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## Intensification Variability of Tropical Cyclones in Directional Shear Flows: Vortex Tilt-Convection Coupling

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Abstract

3 The coupling of vortex tilt and convection, and their effects on the 4 intensification variability of tropical cyclones (TCs) in directional shear flows is 5 investigated. The height-dependent vortex tilt controls TC structural differences in 6 clockwise (CW) and counter-clockwise (CC) hodographs during their initial stage of 7 development. Moist convection may enhance the coupling between displaced vortices 8 at different levels and thus reduce the vortex tilt amplitude and enhance precession of 9 the overall vortex tilt during the early stage of development. However, differences in 10 the overall vortex tilt between CW and CC hodographs are further amplified by a 11 feedback from convective heating and therefore result in much higher intensification 12 rates for TCs in CW hodographs than in CC hodographs.

In CW hodographs, convection organization in the left-of-shear region is favored because the low-level vortex tilt is ahead of the overall vortex tilt and the TC moves to the left side of the deep-layer shear. This results in a more humid midtroposphere and stronger surface heat flux on the left side (azimuthally downwind) of the overall vortex tilt, thus providing a positive feedback and supporting continuous precession of the vortex tilt into the up-shear-left region.

19 In CC hodographs, convection tends to organize in the right side (azimuthally 20 upwind) of the overall vortex tilt because the low-level vortex tilt is behind the overall 21 vortex tilt and the TC moves to the right side of the deep-layer shear. In addition, 22 convection organizes radially outward near the down-shear-right region, which 23 weakens convection within the inner region. These configurations lead to a drier mid-24 troposphere and weaker surface heat flux in the downwind region of the overall vortex 25 tilt and also a broader potential vorticity skirt. As a result, a negative feedback is 26 established that prevents continuous precession of the overall vortex tilt.

#### 27 1. Introduction

28 Environmental directional shear flows that change wind direction with height 29 can result in significant variability of the intensification rate of tropical cyclones (TCs). 30 Tropical cyclones intensify more rapidly in clockwise (CW) hodographs than in 31 counter-clockwise (CC) hodographs, even if the deep-layer shear between 200 and 850 32 hPa is identical (Nolan 2011, hereafter N11). It is important to understand the physics 33 that underlies the uncertainties of TC intensification in directional shear flows, as 34 environmental flows in the real atmosphere are more complicated than idealized 35 unidirectional shear flows (e.g. Wang et al. 2015).

36 Distinct TC structural features (e.g., local helicity, the position of convection, 37 surface heat flux relative to the deep-layer shear) have been identified to be responsible 38 for variations in convective heating and the precession of convection, thus leading to 39 variability in TC intensification in directional shear flows (Onderlinde and Nolan 2014, 40 2016; hereafter ON14, ON16). Recently, Gu et al. (2018, hereafter GTQ18) showed 41 that TC structural differences in CW and CC hodographs are rooted in dry dynamics; 42 i.e., they arise from a balanced response to distinct configurations of height-dependent 43 vortex tilt in directional shear flows, particularly low-level vortex tilt relative to the 44 overall vortex tilt. However, the extent to which vortex tilt-dominated dynamics can 45 explain structural features in moist simulations remains unclear. For example, it is not 46 obvious how dry dynamics could result in different surface heat-flux structures within 47 the inner-core region of TCs.

The continuous advancement of convection into the up-shear region is an essential process in TC intensification in directional shear flows (Rappin and Nolan 2012; ON14; Stevens et al.2014; ON16; Rogers et al. 2016; Munsell et al. 2017; Chen et al. 2018). As proposed by GTQ18, low-level upward motion is more likely to be

52 triggered in the downwind region of overall vortex tilt in CW hodographs than in CC 53 hodographs because the low-level vortex tilt is ahead of the overall vortex tilt in the 54 former but behind the overall vortex tilt in the latter. As a result, differences in 55 convection precession in directional shear flows may also originate from the position 56 of low-level vortex tilt relative to the overall vortex tilt. In addition to vortex tilt-57 dominated dry dynamics, moist convection is important in amplifying the differences 58 in the precession of the tilted vortex, which leads to differences in TC intensification. 59 Using trajectory analyses, ON16 showed that air parcels experiencing larger surface 60 heat fluxes in the up-shear region of CW hodographs can be more easily ingested into 61 the TC core than CC hodographs. As a result, convection can more easily advance into 62 the up-shear region in CW hodographs. In addition to surface heat flux, observations 63 also emphasize the importance of favorable moist environments in the mid-troposphere 64 and high sea-surface temperatures in supporting persistent deep convection in up-shear 65 regions (Rogers et al. 2016; Zawislak et al. 2016).

66 In the real atmosphere, both dry and moist dynamics are expected to contribute 67 to variations in TC intensification rates in directional shear flows. Frank and Ritchie 68 (1999) found that, in the unidirectional shear, the asymmetric structures are controlled 69 by the dry dynamics in response to the deep-layer shear and boundary layer 70 convergence during the early stage of the simulation and later on are further changed 71 by the moist dynamics. However, little is known about how the dry and moist processes 72 are related and how they collectively affect TC intensity in different directional shear flows. In this study, we combine vortex tilt-dominated dry dynamics and moist 73 74 processes together to develop a more complete understanding of distinct TC features 75 and propose a pathway that couples various structures to result in the diverse evolution 76 of TCs in directional shear flows. We will demonstrate that convective heating plays

an important role in coupling the distinct structures, and that the convective
organization determines whether a positive or negative feedback for overall vortex tilt
precession and TC intensification is established.

80 The remainder of this paper is organized as follows. Section 2 describes the 81 numerical model and design of the experiments. Section 3 explains how the dry 82 dynamics couples with moist convection to result in distinct structures in CW and CC 83 hodographs. Section 4 examines the different organization of convection in CW and 84 CC hodographs and discusses possible mechanisms. Section 5 presents details of how 85 these structures cooperate together through convective heating to form vortex tilt 86 precessions that are more favorable for TC intensification in CW than in CC 87 hodographs. Moreover, the role of surface heat flux on overall vortex tilt precession is 88 discussed. A comparison of results from this study with other modelling and 89 observational studies is provided in section 6. Finally, section 7 gives a brief summary 90 of the results.

91

#### 92 **2. Model setup and simulation design**

93 Following the methodology of GTQ18, this study uses the Weather Research and 94 Forecasting model Version 3.4 (WRFV3.4; Skamarock et al. 2008) with the point-95 downscaling (PDS) method (Nolan 2011) implemented to investigate vortex evolution 96 in directional shear flows. We use the same domain, initial vortex, boundary conditions, 97 and background directional shear flows as in GTQ18. Most model configurations also 98 follow those of GTQ18 to allow a direct comparison of the results of moist simulations 99 with those of the dry simulations in GTQ18. Readers can refer to GTQ18 for more 100 details of the experiment setup. A two-way nesting is employed in all the simulations. 101 The difference between GTQ18 and the present study is that moist convection is included here. The surface sea temperature is fixed to be 30 °C. The WRF singlemoment 6-class microphysics scheme (WSM6; Hong and Lim 2006) is used to simulate
microphysical processes including graupel; no cumulus parameterization is applied.
The Yonsei University PBL scheme (YSU; Hong et al. 2006) is used to parameterize
vertical mixing in the planetary boundary layer. For simplicity, both the longwave and
shortwave radiation are turned off. Our simulations do not employ nudging as in ON16
since it is not possible to keep the environmental flow steady in the real atmosphere.

