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The 2018-19 Arctic Stratospheric Polar Vortex

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Abstract

The stratospheric polar vortex is a westerly circulation that forms over the winter pole around 10-50 km above the surface, which is known to influence mid-latitude weather patterns. During 2018-19, the Arctic polar vortex demonstrated an unusually large amount of variability, including a strong and persistent sudden stratospheric warming (SSW) event, a strong vortex event, and a dynamic final stratospheric warming (FSW). In this article we discuss the evolution of the vortex, placing it in the context of wider observed climatology, and comment on its apparent impacts on tropospheric weather patterns – notably, the lack of a surface climate response to the SSW of similar magnitude to the February-March 2018 "Beast from the East" cold-wave.

Introduction

The stratospheric polar vortex (SPV) is a planetary-scale cyclonic circulation which forms over the winter pole each year in the stratosphere (the layer of the atmosphere 10-50 km above the surface) and is encircled by the westerly polar night jet stream. The vortex develops due to seasonal radiative cooling owing to the Earth's axial tilt; air within the vortex becomes isolated and can cool to below -80°C as a result of the lack of solar heating. In the Northern Hemisphere (NH), the SPV is highly variable on both intra- and inter-annual timescales. The distribution of the oceans, continents, and mountain ranges produces large-scale planetary waves in the mid-latitude tropospheric polar jet stream. Planetary-scale waves can also be formed by anomalous heating associated with tropical convection, such as the Madden-Julian Oscillation (MJO) or the El Niño-Southern Oscillation (ENSO). These waves can propagate vertically into the westerly winds of the SPV and break in the stratosphere (akin to waves breaking on a beach), depositing their momentum there and decelerating the westerly flow. Such waves can only propagate into regions of westerly flow; this communication of wave activity from the troposphere to the stratosphere is absent in the summertime when stratospheric easterlies are present. The stratospheric circulation typically only supports large-scale waves of wavenumber 1 or 2 (whereas many higher wavenumbers are present in the troposphere). Contrastingly, the Southern Hemisphere (SH) SPV is relatively strong and stable with less inter-annual variability due to the symmetric Southern Ocean encircling Antarctica.

Sometimes, the SPV can break down entirely in an event known as a sudden stratospheric warming (SSW) (Scherhag, 1952). If the event is sufficiently strong to reverse the zonal-mean zonal (westerly) wind at 10 hPa and 60°N (hereafter, $U10_{60}$), the event is defined as major (Charlton and Polvani, 2007; Butler et al., 2015). Major SSWs occur approximately 6 times per decade in the NH though with significant longer-term absences (e.g. 1989-1998, 2013-

2018) (Butler et al., 2017), whilst only 1 has been observed in the SH in 2002 (Newman and Nash, 2005). SSWs, as the name suggests, involve a sudden warming of the polar stratosphere – temperatures have been observed to rise over 50°C in only a few days. The westerly circulation of the SPV is disrupted; the vortex either splits into two or is displaced from the pole (so-called 'split' and 'displacement' events, respectively).

The variability of the NH SPV, including SSWs and their strong-vortex counterpart, is important for day-to-day weather as it can affect the state of the tropospheric Northern Annular Mode (NAM)/Arctic Oscillation (AO), and the North Atlantic Oscillation (NAO) (Baldwin and Dunkerton, 2001; Kidston et al., 2015) – which are essentially measures of the strength of the westerly mid-latitude flow in the NH and North Atlantic respectively. The AO and the NAO are associated with extratropical temperature and precipitation patterns. In general, weak (strong) vortex events are followed by negative (positive) phases of the AO/NAO and colder and drier (warmer and wetter) weather in places such as Britain and northwest Europe. However, recent work has shown that the relationship between SSWs and the AO/NAO varies on a case-by-case basis, and is only a strong relationship (if at all) in approximately half of observed major SSWs (Karpechko et al., 2017). The exact reasons why some stratospheric events couple to the surface weather and some do not is poorly understood, and an area of active research. In February 2018, the first major SSW since January 2013 occurred, and the following period into March was unusually cold across Eurasia with a strongly negative NAO/AO pattern (Karpechko et al., 2018). The moniker "The Beast from the East" was widely used to describe the easterly flow which brought record-breaking cold temperatures to north-west Europe, including the UK (Greening and Hodgson, 2019). In contrast, the SSW in January 2019 was not followed by similarly cold conditions in Europe.

In this article, we discuss the evolution of the Arctic SPV during 2018-19. The SPV exhibited an unusually high level of variability during this winter, including a major SSW, a strong vortex event, and a dynamically-driven final stratospheric warming. We place these events in the wider context of the observed climatology of the vortex, and comment on the impact on tropospheric weather patterns.

Data

We use data from the European Centre for Medium-Range Weather Forecasts (ECMWF) Interim reanalysis (ERA-Interim) (Dee et al., 2011), retrieved from the ECMWF MARS archive (via https://apps.ecmwf.int/datasets/). Climatological values are those observed between January 1979 and June 2018 inclusive. NAO and AO data indices are accessed from the National Centers for Environmental Prediction Climate Prediction Center (NCEP CPC) website (https://www.cpc.ncep.noaa.gov/).

The Polar Vortex in 2018-19

The evolution of the SPV during 2018-19 can be readily sorted into five distinct phases:

1) The spin-up and development of the SPV during August-October 2018.
2) Pre-SSW evolution (so-called 'pre-conditioning') of the SPV during November-December 2018.
3) The onset and evolution of the major SSW during January 2019.
4) The subsequent recovery and development of a strong SPV event during March 2019.
5) The final stratospheric warming and vortex dissipation during April 2019.

