

Regional striatal cholinergic involvement in human behavioural flexibility

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Regional Striatal Cholinergic Involvement in Human Behavioural Flexibility

Tiffany Bell¹, Michael Lindner¹, Angela Langdon², Paul Gerald Mullins³ and Anastasia Christakou¹

 ¹School of Psychology and Clinical Language Sciences, and Centre for Integrative Neuroscience and Neurodynamics, University of Reading, RG6 6AL, UK
 ²Princeton Neuroscience Institute, Princeton University, NJ 08544, USA
 ³School of Psychology, Bangor University, LL57 2DG UK

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Corresponding author: Dr Anastasia Christakou, School of Psychology and Clinical Language Sciences, University of Reading, Reading RG6 6AL, anastasia.christakou@reading.ac.uk

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Regional Striatal Cholinergic Involvement in Human Behavioural Flexibility

3		Role of human striatal choline in reversal learning				
4	Tiffany Bell ¹ , Mich	ael Lindner ¹ , Angela Langdon ² , Paul Gerald Mullins ³ , Anastasia Christakou ¹				
5 6 7 8	 School of Psychology and Clinical Language Sciences, and Centre for Integrative Neuroscience and Neurodynamics, University of Reading, RG6 6AL, UK Princeton Neuroscience Institute, Princeton University, NJ 08544, USA School of Psychology, Bangor University, LL57 2DG UK 					
° 9	5. School of Psycho	logy, Bangor University, LL37 2DG UK				
9 10	Corresponding autho	r: Dr Anastasia Christakou				
11		School of Psychology and Clinical Language Sciences				
12	University of Reading					
13	Reading RG6 6AL					
14		anastasia.christakou@reading.ac.uk				
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34 ABSTRACT

35 Animal studies have shown that the striatal cholinergic system plays a role in behavioural flexibility 36 but, until recently, this system could not be studied in humans due to a lack of appropriate non-37 invasive techniques. Using proton magnetic resonance spectroscopy (MRS) we recently showed 38 that the concentration of dorsal striatal choline (an acetylcholine precursor) changes during reversal 39 learning (a measure of behavioural flexibility) in humans. The aim of the present study was to 40 examine whether regional average striatal choline was associated with reversal learning. 22 41 participants (mean age = 25.2, range = 18-32, 13 female) reached learning criterion in a 42 probabilistic learning task with a reversal component. We measured choline at rest in both the 43 dorsal and ventral striatum using MRS. Task performance was described using a simple 44 reinforcement learning model that dissociates the contributions of positive and negative prediction 45 errors to learning. Average levels of choline in the dorsal striatum were associated with performance during reversal, but not during initial learning. Specifically, lower levels of choline in 46 47 the dorsal striatum were associated with a lower number of perseverative trials. Moreover, choline levels explained inter-individual variance in perseveration over and above that explained by 48 49 learning from negative prediction errors. These findings suggest that the dorsal striatal cholinergic 50 system plays an important role in behavioural flexibility, in line with evidence from the animal 51 literature and our previous work in humans. Additionally, this work provides further support for the 52 idea of measuring choline with MRS as a non-invasive way of studying human cholinergic 53 neurochemistry.

55 SIGNIFICANCE STATEMENT

56 Behavioural flexibility is a crucial component of adaptation and survival. Evidence from the animal 57 literature shows the striatal cholinergic system is fundamental to reversal learning, a key paradigm 58 for studying behavioural flexibility, but this system remains understudied in humans. Using proton 59 magnetic resonance spectroscopy, we showed that choline levels at rest in the dorsal striatum are 60 associated with performance specifically during reversal learning. These novel findings help to 61 bridge the gap between animal and human studies by demonstrating the importance of cholinergic 62 function in the dorsal striatum in human behavioural flexibility. Importantly, the methods described here can not only be applied to furthering our understanding of healthy human neurochemistry, but 63 64 also to extending our understanding of cholinergic disorders.

66 INTRODUCTION

67 Acetylcholine (ACh) plays an important role in adaptive behaviour, and has been implicated in 68 disorders of cognitive flexibility, such as Parkinson's disease (Tanimura et al., 2018; Zucca et al., 69 2018). Studies in rodents have repeatedly demonstrated that ACh transmission, determined by the 70 activity and regulation of cholinergic interneurons in the dorsal striatum, is involved in reversal 71 learning and similar forms of behavioural flexibility (Ragozzino et al., 2002, 2009; Tzavos et al., 72 2004; McCool et al., 2008; Brown et al., 2010; Bradfield et al., 2013; Aoki et al., 2018; Okada et 73 al., 2018). Further, ACh efflux has been shown to increase specifically during reversal learning (but 74 not during initial learning), and this effect is specific to the dorsomedial striatum (with no changes 75 in ACh levels in either the dorsolateral striatum or the ventral striatum) (Ragozzino et al., 2009). It 76 is clear then that cholinergic activity in the dorsal striatum plays an important role in reversal 77 learning but, despite the importance of understanding this system, there remain important 78 challenges in probing ACh function in humans due to a lack of appropriate non-invasive techniques. 79 Proton magnetic resonance spectroscopy (MRS) is a non-invasive method for measuring brain 80 metabolites in vivo (Puts and Edden, 2012). Although it cannot be used to study ACh directly due to its low concentration (Hoover et al., 1978), MRS can be used to measure levels of certain choline 81 82 containing compounds (CCCs) involved in the ACh cycle, including choline (CHO). CHO is the 83 product of ACh hydrolysis, and its uptake in cholinergic terminals is the rate-limiting step in ACh biosynthesis (Lockman and Allen, 2002). Using functional MRS, we previously demonstrated task-84 85 driven changes in the concentration of CHO in the human dorsal striatum during reversal learning 86 (Bell et al., 2018). Although MRS studies typically model CCCs as a single peak due to their 87 proximity on the spectrum, we showed that using this method may mask CHO-specific effects. 88 Therefore, in the context of studying ACh function, it is necessary to separate the metabolites when 89 measuring individual differences in CHO levels (Lindner et al., 2017; Bell et al., 2018).

