

# Northern Eurasia Future Initiative (NEFI): facing the challenges and pathways of global change in the 21st century

Article

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#### 151 Abstract

152 During the past several decades, the Earth system has changed significantly, especially across 153 Northern Eurasia. Changes in the socio-economic conditions of the larger countries in the 154 region have also resulted in a variety of regional environmental changes that can have global 155 consequences. The Northern Eurasia Future Initiative (NEFI) has been designed as an 156 essential continuation of the Northern Eurasia Earth Science Partnership Initiative (NEESPI), which was launched in 2004. NEESPI sought to elucidate all aspects of ongoing 157 158 environmental change, to inform societies and, thus, to better prepare societies for future 159 developments. A key principle of NEFI is that these developments must now be secured 160 through science-based strategies co-designed with regional decision makers to lead their 161 societies to prosperity in the face of environmental and institutional challenges. NEESPI 162 scientific research, data, and models have created a solid knowledge base to support the NEFI 163 program. This paper presents the NEFI research vision consensus based on that knowledge. It 164 provides the reader with samples of recent accomplishments in regional studies and 165 formulates new NEFI science questions. To address these questions, nine research foci are 166 identified and their selections are briefly justified. These foci include: warming of the Arctic; 167 changing frequency, pattern, and intensity of extreme and inclement environmental 168 conditions; retreat of the cryosphere; changes in terrestrial water cycles; changes in the 169 biosphere; pressures on land-use; changes in infrastructure; societal actions in response to 170 environmental change; and quantification of Northern Eurasia's role in the global Earth 171 system. Powerful feedbacks between the Earth and human systems in Northern Eurasia (e.g., 172 mega-fires, droughts, depletion of the cryosphere essential for water supply, retreat of sea ice) 173 result from past and current human activities (e.g., large scale water withdrawals, land use and 174 governance change) and potentially restrict or provide new opportunities for future human activities. Therefore, we propose that Integrated Assessment Models are needed as the final 175

stage of global change assessment. The overarching goal of this NEFI modeling effort will
enable evaluation of economic decisions in response to changing environmental conditions
and justification of mitigation and adaptation efforts.

179

#### 180 Keywords

181 Environmental Changes, Northern Eurasia, Ecosystems dynamics, Terrestrial water cycle,
182 Cryosphere retreat, Extreme and inclement environmental conditions, Sustainable
183 development, Land-cover and land-use change, Integrated assessment models for decision184 makers

185

#### 186 Introduction

187 Northern Eurasia Future Initiative (NEFI) was conceived at the Workshop "Ten years of 188 Northern Eurasia Earth Science Partnership Initiative (NEESPI): Synthesis and Future 189 Plans" hosted by Charles University in Prague, Czech Republic (April 9-12, 2015). That 190 event was attended by more than 70 participants from Japan, China, Russia, Ukraine, 191 Kyrgyzstan, Kazakhstan, the European Union, and the United States. The workshop included 192 an overview, synthesis presentations, and scientific visions for NEESPI in its transition to 193 NEFI. These results (http://neespi.org/web-content/PragueWorkshopSynthesisBriefing.pdf) 194 were delivered at a dedicated open public Splinter Meeting at the European Geophysical 195 Union Assembly in Vienna, Austria (April 16<sup>th</sup>, 2015). On May 20, 2016, a NEFI White 196 Paper was released for public consideration on the NEESPI web site and four months later, 197 after accounting for numerous comments and recommendations, it was finalized and posted at 198 http://nefi-neespi.org/. The current paper presents the consensus of the future NEFI vision to 199 address the challenges facing the region, and to develop pathways to mitigate future 200 problematic changes.

201 During the past 12 years, NEESPI has been quite successful at conducting and 202 advancing research within its large geographical domain of Northern Eurasia (Figure 1; 203 Groisman and Bartalev 2007). The NEFI research domain is the same. The NEESPI program 204 accommodated 172 projects focused on different environmental issues in Northern Eurasia. 205 More than 1500 peer-reviewed journal papers and 40 books were published during the past 206 decade (http://nefi-neespi.org/science/publications.html; Groisman et al. 2009, 2014; 207 Groisman and Soja 2009). Several overview books further synthesized findings (Gutman and 208 Reissell, 2011; Groisman and Lyalko 2012; Groisman and Gutman 2013; Chen et al. 2013; 209 Gutman and Radeloff 2016). While the initial duration of the NEESPI research program was

estimated to be 10–12 years, its momentum has exceeded original expectations. In addition to accumulating knowledge and publishing scientific journal papers and books, NEESPI scientists developed new observations, datasets, data networks, tools and models. As a result, a new research realm emerged for studies in Northern Eurasia, and we are now poised to apply these results to directly support decision-making for various coupled environmentalsocietal needs.

216 **Figure 1**.

217 The past accomplishments are not the only driver for the proposed NEFI initiative. 218 Just as, or perhaps even more importantly, NEFI will address two significant and intertwined 219 changes that have emerged. These are: 1) continued and exacerbated change in the global 220 Earth and climate system, and 2) societal change and stress with a heightened need for 221 mitigation and adaptation approaches. With respect to the first, the global Earth system has 222 significantly changed, with the changes in Northern Eurasia being substantially larger than the 223 global average (cf., Figures 2 and 3). Subsequently, one NEFI endeavor is to analyze this new 224 state with its unexpected novel features and distributions. These novel characteristics include 225 shifts of the seasonal cycle for various climatic functions to changes in intensity, frequency, 226 and spatial patterns and temporal trends of extreme events. These changes have already 227 occurred, but their impacts on (and feedbacks to) atmospheric, biospheric, cryospheric, 228 hydrologic, oceanic, and macro-socioeconomic processes are ongoing.

229 **Figure 2.** 

230 **Figure 3.** 

The second significant change that NEFI will need to address concerns the socio-economic dynamics in the major nations of Northern Eurasia. These dynamics have also dramatically changed, including the ability of societies to withstand and adapt to the adverse 234 manifestations of the above-described environmental changes. Fundamental to addressing 235 this is the sound scientific understanding and quantification of the amount of Earth system 236 change that societies are currently experiencing and may experience by the end of the 21<sup>st</sup> 237 century. However, in addition to understanding the scientific basis, communities (and even 238 nations) have increasingly begun to inquire about what mitigation and/or adaptation strategies 239 are possible for the upcoming decades. These types of questions need to be addressed 240 differently, because societal decision-making impacts the environment, which feeds back to 241 influence future societal decision-making. The major anthropogenic causes of global change 242 remain ongoing. Thus, the Earth science community and society in general will need to be 243 informed and prepared to assure a sustainable future.

244 The results of scientific research, data, and models accumulated during the past decade 245 will allow us to build upon this knowledge to directly support decision-making activities that 246 address societal needs in Northern Eurasia. During the last decade, substantial climatic and 247 environmental changes have already been quantified. While natural processes (except the 248 high amplitude of their variations) are mainly the same as in other parts of the World, human 249 factors and changes in land cover and land use in the NEFI domain during the past decades 250 were dramatic and unique. Changes in the socio-economics of major nations in the region 251 have ultimately transformed human-environment interactions. This in turn has transformed 252 regional land cover and water resources towards conditions that endanger or even overcome 253 the resilience of natural ecosystems (e.g., disappearing lakes and runoff diversions, 254 deforestation, degradation and abandonment of agriculture fields and pasture; air, soil, and 255 water pollution). These and projected changes will require expeditious direct responses on 256 behalf of human well-being and societal health in order to move toward a sustainable future.

Therefore, the core motivation of NEFI is to best use science to serve the decisionmaking process to maintain Earth system health and to sustain society. In the next two 259 sections, we:

Formulate three major science questions of global concern associated with unique features
 of Northern Eurasia,

• Formulate the major research foci for the next decade that, as the NEFI Science Plan authors believe, are of crucial importance to be addressed expediently, and

• Examine and justify the issues related to these research foci in more detail.