Timeseries of the evolution of $U10_{60}$ and 45-75°N mean eddy heat flux (denoted [v*T*]) at 100 hPa are shown in **Figure 1** and **Figure 2**. The latter quantity is commonly used as a diagnostic of vertically propagating wave activity in the lower stratosphere. It is computed by calculating the area-weighted average across 45-75°N of the zonal-average of the products of the departures from the zonal-mean T and v.

First, we describe the stratospheric evolution during 2018-19, and then discuss the impacts on the troposphere.

September-October 2018: Vortex spin-up

Daily mean $U10_{60}$ first became westerly on 22 August (see **Figure 1**), indicating the development of the SPV for the 2018-19 season. This was 3 days earlier than the climatological mean date of 25 August – however, variability at this time of year is small, and in all cases in ERA-Interim climatology, the SPV spins up by 30 August. Zonal-mean zonal winds tracked slightly below normal during September, before strengthening towards date-record strong values by the second half of October 2018. Though some fluctuations occurred, $U10_{60}$ remained mostly stronger than average through November.

November-December 2018: Preconditioning

During November 2018, vertically propagating wave activity began to increase. By the beginning of December, the effect of this wave activity was evident in a deceleration of $U10_{60}$ to below-normal values. Notably, the beginning of the weakened SPV occurred during the period of climatological maximum wind speed, although this is also when observed variance markedly increases. In early December, the amplitude of wavenumber-1 increased to above-average values (i.e., a strengthened Aleutian high), and the SPV was displaced towards Eurasia and became elongated (**Figure 3**). This is consistent with the structure and positioning of the SPV prior to major SSWs. Anomalously high heat flux persisted, reaching daily 90th percentiles in the second half of the month, as shown in **Figure 2**. Individual daily or seasonal heat flux records were not broken at 100 hPa; this pre-SSW evolution was not an example of one large wave pulse, but prolonged elevated wave activity. Polar cap temperatures subsequently warmed, and westerly zonal winds began to rapidly decrease during the final week of December. At the same time, polar cap total column ozone increased (not shown). Stratospheric ozone levels and polar vortex variability are strongly coupled – the regularity of NH SSWs and the weaker SPV compared with its SH counterpart are key reasons why the Arctic does not regularly see a large ozone hole. Increasing polar cap ozone is a common occurrence during stratospheric vortex disruption; it is driven primarily by enhanced poleward transport from equatorial regions (owing to the amplified stratospheric wave field and mixing from wave breaking) where concentrations are higher (de la Cámara et al., 2018).

January 2019: Major SSW

Following the period of vortex weakening, daily-mean $U10_{60}$ became easterly on 2 January, indicating a major SSW was underway. This was the 6th earliest date (out of 26 events) for a major SSW since 1979 (the earliest being 4 December 1981) according to ERA-Interim reanalysis. The vortex was displaced towards the Atlantic sector by the strong Aleutian anticyclone, and then split into two smaller vortices (**Figure 4**). Unlike the SSW in February 2018, where an unusually strong vortex was abruptly torn in two by an amplified wavenumber-2 pattern (i.e., both Atlantic and Aleutian ridges), the January 2019 major SSW resulted from the splitting of a weak vortex by a wavenumber-1 pattern without wavenumber-2 amplification. This is consistent with the prolonged elevated heat flux weakening the vortex over a longer period, rather than a single extreme pulse.

The easterly zonal-mean zonal winds persisted for 21 days until 23 January (slightly longer than the February 2018 event, and tied with February 1999 for 7th longest in 26 events in ERA-Interim, see **Table 1**), with $U10_{60}$ reaching a minimum of -10.2 m s^{-1} on 10 January (16th most easterly). The duration was above the mean of 14 days, but lies within 1 standard deviation (10 days), whilst the minimum $U10_{60}$ was slightly above the mean of -12.1 m s^{-1}, though also within 1 standard deviation (7.9 m s^{-1}), as shown in **Figure 5**. Considering all events in ERA-Interim, the minimum $U10_{60}$ and the duration of the easterlies are inversely correlated (Pearson's r = -0.62, Spearman's ranked correlation $r = -0.69$, $p < 0.001$), indicating SSWs which more strongly disrupt the stratospheric circulation and generate stronger easterly zonal-mean momentum tend to take longer to recover to westerlies. The main exception to this is the SSW of 24 February 1984, which was the longest lived (39 easterly days) but had a below-average minimum wind.

Following the split of the SPV, the two smaller vortices resided over Eurasia and North America. The North American lobe was associated with a surface circulation that lead to record-breaking cold temperatures in the northern U.S. and Canada during late January 2019 (BBC News, 2019).

February-March 2019: Strong Vortex Event

Following the recovery of the SPV, a strong vortex event ensued on 5 March, which is defined as $U10_{60}$ exceeding 41.2 m s^{-1}, following Tripathi et al. (2015). This peaked on 12 March (**Figure 6**), when daily-mean $U10_{60}$ reached 52.2 m s^{-1} which set new daily records (c.f. **Figure 1**) with the SPV forming an almost perfect annulus around the Arctic. The strong recovery of the SPV following the SSW is dynamically consistent with the prolonged period of easterly winds – these effectively 'shield' the mid-to-upper stratosphere from tropospheric planetary wave activity which can only propagate into westerly flow, allowing the vortex to be undisturbed and re-develop through radiative cooling. A secondary component pertains to the timing of the SSW – being relatively early-season, minimal solar radiation reached the Arctic during the following weeks, allowing further enhanced radiative cooling. For example, a similar SSW-to-strong vortex transition was seen following the early-season SSW of 8 December 1987 ($U10_{60}$ reached a date-record 70.4 m s^{-1} on 13 February 1988). Associated with the strong SPV were date-record-cold 10 hPa 60-90°N average temperatures from 16 February to 19 March, with a minimum of -75°C on 24 February.