90 Among the many open questions around this approach is the nature of the relationship between 91 baseline levels of CHO availability and function-relevant ACh activity. Animal studies have shown 92 that ACh synthesis is tightly coupled to CHO availability. For example, depletion of CHO has been 93 shown to reduce ACh synthesis (Jope, 1979) and administration of CHO has been shown to increase 94 it (Koshimura et al., 1990). Further, overexpression (Holmstrand et al., 2013) and under-expression 95 (Parikh et al., 2013) of presynaptic CHO up-take transporters has been shown to increase and 96 decrease ACh levels respectively. It is possible, therefore, that baseline CHO availability may 97 modulate ACh activity, leading to effects on behavioural flexibility. In this study, we used MRS to 98 test whether baseline levels of dorsal striatal CHO are related to individual differences in reversal 99 learning performance. Due to limitations of spectroscopy voxel sizes, it is not possible to precisely 100 target the human homologue of the rodent dorsomedial striatum, therefore we obtained average 101 measures of CHO from the dorsal striatum overall. To test the hypothesised regional striatal 102 specificity, we also measured CHO levels from the ventral striatum. Finally, we also measured 103 CHO levels from the cerebellum as a further, more general control. In line with the animal literature 104 and our previous findings in humans (Bell et al., 2018), we predicted that average levels of CHO in 105 the dorsal, but not the ventral, striatum would be associated with performance during reversal, but 106 not initial, learning.

107 METHODS

108 Participants

The study was approved by the University of Reading Research Ethics Committee (UREC reference 13/15). 36 volunteers (20 female) between the ages of 18.3 and 32.8 (mean = 24.8, SD = 3.5) were recruited from the University of Reading and surrounding areas. All participants were healthy, right handed non-smokers and written informed consent was taken prior to participation. Two participants were excluded from analyses due to a high proportion of missed responses (participant 14: 35% during initial learning and 39% during reversal learning; participant 31: 27% during initial learning, 54% during reversal learning). One participant was excluded from spectroscopy analysis due to issues with segmentation of the structural scan. Data from the ventral striatum of two participants were excluded from analysis due to poor data quality.

118 Behavioural Data Collection

119 Learning Task

The task used was a probabilistic multi-alternative learning task previously described (Bell et al.,
2018), and was programmed using MATLAB (2014a, The Mathworks, Inc., Natick, MA, United
States) and Psychtoolbox (Brainard, 1997).

123 First, participants were presented with a fixation cross displayed in the centre of the visual display. 124 Participants were then presented with four decks of cards. Each deck contained a mixture of 125 winning and losing cards, corresponding respectively to a gain or loss of 50 points. The probability of getting a winning card differed for each deck (75%, 60%, 40%, and 25%) and the probabilities 126 127 were randomly assigned across the four decks for each participant. Participants indicated their 128 choice of deck using a computer keyboard. Outcomes were pseudo-randomised so that the assigned 129 probability was true over every 20 times that deck was selected. Additionally, no more than 4 cards 130 of the same result (win/lose) were presented consecutively in the 75% and 25% decks and no more 131 than 3 cards of the same result in the 60% and 40% decks. A cumulative points total was displayed 132 in the bottom right-hand corner throughout the session and in the centre of the visual display at the 133 end of each trial (Figure 1). Participants were instructed that some decks may be better than others, 134 they are free to switch between decks as often as they wish, and they should aim to win as many 135 points as possible.

The learning criterion was set at selection of either of the two highest decks (60% or 75%) on at least 80% of the time over ten consecutive trials. Though the optimal strategy is to repeatedly choose the 75% deck, pilot testing revealed the participants were not always able to distinguish between the 75% and 60% decks. Therefore, as both decks generate an overall gain in points and choice of either deck could be considered a good strategy, both decks are included in the learning criterion.

The initial learning phase (round 1) was completed when either the learning criterion was reached, or the participant completed 100 trials. The deck probabilities were then reversed so that the high probability decks became low probability (75% to 25%, and 60% to 40%) and vice versa. Participants were not informed of the reversal. The task ended either after the learning criterion was reached following the reversal (round 2), or after another 100 trials (Figure 2).

147 *Impulsivity*

148 Previous research has shown that trait levels of impulsivity can influence decision making (Bayard 149 et al., 2011). Individuals with higher levels of impulsivity have been shown to demonstrate suboptimal performance on decision making tasks, displaying a decreased ability to learn reward and 150 151 punishment associations and implement these to make appropriate decisions. For instance, individuals with high levels of impulsivity were relatively impaired in adapting their behaviour 152 during a reversal learning task (Franken, van Strien, Nijs, & Muris, 2008). Other tasks of cognitive 153 154 flexibility have also been shown to be influenced by trait impulsivity levels (e.g. Müller, Langner, Cieslik, Rottschy, & Eickhoff, 2014). Therefore all participants completed the Barratt 155 156 Impulsiveness Scale (BIS-11; Patton, Stanford, & Barratt, 1995) and their total score was used as a 157 trait measure of impulsivity. This was included in the analysis to account for effects driven by 158 individual differences in impulsivity.

159 Data Analysis

Participants were split into two groups based on performance. Those who learnt both rounds (i.e. reached criterion both during initial learning and after reversal) were classified as learners and those who did not learn both rounds were classified as non-learners.

Behaviour was analysed for learners only. The task stops at 100 trials in each round if the criterion is not met. Therefore, participants who did not reach criterion in either one round or both rounds were excluded from behaviour analysis.

166 Performance was measured using the number of trials taken to reach criterion in round 1 (initial 167 learning) and in round 2 (reversal learning). Round 2 was subdivided into perseverative trials and 168 post-reversal learning (Figure 2). The number of perseverative trials was defined as the number of 169 trials after reversal until the probability of selecting the previously favoured deck reached chance 170 level (0.25), i.e. the number of trials taken to identify the reversal and switch behaviour. Post-171 reversal learning was defined as the number of trials taken to reach criterion in round 2, minus the 172 number of perseverative trials, i.e. the number of trials to reach criterion after the reversal had been 173 detected. In other words, post-reversal learning is measured by the number of trials the participant 174 took to learn the contingencies once they had realised the deck probabilities had reversed. 175 Additionally, the post-reversal learning period included a measure of regressive errors. The number 176 of regressive errors was defined as the number of times the previously favoured deck was selected 177 during the post-reversal learning period (i.e. after the perseverative period had ended).

