

A review of the factors that influence pesticide residues in pollen and nectar: future research requirements for optimising the estimation of pollinator exposure

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Accepted Version

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Gierer, F., Vaughan, S., Slater, M., Thompson, H. M., Elmore, S. ORCID: https://orcid.org/0000-0002-2685-1773 and Girling, R. D. ORCID: https://orcid.org/0000-0001-8816-8075 (2019) A review of the factors that influence pesticide residues in pollen and nectar: future research requirements for optimising the estimation of pollinator exposure. Environmental Pollution, 249. pp. 236-247. ISSN 0269-7491 doi: https://doi.org/10.1016/j.envpol.2019.03.025 Available at https://centaur.reading.ac.uk/81516/

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To link to this article DOI: http://dx.doi.org/10.1016/j.envpol.2019.03.025

Publisher: Elsevier

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1	A review of the factors that influence pesticide residues in pollen and nectar:
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3	exposure
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10 Abstract

11 In recent years, the impact of Plant Protection Products (PPPs) on insect pollinator decline has stimulated significant amounts of research, as well as political and public interest. PPP residues have 12 13 been found in various bee-related matrices, resulting in governmental bodies worldwide releasing 14 guidance documents on methods for the assessment of the overall risk of PPPs to different bee 15 species. An essential part of these risk assessments are PPP residues found in pollen and nectar, as 16 they represent a key route of exposure. However, PPP residue values in these matrices exhibit large 17 variations and are not available for many PPPs and crop species combinations, which results in 18 inaccurate estimations and uncertainties in risk evaluation. Additionally, residue studies on pollen and 19 nectar are expensive and practically challenging. An extrapolation between different cropping 20 scenarios and PPPs is not yet justified, as the behaviour of PPPs in pollen and nectar is poorly 21 understood. Therefore, this review aims to contribute to a better knowledge and understanding of 22 the fate of PPP residues in pollen and nectar and to outline knowledge gaps and future research needs. The literature suggests that four primary factors, the crop type, the application method, the 23 24 physicochemical properties of a compound and the environmental conditions have the greatest influence on PPP residues in pollen and nectar. However, these factors consist of many sub-factors and initial effects may be disguised by different sampling methodologies, impeding their exact characterisation. Moreover, knowledge about these factors is ambiguous and restricted to a few compounds and plant species. We propose that future research should concentrate on identifying relationships and common features amongst various PPP applications and crops, as well as an overall quantification of the described parameters; in order to enable a reliable estimation of PPP residues in pollen, nectar and other bee matrices.

32 Keywords

33 Pesticides; Risk Assessments; Pollinator; Pollen and Nectar; Residues

34 Capsule

Pesticide residue values within pollen and nectar have potentially significant consequences for the reliability of risk assessments for wild and managed bee populations, however, the reasons and mechanisms underlying variations in residues are poorly understood and require greater investigation.

39 Introduction

40 Usage, benefits and drawbacks of Plant Protection Products

41 The global population has increased rapidly, tripling since 1950 to a current total of 7.6 billion 42 (Population Reference Bureau 2017), and is predicted to expand to 9.6 billion by 2050 (UN 2017). This growth has been facilitated by the intensification of crop production as a result of new developments 43 and innovations (Carvalho 2006; Johnson 2000). As a consequence, the daily food supply per capita 44 increased from 2196 kcal day⁻¹ in 1960 to 2884 kcal day⁻¹ in 2013, with cereal yields almost tripling in 45 46 the same time period (FaoStat 2017). Concurrent increases in production, use and trade of Plant 47 Protection Products (PPPs) indicate their contribution to these increases in food production (Atwood 48 and Paisley-Jones 2017; Gilland 2002; Tilman 1999; Tilman et al. 2001; Zhang et al. 2011). Today,

approximately 1000 active ingredients (a.i.s) (i.e. the components in PPPs which are active against
pests/plant diseases) are globally available (Lewis et al. 2016).

51 The predominant use of PPPs is in the agricultural sector to protect crops from weeds, fungal 52 pathogens and pests (Wilson and Tisdell 2001). Estimates suggest the losses in plant production 53 without PPPs would be up to 80% for some crops with potentially severe economic consequences 54 (Oerke and Dehne 2004; Oliveira et al. 2014; Pimentel 1997). Outside of the agricultural sector, PPPs 55 are a cost and labour efficient method for the protection and maintenance of public spaces, for 56 example weed control on railways and streets (Cooper and Dobson 2007). In the future, the targeted 57 use of PPPs could further grow in importance; consequences of globalisation and climate change are 58 predicted to change the distribution and life cycles of many pest species, which could render previous 59 control strategies ineffective (Hulme 2017; Rosenzweig et al. 2001). Therefore, there is a strong 60 argument to suggest that PPPs currently make a significant contribution to stable and reliable crop 61 yields, high food quality and the prevention of economic losses, which is a key factor in enabling the 62 global food system to continue to operate in its current format.

Nevertheless, PPPs are toxic chemicals and, in the absence of mitigation, some exposure to non-target organisms and the ecosystem is inevitable. Due to their wide range of applications, PPP residues and their metabolites can be found in many ecosystems, with the potential to cause various effects on humans, soil and water organisms, birds, mammals and invertebrates (Mostafalou and Abdollahi 2017; Pimentel 2005; Tilman 1999).