An approach to regional studies in Northern Eurasia based on integrated assessment modeling is described and justified in the last section of the paper. Because this paper is an overview of a large amount of relevant findings from the past decade, we also provide a comprehensive list of references to those works.

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#### 270 **REVIEW**

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# Three unique features of Northern Eurasia of global concern and their related major science questions

To develop effective mitigation and adaptation strategies, future NEFI activities will need to consider three unique features of Northern Eurasia: 1) the sensitivity of land surface characteristics to global change that feedback to influence the global energy budget; 2) potential changes in the Dry Land Belt of Northern Eurasia (DLB) that will have a large influence on the availability of water for food, energy, industry, and transportation; and 3) evolving social institutions and economies. Below, we look at these features in more detail and suggest that three major science questions emerge from this examination.

282

#### 283 Sensitivity of land surface characteristics to global change

284 The Arctic, Arctic Ocean shelf, and the Boreal Zone of Eurasia are areas of substantial 285 terrestrial carbon storage in wetlands, soil, boreal forest, terrestrial and sea shelf permafrost. 286 From these emerge powerful carbon-cryosphere interactions and variability that intertwine 287 with strong climatic and environmental changes (Figure 4). These interactions also can 288 generate positive feedback to Earth system changes via both biogeochemical (atmospheric 289 composition, water quality, plant and microbial metabolism) and biogeophysical impacts 290 (surface albedo, fresh water budget, and thermohaline circulation of the World Ocean). These 291 intertwined linkages and feedbacks may increase the rate of global (or near-global) change 292 and/or increase uncertainties about that change. In turn, this places the wellbeing of societies 293 at risk if planned mitigation and adaptation measures are not implemented in a sound and 294 timely fashion.

#### 295 **Figure 4.**

296 Thus, in future studies within Northern Eurasia, special attention should be paid to the 297 changes on the volatile boundaries of the Arctic, boreal, and dry zones. The highly variable 298 components of the cryosphere (seasonal snow cover) which are vitally controlled by 299 components that have been systematically changing (e.g., glaciers and permafrost) should be 300 recognized. The rates of change due to catastrophic forest fires (Conard et al. 2002; 301 Goldammer 2013), dust storms (Goudie and Middleton 1992; Sokolik 2013), and 302 controversial future methane release from frozen ground in high latitudinal land and shelf 303 areas (Kirschke et al. 2013; Shakhova et al. 2013, 2015; Zhu et al. 2013; Ruppel and Kessler 304 2017) must be accounted for or ameliorated.

305 Based on the above, the first Major Science Question is: "How can we quantify and 306 project ecosystem dynamics in Northern Eurasia that influence the global energy budget 307 when these dynamics are internally unstable (e.g., operate within narrow temperature 308 ranges), are interrelated and have the potential to impact the global Earth system with
309 unprecedented rates of change?"

310

#### 311 Water availability and the dry land belt of Northern Eurasia

312 The interior of the Earth's largest continent is mostly cut off from water vapor transport from 313 the tropics by mountain ridges and plateaus spread across the central regions of Asia, thus 314 creating the Dry Land Belt of Northern Eurasia (DLB; Figure 1). The DLB is the largest dry 315 area in the extratropics and may be expanding northward (Shuman et al. 2015; Figure 4) as it 316 has done in past millennia (Chen et al. 2008, 2010; Kozharinov and Borisov 2013). Parts of the DLB are quite densely populated (e.g., Northern China, Central Asia) and have fertile 317 318 land. For example, the Pannonian Lowland and the black soils in Ukraine and European 319 Russia provide substantial grain export to the global market.

320 However, the DLB has strong physical limitations in the production of crops. It has a 321 very limited fresh water supply, which is highly dependent upon irregular extra-tropical 322 cyclones (mostly from the North Atlantic) and a shrinking regional cryosphere. Increases in 323 evapotranspiration arising from increases in warm season temperatures and expansions of the 324 growing season in the DLB are generally not compensated by precipitation increase. Further, 325 changes in the spatio-temporal shifts in precipitation pattern increase the probability of 326 various unusual or extreme events affecting the livelihoods of regional societies and their 327 interactions with the global economy (e.g., Henebry et al. 2013; Chen et al. 2015a). This 328 region is a source of dust storms that can adversely impact the environment, climate, and 329 human well-being (Darmenova et al. 2009).

Arising from these considerations, the second Major Science Question is: "What are
the major drivers of the ongoing and future changes in the water cycles within the regions of

332 Northern Eurasia with insufficient water resources (i.e., DLB and its vicinity)?" In addressing 333 this question, future studies should examine how changes in the water cycle will affect 334 regional ecosystems and societies, and how these changes will feedback to the Earth system 335 and the global economy.

#### 336 Evolving social institutions and economies

337 Institutional changes in Northern Eurasia that have taken place over the past few decades have 338 led to large changes in the socio-economic fabric of the societies in the region, affecting land 339 use and the natural environment (cf., Lerman et al. 2004). One overarching challenge has 340 been the transition from command-driven to "transitional" and more market-driven economics 341 in the countries of Northern Eurasia. This phenomenon has occurred at different rates, with 342 differing levels of success, and often with societal costs. This has created unexpected 343 economic and environmental problems but also opportunities (Bergen et al. 2013; Gutman 344 and Radeloff 2016). Environmental changes and their related problems include massive 345 agricultural land abandonment (Alcantara et al. 2013; Griffiths et al. 2013; Wright et al. 346 2012), inefficient and illegal forest logging (Kuemmerle et al. 2009; Knorn et al. 2012; 347 Newell and Simeone 2014), degradation of cultivated and pasture lands (Ioffe et al. 2012; 348 Chen et al. 2015a, 2015b), growing water deficits and drought (especially in the DLB and 349 new independent states), and the spread of human-induced fires (Soja et al. 2007; McCarty et 350 al. 2017). Many of these outcomes have become important concerns with policy implications 351 at the national and intergovernmental levels. Opportunities emerge mostly with advances of 352 warmer climate conditions northward (agriculture benefits at high latitudes, better 353 transportation conditions in the Arctic Seas; Tchebakova et al. 2011). Other opportunities are 354 institutional, such as cooperation between nations and non-profit organizations in attempting 355 to implement forestry certification.

356 Furthermore, the countries of Northern Eurasia with these "transitional" economies are 357 playing an increasingly important role in the world economic system. Thus, they face further 358 challenges in highly competitive economic conditions under the additional stresses of 359 climatic, environmental, and internal societal change. For countries and/or regions with 360 resource-rich lands and low population (e.g., Russia, Kazakhstan, Mongolia, and 361 Turkmenistan), their development continues to depend on natural resources inclusive 362 especially of timber, oil/gas, mining, fisheries, agriculture and hydropower (Bergen et al. 363 2013). Other countries (e.g., China and Japan) with very large populations and strained or 364 limited resources (such as available domestic timber in China or Japan) may be strong 365 consumers of natural resources from elsewhere in Northern Eurasia (Newell and Simeone 366 2014).

367 Considering the triad "climate – environmental – socio-economic impacts", past 368 NEESPI investigations sufficiently embraced regional climate diagnostics and, to a somewhat 369 lesser extent, diagnostics of environmental and ecosystem characteristics. However, the socio-370 economic impacts of variability and/or systematic changes in climate and environmental 371 variables are still poorly defined. This makes it difficult to effectively plan for the future or to 372 accurately interpret prospective actions based on existing model experiments. These model-373 based projections of climate and environmental changes still have to be attributed to and 374 associated with the mid-term and long-term strategies for the development of different sectors 375 of the economy including agriculture and grazing, forestry, fisheries, mining, energy, and on-376 shore and off-shore infrastructure development. This will be an important NEFI endeavor.