178 Temporal Difference Reinforcement Learning Model

We modelled participants' choice behaviour as a function of their previous choices and rewards using a temporal difference reinforcement learning algorithm (Sutton and Barto, 1998). This allows us to track trial-and-error learning for each participant, during each task stage, in terms of a subjective expected value for each deck. On each trial *t*, the probability that deck *c* was chosen wasgiven by a soft-max probability distribution:

$$P(c_{t} = c) = \frac{e^{m_{t}(c)}}{\sum_{j} e^{m_{t}(j)}}$$
(1)

184 where $m_t(c)$ is the preference for the chosen deck and *j* indexes the four possible decks. The 185 preference for the chosen deck was comprised of the participant's expected value of that deck on 186 that trial, $V_t(c)$, multiplied by the participant's individual value impact parameter β (equivalent to 187 the inverse temperature):

$$m_t(c) = \beta V_t(c) \tag{2}$$

The parameter β describes the extent to which trial-by-trial choices follow the distribution of the expected values of the decks: a low β indicates choices are not strongly modulated by expected value, being effectively random with respect to this quantity (i.e. participants are not choosing based exclusively on value, and are effectively exploring all options); conversely, a high β indicates choices largely follow expected value (i.e. participants choose the deck with the highest expected value; exploitation).

To update the subjective value of each deck, a prediction error was generated on each trial, pe_t based on whether participants experienced a reward or a loss (*reward*_t = +1 or -1 respectively). The expected value of the chosen deck was subtracted from the actual trial reward to give the prediction error:

$$pe_t = reward_t - V_t(c) \tag{3}$$

Studies have shown that individuals differ in the degree to which they learn from better than expected outcomes (positive prediction errors) and worse than expected outcomes (negative prediction errors) (Gray, 1970; Niv et al., 2012; Christakou et al., 2013; Bull et al., 2015). To account for this, two learning rate parameters were used to model sensitivity to prediction errors in updating the expected values: the weight of learning from better than expected outcomes (learning rate from positive prediction errors: η^+) and the weight of learning from worse than expected outcomes (learning rate from negative prediction errors: η^-). For example, individuals who are reward seeking will place a high weight on the former, whereas those who are loss-aversive will place a high weight on the latter. The prediction error on each trial was multiplied by either the positive (η^+) or negative (η^-) learning rate and used to update the value of the chosen deck.

$$\delta_t = \eta^+ \times pe_t \quad if \ pe_t > 0 \tag{4}$$

$$\delta_t = \eta^- \times p e_t \quad if \ p e_t < 0 \tag{5}$$

$$V(chosen_t) = V(chosen_{t-1}) + \delta_t \tag{6}$$

Thus, the model has three parameters of interest (β , η^+ and η^-). In psychological terms, β captures the degree to which the subjective value of the chosen deck influenced decisions, while the learning rates capture the individual's preference for learning from positive (η^+) or negative (η^-) prediction errors to guide choice behaviour during this task.

212 Model Fitting

The model was fit per participant to provide parameters that maximised the likelihood of the observed choices given the model (individual maximum likelihood fit; Daw, 2011). The reward value was updated as 1 (win) or -1 (loss). Subjective value was initialised at zero for all decks and the initial parameter values were randomised. To ensure the model produced consistent, interpretable parameter estimates, η was limited to between 0 and 1 and β was assumed positive. The parameters were constrained by the following distributions based on Christakou et al (2013):

 $\beta \sim Gamma$ (2,1)

$\eta \sim Beta$ (1.2, 1.2)

The model was fit separately over the trials encompassing round 1 (R1, initial learning) and round 2 (R2, perseverative trials and post-reversal learning, denoted as reversal learning). This was done to capture the change in influence of the model parameters from initial learning to reversal learning. The model was not fit over the perseverative trials separately as the average number of perseverative trials was too small to generate a stable model fit.

224 Traditionally, to investigate the fit of a temporal difference reinforcement learning model the 225 Bayesian information criterion (BIC) is used. The BIC is a post hoc fit criterion which looks at the 226 adequacy of a model whilst penalising the number of parameters used. A lower number indicates a 227 better fit (Steingroever et al., 2016). However, the BIC is generally used to compare different 228 models, rather than model fits over different sets of data, and will penalise different sized data sets. 229 Alternatively, the corrected likelihood per trial (CLPT) can be used. The CLPT is a more intuitive 230 measure of fit that takes into account the number of trials completed without penalising different sized data sets. The CLPT varies between 0 and 1, with higher values indicating a better fit (Leong 231 232 and Niv, 2013; Niv et al., 2015).

Wilcoxon signed-rank tests showed there was no significant difference between the CLPT values for the model fit over round 1 (Mdn = 0.23) and round 2 (Mdn = 0.23; Z= -1.308, p = 0.191). Additionally, there was no significant difference between the BIC values for the model fit over round 1 (M = 75.7, SD = 45.5) and round 2 (M = 90.9, SD = 43.6; t(33) = -1.533, p = 0.135, r = 0.26).

To summarise, the model fit equally well across rounds. Therefore, differences in parameterestimates across the task can be examined.

240 Magnetic Resonance Spectroscopy

241 Data Acquisition

Data was collected at the University of Reading on a Siemens Trio 3T MRI scanner using a transmit-receive head coil. A high-resolution whole-brain T1 structural image was acquired for voxel placement using an MPRAGE sequence parallel to the anterior-posterior commissure line (176x1mm slices; TR = 2020ms; TE = 2.9ms; FOV = $256x256mm^2$, flip angle = 9°, voxel size 1x1x1mm³).

247 Voxels were placed in either the left or right dorsal striatum, ventral striatum and the cerebellum, 248 with hemisphere placement and order of measurements counterbalanced across participants. 249 Anatomy was used to guide voxel positioning. The top of the dorsal striatum was identified by slice-by-slice examination of the structural scan. The slice below the slice where the top of the 250 251 striatum was no longer visible was selected and the top of the voxel was aligned with this slice. The 252 slice above the slice where the bottom of the striatum could no longer be seen was selected and used 253 for alignment of the ventral striatum voxel. The cerebellum voxel was placed as high in the 254 superior cerebellar vermis as possible whilst ensuring only cerebellar tissue was contained in the 255 voxel. The superior cerebellar vermis was chosen as it has been shown to have the lowest variability 256 in both inter and intra subject metabolite ratios as measured with MRS at rest (Currie et al., 2013). 257 All voxels were visually inspected to ensure minimal cerebrospinal fluid was included in the voxels. 258 A PRESS sequence was used to acquire data from the three separate voxel positions (voxel size = $10x15x15mm^3$; TR = 2000ms; TE = 30ms). 128 spectra were collected and averaged for each area. 259 260 A water-unsuppressed spectrum was also obtained from each area for data processing, which 261 consisted of an average of 15 spectra. The SIEMENS Auto Align Scout was used in between each

264 Structural Segmentation

Structural scans were processed using FSL version 5.0.8 (Smith et al., 2004; Jenkinson et al., 2012). First, the skull was removed using the brain extraction tool (BET) (Smith, 2002). Images were segmented into three separate tissue types: grey matter (GM), white matter (WM) and cerebrospinal fluid (CSF) using the FAST tool (Zhang et al., 2001). The coordinates and dimensions of the voxel were then superimposed on these images and the proportion of each of the three tissue types contained within the voxel was calculated.