April 2019: Final Stratospheric Warming

$U10_{60}$ became easterly again on 23 April in the final stratospheric warming (FSW), which is defined to be the first day of easterly $U10_{60}$ that is not followed by a recovery to westerlies for at least 10 consecutive days until the following winter season (following Butler and Gerber, 2018). The 2019 date is 8 days later than the climatological mean date of 15 April, which is typical of seasons with a mid-winter SSW owing to the following recovery (Hu, Ren and Xu, 2014). FSWs are radiatively driven as the sun returns to the Arctic pole, but can also be driven by dynamic wave forcing akin to a major SSW. The FSW in April 2019 had a substantial dynamic component, with high wave activity preceding the event (**Figure 2**). This developed an unusually intense Aleutian high which displaced the weakening SPV (**Figure 7**) and produced date-record strong easterly $U10_{60}$ in early May (a minimum of -20.4 m s^{-1} was reached on 4 May). Although the envelope of variability becomes smaller into the summer, $U10_{60}$ remained close to date-record minima through June.

Connection to the Troposphere

The dynamic connection between the stratosphere and troposphere can be readily shown by a vertical cross-section of a timeseries of polar cap geopotential height anomalies. These are often referred to as "dripping paint" plots, as they show the downward propagation of stratospheric anomalies over time. The evolution in 2018-19 is shown in **Figure 8**. Prior to the

SSW, there is little indication of coupling between the troposphere and stratosphere, though from September to November there are anomalously high geopotential heights in the troposphere. This indicates a tendency toward blocked and amplified mid-latitude flow, which may have helped drive the high wave activity during autumn 2018. Following the SSW, the associated anomalies did not propagate downwards below ~200 hPa into the troposphere until a brief, weak spell in early February, indicating the SSW did not couple persistently to surface weather patterns. However, it should also be noted that anomalously low geopotential heights were also absent from the Arctic troposphere during this time. Afterwards, the strong vortex event coupled strongly to the troposphere during March, and the final warming in late April also produced a very strong response at the surface that persisted through May (even though the middle-stratospheric anomalies were not as strong as during the SSW, suggesting the importance of lower-stratospheric anomalies in stratosphere-troposphere coupling).

The response of the troposphere to SPV variability is traditionally discerned in terms of the behaviour of the hemispheric AO pattern, and the more regionalised NAO pattern. The sign of these, on average, is negative following major SSWs and positive following strong SPV events. For example, following the February 2018 SSW, a strong and persistent negative AO/NAO pattern developed, indicating anomalously weak tropospheric westerlies. For deep and persistent cold in Europe, a negative NAO is usually required. The evolution of the two indices in 2018-19 is shown in **Figure 9**. Neither index transitioned into a strongly negative state following the SSW. However, during January 2019, the AO was persistently more negative than the NAO. This indicates that whilst anomalously high pressure developed over higher latitudes, this did not project onto the NAO pattern. The opposite followed during February into early March, when the strongly positive AO was not reflected in a strongly positive NAO; however, it is unlikely that during this time the AO was responding to the strong vortex at 10 hPa, as lower stratospheric winds remained weak (c.f. positive height anomalies during this time in **Figure 8**), possibly indicating other tropospheric drivers. During both periods, the NAO remained persistently neutral or weakly positive. Indeed, the distribution of the daily NAO index during February was unusual in the historical record; no other February since 1950 exhibited the combination of both a weakly positive mean state and weak variance about the monthly mean. Following mid-March, the AO and NAO began to be more in-phase as the strong vortex event propagated downwards, and evolved similarly through April into May. A negative NAO/AO pattern then developed following the final warming. The NAO was more negative following the final warming than following the SSW or at any other point in the extended winter period (the mean NAO index for May 2019 was -2.62 σ, the lowest for the month of May in the CPC record stretching back to 1950) giving an unusual example of late-season stratosphere-troposphere coupling. May 2019 was also the first month for the UK with a mean temperature below the 1981-2010 average since September 2018, and had the largest negative anomaly of any month since March 2018 (Met Office, 2019) (during which the "Beast from the East" cold-wave occurred).

Conclusions

During 2018-19, the stratospheric polar vortex (SPV) was highly variable, with a major split-type sudden stratospheric warming (SSW) in January, followed by a strong vortex event in March, culminating in a dynamic final stratospheric warming (FSW) in April. The major SSW did not strongly couple with tropospheric weather patterns. The North Atlantic Oscillation (NAO), which typically responds to stratospheric events, did not transition to a strong negative phase following the event like in February 2018, which resulted in less notable impacts to Europe in particular. In contrast, the strong vortex event did couple to the surface and generate a strongly positive Arctic Oscillation (AO) and NAO in during March. Following the later than average dynamically driven FSW in April, the AO and NAO transitioned into strongly negative states.

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Figure 1: Evolution of 10 hPa 60°N zonal-mean zonal winds from July 2018 through June 2019 according to ERA-Interim reanalysis. Climatological values are also indicated.

Figure 2: Timeseries of meridional eddy heat flux at 100 hPa, averaged across 45-75°N, from July 2018 through June 2019, according to ERA-Interim reanalysis. Climatological values are also indicated.

Figure 3: 10 hPa wind (filled) and geopotential height (contoured) for 00Z 12 December 2018 according to ERA-Interim reanalysis. Also indicated are the 60°N zonal-mean zonal-wind ([U] 60°N) and the minimum and maximum geopotential height in the domain (Z_{min} and Z_{max}).