109 The hodograph of the background directional shear flow is shown in Fig. 1a. 110 Seven control simulations, referred to as CW5, CW2.5, CW1.25, UNIDIR, CC1.25, 111 CC2.5, and CC5, are performed, as in GTQ18. The UNIDIR simulation is a 112 unidirectional shear flow simulation. The numbers represent the amplitude of the cosine 113 function for meridional wind. The environmental *u* component has a cosine shape with 114 easterly winds of 5 m s<sup>-1</sup> below 850 hPa and a westerly flow of 5 m s<sup>-1</sup> above 200 hPa 115 in all the simulations. Therefore, the deep-layer shear between 200 and 850 hPa in all the simulations corresponds to a 10 m s<sup>-1</sup> westerly shear flow. 116

117 In addition to these simulations, two sets of sensitivity experiments are conducted based on the simulation CW2.5. In the first set of sensitivity simulations, 118 119 convective heating is suppressed to investigate its role in vortex tilt precession. In these 120 simulations, labelled CW2.5 0.5DH and CW2.5 0DH, the amplitudes of latent heating 121 in the microphysical processes are modified at each time step to be 0.5 and 0 times the 122 original values before updating the temperature, respectively. In the second set of 123 sensitivity experiments, labelled CW2.5\_0.5MSF and CW2.5\_0MSF, the strong 124 surface heat flux (latent heat flux plus sensible heat flux) is removed at each time step 125 to examine its role in the precession of vortex tilt into the up-shear region. In 126 CW2.5 0.5MSF, surface heat fluxes that are larger than half the maximum value across 127 the domain are set to zero. The maximum value is calculated within the innermost 128 domain at every time step. As strong surface heat fluxes are primarily located in the 129 downstream region of convection (see section 3 and Fig. 4), these sensitivity 130 simulations only remove strong heat fluxes that are near the downwind region of convection clusters. In CW2.5\_0MSF, all surface heat fluxes across the inner-domain 131 132 are removed. The suppression of convective heating and removal of strong surface heat 133 fluxes are only applied across the innermost domain and between hours 15 and 25, 134 during which the differences in the precession of overall vortex tilt lead to various TC 135 intensification rates in CW and CC hodographs.

136

#### **3. Imprint of dry dynamics in the moist simulations**

138 The evolution of TC intensity in all the control simulations is similar during the 139 first 20 hours (Fig. 1b). After hour 20, the TCs in the CW and UNIDIR hodographs 140 begin to intensify rapidly, whereas TCs have a much slower intensification rate in 141 CC1.25 and CC2.5 and undergo no intensification in CC5. This is consistent with 142 previous studies (N11, ON14 and ON16), which found that CW hodographs are more 143 favorable for TC intensification than CC hodographs. Structural differences and 144 physical processes that occur around hour 20 are critical for various TC intensification 145 rates. In the following section, two periods (from hour 15 to 20 and hour 20 to 25) 146 during TC development are discussed in detail.

147 The evolution of overall vortex tilt before hour 15 confirms the importance of 148 dry dynamics, as identified by GTQ18 (Fig. 2). Vortex centers are calculated as the 149 vorticity centroid within a radius of 200 km from the location of minimum pressure at 150 each level. At early stages (before hour 15), the magnitudes of overall vortex tilt all 151 increase and do not differ significantly regardless of whether the directional shear flow rotates clockwise or counter-clockwise (Fig. 2a). However, overall vortex tilts in CW
hodographs are directed toward the down-shear-left region, compared with down-shear
and down-shear-right regions in CC hodographs (Fig. 2b). These features are consistent
with the results of GTQ18, which did not consider moist convection.

156 Differences in the distributions of convection within TCs in CW and CC 157 hodographs exist prior to the development of differences in TC intensity (Fig. 3). At 158 hour 15, convective clusters in CW hodographs are located in the down-shear-left 159 (CW1.25 and CW2.5) or left-of-shear (CW5) regions (Figs. 3a-c), whereas those in CC 160 hodographs are concentrated in the down-shear-right region (Figs. 3d-f). The locations 161 of convective clusters are generally in-phase with the direction of overall vortex tilt. In 162 contrast to ON14, no obvious displacement of local positive helicity from convection 163 is found in our simulations (Fig. 3). Actually, the convection is also generally in phase 164 with local positive helicity in ON14 (Fig. 9 in ON14). It is a direct manifestation of dry 165 dynamics that upward motions are a balanced response to vortex tilt and are generally 166 collocated with positive local helicity (GTQ18). In response to the vortex tilt, the 167 isentropic surface must be distorted in the down-tilt direction and upward motion must 168 occur to the right-of-tilt side along the isentropic surface. At the same time, the wind 169 direction changes in a clockwise sense to the right-of-tilt side and thus the positive helicity 170 is collocated with convection in the moist simulation. Theoretical studies show that the 171 positive helicity indicates warm advection (Tan and Wu 1994) in the adiabatic flow and 172 thus the vertical motion is proportional to the local helicity (Hide 2002). This also indicates 173 the collocation of local helicity and convection. A detailed investigation shows that more 174 convection is located ahead of the overall vortex tilt in CW hodographs than in CC 175 hodographs. This is because the low-level vortex tilt in CW hodographs has a different 176 configuration with respect to the overall vortex tilt than does that in CC hodographs, as 177 explained by GTQ18. In CW5, low-level vortex tilt (2–5 km) is closer to the up-shear 178 region than the overall vortex tilt (Fig. 3c), but is closer to the right-of-shear region than 179 the overall vortex tilt in CC5 (Fig. 3f). As a result of balanced dynamics, stronger 180 upward motion occurs at low levels, leading to convection clusters that are ahead of the 181 overall vortex tilt in CW5 hodographs. There are no obvious differences between 182 overall vortex tilt and low-level vortex tilt in the other cases (Figs. 3a, b, d, and e). This 183 is due to the enhanced coupling between vortex centers at different levels from the 184 feedback of moist convection, and also the smaller curvature in directional shear flow 185 than in CW5 and CC5.