377 The third Major Science Question is: "How can the sustainable development of 378 societies of Northern Eurasia be secured in the near future (the next few decades)? In 379 addressing this question, future studies should examine how societies can overcome the 380 "transitional" nature of their economic, environmental and climatic change challenges, and381 resolve counterproductive institutional legacies.

382

#### 383 Major research foci: Why do they matter?

384 During the preparation and review of the NEFI Science Plan, the directions of future research 385 over Northern Eurasia have been analyzed in light of the new information gained from past 386 NEESPI activities, the apparent need to advance further in these directions addressing the 387 latest dynamics of environmental and socio-economic changes, and the unique features of 388 Northern Eurasia that are of global concern. Nine major research foci have been identified as 389 NEFI priorities (listed in no specific order):

390 1. Influence of global change, with a focus on warming in the Arctic;

391 2. Increasing frequency and intensity of extremes (e.g., intense rains, floods, droughts,

392 wildfires) and changes in the spatial and temporal distributions of inclement weather

393 conditions (e.g., heavy wet snowfalls, freezing rains, untimely thaws and peak

394 streamflow);

395 3. Retreat of the cryosphere (snow cover, sea ice, glaciers, and permafrost);

396 4. Changes in the terrestrial water cycle (quantity and quality of water supply available for397 societal needs);

398 5. Changes in the biosphere (e.g., ecosystem shifts, changes in the carbon cycle, phenology,
399 land-cover degradation and dust storms);

400 6. Pressures on agriculture and pastoral production (growing supply and demand, changes in
401 land use, water available for irrigation, and food-energy-water security);

402 7. Changes in infrastructure (roads, new routes, construction codes, pipelines, risks with

403 permafrost thawing, air, water, and soil pollution);

16

8. Societal adaptations and actions to mitigate the negative consequences of environmental
changes and benefit from the positive consequences; and

406 9. Quantification of the role of Northern Eurasia in the global Earth and socioeconomic

407 systems to advance research tools with an emphasis on observations and models.

Socio-economic research challenges are the top priority for several of these foci. These challenges have not been overlooked in the past but have not been addressed satisfactorily in the NEESPI domain, nor indeed globally. The introduction of the Future Earth research objectives is a response to this gap (http://www.futureearth.org/). There is an urgent need to incorporate socio-economic studies into regional programs by linking the findings of diagnostic and model-based climate and environmental analyses with the requirements for the regional infrastructure, which arise from the detailed treatment of socio-economic conditions.

415 We are establishing this strategy as the foundation for the Northern Eurasia Future 416 Initiative (NEFI) and expect that it will bridge climate and environmental studies with the 417 economic consequences of the observed changes. This will spur advances in physical 418 sciences to better quantify observed and projected climate and environmental changes and 419 improve economic analyses of impacts. This new strategy will directly benefit many 420 stakeholders and end-users. It will provide them with recommendations and assessments 421 going far beyond those based exclusively on the analysis of climate and environmental 422 variables. It will also provide them with a new suite of modeling tools and new data sets to 423 enable much better and smarter decision making. Furthermore, this strategy will provide a 424 strong feedback on further planning of climate and environmental studies, pointing to the 425 parameters, phenomena and mechanisms which, so far, have not been studied and quantified 426 to a full extent. This will make it possible to revisit and comprehensively review the 12-year 427 NEESPI legacy in order to transform conventional climate and environmental metrics to those

428 relevant for building more effective economic strategies and risk assessments.

Below, we examine and justify the issues related to the above nine major research foci in more detail, and in the final section propose an integrated assessment modeling approach that would allow NEFI to eventually address them as best as current technology and knowledge will support.

433

434 **Research focus 1: global change and the Arctic** 

435 Global changes are ongoing and until the causes of these changes are eliminated or mitigated, 436 there are no expectations that they will slow down (Intergovernmental Panel on Climate 437 Change (IPCC) 2014; Barros et al. 2014; Karl et al. 2015; see also Figure 2). Regionally, the 438 temperature changes in Northern Eurasia have been amongst the largest (Blunden and Arndt 439 2015, 2016). Additionally, there are special reasons to list the changes in the Arctic among 440 major concerns for future environmental well-being in the extratropics. This small sliver of 441 the globe (the zone north of  $60^{\circ}$ N occupies only 7% of the Globe surface) plays an important 442 role in the global climate. Its air temperature changes during the past decade were 443 unprecedented for the period of instrumental observations (Figure 5, left) and well above the 444 2°C warming threshold set by the recent United Nations Climate Change Conference 445 (November 30–December 12, 2015, Paris, France).

There are two major consequences of Arctic warming: (a) changes in the Arctic sea ice and (b) changes in the meridional gradient of air temperature. The Arctic has become increasingly closely interlinked with the polar atmosphere with the ongoing retreat and thinning of the sea ice (Figure 5, right; Renner et al. 2014). The depletion of sea ice increases the heat and water vapor exchange with the atmosphere, especially during the cold season (i.e., from mid-September through early June), affecting weather, climate, and the water cycle across the extratropics and, possibly, over the entire hemisphere (Drozdov 1966; Newson
1973; Groisman et al. 2003, 2013a; Arctic Climate Impact Assessment 2005; AMAP 2011;
Bulygina et al. 2013). There are direct practical implications for transportation, regional
infrastructure development and maintenance, and fisheries (AMAP 2011; Farré et al. 2014;
Strategic Assessment of Development of the Arctic 2014; Streletskiy et al. 2015a).

457 **Figure 5.** 

458 The Arctic is closely interlinked with the North Atlantic Ocean. Together they control 459 the World Ocean thermohaline circulation, which provide most of the cold water influx into 460 the deep ocean. They define the climate of the northern extratropics (especially the regions 461 adjacent to the North Atlantic) due to intense meridional heat and mass exchange of the 462 atmosphere with the ocean in the Atlantic Sector of the Arctic and the subsequent transport of 463 air masses inside the continents. This exchange is modulated by variations of the Arctic 464 Oscillation, a large scale mode of climate variability, also referred to as the Northern 465 Hemisphere annular mode (Thompson and Wallace 1998). All together, they create strong deviations from the zonal temperature distribution (for example, compare the climate of 466 467 Edinburgh, Scotland, UK with Churchill, Canada and Yakutsk, Russia) and are highly 468 volatile. Relatively small deviations of the oceanic salinity and sea ice distribution in the 469 northernmost Atlantic may affect the deep water formation process with adverse global 470 consequences for oceanic circulation (Gulfstream) and climate of the extratropics (LeGrande 471 et al. 2006). The ongoing decrease of the meridional temperature gradient in the cold season 472 (Groisman and Soja 2009) may weaken westerlies, causing cold winter outbreaks in the 473 interior of the continent, larger meandering of the cyclone trajectories over the extratropics 474 (Francis and Vavrus 2012), and increasing probability of blocking events (Lupo et al. 1997; Semenov 2012; Mokhov et al. 2013a; Schubert et al. 2014) that can devastate regional 475 476 agriculture through the combination of harsh winters and summer heatwaves (Wright et al.

477 2014).