271 Quantitation

Data was processed in the time domain using Java-Based Magnetic Resonance User Interface (jMRUI software version 5.0 (<u>http://www.mrui.uab.es/mrui</u>; Naressi et al., 2001). Phase correction was performed using the corresponding water spectrum from each area. Each spectrum was then apodized using a Gaussian filter of 3Hz to improve signal quality, reduce noise and reduce effects of signal truncation (Jiru, 2008). The residual water peak was removed using the Hankel-Lanczos Singular Value Decomposition (HLSVD) filter tool.

278 Metabolite models were generated using the software Versatile Simulation, Pulses and Analysis 279 (VEsPA; https://scion.duhs.duke.edu/vespa/project; Soher, Semanchuk, Todd, Steinberg, & Young., 280 2010). 14 typical brain metabolites (Acetate, Aspartate, CHO, Creatine, Gamma-Aminobutyric 281 Acid (GABA), Glucose, Glutamate, Glutamine, Lactate, Myo-inositol, N-acetyl Aspartate (NAA), 282 Phosphocreatine, PC & GPC, Scyllo-inositol, Succinate, Taurine) were simulated at a field strength 283 of 3T using a PRESS pulse sequence (TE1 = 20ms, TE2 = 10ms, main field = 123.25MHz). For 284 initial analyses, CHO was modelled separately from PC+GPC based on the method described in 285 Bell et al., 2018. Additionally, the sum of the three peaks (total choline, tCHO) was included in the

The jMRUI tool Accurate Quantification of Short Echo time domain Signals (AQSES) was used for 289 290 automatic quantification of spectra signals. AQSES was applied using the method described in 291 Minati, Aquino, Bruzzone, & Erbetta, 2010. To correct for any chemical shift displacement, the 292 spectrum was shifted so that the peak for n-acetyl-aspartate (NAA) was at 2.02ppm. The frequency 293 range selected for processing was limited to 0-8.6ppm (equal phase for all metabolites, begin time 294 fixed, delta damping (-10 to 25Hz), delta frequency (-5 to 5Hz), no background handling, 0 295 truncated points, 2048 points in AQSES and normalisation on). Based on common practice in the 296 field, values with a CRB higher than 30% were excluded on a case by case basis.

Metabolite concentrations were calculated for CHO, PC+GPC, tCHO, NAA and total creatine (tCR,
creatine + phosphocreatine), correcting for partial-volume and relaxation effects, using the formula
described in Gasparovic et al., 2006.

300 Experimental Design and Statistical Analysis

Statistical analysis was performed using SPSS (IBM Corp. Released 2013. IBM SPSS Statistics for
Windows, Version 22.0. Armonk, NY: IBM Corp).

The relationships between model parameters and behaviour, along with model parameters and metabolite levels, and behaviour and metabolite levels wereas assessed using correlation analysis. The distribution of the data was analysed using measures of skewness and kurtosis, along with the Shapiro-Wilk test. When the assumptions of normality and homogeneity were met, Pearson's correlation (r) was used to assess correlations. When assumptions of normality were not met, Kendall's Tau (r_T) was used to assess correlations, as it provides a better estimation of the correlation in a small sample size compared to other non-parametric methods (Field, 2009).Both the

behavioural and MRS data reported satisfy false discovery correction using the Benjamini-310 311 Hochberg procedure at a reasonably conservative 10% false discovery rate (Benjamini and 312 Hochberg, 1995). We report the FDR correction because of our strong a priory prediction and the high cost of false negatives. Further, in the case of model-behaviour correlations, the FDR 313 314 correction is more appropriate than a family-wise error rate correction for multiple comparisons 315 (such as the Bonferroni method) because of the high correlation rate expected in the data, given that 316 model parameters were estimated from behaviour itself. We included a bootstrap approach (1000 317 iterations) to calculate bias-corrected 95% confidence intervals (CI). Where appropriate, 318 hierarchical multiple regression analysis was used to assess the variance in behaviour explained by 319 metabolite levels, after the model parameters were accounted for.

320 Confounding Variables

321 There were no significant differences in metabolite levels between hemispheres, therefore the 322 results were combined across hemisphere of acquisition.

323 To examine if variations in the metabolite values might be caused by differing proportions of tissue 324 composition, correlations were performed between CCC levels and proportion of grey and white 325 matter present in the voxel. Additionally, metabolite values were checked against the water signal 326 for the same reason. No significant correlations were found between CCCs and grey/white matter content, indicating any variance seen is generated by differing metabolite levels. The water signal 327 328 significantly correlated with dorsal striatum tCHO (r_T (34) = -0.348, p = 0.003) and ventral striatum 329 PC+GPC (r_T (31) = -0.270, p = 0.001). Therefore, analyses involving dorsal striatum tCHO or 330 ventral striatum PC+GPC were corrected for this source of variance using partial correlations. No 331 other significant correlations were seen between the water signal and metabolite levels of interest.

There is evidence that metabolite levels in the brain can vary based on time of day (Soreni et al., 2006) and age (Pfefferbaum et al., 1999; Reyngoudt et al., 2012). Therefore, all metabolites were checked against these two variables to ensure this was not a source of variance. Time of day significantly correlated with dorsal striatum tCHO (r_T (34) = 0.249, p = 0.038) and cerebellum tCHO (r_T (30) = 0.285, p = 0.026). Therefore, analyses involving dorsal striatum tCHO or cerebellum tCHO were corrected for this source of variance using partial correlations. No other significant correlations were seen between metabolite levels and time of day or age of participant.

