; N m⁻²) for *noridge* (dashed) and *ridge* (solid). The approximate position of the GSR is indicated by the black dashed lines. (b) Barotropic streamfunction (Sv) for *noridge* shown in contours with 5 Sv contour intervals where positive (negative) values corresponds to clockwise (counterclockwise) circulation. Shading shows the barotropic streamfunction anomaly calculated as the difference between *ridge* and *noridge*. (c and d) Mean top 100 m horizontal velocities in cm s⁻¹ for *noridge* and *ridge* respectively.

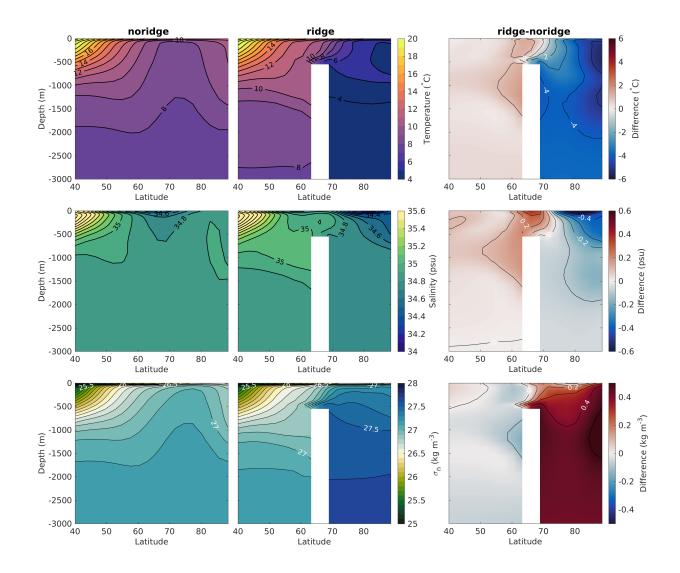


FIG. 7. Zonal mean potential temperature (°C; top row), salinity (psu; middle row) and potential density referenced to the surface (σ_0 in kg m⁻³; bottom row) in the North Atlantic for *noridge* (left), *ridge* (middle) and difference between the two, i.e. *ridge-noridge* (right).

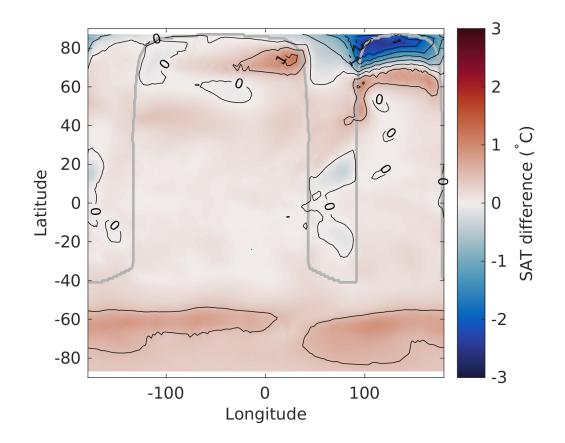


FIG. 8. Global annual mean surface air temperature anomaly (SAT; °C) calculated as the difference between the final 100 years of *ridge* and *noridge*. Contour lines are plotted at 0.5°C intervals.

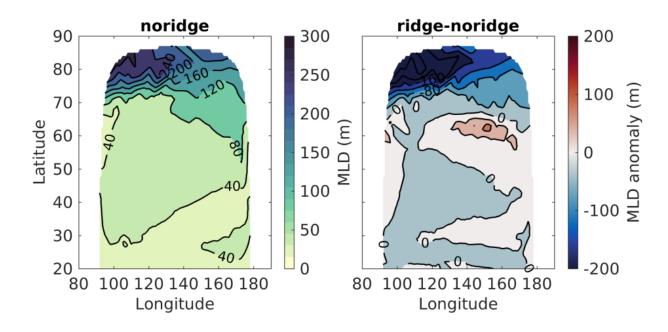


FIG. 9. Annual-mean mixed layer depth (MLD; m) in the northern Atlantic. Absolute values for *noridge* are shown in *left* panel and anomalies of *ridge* relative to the *noridge* in the *right* panel.

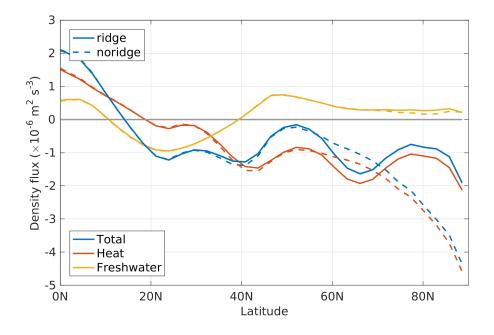


FIG. 10. Zonal mean surface density flux out of the ocean (in $10^{-6} \text{ kg m}^{-2} \text{ s}^{-1}$) in the North Atlantic for *noridge* (dashed lines) and *ridge* (solid lines). The total density flux (blue) is decomposed into contributions from heat (red) and freshwater (yellow) fluxes. Negative values indicate densification (i.e. buoyancy loss).