478

#### 479 **Research focus 2: frequency and intensity of extremes**

480 There is already evidence of climate-induced change across Northern Eurasia during the past 481 few decades (Soja et al. 2007; Groisman and Gutman 2013; Rimkus et al. 2013; Shvidenko 482 and Schepaschenko 2013; Valendik et al. 2014) with southern regions being particularly 483 vulnerable to climate change and fires (Malevsky-Malevich et al. 2008). First, there has been 484 an increase in rainfall intensity and prolonged no-rain periods (summarized in Groisman et al. 485 2013b; see also Zhai et al. 2004 and Chen and Zhai 2014), which at times may occur in the 486 same region. Second, an increase in extraordinary temperature anomalies has been 487 accompanied by summer droughts (Barriopedro et al. 2011; Lei 2011; Lupo et al. 2012; 488 Bastos et al. 2014; Horion et al. 2016). Third, cold outbreaks and/or thaws have increased 489 during winter (Arctic Climate Impact Assessment 2005; Groisman et al. 2016). Fourth, an 490 increase in the frequency of large and severe wildfires has occurred (Conard et al. 2002; Soja 491 et al. 2007; Kukavskaya et al. 2013b; Shvidenko and Schepaschenko 2013). Finally, intense 492 dust storms have occurred (Xi and Sokolik 2015a). Official Russian statistics on "dangerous 493 meteorological phenomena" (DMP), which are events that caused significant damage to the 494 national economy and vital activities of the population, report that seven years of the last 495 decade (2006–2015) had the largest numbers of DMP (from 385 to 467). The impacts of these 496 events often extend far beyond Northern Eurasia, sending aftershocks into global markets and 497 raising concerns about global food security (Loboda et al. 2016).

There are also changes in the spatial and temporal distribution of inclement weather conditions (e.g., heavy wet snowfalls, freezing rains, rain on snow, untimely thaws and peak streamflow) that, while not being extremes *per se*, substantially affect societal well-being and 501 health (e.g., freezing events, Bulygina et al. 2015; Groisman et al. 2016) or indirectly impact 502 the regional water budget (e.g., the influence of winter thaws and/or early snowmelt on the 503 water deficit of the following growing season, Bulygina et al. 2009, 2011; Groisman and Soja 504 2009). Societal consequences of changes in the frequency and intensity of these extreme and 505 inclement events have become an urgent task to address for the entire Earth Science research 506 community (Forbes et al. 2016). In this regard, it is not enough to report and/or to project 507 changes in characteristics of these events but also to develop a suite of strategies for resilient 508 responses to new climate conditions that are forthcoming and/or have an increased higher 509 probability than was previously expected.

510 Extreme events that affect the biosphere and their temporal and spatial changes 511 represent a special focus for NEFI studies. Wildland fire is the dominant disturbance agent in 512 the boreal forests, which are in turn the largest global reservoir of terrestrial carbon (Pan et al. 513 2011; Parham et al. 2014; Gauthier et al. 2015). While fire plays a critical role in maintaining 514 the overall forest well-being through regulating ecosystem functioning, productivity, and 515 health, extreme fire events and changing fire regimes intensify the impacts of climate change 516 and variability on ecosystem states and deliver a suite of powerful feedbacks to the climate 517 system. These events heighten the interactions among the biosphere, atmosphere, and climate 518 systems by affecting carbon balances, hydrologic regimes, permafrost structure, modifying 519 patterns of clouds and precipitation, and radiative forcing by changing surface and planetary 520 albedo (Rogers et al. 2015). Wildfires, in general and particularly during extreme events, also 521 have a direct adverse impact on human health, pose a considerable threat to life and property, 522 and impose a substantial economic burden.

523 A typical feature of the current fire regime is increasing frequency and severity of 524 mega-fires, defined as fires that involve high suppression costs, property losses, natural 525 resource damages, and loss of life (Williams 2013). These fires may cause the irreversible 526 transformation of the forest environment for a period that exceeds the life cycle of major 527 forest-forming species (Sukhinin 2010; Shvidenko et al. 2011; Figure 6). Mega-fires of the 528 last decade have led up to a twofold increase in the share of crown and peat fires. Post-fire 529 dieback in the area of mega-fires as a rule exceeds 50%. A substantial part of post-fire areas 530 may become unsuitable for forest growth for hundreds of years. For instance, such areas in the 531 Russian Far East (RFE) are estimated to cover tens of million hectares (Shvidenko et al. 532 2013). The increasing aridity of the climate provokes outbreaks of harmful insects that could 533 envelope large areas, for example, the outbreak of Siberian silk moth (Dendrolimus superans *sibiricus*) which enveloped an area of about  $10 \times 10^6$  ha in 2010. Human- and climate-induced 534 535 change in disturbance regimes is currently acting in concert to force ecosystems to move more 536 quickly towards a new equilibrium with the climate (van den Werf et al. 2010; Soja et al. 537 2007).

538 **Figure 6.** 

539 Severe fires, driven by anomalous weather conditions, are increasingly becoming the 540 new norm across Russia. In the past 15 years, extreme fires have been reported across nearly 541 all large geographic regions, including very remote zones (e.g. Yakutia in 2002) and densely 542 populated regions (European Russia in 2010). Fire weather (temperature, precipitation, 543 relative humidity and wind speed) in recent decades (2003-2012) is much more dangerous 544 than in an earlier decade (1984–1993). In Figure 6, at the stages from b to i, forests might 545 have the possibility to recover with (1) the absence of repeated disturbances; and (2) 546 implementation of forest management mitigation efforts with increased resources for the most 547 severe cases. However, if the recent tendencies of fire weather continue, the survival of the 548 forest biome in its present boundaries is not possible (Tchebakova et al. 2009).

549 In 2008, smoke and related emissions from early season fires associated with 550 agricultural/clearing in the country of Kazakhstan, in the Transbaikal region, and the Russian 551 Amur Oblast (oblast is a large administrative division in Russia) were observed in the Arctic. 552 On reaching the Arctic, this early season ash deposition could result in more rapid snow and 553 ice melting, further altering albedo impacts on the ice sheet (Warneke et al. 2009). In 2010, 554 the Moscow region experienced a record drought and the hottest summer in Russian recorded 555 history (42°C), which resulted in extreme fires that burned in previously drained peatlands. 556 This lethal combination of natural and human forcings resulted in monetary losses of  $3.6 \times$  $10^9$  \$US (by other estimates up to  $10 \times 10^9$  \$US) and the death of nearly 56,000 people 557 558 (Guha-Sapir 2010). In the spring of 2015, anomalous weather caused extensive and severe 559 fires in Siberia that destroyed 1200 houses in 42 settlements and resulted in 36 deaths and 560 hundreds of injuries in the Republic of Khakassia (Valendik et al. 2015). Similarly, fires in 561 the Transbaikal region resulted in the loss of more than 240 houses in 18 settlements, the 562 death of 11 people, and more than 30 people injured (Kukavskaya et al. 2016).

563 Wildfires are uncommon in Eastern Europe and European Russia (Krylov et al. 2014), 564 but anthropogenic fires in agricultural areas, including croplands and pastures, are widespread (Soja et al. 2004; Dubinin et al. 2011; McCarty et al. 2017; Derevyagin 1987). Romanenkov 565 566 et al. (2014) noted that a peak of satellite fire detections occurs in cropland areas in Russia, 567 Baltic countries, Belarus, Ukraine, and Kazakhstan directly after the snow melt in the spring (indicating field preparation) and after agricultural harvests in the fall. Agricultural burning is 568 569 a source of short-lived climate pollutants like black carbon (McCarty et al. 2012) and methane 570 (McCarty et al. 2017). However, prescribed fire in forests, grasslands, or croplands is either 571 illegal or not reported by national agencies in Lithuania, Belarus, or Russia (Narayan et al. 572 2007). Efforts to organize reliable monitoring of such fires from space are warranted.

573

#### 574 **Research focus 3: retreat of the cryosphere**

575 The cryosphere in the montane regions of Northern Eurasia is represented by three 576 components: (i) seasonal and perennial snow pack; (ii) glaciers; and (iii) permafrost. The 577 cryosphere retreat has a continent-wide spatial scale with temporal scales that vary from the 578 century to millennia for glaciers and permafrost, to seasonal for snow cover extent 579 (Shahgedanova et al. 2010, 2012, 2014; Aizen et al. 2007; Bulygina et al. 2011; Gutman and 580 Reissell 2011; Sorg et al. 2012; Chen et al. 2013; Groisman and Gutman 2013; Nosenko et al. 581 2013; Khromova et al. 2014; Blunden and Arndt 2015; Farinotti et al. 2015; Syromyatina et 582 al. 2014, 2015; Fausto et al. 2016).