339 Controls

The cerebellum was used as a control to demonstrate the regional specificity of results. None of the effects were present in the cerebellum and therefore these results are not reported further. NAA and tCR were used as controls to demonstrate the neurochemical specificity of the results (i.e. that the relevant individual differences were specific to choline and not to spectrum-wide inter-individual differences). None of the effects were present in either NAA or tCR and therefore these results are not reported further. Furthermore, none of the reported effects were found when using tCHO as a measure of cholinergic availability and therefore these results are not reported further.

347 **RESULTS**

348 Behavioural Results

Twenty-two (22) participants reached criterion during both rounds (i.e. they reached criterion both during initial learning and after the reversal) and were included in the analysis. Table 1 shows the average number of trials taken to complete each component.

352 Model parameters and performance

A reinforcement-learning model was used to disentangle components of learning that contribute to overall behaviour. We looked at three parameters of interest, the learning rates from positive (η^+) and negative (η^-) prediction errors, and the overall impact of subjective value of the deck on the To explore how the contribution of the model parameters to behaviour changes over time, we looked at correlations between behaviour (as measured by trials to criterion, number of perseverative trials and number of regressive errors) and the corresponding model parameters separately, i.e. behaviour during initial learning was correlated with model parameters fit over the initial learning period, and likewise for the reversal learning period.

364 Table 3 shows the correlation coefficients for the relationships between model parameters and behaviour. Faster initial learning (low number of trials to criterion) was associated with a higher 365 learning rate from positive prediction errors (r(21) = -0.439, p = 0.041) and a higher value impact 366 367 parameter (r(20) = -0.536, p = 0.012). A lower number of perseverative trials was associated with a 368 higher learning rate from negative prediction errors (r(21) = -0.527, p = 0.012). As was the case 369 during initial learning, during post-reversal learning (after the reversal has been identified) a lower 370 number of trials taken to reach criterion was associated with a higher learning rate from positive prediction errors (r_T (21) = -0.335, p = 0.03), and a higher value impact parameter (r_T (21) = -0.352, 371 372 p = 0.022). Additionally, during post-reversal learning, a lower number of regressive errors was associated with a higher learning rate from positive prediction errors (r_T (21) = -0.355, p = 0.023) 373 374 and a higher value impact parameter (r_T (21) = -0.337, p = 0.031).

375 Effects of trait impulsivity on performance

To investigate the influence of impulsivity on decision making, we looked at correlations between impulsivity (total BIS-11 score) and measures of behaviour (including model parameters) in learners. Higher impulsivity levels were associated with a lower number of perseverative errors (r(21) = -0.470, p = 0.027). No other measures of behaviour correlated with impulsivity.

380 Summary

381 The contribution of learning parameters to performance changes over the learning period. Faster 382 initial learning was indexed by both higher learning rates from positive prediction errors $(R1\eta^{+})$ and 383 higher value impact parameters (R1B). However, reduced numbers of perseverative trials were 384 associated with higher learning rates from negative prediction errors (R2n) and higher impulsivity levels. Similar to initial learning, faster post-reversal learning was associated with higher learning 385 rates from positive prediction errors $(R2\eta^+)$ and higher value impact parameters $(R2\beta)$. 386 387 Additionally, during post-reversal learning, lower numbers of regressive errors were associated with higher learning rates from positive prediction errors (R2 η^+) and higher value impact parameters 388 389 $(R2\beta).$

390 Spectroscopy Results

391 One participant was excluded from spectroscopy analysis due to issues with segmentation of the 392 structural scan. All metabolite values had CRB < 30% and were all included in the analysis.</p>

393 Association of reversal learning with dorsal striatal choline

Table 4 shows the average metabolite levels in the dorsal striatum. To test the hypothesis that reversal learning performance is associated with dorsal striatal CHO levels, we looked at the correlation between measures of reversal learning performance (number of perseverative trials and learning rate from negative prediction errors; $R2\eta^{-}$) and levels of CHO in the dorsal striatum in learners (n = 21).

A lower number of perseverative trials was associated with lower levels of dorsal striatum CHO (r_T (20) = 0.367, p = 0.021; 95% CI [0.081, 0.669]; Figure 4A). The opposite effect was seen with dorsal striatum PC+GPC (r(20) = -0.447, p = 0.042; 95% CI [-0.779, 0.004]). Additionally, higher learning rates from negative prediction errors were associated with lower dorsal striatum CHO

After establishing an association between CHO levels and reversal performance, we wanted to 406 407 examine whether CHO contributed to reversal efficiency over and above behavioural and 408 personality variables. Using a hierarchical multiple regression, we first modelled the contribution of 409 variance from learning rates from negative prediction errors and total BIS scores to the variance in the number of perseverative trials (Model 1; F(2,18) = 9.460 p = 0.002, $R^2 = 0.512$; Table 5). The 410 second model looked at whether the addition of dorsal striatum CHO would explain significantly 411 412 more variance, over and above that explained by learning rates from negative prediction errors and total BIS score (Model 2; F(3,17) = 9.574 p = 0.001, $R^2 = 0.628$; Table 5). 413

The amount of variance in the number of perseverative trials explained by learning rates from negative prediction errors was significant in both Model 1 (β = -0.493, t(18) = -2.980, p = 0.008; Table 5) and Model 2 (β = -0.430, t(17) = -2.843, p = 0.011; Table 5). Additionally, total BIS score also explained a significant amount of variance in both Model 1 (β = -0.472, t(18) = -2.855, p = 0.011; Table 5) and Model 2 (β = -0.419, t(17) = -2.787, p = 0.013; Table 5).

In Model 2, dorsal striatum CHO also explained a significant amount of variance in the number of perseverative trials ($\beta = 0.351$, t(17) = 2.300, p = 0.034; Table 5). The addition of dorsal striatum CHO to the model increased R² by 0.116 and this increase was statistically significant (F(1,23) = 5.291, p = 0.034; Table 5).