68 **PPPs and insect pollinators**

In recent years, high overwintering losses of honey bee colonies and declines in populations of other insect pollinator species in Europe and North America (Lee et al. 2015; Ollerton 2017; Potts et al. 2010b; Seitz et al. 2016) have raised concerns about the contribution of PPPs to these losses (IPBES 2016). Managed and wild pollinator species provide vital ecosystem services, particularly for agroecosystems (Albrecht et al. 2012; Klein et al. 2007; Vanbergen et al. 2014; Veddeler et al. 2008) and 74 Gallai et al. (2009) calculated the total economic value of pollination worldwide to be € 153 billion. As 75 a result, the toxic effects of PPPs on pollinators, particularly neonicotinoids, has become the focus of 76 significant amounts of research, and political and public interest. There is a broad consensus amongst 77 researchers in the field that declines are the result of a combination of factors including habitat loss, 78 pests/diseases and PPPs (Goulson et al. 2015; IPBES 2016; Potts et al. 2010a). Whilst the overall role 79 of PPPs on pollinator declines is still debated, there is clear evidence for both the exposure of bees to 80 a range of chemical products via contact and oral exposure (e.g. Botias et al. 2017; Chauzat et al. 2010; 81 Johnson et al. 2010; Kiljanek et al. 2017; Tosi et al. 2018) and the toxicity of PPPs to bees in laboratory 82 toxicity studies (e.g. Kasiotis et al. 2014; Pettis et al. 2012; Sanchez-Bayo et al. 2017; Woodcock et al. 2017; Wu et al. 2011). 83

Overall, there is a difficult trade-off between permitting the use of products upon which modern agriculture relies for the protection of crops and maintaining vital environmental goods and services, which themselves have an important role in sustainable food production. Therefore, in order to ensure the safety of PPPs, complex and highly regulated processes of environmental risk assessments have been developed.

89 With respect to pollinators, the European Food Safety Authority (EFSA 2013) published a Guidance 90 Document on the risk assessment of PPPs on bees (including honey bees, Apis mellifera L., 91 Bumblebees, Bombus spp. and solitary bee species), to outline a process by which PPPs can be 92 evaluated for their potential risks in causing unacceptable harm to bees. Similar approaches have been 93 published in the US, Canada and Brazil (Cham et al. 2017; USEPA 2014). An important component in 94 these approaches are PPP residue levels in pollen and nectar. They represent a key route of exposure 95 for pollinators as, in many species, all life stages feed to some extent upon these food sources (Rortais 96 et al. 2017; Villa et al. 2000). However, knowledge to enable a more accurate prediction of PPP 97 residues in pollen and nectar is limited and a number of barriers, which are discussed in more detail 98 in the next section, inhibit a clear assessment of residue levels used in risk estimation.

99 Aim of the review

100 In this review our aim was to identify and compile existing literature data on the behaviour and fate 101 of residues in pollen and nectar following PPP applications, and outline the manifold parameters which 102 appear to influence these residues. In doing so we identify knowledge gaps concerning the variability 103 of PPP residue values in pollen or nectar and highlight future research needs, in order to enable a 104 precise prediction of residue levels for pollinator risk assessments in future and to encourage, initiate 105 and facilitate further research in this field.

106 Pollinator risk assessments and evidence base

107 Current methodological approaches for pollinator risk assessments

108 Current approaches for pollinator risk assessments (e.g. Cham et al. 2017; EFSA 2013; USEPA 2014) 109 pursue similar strategies and methodologies. In general, effect studies (e.g. laboratory adult acute oral 110 toxicity studies, larvae toxicity studies) and exposure estimates (contact or oral) are combined in a 111 tiered approach to assess the risk of PPPs to pollinators, ranging from very conservative estimates to 112 more realistic scenarios. While the latter requires high data input and more extensive studies, in the 113 lower, more conservative tiers, worst-case default values can be applied. Theoretically, such an 114 approach allows for more rapid and cost-effective initial assessments that are robust enough to 115 separate those PPPs that pose a potential risk to bees from those that can be considered of low risk. To assess the risk from oral exposure of bees to PPPs, the guidance documents (Cham et al. 2017; 116 117 EFSA 2013; USEPA 2014) provide general default residue values in pollen and nectar for different application scenarios, which aim to be protective. If the assessment fails in lower tiers and risk 118 119 mitigation is not possible, the guidance documents cited above suggest a refinement of the 120 assessment in higher tiers, for example by using representative "real" PPP residue values in pollen or 121 nectar or compound and crop specific data, which can be further refined by conducting field trials.

122 Barriers associated with PPP residues in bee products and their implementation in risk assessments

A recent proposal made in reference to EFSA's risk assessment from the European Crop Protection 123 124 Authority (ECPA 2017), which represents the industrial sector, concluded that the current guidance is 125 over-conservative and that even substances known to be non-toxic to bees fail at lower tiers. They 126 assert that, in most cases, a higher tier refinement is required. In order to facilitate higher tier 127 assessments, oral exposure estimates must be refined using representative residue data (Cham et al. 128 2017; EFSA 2013; USEPA 2014). However, data on residue levels in floral resources vary widely and 129 are unknown for many PPPs and crop species (EFSA 2013; Lundin et al. 2015). Table 1 provides a brief overview of PPP residue data recorded in pollen from spray applications, illustrating the variation of 130 131 PPP residues from different active ingredients and in different crops. These data are taken from a recent meta-study (Kyriakopoulou et al. 2017) and from the pollinator risk assessment published by 132 133 EFSA (2013), both providing a comprehensive overview and summary of data on the available residue 134 data in bee-relevant matrices and products, which were gathered from Draft Assessment Reports 135 (DARs), literature and peer reviews of active ingredients.

Overall, there is wide variation in residues, with differences not only between various active ingredients and crop combinations, but also within each of these groups. For instance, aggregated residues from various PPPs vary considerably not only within *Brassica* pollen, but also from uses of individual PPPs, such as teflubenzuron on *Brassica*. Similar findings can be observed for residues in nectar (Table S1).

Both publications highlighted the fact that the available studies differed considerably in design, sampling timing, sampling methodology and application scenarios, or lacked data for certain types of active ingredients. Thus residue data is difficult to compare. Overall, knowledge about PPP residues in pollen or nectar is fragmentary and only a small proportion of treatments and crops have been taken into account, with the majority of residue values provided for neonicotinoids and oilseed rape (OSR) (*Brassica napus* L.). Pollinator risk evaluation is therefore based on extrapolated residue data and as a consequence, on an incomplete dataset. However, the knowledge regarding PPP residues present in

pollen and nectar is too limited to allow extrapolations or conclusions to be drawn from those cropswhere data are available.

Yet, if risk assessments are based on residue values that are not representative for the treatment scenario and cropping system, the risk posed from PPPs to pollinators might be incorrectly estimated (Lundin et al. 2015), resulting in false negatives (i.e. misuses of concern), or in false positives, which may result in unnecessary higher tier testing. The currently available data sets can neither mitigate the variability and incompleteness, nor rationalise how this should be addressed in risk assessments or why these variations in PPP residues occur.