583 This retreat affects: a) continental energy balance changes due to decreases in surface 584 albedo, increases in heat flux into the upper surface layers, and earlier spring onsets and 585 longer growing seasons; b) the depletion of the continental water storage accumulated during 586 the past millennia in ground ice with the subsequent desiccation of lands that rely upon water 587 supply from glacial melt and permafrost thaw; and c) large-scale biosphere changes (Figure 4) 588 especially prominent in regions where the cryosphere is intrinsically linked with the 589 survival/dominance of major species within biomes (e.g., larch forest over the permafrost 590 areas in northern Asia).

The most prominent snow cover changes are observed in the late spring (Figure 7a) while the total duration of seasonal snow on the ground is decreasing, there are days/periods, when snow maximum water equivalent and maximum snow depth have been increased over most of Russia (Bulygina et al. 2009, 2011, updated). Note that the strong systematic increase in spring temperatures in Northern Eurasia (Figure 3) was apparently enhanced by positive snow cover feedback.

597 **Figure 7.** 

598

Changes in the extent and mass balance of glaciers are important primarily because of

599 their impact on water resources. Yet, while there is extensive information about glacier area 600 change, less is known about changes in glacier volume and mass, either observed or projected. 601 Within the domain of Northern Eurasia, assessments of changes of glacier mass on a regional 602 scale are available for the Tien-Shan mountain system using Landsat and Corona satellite 603 imagery which provided data on volume change (e.g., Pieczonka and Bolch 2015) and 604 Gravity Recovery Satellite Experiment (GRACE) data (e.g., Farinotti et al. 2015). The latter 605 provides data on changes in ice mass and is therefore directly relevant to the assessment of 606 water resources. Yet for regions other than the Tien-Shan, the uncertainty of measurements 607 using GRACE remains very high and often exceed the measured signal (Jacob et al. 2012). In 608 other regions, changes in the mass and volume of ice are characterized using traditional 609 glaciological surveyors' pole measurements of mass balance at the benchmark glaciers 610 (World Glacier Monitoring Service 2015). Geodetic mass balance for smaller areas is based on using in situ geodetic measurements, aerial photography and high-resolution satellite 611 612 imagery (e.g. Shahgedanova et al. 2012), and ground-penetrating radar (GPR) measurements 613 performed both in situ and from the air (e.g. Kutuzov et al. 2015). This last method appears to 614 be promising, particularly in combination with ice thickness modeling, e.g. the recently developed Glacier Base Topography Model, 2<sup>nd</sup> Version (GLABTOP2; Linsbauer et al. 615 616 2012).

Within Northern Eurasia, the contemporary glaciation reaches its maximum extent in the mountains of Central Asia. In the Tien-Shan alone, according to different estimates, glaciers occupy between 15,400 km<sup>2</sup> and 16,400 km<sup>2</sup> (Sorg et al. 2012). The Altai Sayan Mountains and the Caucasus Mountains are other important centers of contemporary montane glaciation with a combined glacier area of approximately 1,550 km<sup>2</sup> (Aizen 2011) and 1350 km<sup>2</sup> (Shahgedanova et al. 2014) respectively. Smaller centers of contemporary glaciation occur in the Polar Urals, mountains of eastern Siberia (e.g. Kodar, Chersky, and Suntar-

Kayata), and Kamchatka (Khromova et al. 2014). Across all these regions, with the exception 624 625 of the coastal glaciers of Kamchatka (Khromova et al. 2014), glaciers are retreating although 626 regional variations in retreat rates are observed both between and within the mountainous systems (Kutuzov and Shahgedanova 2009; Narama et al. 2010; Sorg et al. 2012; 627 Shahgedanova et al. 2010). When observations allow, the retreat of glaciers can be 628 629 documented at the century scale (cf., Figures 7c and 7d). In the first decade of the 21st century, the retreat rates increased to 1% yr<sup>-1</sup>, e.g., across most of Tien-Shan and Djungarskiy 630 631 Alatau (Severskiy et al. 2016; Sorg et al. 2012; Farinotti et al. 2015; Pieczonka and Bolch 2015). In addition to glaciers, the ongoing climate warming has already affected the ground 632 633 ice of these mountain ecosystems (Jin et al. 2000, 2007; Marchenko et al. 2007; Wu et al. 634 2013).

635 Across the Caucasus, the glaciered area has been shrinking at a slower rate of 0.4-0.5 % yr<sup>-1</sup> (Shahgedanova et al. 2014). Changes in the extent of glaciers of north-eastern Siberia and 636 637 the Urals are often more difficult to quantify because of the small size and cloudy summer 638 weather which make it difficult to obtain suitable satellite imagery. However, analysis of 639 glacier change in the Kodar Mountains shows both a strong loss of glacier area, as high as 0.9 % vr<sup>-1</sup> between the 1960s and 2010 (Stokes et al. 2013), and a strong loss of glacier volume 640 641 and negative mass balance (Shahgedanova et al. 2011). Glaciers of the Polar Urals have lost 642 nearly half of their area since the 1950s and exhibited negative mass balance (Shahgedanova 643 et al. 2012).

It is difficult to believe that the temperature increases over montane areas of Central Asia and Caucasus will not affect the extent of the regional cryosphere unless there is a concurrent two-digit percentage increase in regional precipitation. Analyses of cyclonic activity over Central Asia do not show sizeable changes in the total cyclone numbers, and there are some increases in their variability. Furthermore, the number of deep cyclones, 649 which are already rare here, has decreased in the last decade (Figure 8). Thus, the countries 650 comprising this region should be prepared to confront potential problems with water 651 availability for montane agricultural fields and pastures.

652 **Figure 8**.

Permafrost and associated periglacial landforms can store large quantities of fresh 653 654 water in the form of ice (30–70% by volume, Bolch and Marchenko 2009) to buffer the loss 655 of glacial mass. The impact of a declining cryosphere on water resources varies among the 656 regions. While the impact is predicted to be moderate in the northern Caucasus, which 657 receives ample precipitation (Lambrecht et al. 2011), it is likely to be stronger in arid regions 658 such as southern Caucasus and Central Asia. In particular, the mountains and plateaus of 659 Central Asia have been in the spotlight of cryosphere research because they are a major 660 regional source of fresh water for surface runoff, groundwater recharge, hydropower plants, 661 community water supply, agriculture, urban industry, and wildlife habitat. Central Asia is 662 categorized as a water-stressed area where projected climate change could further decrease 663 streamflow and groundwater recharge (Core Writing Team 2007).

664 It is anticipated that under the current climate warming trend, the recession of glaciers 665 in Central Asia will accelerate, leading to a temporary increase of runoff during the dry 666 season. The studies of the observed and projected changes in discharge suggest that the peak 667 flow might have already been reached and will continue for the next decade (Hagg et al. 2006, 668 2013; Shahgedanova et al. 2016). However, on longer time-scales (> 50 years), the crucial dry 669 season glacier runoff will be substantially reduced, as glaciers will lose most or all of their ice 670 storage. In the same period, the melt of ground ice (initially trapped and accumulated in the 671 permafrost) could become an increasingly important source of freshwater in the region. 672 Currently few projections of future climate using regional climate modelling exist for Central 673 Asia (Mannig et al. 2013; Shahgedanova et al. 2016). While all existing simulations project an increase in air temperature for the region, there is substantial disagreement among themodels on the future trends in precipitation.