186 Although the vortex tilt affects the location of convection during the early stage 187 of TC development, it alone cannot capture all observed differences, such as the 188 configuration of surface heat flux, which has been shown to be important for the 189 precession of convection in directional shear flows (ON16). Figure 4 shows that strong 190 surface heat flux (the sum of sensible and latent heat fluxes) is generally located 191 between the up-shear-left region in CW2.5 and CW5 (Figs. 4b and c) and the left-of-192 shear region in CW1.25 (Fig. 4a), but is concentrated in the down-shear-left region in 193 CC1.25 and CC2.5 (Figs. 4d and e) and the down-shear region in CC5 (Fig. 4f). The 194 preference of strong surface heat fluxes to be located near the left-of-shear region can 195 be explained by stronger surface wind speeds in this region due to the superposition of 196 low-level flow with cyclonic circulation, as low-level environmental winds come from 197 the east in all the simulations. In addition, the evolution of low-level winds in shear 198 flows is also quadrant-dependent with stronger winds in the left-of-shear region (Gu et 199 al. 2016). The relative locations of strong surface heat fluxes are consistent with the 200 results of ON16. However, the strength of the surface heat flux at hour 15 in CW 201 hodographs is weaker than that in CC hodographs. This is in contrast with ON16, who 202 found that the surface heat flux is stronger in CW hodographs than in CC hodographs 203 before differences in TC intensification rates develop. Because the surface heat flux 204 does not solely depend on the surface wind speed, it is also necessary to investigate the 205 structure of thermodynamic disequilibrium, as the surface heat flux is the product of 206 these two factors. Figure 4 shows that low equivalent potential temperatures generally 207 overlap with strong surface heat flux. By examining a composite vertical cross-section 208 along the line of strong surface heat flux and convection (not shown), we find that 209 precipitation downdrafts that originate from convection bring mid-level low-entropy air 210 into the boundary layer, building a cold reservoir and a region of large thermodynamic 211 disequilibrium, resulting in strong surface heat fluxes in the downstream region of 212 convective clusters in both CW and CC hodographs. Therefore, the stronger surface 213 heat fluxes in CC hodographs before the intensification rates differ are primarily due to 214 the stronger cold pool strength, which is further related with the strength of convection. 215 During 15-20 h, the low entropy downdrafts are stronger in CC hodographs than in CW 216 hodographs (not shown). The relative strength of surface heat fluxes between CW and 217 CC hodographs will change during later hours, depending on the strength of convection 218 within the inner core region (see section 4). It is not clear why the convective strength are 219 different in CC and CW hodographs during different time periods and deserves more 220 detailed investigation in the future. Please note that the comparison of surface heat fluxes 221 between our study and ON16 is not direct because they are during different hours of 222 the simulation. However, the comparison period in this study (15-20 h) and ON16 (24-223 36 h) is generally during the same stage of the TC evolution, that is, a period just before 224 and after the intensification rates become different, and therefore the comparison is 225 reasonable. In addition, this study uses the total heat flux while ON16 just examine the 226 latent heat flux. Detailed investigation of sensible and latent heat flux individually gives 227 the same conclusion as the total heat flux.

#### 229 4. Convection organization in directional shear flows

230 Although balanced dynamics control the azimuthal position of convection 231 during the early stage of development, they cannot explain all the features of convection 232 organization in CW and CC hodographs at later times (hours 15 to 30), when 233 differences in the magnitude and precession of the overall vortex tilts (Fig. 2) and the 234 intensification rates (Fig. 1) begin to develop. Figures 5 and 6 show the time-averaged 235 distribution of simulated radar reflectivity during hours 15–20, 20–25, and 25–30 for 236 CW and CC hodographs, respectively. In CW hodographs during hours 15-20, the 237 convection is located in the down-shear-left region in CW1.25 and CW2.5 (Figs. 5a 238 and d) and near the left-of-shear region in CW5 (Fig. 5g). Between hours 20 and 25, 239 the leading edge of the convective cluster in CW5 (Fig. 5h) advances into the up-shear-240 left region whereas the front edges of the convective clusters in CW1.25 and CW2.5 241 (Figs. 5b and e) move into the left-of-shear region. After hour 25, all convection in CW 242 hodographs has moved into the up-shear region (Figs. 5c, f and i). Therefore, although 243 the tail of the convective cluster remains in the down-shear region, the leading edge of 244 the convective cluster tends to organize near the left-of-shear and up-shear-left regions, 245 and thus helps advance the overall vortex tilt into the up-shear region (Fig. 5). In 246 contrast, in CC hodographs the leading edge of the convective cluster remains in the 247 down-shear region but the convection tends to develop a tail structure extending from 248 the main cluster in the down-shear region to the right-of-shear region (Figs. 3d-f and 249 Fig. 6).

Furthermore, convection is organized quite differently in the radial direction between the two types of hodographs. In CW hodographs, the convection is confined within 120-km radius and is generally organized along the azimuth (Fig. 5). In CC 253 hodographs, in addition to the main convective cluster in the inner-region, there are 254 indications of convection organization radially outward (150 km; Fig. 6). From hours 255 15 to 20 (Fig. 6a), the main convective cluster in CC1.25 is located in the down-shear 256 region. At the same time, weak signals of organized convection are established radially 257 outward. After five hours (hours 20-25; Fig. 6b), the outer convection intensifies as the 258 inner-core convection weakens slightly. The resulting distribution of convection 259 exhibits a radially elongated pattern. Later, during hours 25–30, the inner convection 260 weakens noticeably. As a result, the overall convection is located at larger radial 261 distances (Fig. 6c). Similar organization also occurs in the CC2.5 and CC5. In CC2.5, 262 the outer convection is initially organized in a spiral line along the azimuth during hours 263 15–20 (Fig. 6d), and later (hours 20–25) intensifies as it merges with the weakening 264 convection in the inner region, resulting in a rain-band along the radial direction (Fig. 265 6e). Finally (hours 25–30), the overall convection weakens and shifts to cover a more 266 radially outward region (Fig. 6f). In CC5 during hours 15–20, the outer convection has 267 already merged with the inner-core convection, covering a large area in the down-shear-268 right quadrant (Fig. 6g). Later (hours 20–25), the outer convection maintains its strength 269 and location as the inner convection weakens (Fig. 6h). Consequently, the remaining 270 convection is concentrated in the outer region in the down-shear-right quadrant (Fig. 271 6i).

To investigate the mechanism of convection organization, the vertical acceleration is decomposed into buoyancy and dynamic forcings (Jeevanjee and Romps 274 2013). The relative roles of these two forcing could be quantified by diagnosing the vertical buoyancy acceleration  $a_b$  and the vertical inertial acceleration  $a_i$ . These two accelerations could be calculated by solving the Poisson equation for  $a_b$  and  $a_i$ :,

$$-\nabla^2 (\overline{\rho} a_b) = g \nabla_b^2 \rho$$

279 
$$-\nabla^2(\overline{\rho}a_i) = -\partial_z \nabla \cdot [\overline{\rho}(\mathbf{u} \cdot \nabla)\mathbf{u}] \qquad ,$$

280 where **u** is the three-dimensional wind vector, g is the gravitational acceleration,  $\rho$  is air density and  $\overline{\rho}$  is the reference density  $\nabla^2$  is the three-dimensional Laplacian,  $\nabla_h^2$ 281 282 is the horizontal Laplacian, and  $\nabla \cdot$  is the three-dimensional divergence operator. 283 Figure 7 shows the total vertical acceleration (Figs. 7c and f), its dynamic component 284 (Figs. 7a and d), and its thermodynamic component (Figs. 7b and e) below 1.5 km 285 during hours 19-20 for CW2.5 (Figs. 7a-c) and CC2.5 (Figs. 7d-f). The dynamic 286 forcing outweighs the thermodynamic forcing in both CW2.5 and CC2.5. This is also 287 the case generally during hours 15–30 and in other simulations (including the sensitivity 288 experiments following the deactivation of diabatic heating and surface heat fluxes, not 289 shown) and therefore suggests that the surface heat flux may not play a direct role in 290 convection organization. In addition, the surface convergence does not coincide well 291 with the strong surface heat fluxes during hours 15-25 (not shown). Therefore, the 292 strong heat flux does not appear to feedback directly to assist the continuous 293 advancement of convection into the up-shear side. However, in CW hodographs, the 294 strong heat flux does begin to overlap with surface convergence once the overall vortex 295 tilt precesses into the up-shear side and favoring subsequent rapid intensification.