Figure 4: As in **Figure 3** but for 2 January 2019 at the onset of the major SSW.

Figure 5: (a) Persistence of each SSW as defined by cumulative easterly zonal-mean zonal wind days at 10 hPa 60°N, (b) minimum 10 hPa 60°N zonal-mean zonal wind during each SSW, and (c) a scatter plot of duration versus minimum zonal-mean zonal wind, for all major SSWs in ERA-Interim reanalysis 1979-2019. Red (blue) indicates the SSW is classified as (non-)downward propagating in Karpechko et al. (2017), extended to include the 2018 and 2019 events. The SSW of 24 March 2010, shown in grey, was not classified in that study. In (a) and (b) the black dashed (dotted) lines denote the mean (standard deviations) of each quantity. In (c) the linear regression is shown with a solid black line.

Figure 6: As in **Figure 3** but for 12 March 2019 at the peak of the strong vortex event.

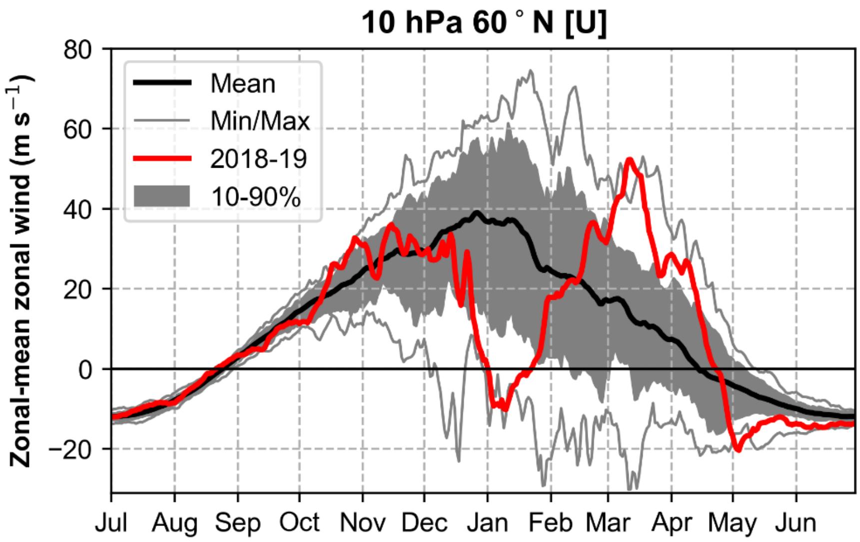
Figure 7: As in **Figure 3** but for 23 April at the onset of the final warming.

Figure 8: Timeseries of 60-90°N average geopotential height anomalies from 1 August 2018 through 31 May 2019 in ERA-Interim. Anomalies are standardized departures expressed with respect to the daily mean and standard deviation from 1979-2019. Vertical dashed lines indicate (from left-to-right) the vortex spin-up, the major SSW, the peak of the strong vortex event, and the final warming.

Figure 9: Timeseries of daily North Atlantic Oscillation (NAO, left-hand axis in blue) and Arctic Oscillation (AO, right-hand axis in red) for 1 November 2018 to 31 May 2019.

Table 1: Top 10 (of 26) major SSWs in ERA-Interim ranked by persistence of easterlies. 2019 is indicated in bold. The duration is defined following Charlton and Polvani (2007) – these are the total number of easterly days associated with the event and are not necessarily consecutive.

Rank	**Major SSW**	**Persistence (days)**
1	24 Feb 1984	39
2	24 Jan 2009	30
3	23 Jan 1987	29
4	21 Feb 1989	28
5	21 Jan 2006	26
6	6 Jan 2013	22
7 (tied)	**2 Jan 2019**	**21**
	26 Feb 1999	21
9	12 Feb 2018	19
10	22 Feb 2008	15



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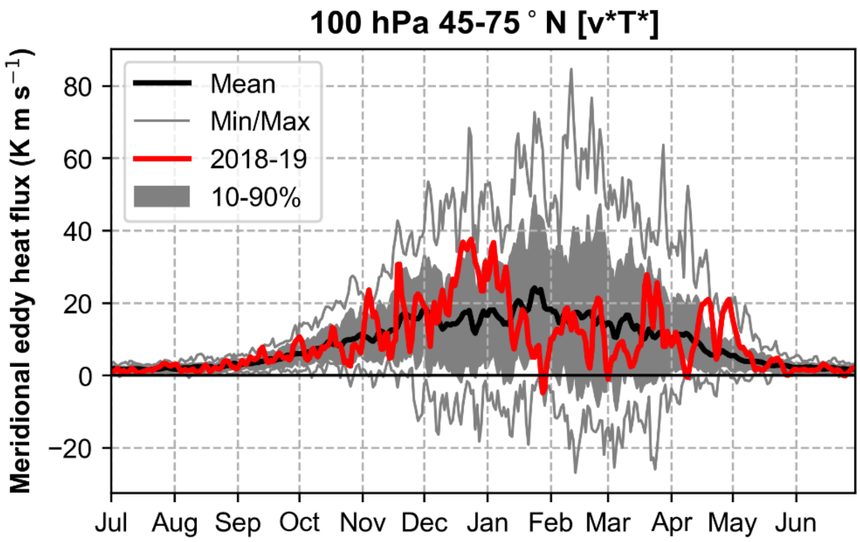