676 In the last 30–40 years, observations have indicated a warming of permafrost in many 677 northern regions with a resulting degradation of ice-rich and carbon-rich permafrost. Increases of permafrost temperatures observed in Northern Eurasia and North America have resulted in 678 679 the thawing of permafrost in natural, undisturbed conditions in areas close to the southern 680 boundary of the permafrost zone (Romanovsky et al. 2010a, 2017). Most of the permafrost 681 observatories in Northern Eurasia show its substantial warming since the 1980s. The magnitude of warming has varied with location, but was typically from 0.5 to 3°C. In the 682 regions where permafrost surface is already "warm" (i.e., where its temperature is close to the 683 684 freezing point: Arctic shelf seas, riverbeds, edges of the present permafrost boundaries), such 685 warming causes multiple changes in the terrestrial hydrological cycle, land cover, and man-686 made infrastructure (Pokrovsky et al. 2012; Shvidenko et al 2013; Shiklomanov et al. 2017). 687 The close proximity of the exceptionally ice-rich soil horizons to the ground surface, which is 688 typical for the arctic tundra biome, makes tundra surfaces extremely sensitive to the natural 689 and human-made changes that resulted in the development of processes such as thermokarst, 690 thermal erosion, and retrogressive thaw slumps that strongly affect the stability of ecosystems 691 and infrastructure (see Research focus 7: changes in infrastructure). Figure 7b shows the 692 number of newly emerging thermokarst lakes in West Siberia which indicate the rate of 693 degradation there of the upper layer of the permafrost. A main aim of the future NEFI efforts 694 related to permafrost is to evaluate its vulnerability under climate warming across the 695 permafrost regions of the northern and high-elevation Eurasia with respect to ecosystems 696 stability, infrastructure, and socioeconomic impact. A second aim is to estimate the volume of 697 newly thawed soils, which could be a potential source or sink of an additional amount of 698 carbon in the Earth system.

During the NEESPI studies of the past decade, the cryosphere retreat and its major
 manifestations were documented (Figure 7) and it was shown that this process plays a critical
 role in environmental changes across Northern Eurasia.

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#### 703 **Research focus 4: changes in the terrestrial water cycle**

704 The mountains of Northern Eurasia cut its landmass off from the major sources of water 705 supply from the tropics. Even in the regions of "sufficient" moisture, this sufficiency is 706 secured not by an abundance of water, but rather by suppressed evapotranspiration during the 707 lengthy cold season, soil insulation from the atmosphere by seasonal snow cover, and by 708 external water supply from cryospheric storage. The rest of the water is provided through 709 unstable atmospheric circulation (e.g., cyclones). Changes caused by global warming can 710 decrease and/or redistribute water supplies from the cryosphere, increase the vegetation 711 period, and affect the water vapor transport from the oceans into the continental interiors 712 where both absolute changes and variation in the water vapor transport are of great 713 consequence. Both natural ecosystems and human activities rely upon the stability of the 714 water supply. Looming changes include: (a) depletion of relatively stable water sources 715 (cryosphere; Khromova et al. 2014), (b) an already unstable water source (atmospheric 716 circulation) becoming even more variable (Schubert et al. 2014), and (c) a longer and warmer 717 period for vegetation growth ("greening") increasing the biospheric water demand (Park et al. 718 2016). Given these, it becomes clear that changes in the terrestrial water cycle across 719 Northern Eurasia can adversely affect the well-being of local societies as well as the world 720 economy.

721 **Figure 9.** 

722

There is ample evidence of changes in the terrestrial water cycle across Northern

723 Eurasia (AMAP 2011; Barros et al. 2014; Figure 9), including reduced snow cover (Brown 724 and Robinson 2011; Callaghan et al. 2011a; AMAP 2011, 2017), intensifying spring melt 725 (Bulygina et al. 2011), increasing river flow (Shiklomanov and Lammers 2009, 2013; 726 Georgiadi et al. 2011, 2014a, 2014b; Georgiadi and Kashutina 2016; Holmes et al. 2015), 727 disappearance of lakes (Smith et al. 2005; Shiklomanov et al. 2013) lengthened ice-free 728 period in lakes and rivers (Shiklomanov and Lammers 2014), degradation of permafrost 729 (Streletskiy et al. 2015a), and melting of glaciers (Velicogna and Wahr 2013; Duethmann et 730 al. 2015) among others.

731 River flow is a dynamic characteristic that integrates numerous environmental 732 processes and aggregates their changes over large areas. River runoff plays a significant role 733 in the fresh-water budget of the Arctic Ocean and its water supply especially during low flow 734 seasons (fall-winter). Ocean salinity and sea ice formation are critically affected by river input 735 (Rawlins et al. 2009). Changes in the fresh water flux to the Arctic Ocean can exert 736 significant control over global ocean circulation by affecting the North Atlantic deep water 737 formation with irreversible consequences for Northern Hemisphere climate (Peterson et al. 738 2002; Rahmstorf 2002; Fichot et al. 2013). Eurasia contributes 74% of the total terrestrial 739 runoff to the Arctic Ocean. The total annual discharge of six large Eurasian rivers increased from 1936 to 2010 by approximately 210 km<sup>3</sup>- more than the annual discharge of the Yukon 740 741 River (Shiklomanov and Lammers 2011), with a new historical maximum in 2007 (Figure 10; 742 Shiklomanov and Lammers 2009; Holmes et al. 2015).

River discharge into the Arctic Ocean is a highly effective conveyor in transporting continental heat across Eurasia (Nghiem at al. 2014) under a warming climate with increasing temperatures (Figure 2). Eurasian rivers with immense watersheds, particularly the Severnaya Dvina, Pechora, Ob, Yenisei, Lena, and Kolyma Rivers, provide a massive flux of warm 747 waters into the Arctic Ocean or peripheral seas contributing to melt sea ice in spring and 748 summer. The massive river energy flux to the Arctic Ocean carries an enormous heating power of  $1.0 \times 10^{19}$  J/yr for each 1°C of the warm river waters above freezing, which is 749 equivalent to the power release from detonation of  $2.5 \times 10^9$  ton of trinitrotoluene (TNT) per 750 751 °C per year (Nghiem et al. 2014). With increased water temperatures (Lammers et al. 2007) 752 and longer ice-free periods of the Arctic rivers (Shiklomanov and Lammers 2014), the role of 753 river heat input is increasing and must be incorporated in sea ice prediction and projection 754 models. These changes of river discharge in Northern Eurasia have a predictive potential to 755 force Arctic change at interannual to decadal timescales and beyond (Richter-Menge et al. 756 2012).

#### 757 **Figure 10.**

758 The Northern Eurasian freshwater cycle has been an important focus of ongoing 759 research, and a great deal of work has been carried out to understand the increases in the river 760 discharge to the Arctic Ocean and to identify whether or not the regional hydrological system 761 is accelerating (e.g., Smith et al. 2007; White et al. 2007; Rawlins et al. 2010; Holmes et al. 762 2013). Although a variety of theories have been put forward, the physical mechanisms 763 driving the observed runoff changes are not yet fully understood. Comprehensive analyses of 764 water balance components (Rawlins et al. 2005, 2010; Serreze et al. 2006; Shiklomanov et al. 765 2007), human impacts (McClelland et al. 2004, 2006; Yang et al. 2004; Adam et al. 2007; Shiklomanov and Lammers 2009; Zhang et al. 2012a), and hydrological modeling 766 767 experiments (Bowling and Lettenmaier 2010, Troy et al. 2012) have not revealed a clear 768 cause of the observed increase in river discharge. Precipitation in the Eurasian pan-Arctic, 769 which is the most important water balance component for the runoff generation, does not 770 show a significant change to support the observed increasing trend in river flow (Adam and