There are several possible dynamic mechanisms of convection organization in directional shear flows. One involves kinematic structural changes in response to vortex tilt, which has its roots in dry dynamics, as described by GTQ18, and is partly responsible for differences in the azimuthal location of convection. Another is related to storm movement. A northward component of TC movement exists in CW hodographs, but a southward component exists in CC hodographs. This results in different structures of asymmetric radial inflows (see Fig. 8 in GTQ18). Figure 8 shows

303 the distribution of radial flows within the boundary layer (0-1.5 km) over 15-25 h. In 304 CW hodographs, the strongest inflow is located in the left-of-shear side. The radial inflows 305 tend to occur in the up-shear-left quadrant in CW2.5 and CW5 simulations and lead the 306 strong upward motions. This corresponds to the continuous organization of convection on 307 the left-of-shear side in CW hodographs. In CC hodographs, a large part of the radial 308 inflows come from the right-of-shear side. The stronger the southward environmental flow 309 (CC5>CC2.5>CC1.25) is, the stronger the radial inflow on the right-of-shear side because 310 the southward movement is stronger due to the enhanced vertically averaged steering flow. 311 Therefore, the different asymmetric structures of boundary layer inflows may be 312 responsible for the long tail in the right-of-shear region in CC hodographs, and favors 313 further extension of the leading edge of convection into the left-of-shear region in CW 314 hodographs. Finally, the mechanism proposed by Riemer (2016) may support 315 convection organization in the radial direction outside the eyewall in CC hodographs. 316 The overlap of asymmetric frictional convergence due to vortex tilt and low-level high-317 entropy air associated with the deformed moist envelope may favor deep convection in 318 the down-shear-right region. Cold-pool dynamics are an alternative mechanism that 319 may explain TC convection organization (e.g., Davis 2015). However, a detailed 320 examination indicates that cold pools associated with convection are not in an optimal 321 configuration with respect to local vertical wind shear along the azimuthal direction. 322 The weakening of cyclonic circulation with height (not shown) due to the baroclinic 323 nature of TCs leads to a horizontal vorticity that has the same sign as the baroclinically 324 generated horizontal vorticity due to the cold pool. This does not support upright 325 convection and thus is not favorable for convective organization azimuthally (Rotunno 326 et al. 1988). The relative contributions of these possible mechanisms is not easy to 327 distinguish because it is difficult to further decompose the dynamic forcing into

328 different components associated with these mechanism and thus will be left for a future329 study.

330 Differences in convection organization between CW and CC hodographs result 331 in different mid-troposphere humidity and surface heat fluxes in the downwind region 332 of overall vortex tilt. Figure 9 shows the time evolution of relative humidity in the mid-333 troposphere (4–8 km) for azimuth angles of  $30^{\circ}$  to  $60^{\circ}$  in the downstream region from 334 the overall vortex tilt within an annular region 30–150 km from the TC center. Although 335 the relative humidity in all the directional shear flows is similar for the first 15 hours, 336 it increases continuously to 90% until hour 30 in CW hodographs, whereas in CC 337 hodographs it begins to decrease from hours 15 to 20 with a drier downstream 338 environment when the intensification occurs. This is because of differing convection 339 organization in the azimuth due to TC movement and the relative configuration of the 340 low-level vortex tilt with respect to the overall vortex tilt. An alternative explanation might be related with the mid-level advection. In the CW hodographs, the southerly 341 342 environmental mean flow may advect the moist envelop to the downwind region of 343 overall vortex tilt, resulting in a moist mid-troposphere. In the CC hodographs, the 344 moist envelop is more easily advected to the right of overall vortex tilt due to the 345 northerly environmental mean flow, leaving a drier mid-troposphere in the downwind 346 region of overall vortex tilt. Surface heat flux also presents contrasting features in 347 directional shear flows. Figure 10 shows that the surface heat flux in CW hodographs 348 (Figs. 10a-c) is much stronger than that in CC hodographs (Figs. 10d-f) during hours 349 25–30. This is because the convection at small radii is weaker because of the continuous 350 organization of convection radially outward along with low-entropy downdrafts located 351 in a region with lower wind speeds in CC hodographs.

352 Gu et al. (2015) showed that shear-induced convection outside the eyewall can 353 transport moisture upward above the boundary layer, thus reducing the radial gradient 354 of moist entropy and decreasing the output work of TC heat engine, and potentially 355 weakening the TC during its mature stage. Figure 11 shows the time evolution of 356 azimuthally averaged moist entropy in the inner (0-80 km) and outer (100-180 km) 357 regions, and the difference between these two regions. The moist entropy difference 358 between the inner and outer regions in CW hodographs is larger than that in CC 359 hodographs throughout the simulated TC lifetime. During hours 20-30, the moist entropy in the inner region in CC1.25 and CC2.5 increases more rapidly (>5 J kg<sup>-1</sup> K<sup>-</sup> 360 <sup>1</sup>) than in CW cases ( $<5 \text{ J kg}^{-1} \text{ K}^{-1}$ ; Fig. 11a). However, the moist entropy in the outer 361 region in CC1.25 and CC2.5 also increases (>5 J kg<sup>-1</sup> K<sup>-1</sup>) more rapidly than in CW 362 hodographs (nearly constant; Fig. 11b), and thus results in a weaker moist entropy 363 364 difference between the inner and outer regions in CC1.25 and CC2.5 than in CW 365 hodographs (Fig. 11c). Although the radial gradient of moist entropy increases in 366 CC1.25 and CC2.5, rapid increases are inhibited by the continuous organization of 367 convection at outer radii. In CC5, convection within the inner region is effectively 368 weakened by a more intense organization of convection in the outer region (Figs. 6g–i) 369 and also results in a weaker increase in the radial gradient of moist entropy than in CW 370 hodographs (Figs. 11a and c). As a result, in CC hodographs the convection in the outer 371 region prevents the radial gradient of moist entropy from increasing rapidly and thus 372 may inhibit TC intensification. This is consistent with the importance of shear-induced 373 outer convection in changing the radial gradient of moist entropy and thus TC intensity, 374 although here we focus on the early stage rather than the mature stage of TC 375 development.

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376 Differences in convection organization lead to distinct dynamic structures, in 377 addition to thermodynamic structures. Figure 12 shows the radial distribution of 378 azimuthally averaged potential vorticity (PV) at heights of 2–5 km during hours 20–25. 379 The TCs in CC hodographs have smaller PV in the inner region and larger PV in the 380 outer region than in CW hodographs. This is because continuous organization of 381 convection radially outward in CC hodographs redistributes the PV through convective 382 heating and also generates PV through vortex stretching, resulting in a broader PV skirt 383 with smaller negative radial gradients than in CW hodographs. Theoretical studies 384 (Schecter et al. 2003; Reasor et al. 2004) have shown that TCs with larger magnitudes 385 of the negative radial gradient of the PV skirt are more resilient in shear flows. This 386 suggests that tilted TCs in CC hodographs are more likely to be further tilted away by 387 shear flows, thus reducing the precession rate.