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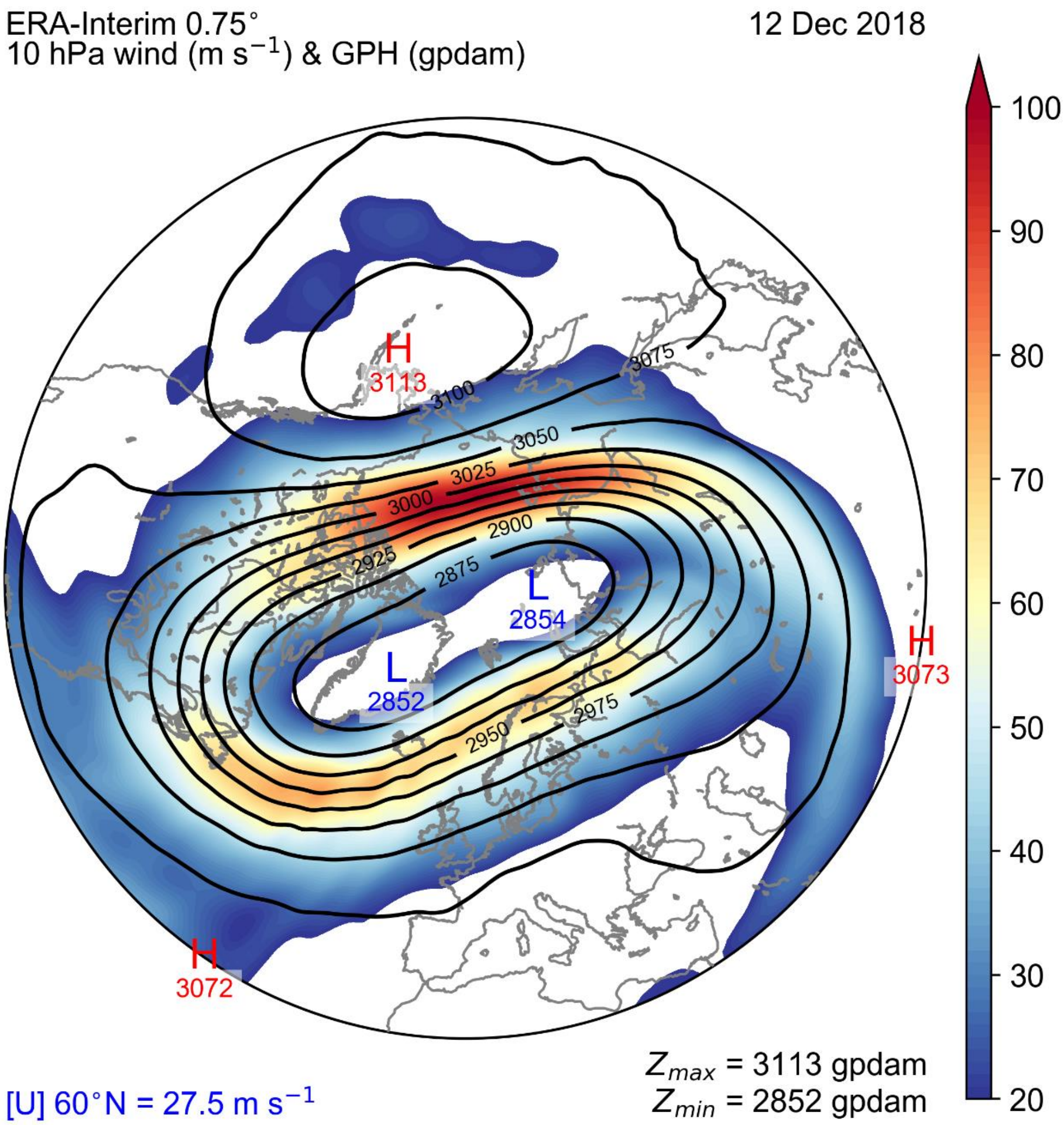