771 Lettenmaier 2008; Groisman et al. 2014).

772 In contrast, the increase in air temperature across the pan-Arctic has been widely and 773 consistently documented (Overland et al. 2014) and it is expected to continue with the higher 774 rates in the future (Barros et al. 2014). The air temperature rise leads to significant changes in 775 the regional cryosphere including spring snow cover retreat, less frozen soil in the winter 776 season, deeper annual thaw propagation in the permafrost zone (deeper active layer) and 777 melting of glaciers. Several local or regional studies have shown the important influence of 778 changes in different cryospheric components including permafrost thaw (Davydov et al. 2008; Woo 2012; Streletskiy et al. 2015b), glacier melt (Bennett et al. 2015), less thickness of 779 780 seasonally frozen soil (Markov 1994, 2003; Frauenfeld et al. 2004; Frauenfeld and Zhang 781 2011; Shiklomanov et al. 2017), and river ice on river runoff generation (Gurevich 2009; 782 Shiklomanov and Lammers 2014). However, it is not clear from these studies how these 783 locally observed changes will interact among each other and with spatially varying 784 precipitation changes to affect the river flow over the entire region and the freshwater flux to 785 the ocean. There is also considerable uncertainty about how these local changes will scale up 786 to regional and continental scale impacts.

787 Terrestrial evaporation and transpiration (evapotranspiration) are the components of 788 the terrestrial hydrological cycle that are the most difficult to measure given few direct 789 observations (Speranskaya 2011, 2016). Near-surface air temperatures are increasing, and 790 one can expect that the evaporation from wet land surfaces should increase. However, the 791 near-surface wind speeds over the entire territory of Russia have been decreasing in the past 792 several decades (Bulygina et al. 2013 updated to 2016; such studies have not been completed 793 for other parts of Northern Eurasia), and this may reduce the air-surface water vapor 794 exchange. Furthermore, most Northern Eurasian land surfaces are not "wet" so a temperature

795 increase does not automatically induce an increase in evaporation. Opposite processes may 796 prevail due to evaporation suppression by dry upper soil layer (Golubev et al. 2001). Thawing 797 of permafrost and less seasonally frozen ground can significantly change underground 798 hydrological pathways. This will lead to an increase in ground flow, higher runoff during the 799 cold season and, correspondingly, to a decrease in total evapotranspiration. Finally, future 800 ecosystem shifts can dramatically change the vegetation composition (Figure 4) and the 801 transpiration rate of the new communities can induce further fundamental changes to the 802 regional water cycle. All of the processes above suggest that changes in this component of the 803 hydrological cycle are not trivial and should be assessed within new models that properly 804 account for the interactions among the atmosphere, soil, and biosphere. Large-scale 805 geochemical and geophysical runoff changes (biological and inorganic matter transports) also 806 should be considered.

807 Recently, there were a number of assessments of trends in the discharge from 808 glaciered catchments of Central Asia. A detailed review of changes in river discharge in the 809 Tien-Shan has been provided by Unger-Shayesteh et al. (2013) who reported contrasting 810 trends for its different sectors including increasing summer runoff in the northern and inner 811 Tien-Shan, and decreasing summer runoff in the central and western Tien-Shan and at the 812 lower elevations in the inner Tien-Shan. More recently, Shahgedanova et al. (2016) reported 813 an increase in discharge from the glaciered catchments unaffected by human activities in the 814 northern Tien-Shan using homogenized long-term records. Positive trends in the discharge 815 from the headwater catchments of the Tarim River were reported by Duethmann et al. (2015), 816 Krysanova et al. (2015), and Kundzewicz et al. (2015) who also attributed these changes 817 primarily to the increasing glacier melt, but highlighted their inability to quantify water 818 withdrawal and its contribution to the long-term trends as a limitation of these studies.

819 It is important to recognize that the increases in discharge due to glacier melt (if any) 820 have been a temporary relief for water resources in the interior regions of Central Asia and 821 Caucasus. In these regions, water stored in the cryosphere is limited and, if the current 822 tendencies of the cryosphere depletion persist, they will result in severe water deficits in 823 future decades. Therefore, it is time to begin preparations to mitigate and/or adapt to these 824 deficits beforehand by developing management routines for water preservation and responsible consumption as well as by modifying agriculture and pastoral practices 825 826 accordingly.

Accelerated climate- and anthropogenic-induced changes in the hydrological cycle raise societal concern because changes in the water level, streamflow, snow, ice, and frozen ground have pronounced effects on local and regional economies and the well-being of the Northern Eurasian residents. In particular, there may be immediate implications for water supply, irrigation, energy production, navigation, land and water transport, and structural engineering.

Presently, changes of the hydrological regime in Northern Eurasia are producing more and more freshwater input to the Arctic Ocean. The changes in river dscharge, along with the sea ice decline, and higher precipitation over the ocean may exert a significant control over the North Atlantic meridional overturning (thermohaline) circulation with potentially dramatic consequences for climate of the entire Northern Hemisphere. Accordingly, we should expand our knowledge to better understand these hydrological processes, to better project possible extreme events, and better adapt to ongoing and upcoming environmental changes.

840

# 841 **Research focus 5: changes in the biosphere**

842 Ecosystems in Northern Eurasia are subjected to the impacts of climate change and human843 activities over the entire sub-continent. In the northern part on sites with permafrost,

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844 anthropogenic changes are primarily due to oil and gas exploration and extraction, mining, 845 and infrastructure development. Further south, timber harvest (along with oil/gas) is 846 predominant in the boreal and temperate forest zones, as are agricultural and pastoral 847 activities in the forest-steppe and steppe zones. Industrial development often leads to the 848 physical destruction of landscapes, changes of the hydrological regime, and widespread 849 contamination of air, soil and water (Derome and Lukina 2011; Baklanov et al. 2013). 850 Climate-induced changes in terrestrial ecosystems transform important ecosystems and their 851 services, which in turn, require an adjustment in business planning, nature conservation, forest 852 management, agricultural practices and regional economic policies to mitigate or adapt to 853 these changes. The Siberian Taiga and Far East zones together comprise the largest part of the 854 world's most intact remaining boreal forests (Potapov et al. 2008). It is now recognized that 855 the RFE in particular is home to unique ecosystems and biodiversity (Newell and Wilson 856 2004).

857 In the long term, terrestrial ecosystems function in a dynamic balance with the states 858 of climate, water resources, the lithosphere, and cryosphere. When these four driving forces 859 change, ecological systems also begin to change. Currently, significant changes in forest area 860 and composition are predicted to occur within a few future decades (see Figure 4 and 861 discussion). Ongoing climate change already impacts the ecosystems of Northern Eurasia and 862 may provide hints for projecting future changes. These impacts are manifold and relate to 863 diverse features of ecosystem states and behavior like health, productivity, resilience, change 864 of natural disturbance regimes, major biogeochemical cycles, among many others (Kharuk et 865 al. 2017b).