To assess the specificity of this result, dorsal striatum PC+GPC was also included in the model. However, analysis of multicollinearity diagnostics showed a tolerance of 0.175, which is below the acceptable value of 0.2. This is due to the strong significant correlation between dorsal striatum CHO and dorsal striatum PC+GPC (r_T (20) = -0.667 p < 0.001). As a result, including the two

427 variables in the same regression model would violate the assumption of multicollinearity and the regression model would not be able to provide unique estimates of the regression coefficients, as 428 429 each will account for overlapping variance (Field, 2009). Therefore, we instead repeated the 430 hierarchical regression with dorsal striatum PC+GPC in place of dorsal striatum CHO. The amount of variance explained by dorsal striatum PC+GPC was not significant ($\beta = -0.301$, t(17) = -1.900, p 431 = 0.075). The addition of dorsal striatum PC+GPC to the model increased R^2 by 0.085 and this 432 increase was not statistically significant (F(1,23) = 3.611, p = 0.075). This indicates that dorsal 433 striatum CHO levels can explain part of the variance in the number of perseverative trials, however 434 435 dorsal striatum PC+GPC levels cannot.

436 Association of other learning parameters with dorsal striatal choline

437 No significant correlations were seen with measures of performance in round 1 (trials to criterion, 438 $R1\eta^+$ or $R1\beta$) and average levels of CHO in the dorsal striatum.

439 No significant correlations were seen with dorsal striatal CHO levels and measures of performance 440 during post reversal learning (trials to criterion, $R2\eta^+$ or $R2\beta$). Additionally, there were no 441 significant correlations between dorsal striatal CHO levels and the number of regressive errors.

442 Association of learning parameters with ventral striatal choline

Two participants were excluded from analysis due to poor data quality of the ventral striatal spectra. Table 6 shows the average metabolite levels in the ventral striatum. To test the hypothesis that associations between dorsal striatal CHO levels are region specific and not from the striatum as a whole, we looked at the correlation between measures of learning performance and levels of CHO in the ventral striatum in learners (n = 20).

Ventral striatal CHO did not correlate with trials to criterion in round 1. However, low levels of CHO in the ventral striatum were associated with higher learning rates from positive prediction errors during initial (but not reversal) learning (r(19) = -0.625, p = 0.003; 95% CI [-0.873, -0.363]; 21 Ventral striatal CHO was not found to correlate with either the number of perseverative trials orlearning rates from negative prediction errors.

No significant correlations were seen with ventral striatal CHO levels and measures of performance during post reversal learning (trials to criterion, $R2\eta^+$ or $R2\beta$). Additionally, there were no significant correlations between ventral striatal CHO levels and the number of regressive errors.

458 Group Comparisons

To investigate whether average levels of CHO in the striatum relate to learning ability, the average levels were compared between learners and non-learners. There was no significant difference in CHO levels between learners and non-learners in either the dorsal striatum or the ventral striatum.

462 Summary

In the dorsal striatum, average CHO levels were associated with performance during reversal, but not during initial learning. There was a significant positive correlation between dorsal striatal CHO levels and the number of perseverative trials, and a significant negative correlation between dorsal striatal CHO levels and learning rates from negative prediction errors ($R2\eta^{-}$). Additionally, dorsal striatal CHO levels explained variance in the number of perseverative trials over and above that explained by learning rates from negative prediction errors.

In the ventral striatum, average CHO levels were not associated with performance during reversal learning. Although ventral striatal CHO levels were not associated with the speed of initial learning, there was a significant positive correlation between ventral striatal CHO levels and learning rates from positive prediction errors, and a significant negative correlation between ventral striatal CHO levels and the value impact parameter during initial learning.

474 DISCUSSION

We used MRS to investigate the relationship between average CHO levels in the human striatum (at rest) and probabilistic reversal learning. We show that baseline levels of CHO in the human dorsal striatum are associated specifically with individual differences in reversal learning efficiency, but not in initial learning, and that this effect is specific to the dorsal, but not the ventral striatum.

479 Behaviourally, we show that faster initial learning is indexed by a higher learning rate from positive 480 prediction errors (η^+) and a higher value impact parameter (β). Therefore, during this period, 481 participants are using wins and expected value to guide their choices. This is also seen during the 482 post-reversal learning period, in which faster post-reversal learning is indexed by higher learning rates from positive prediction errors (η^+) and higher value impact parameters (β). Faster reversal 483 (less perseveration), however, was indexed by higher learning rates from negative prediction errors 484 485 (η) only. During this period, i.e. after the reversal has been implemented, participants must now 486 pay increased attention to worse than expected outcomes in order to identify the change in 487 contingencies. Therefore, to adapt to changes in task structure, participants adapt their strategy by 488 altering the weight of learning from prediction errors based on reward history.

489 The learning rate for negative prediction errors, even after accounting for trait impulsivity, 490 explained a significant amount of variance in perseveration, providing a simple mechanism to 491 explain reversal efficiency. Average dorsal striatum CHO levels explained variance in perseveration 492 over and above this original model. This suggests a more complex mechanism in which 493 perseveration is influenced, in part, by the learning rate from negative prediction errors (which can 494 change due to task demand) and by resting levels of dorsal striatum CHO. Indeed, Franklin & 495 Frank, 2015 showed that a model which takes into account cholinergic activity performs better on a 496 reversal learning task than a model based solely on dopamine prediction error signalling.

497 Our results indicate that participants who were quicker to reverse had lower average levels of dorsal 498 striatum CHO, suggesting that low trait levels of dorsal striatum CHO are beneficial for reversal 499 learning. Based on evidence that ACh efflux increases during reversal learning (Ragozzino et al., 2009; Brown et al., 2010), this suggests two potential mechanisms. Firstly, lower levels of dorsal 500 501 striatum CHO at rest could reflect lower levels of ACh at rest. This is also supported by evidence 502 from the animal literature, which has shown a positive correlation between ACh levels at rest as 503 measured by microdialysis and average CCCs as measured by MRS (Wang et al., 2008). 504 Additionally, higher levels of CHO availability have been shown to lead to higher levels of ACh 505 release, implying a positive correlation between the two metabolites (Koshimura et al., 1990). 506 Based on this notion, the findings here suggest that lower levels of ACh at rest may be beneficial 507 for reversal learning because they enable a higher contrast between ACh levels at rest and during reversal learning. However, it is important to note that Wang et al. (2008) modelled all three CCCs 508 509 as a single peak. It is likely that the relationship between CHO levels as measured by spectroscopy 510 and ACh levels in the brain is not straightforward, and this interpretation should be considered with 511 caution. Indeed, animal studies have shown the relationship between CHO and ACh can change based on neuronal firing and ACh requirement (Löffelholz, 1998; Klein et al., 2002). Furthermore, 512 513 we have previously demonstrated a drop in CHO levels in the human dorsal striatum during reversal 514 learning, thought to reflect the sustained increase in ACh release seen in animal studies (e.g. 515 Ragozzino et al., 2009). This drop is thought to be due to an increase in translocation of CHO 516 uptake receptors in response to sustained neural firing (Bell et al., 2018). Though we have described 517 the measurements in this study as "at rest", cholinergic interneurons are tonically active, and 518 therefore the relationship between CHO and ACh levels in the striatum will likely reflect a more 519 complex dynamical relationship between the two.