156 **PPP residue studies in pollen and nectar**

157 Extensive studies are needed to derive reliable PPP residue values in pollen and nectar. However, the 158 exact determination of residues in bee-attractive plants is expensive and time consuming. Relatively 159 large volumes of the target matrices are required for the chemical analyses needed to quantify the 160 PPP residues, but pollen and nectar are typically produced only in small quantities. Furthermore, 161 numerous active substances, crop species and application scenarios must be considered. The ECPA 162 (2017) claimed that, in order to meet the requirements of the EFSA guidance document (EFSA 2013), 163 for a single product used on five different bee-attractive crops, up to 75 residue studies would need 164 to be conducted, with associated costs of approximately € 7.5m. Consequently, the development and 165 registration of new products and innovations, in addition to the re-authorisation of already approved 166 PPPs, are likely to incur large costs, which may limit the availability of PPPs. According to the ECPA, 167 minor use crops are most likely to be affected, which are often economically important for their 168 growers and for crop diversity, but not of significant importance to the industry to justify high costs 169 for research and development.

Furthermore, with new insights and findings becoming apparent and a better comprehension of risks
 posed by PPPs in recent decades, it is likely that regulatory requirements will be further increased and
 adapted and that applicants for active ingredient and PPP registrations, PPP producers and responsible

authorities will need to deliver more detailed data regarding the fate of PPPs in plant matricesimportant to pollinators.

175 Thus, to ensure the accurate protection of pollinators and to permit scope for developments in crop 176 protection, methods need to be devised to enable an accurate estimation of PPP residues in pollen 177 and other bee-important matrices that require reduced effort and expenditure. In order to achieve 178 this, a better knowledge and understanding of the fate of PPP residues in pollen and nectar is 179 necessary. The identification of patterns and relationships of PPP residues within the plant and 180 between different species may provide an opportunity to identify better methods for accurate 181 estimation of residue levels for diverse PPPs and cultivation methods. However, little is understood 182 about the behaviour and relationship of residues in floral resources, which can be altered and 183 influenced by numerous factors.

184

Factors influencing PPP residues in pollen, nectar and other related matrices

186 An assessment of the literature suggests that there are four primary factors which could influence the 187 level of PPP residues in pollen and nectar and other related matrices: i) crop related parameters ii) 188 discrepancies in PPP application method, timing and dose rate iii) physicochemical properties of the 189 active ingredient and iv) environmental conditions. These primary factors consist in turn of several 190 sub-factors which can all potentially contribute to variable PPP residues in pollen or related crop 191 matrices. The first two factors listed are considered more often in the literature, since they are 192 tangible and relatively easy to determine under constant conditions. By contrast, the effects of 193 environmental conditions are more difficult to isolate, as they can, for example, influence the chemical 194 properties of an active ingredient, as well as the development and physiology of a plant. Hence, there 195 are a wide range of factors influencing PPP residue levels in pollinator relevant matrices, which are 196 strongly interdependent and form a complex system. Another factor which can unintentionally 197 influence the results of PPP residue levels in pollen and nectar is the sampling methodology. For

instance, OSR flowers are often collected and then incubated for a certain time period and
temperature to facilitate pollen release (e.g. Botias et al. 2015). The loss of water might result in higher
PPP concentrations, but conversely the high temperatures can initiate a dissipation of PPP residues.
In other studies pollen is collected by grinding the anthers to powder (e.g. Jiang et al. 2018), collecting
pollen in boxes as it falls naturally from plants (Schmuck et al. 2001) or by using bees (e.g. Choudhary
and Sharma 2008). These discrepancies in sampling are often not scrutinised in studies but might
influence the comparability of results.

205 General findings

206 Although high variability is typically observed in PPP residues in pollen and nectar, there are some 207 instances that permit comparisons among a wide range of PPPs/crop systems. Kyriakopoulou et al. 208 (2017) detected statistically significant differences among sampling matrices, with the residue levels 209 in both pollen and nectar being highest when extracted directly from flowers than from bees. Such 210 differences could be caused by dilution effects, when bees mix pollen from untreated and treated 211 crops (Bonmatin et al. 2015; Rolke et al. 2016). In many studies a dilution effect, cross contamination 212 from other fields (e.g. Kunz et al. 2015) or chemical alterations cannot be excluded when pollen and 213 nectar is collected by free flying bees and it is often difficult to directly link the residues found to the 214 previous PPP treatment, unless studies are conducted using bee-sampled pollen collected from 215 tunnelled crops (i.e. no alternative sources of pollen are available).

Furthermore, Kyriakopoulou et al. (2017) detected higher residues in pollen than in nectar, a phenomenon which has been reported in several other studies, which employ a range of treatment regimens (e.g. Choudhary and Sharma 2008; Cowles and Eitzer 2017; Dively and Kamel 2012; EFSA 2012; Goulson 2013; Jiang et al. 2018). Reasons for this difference have not been investigated thus far; however, several possible mechanisms can be proposed. If bee- collected matrices are analysed, the effect could be caused by the partial metabolism of residues in nectar within the bees (Gong and Diao 2017; Sanchez-Bayo and Goka 2014). However, similar results have also been reported from

samples taken directly from the plant. Cowles and Eitzer (2017) suggested a relationship between 223 224 residue levels in pollen and nectar and whether nectaries and anthers are supplied by phloem or 225 xylem. Choudhary and Sharma (2008) presumed analytical impediments, for example the active 226 ingredient could form conjugates with sugars in nectar, thus becoming difficult to extract, or that, due 227 to morphological differences, there may be differences in either the initial levels of PPPs or in their 228 rates of degradation. Overall, the meta-analysis by Kyriakopoulou et al. (2017) found a strong correlation between the residue levels in pollen and nectar, though none of the individual studies 229 230 included in the meta- analysis has directly compared this parameter thus far.