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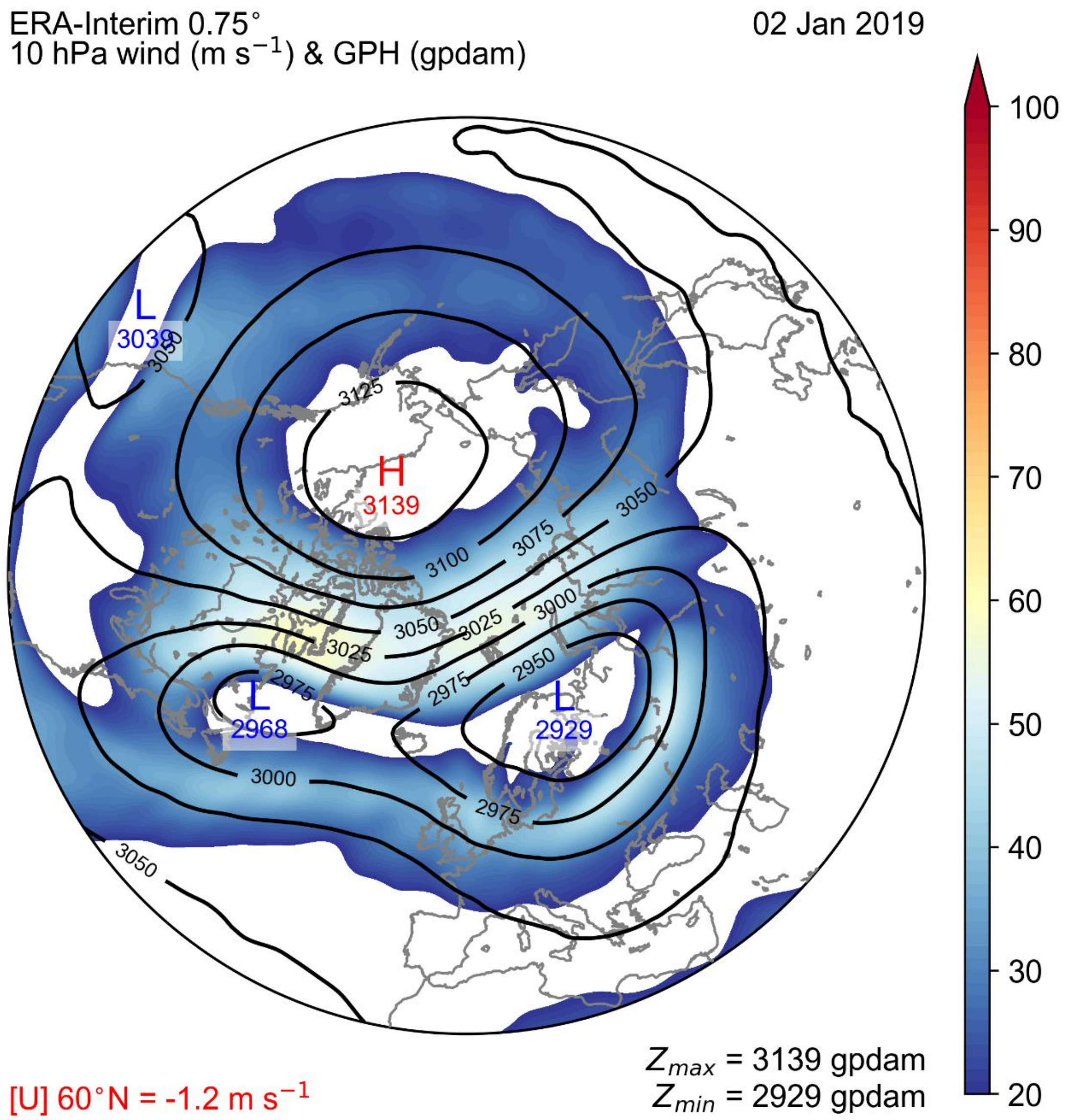

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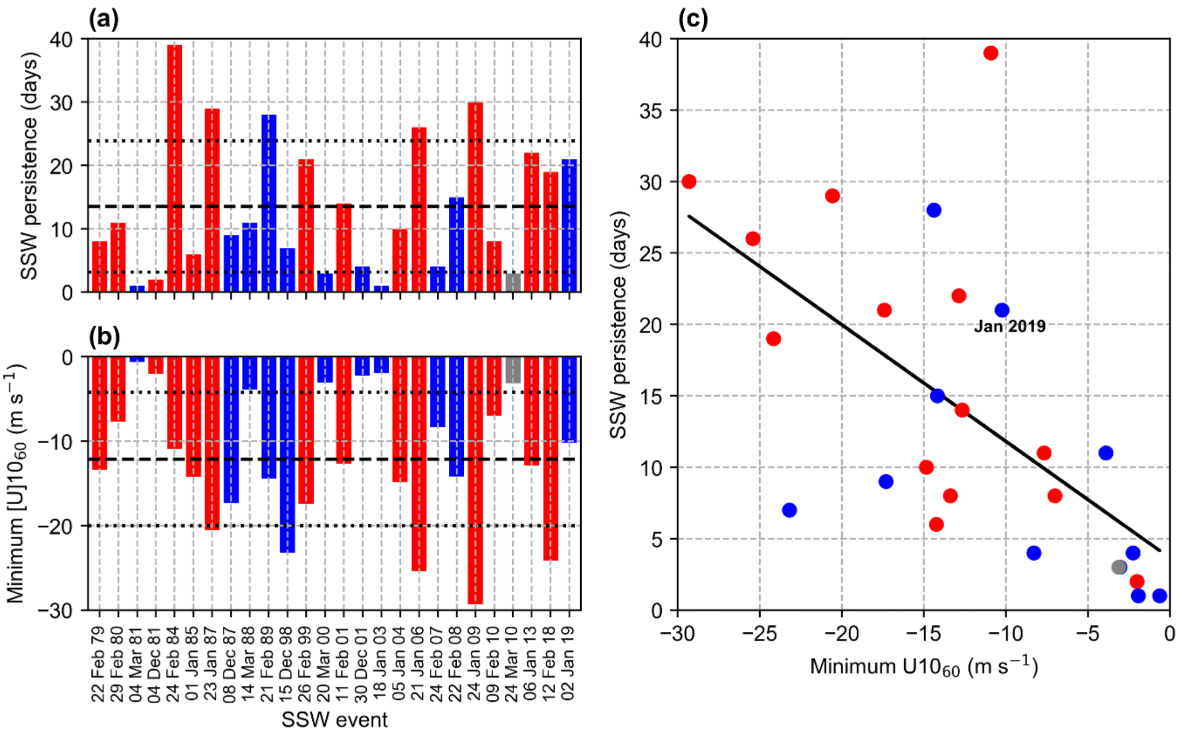