520 The second potential mechanism supported by our findings is that lower levels of dorsal striatum 521 CHO at rest may result from a more efficient CHO uptake system. Mice carrying mutations in the 522 gene coding for CHO uptake transporters have reduced neuronal capacity to both clear CHO and 523 release ACh. Moreover, performance on an attention task was impaired in these mice (Parikh et al., 524 2013). Additionally, in a study of frontal cortex cholinergic modulation during attention, humans with a gene polymorphism which reduces CHO transport capacity showed reduced activation in the 525 prefrontal cortex during an attentional task. Furthermore, the pattern of activation predicted CHO 526 527 genotype (Berry et al., 2015). Although our findings are in line with biochemical and functional 528 evidence in various models, it is clear that further work is needed to determine the relationship 529 between CHO uptake, ACh release, and reversal learning.

530 With regards to performance, disruption of cholinergic signalling in rodents typically results in an 531 increase in regressive errors (Brown et al., 2010; Bradfield et al., 2013). However, here we found no 532 association between dorsal striatum CHO levels and the number of regressive errors. In humans, 533 measures of individual differences in perseverative and regressive errors are likely to be 534 confounded by individual differences in representation of the task structure. Rather than making 535 perseverative and regressive errors based solely on feedback, the ability to flexibly alter response 536 depends in part on a higher level representation of the task, which is thought to be maintained in 537 frontal areas of the cortex (Armbruster et al., 2012). It should be noted that the basal ganglia-538 thalamo-cortical system has been shown to be modulated by the maintenance of task rules, with 539 individuals with stronger representation of the task structure showing higher activation in the 540 caudate and thalamus during a behaviour switch (Ueltzhöffer et al., 2015), indicating that 541 representation of task structure likely modulates dorsal striatum activity in response to the need for 542 behavioural flexibility. Inevitably, caution is needed when translating evidence from rodent studies 543 of learning to human studies. This emphasises the need to further develop non-invasive techniques 544 for studying human neurochemistry in vivo.

As predicted, and in line with evidence from the animal literature (Ragozzino et al., 2009), levels of CHO in the ventral striatum were not associated with reversal learning. However, ventral striatum 547 CHO levels were associated with model parameters which contributed to initial learning. Though 548 Ragozzino et al. demonstrated that ACh levels in the rat ventral striatum did not change during 549 reversal learning, they did not test if they changed during initial learning. Successful learning 550 requires the ability to learn from feedback, which is encoded through dopaminergic prediction error 551 signalling in the ventral striatum (Schultz et al., 1997). The rodent ventral striatum has a higher 552 density of cholinergic interneurons than the dorsal striatum (Matamales et al., 2016) and changes in 553 cholinergic activity are time locked to changes in dopaminergic activity, which is thought to 554 enhance the contrast of prediction error signalling (Aosaki et al., 2010). Indeed, cholinergic activity 555 in the ventral striatum has been linked with effective learning of a stimulus-outcome association (Brown et al., 2012), therefore it is likely that cholinergic activity in the ventral striatum is involved 556 557 in some aspect with goal-directed learning, and further studies should explore this contribution.

558 Due to our specific a priori hypotheses and novel MRS application, we used several controls to 559 demonstrate that these effects are specific to CHO levels in the striatum. We acquired data from a 560 voxel in the cerebellum, geometrically identical to the striatal voxels. No learning effects were 561 present in the cerebellum, demonstrating that our findings are specific to the striatum. Additionally, 562 we also quantified two control metabolites (NAA and tCR) to ensure that the results were specific 563 to the metabolite of interest, rather than a general measurement or region effect. None of the effects 564 were seen in levels of NAA and tCR in the dorsal striatum or ventral striatum. Importantly, none of 565 the effects were seen when modelling all three peaks together (tCHO), highlighting once more the 566 importance of separating CHO when using MRS to investigate individual differences in CCC 567 levels.

As is common with learning tasks, a significant proportion of our sample did not reach criterion, leaving a smaller sample for analysis. This proportion is similar to that reported in previous studies using this task (i.e. Schönberg et al., 2007), and although the final sample size was reduced by this effect, it is in line with the size of typically published MRS/MRI samples. This observation notwithstanding, the novelty of the approach presented here naturally warrants further validation ofboth the method and the findings.

574 In summary, we used MRS to demonstrate that average levels of CHO in the human dorsal striatum are associated with performance during probabilistic reversal, but not during initial learning. This is 575 in line with evidence from the animal literature and our own prior work with humans, which 576 577 suggests a specific role for cholinergic activity in the dorsal striatum during reversal learning. These 578 results provide evidence for the role of the human cholinergic striatum in reversal learning and 579 behavioural flexibility more generally. Additionally, these findings further support the idea of using CHO levels as measured by MRS as a tool for non-invasive in vivo monitoring of both healthy 580 581 human neurochemistry, as well as disorders of the human cholinergic system.