231 Crop related parameters

Although few crop species are considered in studies on PPP residues in pollen and nectar, there is 232 233 evidence that crop traits have an influence on the residue levels in bee-important matrices. 234 Differences in residue levels in various plant parts can be explained by a dilution effect with plant 235 growth (more biomass) (Holland et al. 1996), plant height (Kleier 1994) and even plant age (Bonmatin et al. 2015), for example when PPPs have the ability to be adsorbed to plant compounds like lignin 236 237 (Fujisawa et al. 2002). Overall, these effects are strongly related to the physicochemical properties of 238 a compound (see section below for full discussion on the effects of physicochemical properties). Soil 239 treatments of the systemic compound imidacloprid demonstrated that there is a clear gradient with 240 respect to residue levels from the leaves at the bottom of the plant up to the leaves at the top of the 241 plant, and eventually to the flowers and pollen (Alsayeda et al. 2007; Bonmatin et al. 2005; Johnson 242 2012; Laurent and Rathahao 2003; Stoner and Eitzer 2012).

This raises questions as to whether conclusions drawn from the PPP residue levels found in foliage can be applied to those in pollen/nectar and whether crops with lower biomass exhibit higher residue levels in leaves and consequently in pollen or nectar. Balfour et al. (2016) found that neonicotinoid concentrations in the tissues of flowering maize (*Zea mays* L.) and OSR are negatively correlated with

plant mass, however, they did not directly compare these results with pollen and nectar collectedfrom the same plots.

249 Dively and Kamel (2012) found a strong correlation of imidacloprid residues in squash (Cucurbita pepo 250 L.) between leaves and pollen, and leaves and nectar (r = 0.94 and r = 0.88, respectively; p < 0.001) 251 following different soil application treatments. This, however, was analysed only during the course of 252 one year and the trend was not replicated for metabolites of imidacloprid or other investigated 253 neonicotinoids. Dively and Kamel (2012) suggested that the diverse chemical properties of the 254 investigated compounds, mainly the solubility, were the reason for a varying uptake and translocation 255 rate, and consequently higher residue levels of other neonicotinoids. However, the differences in 256 residue levels could also be due to the fact that they randomly selected leaves for analysis during their 257 study. Considering the dilution effect and gradient, different results might have been found by using 258 leaves of similar size and position on the plant. Such an approach was employed by Jiang et al. (2018), 259 who collected only newly expanded leaves of cotton (Gossypium sp.) over a one-month period. Although no correlations were observed in nectar, correlations between imidacloprid and 260 261 thiamethoxam residues in leaves and pollen (r= 0.88 and r = 0.90, respectively; P < 0.001) were found. 262 However, it is unclear whether these observations also apply for other crops, other PPPs (i.e. those 263 with non-systemic properties) and different application scenarios.

264 Demonstrating similarities between species has proven to be problematic, with even varieties of the 265 same species resulting in different residue levels. This was demonstrated by Bonmatin et al. (2003), 266 who investigated several sunflower (Helianthus annuus L.) varieties after a seed treatment with 267 imidacloprid. The final concentration in flowers was dependent on the variety, with ranges from 2.7 μ g g⁻¹ up to 7 μ g g⁻¹. The authors did not provide any information about habitus or other species-268 269 specific characteristics, but an acropetal decrease of residues in foliage, as described above, was 270 detected for all varieties. In addition, during the formation of the capitula of the sunflower there was 271 a sudden increase in imidacloprid residue levels in the upper parts of the plants. Similar findings were

272 reported by Laurent and Rathahao (2003), analysing different parts of sunflowers. Moreover, PPP 273 concentrations in pollen were similar to those found in the floret dish. It can therefore be concluded 274 that the pollen was contaminated by the late shift of PPP residues in sunflowers. The authors 275 presumed a remobilisation process, in which compounds accumulated in older leaves were 276 transferred towards the upper part of plants during the reproductive stage. However, imidacloprid is 277 a xylem-mobile PPP; hence, it should not re-translocate (Sur and Stork 2003).

278 Laurent and Rathahao (2003) provided another explanation for the phenomenon, suggesting that it 279 was a consequence of the differential root system of sunflowers. This consists of fascicular roots, 280 which grow horizontally in the superficial layer of the soil, and a deeper root system; thus, various soil 281 levels can be penetrated. Sunflowers are particularly capable of recovering PPP residues from soils, 282 which can be attributed to this extensive root system (Bonmatin et al. 2003; Mitton et al. 2016). 283 Consequently, the more pronounced root system of an older plant can take up more PPPs from the 284 soil, leading to an increase of residues during the flowering period. The root system is also an 285 important parameter concerning the PPP uptake from soil in other species, for example from the 286 Cucurbita family (Otani et al. 2007). For instance, cucumbers (Cucumis sativus) grafted with high 287 uptake root stocks could recover up to 70% more dieldrin (an organochlorine insecticide) than those with a low uptake root stock (Otani and Seike 2007), giving a further explanation as to why different 288 289 varieties exhibit different residue levels from soil treatments. Regarding the ability of different root 290 systems to shift the PPP residues in plants, plant density and whether experiments are conducted in 291 field or pots might also be important parameters to understand the variability of residues in flowers 292 and should be considered in soil-applied PPP residue studies.

Obviously, these observations are less relevant for foliar-sprayed or non-systemic PPPs (see section below for full discussion of the effects of application method). The PPP's chemical properties, the morphology and the structure of the leaves, flowers and cuticle determine the uptake rate of the product and hence the likelihood of translocation to pollen or nectar (DiTomaso 1999; Kirkwood 1999;

297 Price and Anderson 1985). For example, a hairy or waxy leaf structure affects the retention time of 298 chemicals on the surface (Yu et al. 2009); this can alter the uptake rate of the PPPs and hence the 299 chemicals' exposure to environmental conditions. Therefore, even under similar conditions, different 300 plant species will show different residue levels and behaviour. Kyriakopoulou et al. (2017) found 301 species-related differences in pollen and nectar residues. In particular, OSR showed the highest 302 residue values in comparison to other plants. However, there were more data available for OSR and 303 the majority of other species was summarised to one group. Therefore, there is limited confidence as 304 to whether OSR genuinely is a crop which accumulates a high level of PPP residues in pollen or nectar. 305 For a summary of this section and problems regarding pollinator risk assessments see Figure 1.