388

#### 389 **5. Roles of convective heating in vortex precession**

As described in the last two sections, dry dynamics and other processes (e.g., TC movement) set up distinct organizations of convection that result in quite different dynamic (PV skirt) and thermodynamic (downwind mid-troposphere relative humidity, surface heat flux and radial gradient of moist entropy within inner-core region) structures in directional shear flows. A critical issue is how these structural differences couple to affect the overall vortex tilt and TC intensification rates.

An important component of moist convection is latent heat release, whose role can be investigated by directly comparing moist simulations with the dry simulations of GTQ18, as they used the same experimental and model setups except for the inclusion of moist convection. Figure 13 shows the time evolution of overall vortex tilt in terms of magnitude and direction for both moist and dry simulations. Convective

401 heating has two effects on the evolution of vortex tilt. The first is that convective heating 402 aids the coupling between vortices at different levels, and thus prevents further 403 departures of upper-level vortices from low-level vortices. Moist convection begins to 404 take effect before hour 10 and tends to reduce the vortex tilt in both CW and CC 405 hodographs (Figs. 13a and b). Although the magnitude of the vortex tilt decreases after 406 the vortex tilt precesses into the up-shear region, the timing of its decrease is generally 407 ahead of the timing of the up-shear precession in CW hodographs (Figs. 13a and c). 408 This indicates that convective heating first aids the coupling between low-level and 409 upper-level circulation and prevents the amplitude of overall vortex tilt from increasing 410 rapidly.

411 The second effect is that enhanced coupling also leads to higher precession rates 412 during the early stage (hours 0–15). The overall vortex tilt in all CC hodographs and 413 CW1.25 precesses faster than in the corresponding dry simulations from hour 5 to hour 414 15 (Figs. 13c and d). The precession rates in CW2.5 and CW5 are generally the same 415 as the dry simulations during this period. After hour 15, the precession rates of overall 416 vortex tilt further accelerate in CW hodographs (Fig. 13c). To explore whether 417 convective heating favors precession, we perform sensitivity simulations by reducing 418 the convective heating from hours 15 to 25 in the CW2.5 simulation (see details in 419 section 2). We choose CW2.5 to conduct sensitivity experiments because the overall 420 vortex tilt in this simulation has a moderate precession rate (compared to CW1.25 and 421 CW5) and ends up oriented up-shear. Sensitivity simulations based on CW1.25 and 422 CW5 lead to similar results. After convective heating is suppressed, the precession rate 423 remains the same as in CW2.5 from hours 15 to 20 and then begins to decrease after 424 hour 20 (Fig. 14a). The overall vortex tilt reaches the left-of-shear region (90°) around 425 hour 35, about 10 hours later than in CW2.5 (Fig. 14a). The leading edge of the 426 convective cluster also retrogresses after latent heating is weakened (not shown). As a 427 result, the magnitude of the vortex tilt increases and its decrease begins later (Fig. 14b). 428 The TC intensity also weakens in response to the delayed precession and increased 429 vortex tilt magnitude after reducing the diabatic heating. These sensitivity simulations 430 suggest a favorable role for convective heating in supporting the continuous precession 431 of vortex tilt in CW hodographs. Another interesting feature is that the overall vortex 432 tilt still precesses into the up-shear region in CW2.5\_0DH, in which all the convective 433 heating has been turned off during hours 15–20. This strongly indicates that dry 434 dynamics still have an effect in moist simulations. However, convective heating does 435 not always favor the precession of vortex tilt. After hour 15, the precession rates in CC 436 hodographs decrease to zero (CC1.25) or even become negative (CC2.5 and CC5), 437 indicating that the tilted vortex stops precessing cyclonically and is pulled back toward 438 the down-shear-right region (Fig. 13d). This happens during the period when the 439 convection becomes organized both in the radially outer region and in the azimuthally 440 right-of-shear region (Fig. 6). Continuous organization of convection in CC hodographs 441 results in stronger convective heating in the outer region. Figure 15 shows results from 442 an isentropic analysis (e.g., Paulius and Mrowiec 2013) of diabatic heating in the outer 443 region (100–200 km) during hours 15–30. Isentropic analysis facilitates a separation of 444 air parcels between warm, moist updrafts and cold, dry downdrafts that are fundamental 445 aspects of moist convection and has been applied in recent studies of TCs (e.g., 446 Mrowiec et al. 2016; Fang et al. 2017). It provides a cleaner way to compare the 447 magnitudes of diabatic heating in ascending air streams between the simulations with 448 different hodographs. The magnitudes of diabatic heating in CC hodographs are almost 449 two times those in CW hodographs, particularly above 5 km (Figs. 15c-e). Strong 450 convective heating in the outer region induces secondary circulations that weaken the

radial inflow toward the TC center and suppress vertical motion in the inner region.
Therefore, the persistence of convection in the outer region from the right-of-shear
region to the down-shear region has a negative impact on inner-core convection and
explains the weakening of convection with time at smaller radii in CC hodographs (Fig.
6). In addition, strong convective heating above the mid-level generates cyclonic
vorticity radially outward, thus increasing the magnitude of the overall vortex tilt and
inhibiting tilt precession (Figs. 13b and d).

458 In addition to the effects of convective heating, it is reasonable to hypothesize 459 that differences in the distribution of surface heat flux also contribute to differences in 460 precession rates, as the surface heat flux in CW hodographs is stronger and closer to 461 the up-shear region than in CC hodographs (Fig. 10). Although the position and strength 462 of strong surface heat fluxes are controlled primarily by the distribution of convection 463 (see section 3), the feedback from surface heat fluxes may contribute to the continuous 464 advancement of convective clusters in CW hodographs, as air parcels with large surface 465 heat fluxes can be ingested into the TC core more frequently (ON16). However, it is unclear whether convective heating or the feedback from strong surface heat fluxes is 466 467 more important for the continuous precession of overall vortex tilt. To investigate this, 468 sensitivity simulations CW2.5\_0.5MSF and CW2.5\_0MSF are performed by removing 469 the strong surface heat flux in the downstream region of the convective cluster during 470 hours 15–25, based on the CW2.5 simulation (see section 2). Results show that the 471 evolution of vortex tilt in the sensitivity simulations, in terms of both precession rate 472 and magnitude, generally remain the same as in the control simulation (CW2.5) 473 throughout the integration except in CW2.5\_0SF, in which all the surface heat flux is 474 turned off (Fig. 16). Furthermore, the leading edge of convective clusters in the sensitivity experiments stays close to that in CW2.5 and the TC intensity changes little 475

476 during this period though it weakens later (not shown). This suggests that it is the 477 convective heating, rather than the strong surface heat flux in the downstream region of 478 the convective cluster, that plays a leading role of controlling the precession rate of 479 overall vortex tilt. Strong surface heat fluxes may provide favorable environments for 480 convection organization in up-shear regions but do not directly affect the precession of 481 overall vortex tilt. These fluxes will provide energy for convection and affect the 482 precession through diabatic heating at later stages.