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781 Tables

782 *Table 1: Performance variables*

	Average Number of Trials	SD
Initial Learning	44	28
Reversal Learning	47	23
Perseveration Period	12	8
Post Reversal Learning	35	22

Regressive Errors 7	6
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783

784 Table 2: Estimates of model parameters

	η^+	η	β
Initial Learning	0.37	0.42	1.44
	(SD = 0.30)	(SD = 0.31)	(SD = 0.56)
Reversal Learning	0.24	0.31	1.37
	(SD = 0.35)	(SD = 0.27)	(SD = 0.97)

785 Note: $\eta^+ =$ learning rate from positive prediction errors; $\eta^- =$ learning rate from negative prediction

786 *errors;* β = *impact of subjective value on choice.*

η^+	η	β
-0.439	-0.218	-0.536*
[-0.710, -0.066]	[-0.307, -0.680]	[-0.808, -0.248]
-0.176	-0.527*	0.132
[-0.516, 0.233]	[-0.754, -0.285]	[-0.117, 0.403]
-0.335*	0.322	-0.352*
[-0.593, -0.014]	[-0.164, 0.673]	[-0.674, -0.051]
-0.355*	0.292	-0.337*
[-0.612, -0.047]	[-0.174, 0.649]	[-0.639, -0.054]
	[-0.710, -0.066] -0.176 [-0.516, 0.233] -0.335* [-0.593, -0.014] -0.355*	-0.439 -0.218 [-0.710, -0.066] [-0.307, -0.680] -0.176 -0.527* [-0.516, 0.233] [-0.754, -0.285] -0.335* 0.322 [-0.593, -0.014] [-0.164, 0.673] -0.355* 0.292

Note: $\eta^+ = learning$ rate from positive prediction errors; $\eta^- = learning$ rate from negative

789 prediction errors; β = value impact parameter; * p<0.05; ranges in square brackets indicate bias 790 corrected 95% confidence intervals.

791

792 Table 4: Average metabolite levels in the dorsal striatum

	СНО	PC+GPC	tCH0	NAA	tCR
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Learners	0.15	0.27	0.42	8.73	11.58
	(SD = 0.20)	(SD = 0.10)	(SD = 0.12)	(SD = 0.77)	(SD = 1.74)
Non-Learners	0.11	0.36	0.46	8.83	11.80
	(SD = 0.16)	(SD = 0.14)	(SD = 0.10)	(SD = 2.37)	(SD = 2.31)

Note: CHO = choline, PC+GPC = phosphocholine and glycerophosphocholine, tCHO = total

794 *choline,* NAA = n-acetyl aspartate, tCR = total creatine.

795 Table 5: Summary of hierarchical regression analyses for variables predicting perseveration

		В	SE B	β	R^2	ΔR^2	р
Model 1					0.512		0.002
	R2η ⁻	-14.476	4.858	-0.493			0.008
	BIS Total	-0.504	0.176	-0.472			0.011
Model 2					0.628	0.116	0.034
	R2η ⁻	-12.619	4.439	-0.430			0.011
	BIS Total	-0.447	0.160	-0.419			0.013
	DS CHO	5.306	2.307	0.351			0.034

796 Note, for $\Delta R^2 = 0.139$, p = 0.037

797 $B = unstandardized \ coefficient, SE = standard \ error, \beta = standardised \ coefficient$

798 Table 6: Average metabolite levels in the ventral striatum

	СНО	PC+GPC	tCHO	NAA	tCR
Learners	0.24	0.27	0.5	5.39	12.02
	(SD = 0.17)	(SD = 0.12)	(SD = 0.17)	(SD = 1.97)	(SD = 2.26)
Non-Learners	0.23	0.25	0.48	5.45	11.13
	(SD = 0.17)	(SD = 0.14)	(SD = 0.16)	(SD = 1.54)	(SD = 3.95)

Note: CHO = choline, PC+GPC = phosphocholine and glycerophosphocholine, tCHO = total

800 *choline,* NAA = n-acetyl aspartate, tCR = total creatine.

801 FIGURE LEGENDS

Figure 1: General outline of learning task trials. Participants were instructed to choose between four decks of cards. Each deck had a different probability of generating wins:losses (75:25, 60:40, 40:60, 25:75). Once the learning criterion had been reached, the deck probabilities were reversed so that high probability decks became low probability decks and vice versa. Participants were not informed of this in advance and were simply instructed to gain as many points as possible. Each screen was shown for 2.5s, RT = reaction time

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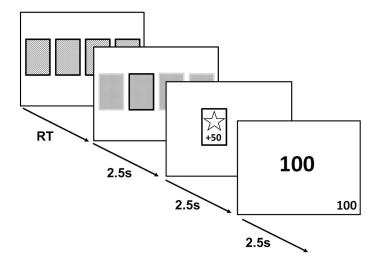
809 Figure 2: General overview of learning task structure. Participants completed the initial learning 810 phase (round 1) by reaching the predefined accuracy criterion or after 100 trials. Upon completion of the initial learning phase, the deck probabilities were reversed. Participants then completed a 811 812 reversal learning phase (round 2). For behavioural analysis, this was subdivided into perseverative 813 trials (PER) and a post-reversal learning period. The number of perseverative trials was defined as 814 the number of trials after reversal until the probability of selecting the previously favoured card reached chance level (0.25). The post-reversal learning period was the number of trials to reach 815 816 criterion in round 2, minus the number of perseverative trials. The number of regressive errors was 817 defined as the number of times the previously favoured deck was selected during the post-reversal 818 learning period. The task ended once participants either reached the same accuracy criterion in 819 round 2 or after 100 round 2 trials.

820

Figure 3: Location of voxels and example spectra. Heat maps showing the sum of the ^{MRS} voxels over all subjects in MNI space, along with a voxel and a representative spectrum from a single subject (A = Dorsal Striatum, MNI coordinates: -3.41, 2.37, 11.16; B = Ventral Striatum, MNI coordinates: -2.99, 5.92, -3.93; C = Cerebellum, MNI coordinates: -2.10, -61.03, 19.20). Figure 4: Correlations between dorsal striatum CHO levels and performance during reversal A: Positive correlation between the number of perseverative trials and levels of CHO in the dorsal striatum (r_T (21) = 0.367, p = 0.021). B: Negative correlation between the learning rate based on negative prediction errors derived from round 2 (R2 η -) and levels of CHO in the dorsal striatum (r_T (21) = -0.371, p = 0.019). DS: Dorsal Striatum; CHO: Choline.

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Figure 5: Correlations between ventral striatum CHO levels and performance during initial learning A: Negative correlation between learning rate based on positive prediction errors derived from round 1 (R1 η +) and levels of CHO in the ventral striatum (r(19) = -0.625, p = 0.003). B: Positive correlation between impact of participant's subjective value on their future choice derived from round 1 (R1 β) and levels of CHO) in the ventral striatum (r(18) = 0.555, p = 0.014). VS: Ventral Striatum; CHO: Choline.



Round 1	Round 2 Reversal Learning		
Initial Learning	PER	Post-Reversal Learning	