306

307 Application Method, Application Timing, Dose Rate

308 The application method, timing of the application and the dose rate of an applied PPP are strongly 309 interdependent. For example, by using a seed treatment, the longest possible time period between 310 application and flowering of the plant is attained. In contrast, many fungicides are sprayed directly onto the plant shortly before or during flowering, especially when they have been assessed as non-311 312 harmful for bees. Furthermore, seed dressings often contain less active ingredient per hectare and 313 therefore may be considered to be more environmentally friendly. This is reflected in the residue 314 levels of foliar applications and seed dressings reviewed by EFSA (2012, 2013), with residues from seed 315 dressings being substantially lower than from spray applications. Evidence regarding the effect of dose 316 rate on PPP residues in pollen was provided by Bonmatin et al. (2005), who used three different doses 317 of the systemic active ingredient imidacloprid, applied as a seed dressing to sunflower seed. The 318 concentration of imidacloprid in the capitula of several varieties became higher when the dose rate 319 was increased. Furthermore, the ascent of imidacloprid during flowering (see section above) was more 320 pronounced when the doses of the seed dressing were high. However, studies directly comparing the 321 effect on residues in pollen and nectar at different dose rates of foliar applied or non-systemic PPPs

are scarce, although it is possible to discern a certain trend from the detailed values provided by EFSA
(2012), indicating that higher dose rates cause higher residues in pollen and nectar.

324 Yet, it cannot be concluded that a high application of PPPs naturally results in a high exposure for bees 325 or in high residues in relation to the dose rate. Choudhary and Sharma (2008) applied a range of PPPs 326 to mustard (Brassica juncea Czern.) using foliar application, each with a defined dose rate, and showed 327 that PPPs applied at higher rates indeed tend to result in higher residues in pollen and nectar (Table 328 2). Interestingly, RUDs - the residue unit employed in risk assessments to account for different dose rates (RUD = concentration in nectar/ pollen (mg kg⁻¹) at an application rate of 1 kg ha⁻¹ or 1 mg seed⁻¹ 329 330 ¹) - exhibited an opposing trend in this experiment. Lambda-cyhalothrin afforded the highest PPP 331 residues relative to the dose rate and endosulfan, though applied at the highest dose, afforded the 332 lowest residues with respect to the dose. Thus, the ratio of residues from different PPPs relative to 333 the dose rate is not equal for all compounds, it is rather influenced by other factors, for example the 334 different chemical properties of the active ingredients, which are responsible for varying uptake and 335 accumulation in floral parts.

336 Nevertheless, Byrne et al. (2014) observed higher residues in nectar with a doubled dose rate 337 compared to the normal dose rate when treating citrus trees with a soil drench application of 338 imidacloprid. This effect was reinforced at later sampling dates, i.e. with a longer time period between 339 application and flowering. It is assumed that a longer time period between application and flowering 340 results in lower residues because of the dilution, metabolism and dissipation in plants. For 341 imidacloprid, however, to a certain extent the contrary was shown. Whether this effect is similar to 342 that described by Bonmatin et al. (2003) and Laurent and Rathahao (2003) in the above section is not 343 verifiable. It does, however, illustrate that the timing of the application can have a significant impact 344 on residue levels in pollen and nectar. These findings can also be important when comparing varieties 345 and cropping systems. For instance, Pohorecka et al. (2012) found substantially lower residues of thiamethoxam in bee foraging products from winter OSR than spring OSR. It is hypothesised that the 346

347 longer time period between treatment and bloom of winter OSR led to a higher degradation of the348 active ingredient.

349 Cowles and Eitzer (2017) also detected late imidacloprid accumulation in sunflower pollen, but under 350 different experimental conditions. Their extensive experimental setup considered three 351 neonicotinoids, applied at different times with different application methods to sunflower and swamp 352 milkweed (Asclepias incarnata L.). Again, a low rate imidacloprid soil drench application was the only 353 scenario (application rate, method, and insecticide) found to result in increasing concentrations as the 354 time post-application increased; which meant a soil drench application performed 10 weeks prior to 355 bloom was the only timing for this application scenario that exceeded the designated "toxicity 356 threshold" for bees in pollen concentrations at the lowest dose rate. In contrast, dinotefuran soil 357 drench applications led to higher residues when they were applied closer to the blooming period. The 358 authors concluded that dinotefuran has a better solubility and higher mobility than imidacloprid and 359 therefore the uptake is faster, whereas the uptake of imidacloprid takes longer and so residues 360 accumulate later in pollen. This finding might be especially important for the estimation of residues in 361 crops with a pronounced short or long life cycle and shows that the physicochemical properties of a 362 compound must always be taken into account (see section below for full discussion of physicochemical 363 properties).

364 Cowles and Eitzer (2017) demonstrated that higher application rates resulted in higher residues in 365 pollen and nectar, depending on the chemical applied. However, the method of application had the 366 strongest influence on pollen and nectar residue levels. Soil drench applications resulted overall in 367 higher residues than the foliar applications, even if both were applied only two weeks before bloom. 368 This indicates that, even though the uptake via leaves is good, it cannot be compared with the uptake 369 and transport via the roots and should be considered separately for the assessment of residues in 370 floral matrices. In contrast to these findings, the tables provided by EFSA (2012, 2013) indicate that 371 residue values from foliar applications are higher compared to soil treatments. However, those tables

only consider seed dressings, which contain significantly less active ingredient than soil drenches or
foliar sprays. Furthermore, many residue values for foliar applications are derived from applications
performed during bloom or shortly before, whereas the latest foliar application in Cowles' and Eitzer's
experiment was applied two weeks before bloom.

376 Dively and Kamel (2012) showed that foliar-applied neonicotinoids in squash resulted in higher 377 residues in pollen compared to a soil drip and drench application, especially when squash was sprayed 378 at full bloom. In contrast, the PPP residues from foliar applications in nectar were lower after a spray 379 application or did not differ from soil drench and drip irrigation. This leads to the assumption that 380 systemic PPPs are provided over a longer period from the inside of the plant and thus have a greater 381 probability to accumulate and express in nectar. Dively and Kamel found the lowest residue levels 382 from imidacloprid bedding tray soil applications. This was the most distant application method relative 383 to bloom and no increase in residues could be observed. However, the dose rate was very low 384 compared to the other treatment regimes. In total, contrary to Cowles and Eitzer's (2017) experiment, 385 the timing of the application and dose rate seemed to play a more important role than the application 386 method, confirming the assumption that applications closer to bloom result in higher residues. Both 387 Kubik et al. (1999) and Wallner (2009) showed that there is a lag period between the application of 388 fungicides and the maximum residue level in pollen, although the compounds were sprayed directly 389 onto the plant before and during bloom in cherry trees and OSR, respectively. More studies with 390 different PPPs are necessary to confirm these results, especially for foliar applications (Figure 2). 391 Overall, it can be concluded that there is a strong interdependence between the time available for the 392 accumulation of the compound and the time for dissipation, metabolism and translocation in the 393 plant, influenced by the chemical properties of a PPP and the application method.