483

#### 484 **6. Discussion**

485 Vortex tilt precession and up-shear propagation of convection are key processes 486 by which TCs intensify in vertical wind shear and have been the focus of recent studies. 487 Munsell et al. (2017) emphasized the role of vortex precession in aiding the up-shear 488 propagation of convection in their ensemble simulation of Hurricane Edouard (2014). 489 Observational studies (Rogers et al. 2016; Zawislak et al. 2016) of Hurricane Edouard 490 focused on environments, such as mid-level relative humidity in the up-shear region 491 and sea-surface temperatures in the right-of-shear region, that support the persistence 492 of deep convection in the up-shear region and thus contribute to vortex tilt precession 493 and TC intensification. Using idealized simulations, ON14 and ON16 emphasized the 494 importance of various structures, including the distributions of helicity and convection 495 and associated surface heat flux relative to the shear vector, in assisting the up-shear 496 advancement of convection in directional shear flows. Therefore, it is unclear if the 497 propagation of convection into the up-shear region is a result or a cause of the overall 498 vortex tilt precession.

Here, we propose that these two processes closely interact with each other indirectional shear flows, both constructively and destructively, depending on convection

501 organization. This study investigates the dynamics that result in distinct TC structural 502 features and how these structures couple with the overall vortex tilt through feedbacks 503 from convective heating in directional shear flows. The position and organization of 504 convective clusters in directional shear flows are first determined as a balanced 505 response to the vortex tilt and are then influenced by TC movement and other dynamics. 506 Convective heating plays an important role in coupling of vortices at different levels 507 and also affects vortex tilt precession. Whether convective heating favors the 508 continuous precession of vortex tilt depends on the organization of convection, which 509 is controlled by both dry and moist dynamics. In CW hodographs, favorable convection 510 organization enhances the feedback from convective heating and induces the overall 511 vortex tilt to advance into a region with strong surface heat flux and a humid mid-512 troposphere, favoring further organization and a strengthening of convection, thus 513 forming a positive feedback. In CC hodographs, unfavorable organization in the 514 azimuthally upwind region and in the radially outward region of convective clusters 515 makes the precession of vortex tilt more difficult. This organization acts to vertically 516 decouple the vortex and thus slows the precession of overall vortex tilt. In addition, the 517 thermodynamic environment (a weak surface heat flux and dry mid-troposphere) in the 518 downstream region of the overall vortex tilt is less conducive to persistent deep 519 convection. As a result, a negative feedback is established and hinders the precession 520 of vortex tilt and the advancement of convective clusters in CC hodographs.

A recent observational study (Chen et al. 2018) found that the relative configuration of low-level mean flow (LMF, i.e. 850 hPa mean flow) and deep-layer vertical wind shear is important in determining TC structure and intensity change. The LMF directed to the left (right) of the deep-layer shear is called left-of-shear (right-of-shear) LMF, corresponding to the LMF in CW (CC) hodographs. A left-of-shear LMF favors

TC intensification whereas a right-of-shear orientation of LMF favors an increase in TC size. This is consistent with our findings for directional shear flows. In CW hodographs, TC-relative LMF is directed toward a left-of-shear orientation and supports the continuous precession of convective clusters into the up-shear region, leading to TC intensification. In CC hodographs, TC-relative LMF is orientated toward the right-of-shear region, favoring convection organization in the outer region to broaden the PV skirt and thus leads to increases in size rather than intensification.

533

#### 534 7. Summary

This study investigated the coupling between vortex tilt and convection organization, and its impact on TC intensification in directional shear flows. A comparison with dry simulations revealed the importance of the feedback from moist convection through convective heating, although dry dynamics can explain a large part of the TC structural differences between CW and CC hodographs.

540 During the early stage of development, the overall vortex tilt is generally 541 directed toward the down-shear-left region in CW hodographs and the down-shear-right 542 region in CC hodographs. This is consistent with the balanced response to the height-543 dependent vortex tilt and explains subsequent differences in the azimuthal distribution 544 of moist convection. Once the positions of convective clusters are determined, strong 545 surface heat fluxes occur in the downstream region, primarily because of the 546 thermodynamic disequilibrium reservoir established by low-entropy downdrafts 547 associated with precipitation. Therefore, large variability in TC structures in directional 548 shear flows during the early stage is well explained by vortex tilt-dominated dry 549 dynamics, even in moist simulations.

550 Convective heating favors coupling between vortex centers at different levels, 551 and thus reduces the vortex tilt magnitude compared with dry simulations and then 552 accelerates precession in both CW and CC hodographs during the early stage. 553 Afterwards in CW hodographs, the precession of vortex tilt, together with TC 554 movement, favors organization of convection in the downstream region of overall 555 vortex tilt because of the strong surface heat flux and moist mid-troposphere. A positive 556 feedback among vortex tilt, organized convection, and convective heating is established. 557 As a result, the precession of vortex tilt is further accelerated and reaches the up-shear-558 left region, which aids the reduction in vortex tilt and subsequently leads to 559 intensification. However, in CC hodographs, the convective heating associated with the 560 continuous organization of convection in outer regions and azimuthally upwind regions 561 tends to vertically decouple the vortex and weakens their coupling. Given that the 562 downstream region has both a weak surface heat flux and a dry mid-troposphere, a 563 negative feedback is established that concentrates convective heating mainly in the 564 down-shear-right and radially outward region. Consequently, the cyclonic precession 565 of vortex tilt through the down-shear-left region gradually slows, or even retrogresses 566 to the down-shear-right region. This results in a continuous increase in overall vortex 567 tilt magnitude by deep-layer shear and thus prevents TC intensification in CC 568 hodographs.

569

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655 List of Figures

656

657 FIG. 1. (a) Hodographs of environmental flow in clockwise (CW) and counter-658 clockwise (CC) simulations. The environmental flow begins to rotate at 850 hPa and 659 ceases at 200 hPa. The meridional component of environmental flow achieves its 660 maximum magnitude at ~500 hPa in directional shear flows. The black solid line 661 represents undirectional shear flow. (b) Time evolution of TC intensity in terms of 662 minimum sea-level pressure (hPa). Line colors in (a) and (b) indicate the simulation: CC5 (red), CC2.5 (brown), CC1.25 (yellow), CW1.25 (light blue), CW2.5 (blue), CW5 663 664 (dark blue), and UNDIR (thick black). 665

FIG. 2. Time evolution of overall vortex tilt (0–8 km) magnitude (km; a) and tilt angle (degrees; b). The vortex center at each level is calculated as the vorticity centroid within a radius of 200 km from the location of minimum pressure. The tilt angle is calculated counterclockwise from east (i.e., 0° for east, 90° for north, 180° for west, 270° for south). Deep-layer vertical wind shear is directed to the east. Line colors indicate the simulation: CC5 (red), CC2.5 (brown), CC1.25 (yellow), CW1.25 (light blue), CW2.5 (blue), CW5 (dark blue), and UNDIR (thick black).

673

**FIG. 3.** Plane view of maximum reflectivity (dBz, shaded) and local helicity (m  $s^{-2}$ ;

675 black contours: 50, 200, 350) at hour 15: (a) CW1.25, (b) CW2.5, (c) CW5, (d) CC1.25,

676 (e) CC2.5, and (f) CC5. The black shaded circle, magenta triangle, and black hollow

677 circle represent the vortex centers at 2, 5, and 8 km height, respectively. Black contours

678 represent the local helicity. The contour of  $200 \text{ m s}^{-2}$  is highlighted with thick black lines.