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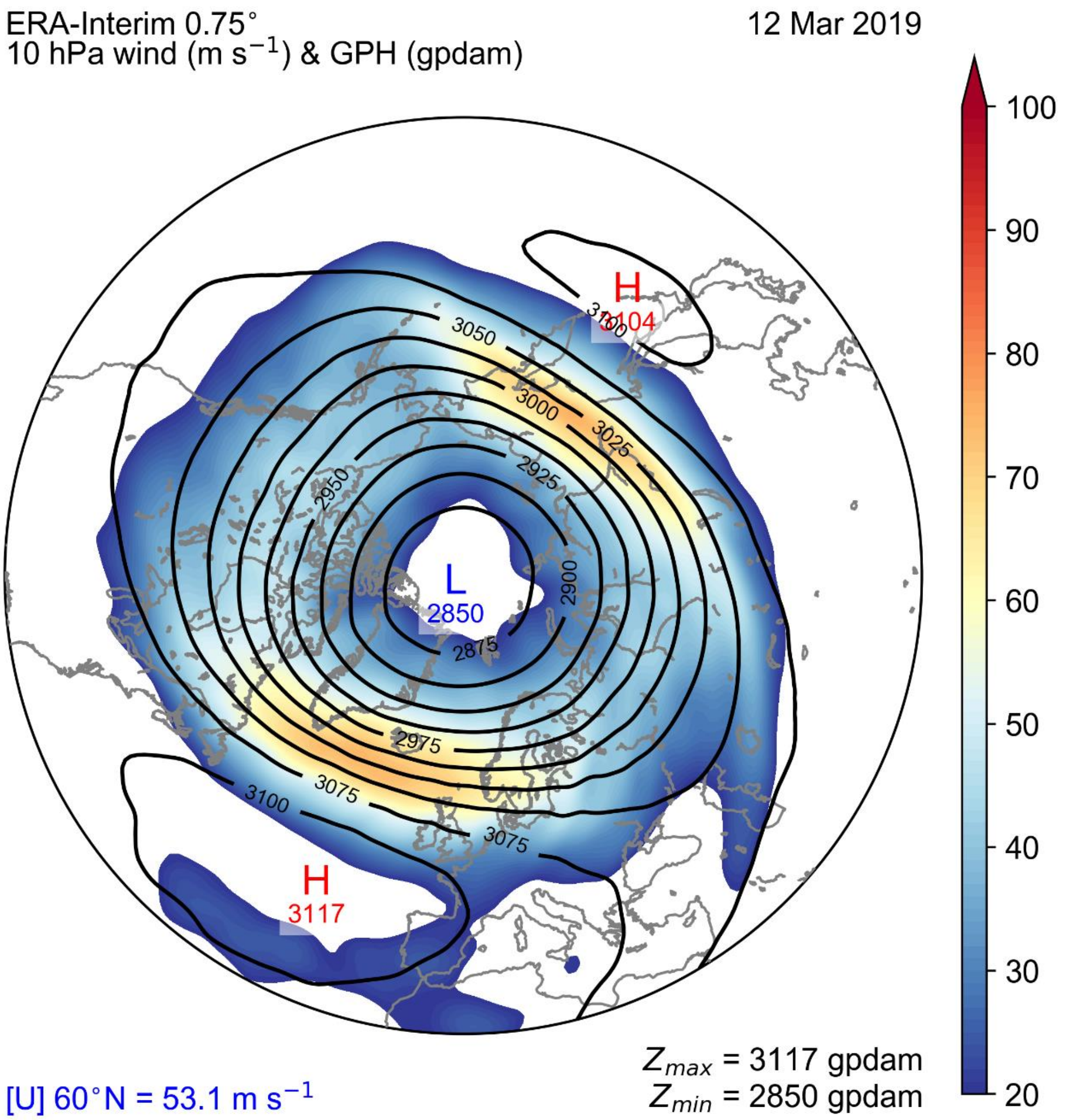

Figure 6: As in Figure 3 but for 12 March 2019 at the peak of the strong vortex event.

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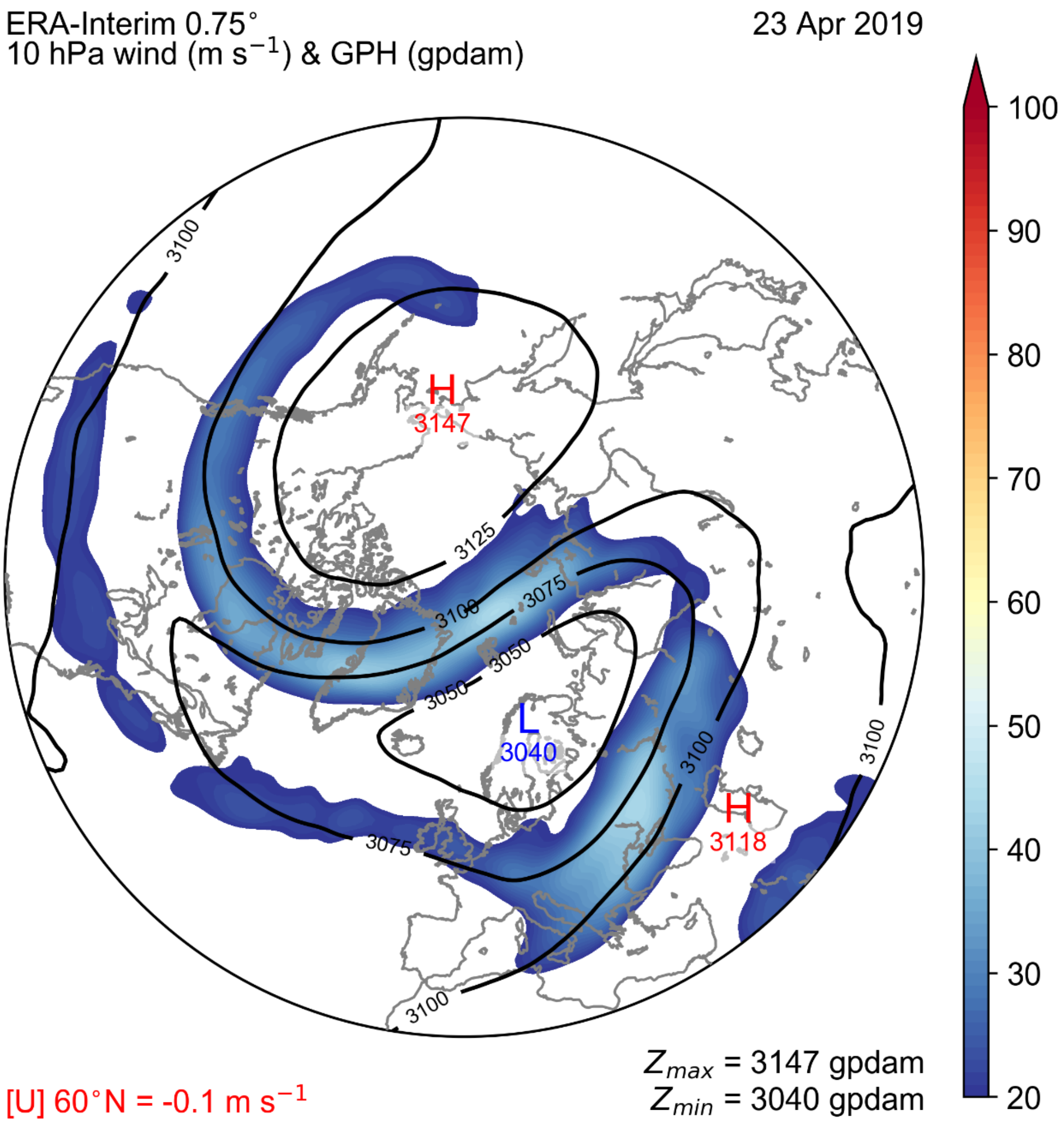