394 Physicochemical Properties

A detailed knowledge about the physical and chemical properties of a chemical compound is a
 necessary prerequisite to understand its general behaviour in metabolism, analytical methods,

formulations, and the environment (Tsipi et al. 2015). Therefore, these parameters are usually studied under well-defined conditions and are required for the registration of a PPP. Physicochemical properties determine the uptake of a compound into the plant, its translocation, as well as its dissipation and metabolisation in the plant and the environment.

For PPPs applied before bloom, it can be assumed that every parameter influencing the uptake of a compound and its acropetal translocation will influence the residues in floral resources. Some key physicochemical properties include the solubility in water, the partition coefficient octanol/water (log K_{ow}), the dissociation coefficient (pK_a), the molecular size of a compound, the root concentration factor and the transpiration stream concentration factor. These properties can be altered by additives and vary depending on the formulation type (Bonmatin et al. 2015; Farha et al. 2016; Hsu and Kleier 1996; Trapp 2004).

408 Overall, PPPs can be classified according to their behaviour in and on plants. Non-systemic or contact 409 compounds are not distributed in the plant and will probably cause only residues in floral matrices if 410 the flower or pollen comes directly into contact with the PPP. On the contrary, translaminar PPPs are 411 taken up and redistributed from one face of a leaf to the opposite face of a leaf, an important 412 parameter for many fungicides (Klittich et al. 2008), whereas systemic PPPs are distributed within the 413 whole plant, either acropetally via the transpiration stream to older leaves in xylem or in both, 414 acropetal and basipetal directions to new growth in the phloem sap. The most common way for the 415 translocation of (non-ionised) plant systemic insecticides is the unidirectional acropetal translocation 416 in xylem (Wyss and Bolsinger 1997).

One key parameter describing PPP translocation for non-ionised compounds in the plant is the partition coefficient octanol/water (log K_{ow}). It describes the compound's lipophilicity and its ability to move through bio membranes; thus it determines the uptake of a PPP through the leaf cuticle and its distribution within the plant (Briggs and Bromilow 1994; Kirkwood 1999; Klittich et al. 2008; Wang and Liu 2007).

In general, compounds with a log K_{ow} < 0 are considered to be hydrophilic and compounds with a log K_{ow} > 0 lipophilic (Wang and Liu 2007). Lipophilic compounds tend to cross bio membranes but are partitioned into lipophilic tissue along the symplastic pathway (Sicbaldi et al. 1997). Therefore, the optimum uptake and translocation in xylem occurs for non-ionised PPPs with intermediate log K_{ow} values of 1–3. Translaminar distributed compounds can show log K_{ow} values up to 4.5. Highly polar and highly non-polar compounds are poorly translocated within a plant (Bromilow and Chamberlain 1989; Bromilow and Chamberlain 1995; Sicbaldi et al. 1997; Vryzas 2016).

Non-ionised compounds with a lower log K_{ow} can also be distributed in the phloem sap, though entering the symplast is impeded (Bromilow et al. 1987). In contrast, more lipophilic compounds can readily enter the phloem, but also easily move between xylem and phloem. However, as the xylem is moving faster than the phloem, compounds are eventually translocated in the xylem (Peterson and Edgington 1976; Wyss and Bolsinger 1997).

In general, most phloem-mobile compounds appear to be weak acids (Trapp 2004) and their translocation is highly dependent on a favourable combination of log K_{ow} and the dissociation coefficient (pK_a) (Wyss and Bolsinger 1997). The pK_a describes the acid strength and ability of a compound to dissociate; it can be regarded as the pH at which a particular acid or base group is 50% ionised (Bromilow and Chamberlain 1995). Plant compartments exhibit different pH values across membranes, ranging from pH 5 in the apoplast to pH 8 in the phloem sap (Chamberlain et al. 1998).

Accordingly, a weak acid will appear at low pH in its un-dissociated state, having the ability to easily
enter the symplast. Once in the symplast, due to the higher pH, it dissociates and is not able to cross
back through the membranes (i.e. the ion trap theory) (Briggs et al. 1987; Bromilow and Chamberlain
1995; Chamberlain et al. 1998; Pessarakli 2014; Tyree et al. 1979).

It is understood that pollen and nectar, as part of reproductive organs, are a sink for photosynthetic products, even though nectaries can be supplied by phloem and xylem depending on the crop and variety (Heil 2011; Pacini et al. 2016; Wist and Davis 2006). However, many PPPs already found in these matrices, mainly insecticides and fungicides, are considered to be more xylem-mobile according

to their physicochemical properties. Thus, an acropetal movement in the plant is conceivable but the
exact mechanism as to how these chemicals are transferred into the pollen is not yet understood.

450 Aajoud et al. (2008) demonstrated that the low transpiration stream of different parts of a sunflower 451 head cannot be responsible for all of the fipronil residues found in this tissue. Although fipronil is more 452 likely to move in xylem due to its high log K_{ow} (= 4.0), Aajoud et al. showed under laboratory conditions 453 that fipronil is transported from sources (older leaves) to sinks (growing parts). In general, for non-454 ionised compounds like fipronil or neonicotinoids the ion trap theory does not apply and the active 455 ingredient can move freely between phloem and xylem according to its bio membrane permeability 456 (Sur and Stork 2003). Aajoud et al. (2008) suggested that both xylem and phloem pathways are 457 involved in the transfer of fipronil to the flower head. Transfer via xylem from the roots to the leaves 458 has been previously demonstrated and depends upon the rate of leaf transpiration, in addition to the 459 compound concentration in the xylem, whereas the phloem pathway seems to be an influencing factor 460 in the translocation to the flower parts and hence to pollen or nectar.