679 The thick black arrow at the bottom of the figure indicates the direction of deep-layer680 shear.

681

**FIG. 4.** Plane view of time-averaged (hours 15–20) surface heat flux (sum of latent heat flux and sensible heat flux; shading; W m<sup>-2</sup>) and equivalent potential temperature (black contours; K) at the surface for: (a) CW1.25, (b) CW2.5, (c) CW5, (d) CC1.25, (e) CC2.5, and (f) CC5. The white circle and square represent the vortex centers at 2 and 8 km height at hour 20, respectively. The thick black arrow at the bottom of the figure indicates the direction of deep-layer shear.

688

FIG. 5. Plane view of time-averaged reflectivity (dBz) in the innermost domain in
simulations with CW hodographs: CW1.25 (first row; a–c), CW2.5 (second row; d–f),
and CW5 (third row; g–i). The averaging period is hours 15–20 (first column; a, d, and
g), 20–25 (second column; b, e, and h), and 25–30 (third column; c, f, and i). The thick

- black arrow at the bottom of the figure indicates the direction of deep-layer shear.
- 694

FIG. 6. Plane view of time-averaged reflectivity (dBz) in the innermost domain in simulations with CC hodographs: CC1.25 (first row; a–c), CC2.5 (second row; d–f), and CC5 (third row; g–i). The averaging period is hours 15–20 (first column; a, d, and g), 20–25 (second column; b, e, and h), and 25–30 h (third column; c, f, and i). The thick black arrow at the bottom of the figure indicates the direction of deep-layer shear.

**FIG. 7**. Plane view of the decomposition of vertical acceleration in simulations CW2.5

702 (a–c) and CC2.5 (d–f) during hours 19–20: (a and d) inertial vertical acceleration (m s<sup>-</sup>

703 <sup>2</sup>); (b and e) effective buoyancy acceleration (m  $s^{-2}$ ); and (c and f) total vertical

acceleration (m s<sup>-2</sup>). Black contours (from 1 m s<sup>-1</sup> to 4 m s<sup>-1</sup> with 1 m s<sup>-1</sup> interval) indicate vertical motion. The domain is chosen to ensure that the convection is near the center of the plot.

707

FIG. 8. Plane view of time averaged (15-25 h) radial velocity (shading; m s<sup>-1</sup>) within
the boundary layer (0-1.5 km) and time averaged (15-25 h) positive vertical velocity
(contours; m s<sup>-1</sup>, every 0.5 m s<sup>-1</sup> starting from 0.5 m s<sup>-1</sup>): (a) CW1.25, (b) CW2.5, (c)
CW5, (d) CC1.25, (e) CC2.5, and (f) CC5. The thick black arrow at the bottom of the
figure indicates the direction of deep-layer shear.

713

FIG. 9. Time evolution of relative humidity averaged over the mid-troposphere (4–8
km) for azimuth angles of 30° to 60° in the downstream region of overall vortex tilt and
within a radius of 30 to 150 km from the vortex center at a 2-km height in simulations
of directional shear flows. Line colors indicate the simulation: CC5 (red), CC2.5
(brown), CC1.25 (yellow), CW1.25 (light blue), CW2.5 (blue), and CW5 (dark blue).

719

**FIG. 10.** As for Fig. 4, but for time-averaged surface heat flux (sum of latent heat flux and sensible heat flux; W m<sup>-2</sup>) during hours 25–30. The white circle and square represent the vortex centers at heights of 2 and 8 km at hour 30, respectively.

723

FIG. 11. Time evolution of azimuthally averaged moist entropy and moist entropy
difference between the inner- and outer-core regions over 4-8 km height: (a) moist
entropy in the inner region (0–80 km); (b) moist entropy in the outer region (100–180 km); (c) moist entropy difference between the inner and outer regions. Line colors

indicate the simulation: CC5 (red), CC2.5 (brown), CC1.25 (yellow), CW1.25 (light
blue), CW2.5 (blue), and CW5 (dark blue).

730

**FIG. 12**. Radial profile of azimuthally, vertically (2–5 km), and time-averaged (20–25

h) potential vorticity (PV). Line colors indicate the simulation: CC5 (red), CC2.5

733 (orange), CC1.25 (yellow), CW1.25 (light blue), CW2.5 (blue), and CW5 (dark blue).

734

**FIG. 13**. Time evolution of overall vortex tilt (0–8 km) magnitude (km; a and b) and tilt direction (degrees; c and d) for moist (solid line) and dry (dash-dotted line) simulations: (a) tilt magnitude in CW hodographs; (b) tilt magnitude in CC hodographs; (c) tilt direction in CW hodographs; and (d) tilt direction in CC hodographs. The tilt angle is calculated counterclockwise from east (i.e., 0° for east, 90° for north, 180° for west, and 270° for south). The suffix '\_D' denotes results from dry simulations and '\_M' denotes results from the corresponding moist simulations.

742

FIG. 14. Time evolution of overall vortex tilt (0–8 km) direction (degrees; a) and
magnitude (km; b) for CW2.5 (blue solid) and the latent heating sensitivity simulations
of CW2.5; i.e., CW2.5\_0.5DH (blue dashed) and CW2.5\_0DH (blue dashed–dotted).
The two black vertical dashed lines denote the timings at which the deactivation of
diabatic heating begins (15 h) and ends (25 h).

748

**FIG. 15**. Isentropic distribution of time-averaged (hours 15–30) diabatic heating (K s<sup>-</sup>

 $^{1}$  within 100–200 km of the vortex center at 2-km height: (a) CW1.25, (b) CW2.5, (c)

751 CW5, (d) CC1.25, (e) CC2.5, and (f) CC5. The abscissa is the equivalent potential

temperature (EPT). The black solid line represents the domain-averaged vertical profile

753 of equivalent potential temperature.

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755 FIG. 16. Time evolution of overall vortex tilt (0-8 km) direction (degrees; a) and

756 magnitude (km; b) for CW2.5 (blue solid) and the surface heat flux sensitivity

simulations of CW2.5; i.e., CW2.5\_0.5MSF (blue dash) and CW2.5\_0MSF (blue

dashed-dotted). The two black vertical dashed lines denote the timings at which the

deactivation of diabatic heating begins (15 h) and ends (25 h).

760

761



765 FIG. 1. (a) Hodographs of environmental flow in clockwise (CW) and counter-766 clockwise (CC) simulations. The environmental flow begins to rotate at 850 hPa and 767 ceases at 200 hPa. The meridional component of environmental flow achieves its 768 maximum magnitude at ~500 hPa in directional shear flows. The black solid line 769 represents undirectional shear flow. (b) Time evolution of TC intensity in terms of 770 minimum sea-level pressure (hPa). Line colors in (a) and (b) indicate the simulation: CC5 (red), CC2.5 (brown), CC1.25 (yellow), CW1.25 (light blue), CW2.5 (blue), CW5 771 772 (dark blue), and UNDIR (thick black).