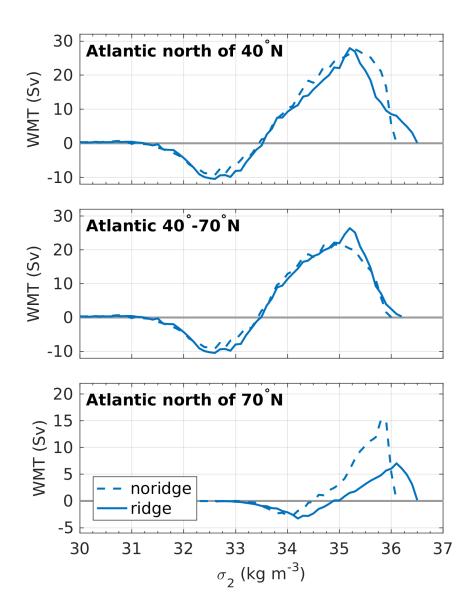


FIG. 11. Annual mean water mass transformation (WMT) function ($F(\sigma)$) in Sv for *noridge* (dashed) and *ridge* (solid). The WMT is integrated over different regions; (top) the entire North Atlantic from 40° – 90°N, (middle) subpolar North Atlantic between 40° – 70°N and (bottom) polar basin north of 70°N. The surface forced WMT is estimated from the spatial integral of the surface density flux (D) over 10 years in the Atlantic basin spanning the density range $\sigma_2 = 28.4 - 36.4 \text{ kg m}^{-3}$ with a density bin width of $\Delta \sigma = 0.1$. Here $F(\sigma) \approx 0$ for $\sigma < 30$. Negative values imply a WMT to lower density classes (i.e. *buoyancy gain*) and positive values represents transformation from lower to greater densities (i.e. *buoyancy loss*).

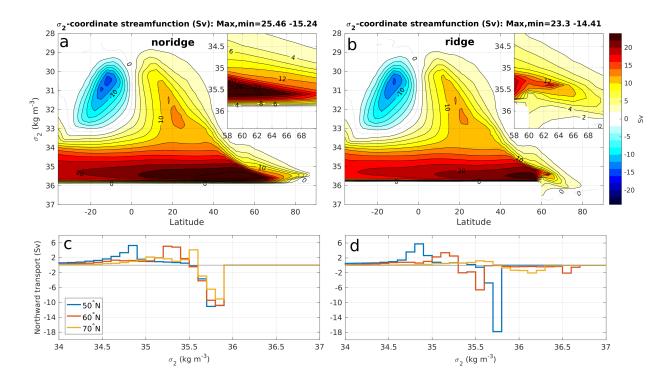


FIG. 12. Meridional overturning circulation streamfunction in latitude-density space (MOC_{σ}; Sv) for (a) *noridge* and (b) *ridge*. The density bins are the same as used for the computation of the WMT, with a reference density at 2 km depth. Contour lines are plotted at 2 Sv intervals. The insert in (a) and (b) shows a zoom on the region around the GSR. (c and d) Mean volume flux (Sv) by MOC_{σ} for *noridge* and *ridge* across different latitudes in the Atlantic basin. Positive values indicate northward flow.

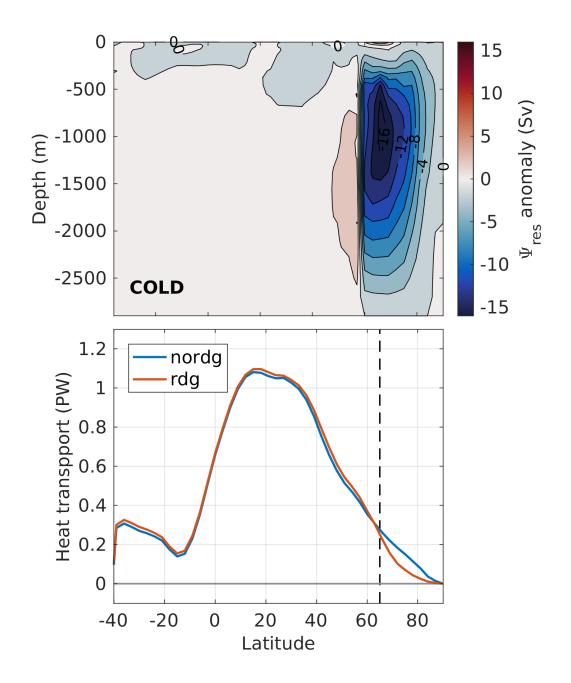


FIG. 13. Meridional overturning streamfunction anomaly (*ridge-noridge*) and ocean heat transport (PW) in the Atlantic basin for the COLD case, where the solar constant is reduced to 1360 W m².