Another explanation for unexpected phloem transport is that biotransformation in plants can alter the compounds' properties. For example, due to its physicochemical parameters, imidacloprid is transported in xylem and accumulates in leaves, but some of its metabolites (e.g. 6-chloronicotinic acid) were shown to have properties which are potentially phloem-mobile (Buchholz and Nauen 2002; Chamberlain et al. 1995; Nauen et al. 1999). Furthermore, transformed compounds can form conjugates with glucose, isomaltose and amino acids, which could change the translocation pathway (Jiang et al. 2009; Oliver and Hewitt 2014; Sur and Stork 2003; Wu et al. 2012).

These findings could perhaps explain the increase in imidacloprid in upper plant parts, as described in the earlier section about crop-related parameters, and rationalise the presence of PPP residues in physiological sinks like pollen and nectar. Nevertheless, these conclusions might not apply for compounds with other physicochemical properties, especially for PPPs which are considered to be non-systemic.

473 In pollen residue studies, physicochemical properties are often mentioned to describe and explain the 474 reason for differences in residue levels but, to our knowledge, no study has tried to link these PPP 475 characteristics experimentally to the residues found in pollen or other matrices. Kyriakopoulou et al. 476 (2017) found weak correlations between the residue levels in nectar and the solubility in water, 477 although Bromilow and Chamberlain (1995) considered water solubility as a rather poor guide to 478 systemic behaviour. Thorbek and Hyder (2006) used artificial neural networks to examine the 479 relationship between physicochemical properties of different PPPs and residues in food products. In 480 their opinion, the physicochemical properties and the crop type explained up to 50% of the variation. 481 Thorbek and Hyder concluded that these properties control important aspects of the processes leading to residues in food commodities. These findings may be transferred to the residue occurrence 482 483 in bee-important plant matrices.

In general, the uptake of PPPs and their half lives in plants are very well studied, primarily because risk assessments on human exposure or their environmental fate are required for the registration of PPPs, as well as the setting of Maximum Residue Levels (MRLs). However, the process determining the residues in bee-important matrices is not well understood, and more research is required to link the physicochemical properties of a compound to the translocation to pollen or nectar and to the fate and dissipation after the application of a PPP (Figure 3).

490 Environmental conditions

PPPs applied to a crop enter a complex system, which is greatly influenced by its surrounding environment and underlying manifold interactions. These variations are reflected in the fluctuating PPP residues reported in pollen or nectar, especially in field experiments. Laurent and Rathahao (2003) reported significantly higher variations in pollen residues in a lysimeter experiment compared to greenhouse experiments. Jiang et al. (2018) experienced varying residue fluctuations across the course of one month in field experiments and Rolke et al. (2016) observed high variations even within different sub-areas of one field. Even small-scale weather incidents can change the result of a chemical

498 treatment, for example when the compound is washed off the leaves by rain shortly after application.
499 Additionally, plant growth and physiology are dependent on the surrounding conditions and will
500 influence the behaviour of the compound. This of course makes the comparability of PPP residues in
501 pollen or nectar from different studies difficult, although the environmental fate of all kinds of PPPs
502 are well studied.

503 Key parameters influencing both the chemical fate and the plant are water and temperature. 504 Physicochemical properties are usually assessed under defined laboratory conditions and are 505 therefore likely to change under varying conditions (Hornsby et al. 1995; Tsipi et al. 2015). For 506 example, cuticle permeability was shown to increase rapidly with increasing temperatures (Baur et al. 507 1997; Baur and Schönherr 1995). Degradation processes in soil, vegetation and air are all accelerated 508 at higher temperatures (Bloomfield et al. 2006), whereas colder temperatures limit biological and 509 chemical reaction activities, resulting in longer half-lives and slower dissipation rates (Farha et al. 510 2016). Humidity can increase compound uptake into leaves (Hull 1970; Ramsey et al. 2002), while rain 511 can lead to wash-off and leaching (Hunsche et al. 2007; Radolinski et al. 2018) and water stress was 512 shown to affect the distribution of systemic insecticides in plant leaves (Stamm et al. 2016). Soil 513 conditions are affected by temperature and water availability; organic matter content, microbial life 514 and clay content play a key role in the fate and uptake of soil applied PPPs (Cessna et al. 2017; Di et 515 al. 1998; Gevao et al. 2000; Zhang et al. 2018). PPPs with a long half-life in soil or exposed to conditions 516 that prevent a breakdown in soil are more likely to be taken up during flowering. The transport of 517 xylem-mobile compounds is, inter alia, dependent on the transpiration stream. Therefore, 518 environmental conditions and plant species which enable a high transpiration will enhance acropetal 519 movement and consequently the likelihood of translocation of PPP residues to pollen or nectar.

In general, flowers are also exposed to these conditions, however, they may show a different
susceptibility to environmental conditions and a different uptake compared to the rest of the plant,
due to the different structure and often hydrophilic properties of their surface (Baker and Hunt 1981;

523 Koch et al. 2008). Furthermore, flower opening, the dispersal of pollen, as well as the amount and 524 composition of pollen and nectar produced is dependent on the surrounding environment, especially 525 temperature and humidity (Heil 2011; Pacini et al. 2006; Vidal et al. 2006). PPPs applied during or 526 shortly before bloom will contact the flowers directly and the presence of residues is therefore likely 527 at least in pollen, even for compounds with a short half-life. All factors favouring a fast dissipation or 528 degradation can thus decrease the residues in pollen or nectar. Choudhary and Sharma (2008) 529 recorded a general faster dissipation of PPP residues in pollen than in nectar depending on the active 530 ingredient. They attributed this faster degradation to the fact that pollen is more exposed to 531 environmental conditions than the nectaries, which are typically deeply embedded within the flower. 532 Consequently, the presentation of pollen, the arrangement of anthers and nectaries within the flower 533 and their protection by flower petals could influence the impact of environmental conditions on 534 residue behaviour in pollen and nectar. For example, compounds with a relatively low photo stability, 535 such as pyrethroids, might dissipate faster in pollen grains presented openly to pollinators, compared 536 to residues in nectar. None of the available studies considered or compared the influence of 537 environmental conditions on PPP residues in pollen or nectar (Fig. 4). However, a field study conducted 538 in consecutive years detected correlations in PPP residues from one year to another, despite varying 539 environmental conditions (Dively and Kamel 2012). Nevertheless, the factors acting in different 540 environments on PPPs availability in floral resources are complex and not well understood. Different 541 climates and soils, for example across Europe, are currently accounted for in risk assessments for bees 542 by conducting residue trials at multiple sites across broad geographic regions. However, environmental influences are not understood well enough to allow an extrapolation or comparison 543 544 between different sites and may require further attention depending on the mode of application and 545 properties of the active ingredient (e.g. soil uses with systemic compounds, UV stability). Controlled-546 environment studies looking at the effect of for example temperature on residues could provide further insights. 547