FIG. 2. Time evolution of overall vortex tilt (0–8 km) magnitude (km; a) and tilt angle (degrees; b). The vortex center at each level is calculated as the vorticity centroid within a radius of 200 km from the location of minimum pressure. The tilt angle is calculated counterclockwise from east (i.e., 0° for east, 90° for north, 180° for west, 270° for south). Deep-layer vertical wind shear is directed to the east. Line colors indicate the simulation: CC5 (red), CC2.5 (brown), CC1.25 (yellow), CW1.25 (light blue), CW2.5 (blue), CW5 (dark blue), and UNDIR (thick black).



**FIG. 3.** Plane view of maximum reflectivity (dBz, shaded) and local helicity (m s<sup>-2</sup>; black contours: 50, 200, 350) at hour 15: (a) CW1.25, (b) CW2.5, (c) CW5, (d) CC1.25, (e) CC2.5, and (f) CC5. The black shaded circle, magenta triangle, and black hollow circle represent the vortex centers at 2, 5, and 8 km height, respectively. Black contours represent the local helicity. The contour of 200 m s<sup>-2</sup> is highlighted with thick black lines. The thick black arrow at the bottom of the figure indicates the direction of deep-layer shear.



FIG. 4. Plane view of time-averaged (hours 15–20) surface heat flux (sum of latent heat flux and sensible heat flux; shading; W m<sup>-2</sup>) and equivalent potential temperature (black contours; K) at the surface for: (a) CW1.25, (b) CW2.5, (c) CW5, (d) CC1.25, (e) CC2.5, and (f) CC5. The white circle and square represent the vortex centers at 2 and 8 km height at hour 20, respectively. The thick black arrow at the bottom of the figure indicates the direction of deep-layer shear.



FIG. 5. Plane view of time-averaged reflectivity (dBz) in the innermost domain in
simulations with CW hodographs: CW1.25 (first row; a–c), CW2.5 (second row; d–f),
and CW5 (third row; g–i). The averaging period is hours 15–20 (first column; a, d, and
g), 20–25 (second column; b, e, and h), and 25–30 (third column; c, f, and i). The thick
black arrow at the bottom of the figure indicates the direction of deep-layer shear.



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FIG. 6. Plane view of time-averaged reflectivity (dBz) in the innermost domain in simulations with CC hodographs: CC1.25 (first row; a–c), CC2.5 (second row; d–f), and CC5 (third row; g–i). The averaging period is hours 15–20 (first column; a, d, and g), 20–25 (second column; b, e, and h), and 25–30 h (third column; c, f, and i). The thick black arrow at the bottom of the figure indicates the direction of deep-layer shear.





817 FIG. 7. Plane view of the decomposition of vertical acceleration near surface (0-0.5 818 km) in simulations CW2.5 (a-c) and CC2.5 (d-f) during hours 19-20: (a and d) inertial vertical acceleration (m  $s^{-2}$ ); (b and e) effective buoyancy acceleration (m  $s^{-2}$ ); and (c 819 and f) total vertical acceleration (m s<sup>-2</sup>). Black contours (from 1 m s<sup>-1</sup> to 4 m s<sup>-1</sup> with 1 820 m s<sup>-1</sup> interval) indicate vertical motion. The domain is chosen to ensure that the 821 822 convection is near the center of the plot.



FIG. 8. Plane view of time averaged (15-25 h) radial velocity (shading; m s<sup>-1</sup>) within
the boundary layer (0-1.5 km) and time averaged (15-25 h) positive vertical velocity
(contours; m s<sup>-1</sup>, every 0.5 m s<sup>-1</sup> starting from 0.5 m s<sup>-1</sup>): (a) CW1.25, (b) CW2.5, (c)
CW5, (d) CC1.25, (e) CC2.5, and (f) CC5. The thick black arrow at the bottom of the
figure indicates the direction of deep-layer shear.



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FIG. 9. Time evolution of relative humidity averaged over the mid-troposphere (4–8
km) for azimuth angles of 30° to 60° in the downstream region of overall vortex tilt and
within a radius of 30 to 150 km from the vortex center at a 2-km height in simulations
of directional shear flows. Line colors indicate the simulation: CC5 (red), CC2.5
(brown), CC1.25 (yellow), CW1.25 (light blue), CW2.5 (blue), and CW5 (dark blue).



**FIG. 10**. As for Fig. 4, but for time-averaged surface heat flux (sum of latent heat flux and sensible heat flux; W m<sup>-2</sup>) during hours 25–30. The white circle and square represent the vortex centers at heights of 2 and 8 km at hour 30, respectively.



FIG. 11. Time evolution of azimuthally averaged moist entropy and moist entropy
difference between the inner- and outer-core regions over 4-8 km height: (a) moist
entropy in the inner region (0–80 km); (b) moist entropy in the outer region (100–180
km); (c) moist entropy difference between the inner and outer regions. Line colors
indicate the simulation: CC5 (red), CC2.5 (brown), CC1.25 (yellow), CW1.25 (light
blue), CW2.5 (blue), and CW5 (dark blue).



**FIG. 12**. Radial profile of azimuthally, vertically (2–5 km), and time-averaged (20–25

h) potential vorticity (PV). Line colors indicate the simulation: CC5 (red), CC2.5

(brown), CC1.25 (yellow), CW1.25 (light blue), CW2.5 (blue), and CW5 (dark blue).



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**FIG. 13.** Time evolution of overall vortex tilt (0–8 km) magnitude (km; a and b) and tilt direction (degrees; c and d) for moist (solid line) and dry (dash–dotted line) simulations: (a) tilt magnitude in CW hodographs; (b) tilt magnitude in CC hodographs; (c) tilt direction in CW hodographs; and (d) tilt direction in CC hodographs. The tilt angle is calculated counterclockwise from east (i.e., 0° for east, 90° for north, 180° for west, and 270° for south). The suffix '\_D' denotes results from dry simulations and '\_M' denotes results from the corresponding moist simulations.





**FIG. 14**. Time evolution of overall vortex tilt (0–8 km) direction (degrees; a) and

863 magnitude (km; b) for CW2.5 (blue solid) and the latent heating sensitivity simulations

of CW2.5; i.e., CW2.5\_0.5DH (blue dashed) and CW2.5\_0DH (blue dashed–dotted).

865 The two black vertical dashed lines denote the timings at which the deactivation of

866 diabatic heating begins (15 h) and ends (25 h).



FIG. 15. Isentropic distribution of time-averaged (hours 15–30) diabatic heating (K s<sup>-1</sup>) within 100–200 km of the vortex center at 2-km height: (a) CW1.25, (b) CW2.5, (c)
CW5, (d) CC1.25, (e) CC2.5, and (f) CC5. The abscissa is the equivalent potential temperature (EPT). The black solid line represents the domain-averaged vertical profile
of equivalent potential temperature.



FIG. 16. Time evolution of overall vortex tilt (0–8 km) direction (degrees; a) and magnitude (km; b) for CW2.5 (blue solid) and the surface heat flux sensitivity simulations of CW2.5; i.e., CW2.5\_0.5MSF (blue dash) and CW2.5\_0MSF (blue dashed–dotted). The two black vertical dashed lines denote the timings at which the

878 deactivation of surface heat fluxes begins (15 h) and ends (25 h).