364 Figure 7: As in Figure 3 but for 23 April at the onset of the final warming.

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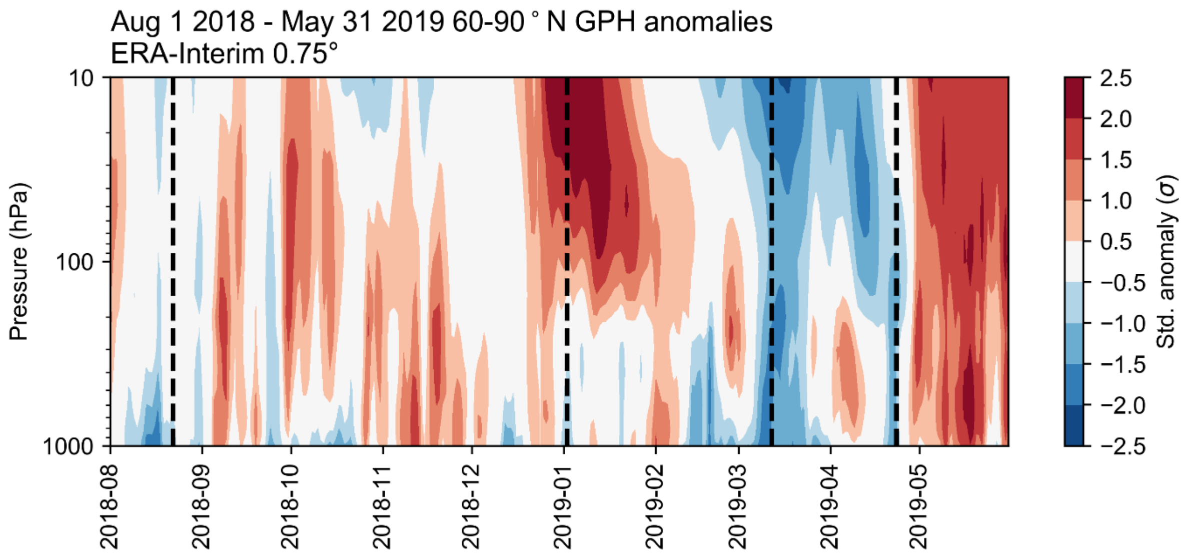

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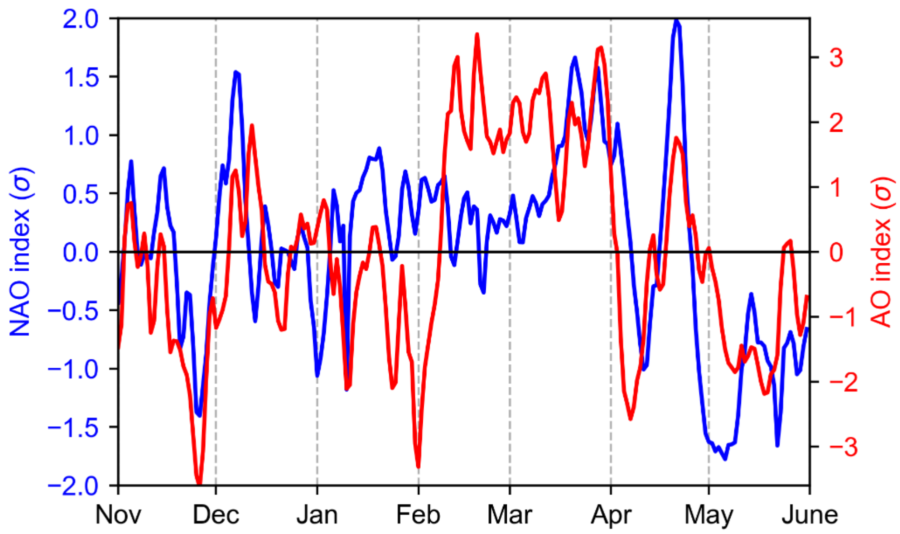

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