548

549 **Conclusions**

550 Overall, PPP residues in bee-important plant matrices are subject to manifold influences and many 551 parameters can potentially impact their level and residence time in pollen or nectar. Several plant-552 related parameters, such as species and variety (including morphology), habitus and structure, were 553 identified as contributing factors to the variation observed in PPP residue levels in pollen or nectar. 554 Furthermore, the application mode, especially the dose rate and the timing of the application, were considered as a key source of residue variations. Nevertheless, the highest variations can probably be 555 556 explained by the physicochemical properties of different compounds and, above all, by the influence 557 of environmental conditions. However, we also demonstrate that studies which focus on these 558 influencing factors are scarce and the complex processes which determine the residue level in bee-559 important matrices are not well understood (Fig. 1-4).

Thus far, research has typically concentrated on the influences of the broad application areas of neonicotinoids, thereby mainly on soil applications, which are not representative of most other insecticides. Investigations into the variability of non-systemic products in floral resources is notably neglected in research, whilst further research into residues of fungicides and herbicides in pollen and nectar is also required.

565 It is questionable whether the currently available data sets on residue levels can mitigate the 566 described variability and whether they are representative enough to be used for conducting reliable 567 risk assessments on pollinators. More wide-ranging and well replicated studies, which reflect different 568 cropping scenarios, are necessary to obtain reliable residue levels in these specific matrices. In 569 addition, PPPs are designed to have the best possible uptake rate and retention time on and in the 570 plant to be effective against pests and to simultaneously avoid environmental pollution. This conflicts 571 with the aim to achieve low residues in pollen or nectar. Therefore, application modes and 572 circumstances in which PPP residues in flower parts are low or dissipate fast should be clarified.

It would be extremely difficult to assess the fate in pollen or nectar for all active ingredients, in all beeimportant plants and under different climates. Therefore, methods are needed which enable an accurate estimation and extrapolation of PPP residues in these ecologically important matrices, which are also able to consider the numerous influences they are exposed to. In order to enable this, comparable results are required, which do not just reflect a snapshot of a single randomly selected field area and environmental conditions, but also reveal a broader knowledge which can be transferred to further situations.

This can only be achieved by improving the understanding of residue behaviour and their dynamics in these complex tissues. A fundamental challenge for future research will be to quantify the effects of different dynamics and interacting factors on PPP residue levels. Future research should aim to investigate relationships, interdependences and common features amongst various PPP applications, which may allow conclusions to be drawn on residues in pollen and nectar and, as a result, permit suitable systems to be identified which can act as model scenarios or be consulted for worst-case estimations, enabling all other scenarios to be adequately covered.

587 Achievement of this will permit risk assessments to be conducted with considerably less effort and 588 expenditure, whilst simultaneously enabling rapid and accurate assessment of the risks for pollinators 589 posed by PPPs.

590 Acknowledgements

591 We are grateful to Syngenta Ltd (Jealott's Hill International Research Centre, Bracknell, Berkshire, UK) 592 and the University of Reading (Whiteknights, Reading, Berkshire, UK) for the scholarship granted for 593 this research project. We also thank Tom Staton for his valuable comments on this manuscript.

594 **Conflict of interest**

- 595 We wish to draw attention to the fact that the corresponding author's PhD project is funded by
- 596 Syngenta Ltd, a company manufacturing and selling plant protection products. Furthermore, some of
- the co-authors are employed by Syngenta.

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934 Tables

Table 1 Summary of selected PPP residues in pollen expressed as Residue Unit Dose (RUD) (mg a.i. kg⁻¹ pollen at an
application rate of 1 kg a.i. ha⁻¹) derived from two different sources. The minimum, maximum and mean values demonstrate
the high variability of residues found in pollen from spray applications. Some calculations were not derived from a single

938 crop or active ingredient, but many different crops/active ingredients were summarised ("various").

Сгор	Active ingredient	Min (RUD mg kg ⁻¹)	Max (RUD mg kg ⁻¹)	Mean (RUD mg kg ⁻¹)	Source*
various	various	0.0002	149.8	6.1 ± 30.704 (SD)	а
various	various	0.004	366	65.06 ± 89.421 (SD)	b
various	alpha- Cypermethrin	11.370	366.3	167.3 ± 121.438 (SD)	b
	various	2.083	366.3	87.06 ± 102.8 (SD)	b
<i>Brassica</i> sp.	Teflubenzuron	21.7	149.8	**	а
	Acetamiprid	3.4	14.8	**	а

Active ingredient	Сгор			Source	
	Melon (<i>Cucurbitaceae</i>)	Phacelia tanacetifolia L.	Brassica sp.		
Spirotetramat	2.2	63.5	83.1	a	
*Sources: a) EFSA 2	2013, see Annex F; b) Ky	riakopoulou et al. 2	.017		
,					
Table 2: Relationship	between dose rate, residue	es in pollen (ppm) and	d Residue Unit Dose (RUD) for t	hree active	
ingredients. Data from	m Choudhary and Sharma (2	2007). Application of	750 L ha-1 water in mustard (Br	<i>assica juncea</i> Czern.)	
in 2003/2004.					

Active ingredient	Dose rate (g a.i. ha ⁻¹)	Residues pollen ppm	RUD
Endosulfan	525	2.126	4.05
Spiromesifen	225	2.052	9.12
Lambda- Cyhalothrin	75	1.607	21.43

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