Forests disturbed within the last 30 years account for approximately 75 x  $10^6$  ha (9%) of Russian forests (Loboda and Chen 2016). Dendrochronological data show that fire frequency has been increasing in different parts of Russia throughout the 20th century

(Voronin and Shubkin 2007; Kharuk et al. 2016). Recent satellite-based assessments show 869 870 that the rates of forest disturbance have increased further since 2000 compared to the pre-2000 era across all forest biomes with the largest increase from 1.2 to 2.2 x  $10^6$  ha year<sup>-1</sup> in 871 Eastern Siberia associated with an increase in fire occurrence (Loboda and Chen 2016). The 872 873 average extent of burnt area during the last 15 years over Russia is estimated at  $10-13 \times 10^6$  ha vear<sup>-1</sup> with the post-fire forest mortality rate of  $1.76 \times 10^6$  ha year<sup>-1</sup> (Krylov et al. 2014; 874 875 Bartalev et al. 2015). In the future, the frequency and extent of a fire occurrence in boreal 876 forests are expected to rise further under the projected scenarios of climate change by 877 anywhere from 25-50% (Flannigan et al. 2000, 2013) to 300-400% (Shvidenko and 878 Schepaschenko 2013; Abbot et al. 2016) with an accompanying 50% increase in fire weather 879 severity. These, in turn, are likely to result in large scale ecosystem shifts. For example, an 880 increase in fire frequency is expected to lead to the disappearance of the pure Siberian pine 881 stands in southern Siberia and the replacement of Siberian pine forests by Scots pine stands in 882 the northern regions (Sedykh 2014). Repeated disturbances have resulted in substantial 883 decreases in fuel loads and led to soil erosion, overheating, the absence of nearby seed 884 sources, and the proliferation of tall grasses. As a result, the lack of natural post-fire 885 regeneration of forests has led to their conversion to steppe vegetation (Kukavskaya et al. 886 2016; Figure 6). Based on the analysis of satellite vegetative indices combined with ground-887 based data, repeated fires have been found to have the most negative impact on reforestation, 888 forcing the failure of post-fire regeneration in more than 10% of the forested area in the south-889 western part of the Transbaikal region (Shvetsov et al. 2016). Furthermore, Flannigan et al. 890 (2013) project that cumulative fire severity would increase three times and fire season length 891 could increase by 20 days by 2091 for Northern Eurasia. Thus, there is an urgent need for 892 planning adaptive forestry and fire management activities designed specifically for the regions that take into account trends in conditions and local features (climatic, forest-vegetation, 893

social, technical, and economic).

895 While productivity of forests at the continental level has increased during the last few 896 decades at a rate of 0.2–0.3% per year due to increasing temperature and lengthening of the 897 growth period, there are large territories with decreasing productivity (Schaphoff et al. 2015) 898 and enhanced mortality of trees. This mirrors the general condition for the entire boreal belt 899 (Allen et al. 2010). The forests over large territories in different regions of Northern Eurasia 900 are exposed to substantial dryness, particularly those which are dominated by dark coniferous 901 tree species (Shvidenko et al. 2013) resulting in increased water stress and impacts of forest 902 pests and pathogens. Increasing climate aridity has caused the morphological structure of 903 forests to change (Lapenis et al. 2005). High variability of climate and an increase in the 904 frequency and severity of long dry and hot periods (heat waves) impact forest health and the 905 productivity of ecosystems in a visibly negative way (Bastos et al. 2014; Gauthier et al. 2015). 906 Impacts of seasonal weather on net primary production and soil heterotrophic respiration is 907 ecosystem/soil type and bioclimatic zone specific (Shvidenko and Schepaschenko 2014; 908 Mukhortova et al. 2015).

Influences of climate changes on vegetation are primarily manifested in the alteration of the basic biogeochemical functions — first of all, the exchange rates of water vapor and carbon dioxide between plant ecosystems and the atmosphere. When ecosystems respond to changes in ambient temperature and moisture conditions, the direct response can be quite rapid. For example, an increased frequency and duration of droughts result in a transformation of the functional role of wetlands to be a source rather than a sink of  $CO_2$  for the atmosphere (Bohn et al. 2013; Olchev et al. 2013a, 2013b).

Sustainability of the forest carbon sink under changing climate is a serious concern,
given the huge task of limiting the growth of atmospheric greenhouse gases (GHG)
concentrations to levels adopted under the Paris Agreement of 2015

919 (http://ec.europa.eu/clima/policies/international/negotiations/paris en). The global growth of 920  $CO_2$  in the atmosphere is significantly compensated by the terrestrial biosphere sequestering 2 921 to 4 Petagrams of carbon every year as evidenced globally from atmospheric composition 922 measurements (Le Quéré et al. 2015). Atmospheric inverse models (Dolman et al. 2012) 923 estimate the sink, which amounts to less than 4% of global net primary production, to be 924 disproportionally allocated to high and mid latitudes of the Northern Hemisphere, including 925 Northern Eurasia. This result is especially convincing when atmospheric observations over 926 Northern Eurasia are used (Stephens et al. 2007; Maksyutov et al. 2013; Jiang et al. 2012, 927 2016; Saeki et al. 2013). Terrestrial biosphere models and long-term atmospheric 928 observations (Graven et al. 2013) reveal an increase of biospheric CO<sub>2</sub> seasonal exchange 929 during the past few decades that are driven by rising temperatures and atmospheric CO<sub>2</sub> 930 concentrations. Maintaining the size of the carbon sink in Northern Eurasia into the 21st 931 century under the negative impacts of increased droughts and fires requires basically the same 932 measures as those needed for sustaining forestry, namely fire protection and efficient forest 933 management (Hurtt et al. 2002, 2011; Shvidenko et al. 2013). Despite the high level of 934 natural and human-induced disturbances, the ecosystems of Northern Eurasia currently serve 935 as a net sink of carbon up to 0.5 - 0.6 Pg C yr<sup>-1</sup> (Dolman et al. 2012) with about 90% of this 936 sink occurring in forested landscapes. However, Figure 11 shows that large areas of disturbed 937 forests, basically on permafrost, have already become a carbon source.

938 **Figure 11**.

Current biosphere models predict diverse responses based on the acceleration of the carbon cycle by future climate change. A significant change is expected for ecosystems on permafrost, but many important features of ecosystems at high latitudes are not adequately incorporated in these models. For the permafrost-region in Russia, current estimates indicate that the end-of-the-century release of organic carbon from the Arctic rivers and collapsing

coastlines may increase by 75% (Gustafsson et al. 2011). The carbon loss from wildfires may 944 945 increase substantially (Shvidenko et al. 2013). The expected changes of ecosystems in 946 permafrost regions include forest decline over large regions from changes in the hydrological 947 regime and increasing water stress (Figure 4). Still, it is not clear whether northern forest 948 ecosystems will reach a tipping point, but this is very likely under regional warming above 949 7°C (Gauthier et al. 2015; Schaphoff et al. 2015). The uncertainty of such a prediction is 950 high. However, it is very likely that the permafrost region will become a carbon source to the 951 atmosphere by the end of this century, regardless of which warming scenario is used. 952 Purposeful forest management could substantially slow down this process (Abbot et al. 2016).

Logging is an important disturbance factor in many forest areas of Northern Eurasia (Achard et al. 2006; Gauthier et al. 2015). Logged sites are usually highly susceptible to fire due to a combination of high fuel loads in leftover debris and accessibility for human-caused ignition (Loboda and Csiszar 2007; Loboda et al. 2012). These sites typically experience higher severity fires than do unlogged forests, and these fires can spread to adjacent areas (Ivanov et al. 2011; Kukavskaya et al. 2013a). In the dry lands, clear-cut logging accelerates the conversion from forest or forest-steppe to steppe vegetation.

960 Throughout the Taiga zone, timber harvesting (Bergen et al. 2008) and possibly 961 human-exacerbated forest fires (Kasischke et al. 1999) are major contributors to change in the 962 ecological systems of Northern Eurasia. Forest harvest in Russia as a whole, and in particular 963 in Siberia and the RFE has changed over the past fifty years with high harvest rates 964 characterizing the late Soviet era (Peterson et al. 2009). After the dissolution of the former 965 Soviet Union, these rates dropped to less than to  $100 \times 10^6$  m<sup>3</sup> (Bergen et al. 2008) although 966 more recently they have partially rebounded. The early Soviet era saw an emphasis on 967 harvest from western Russia. Since the 1980s, the greater development of logging in Siberia and the RFE was spurred by declining western Russia reserves, incentives to establish 968

industry in the eastern reaches of Russia and agreements with Japan (in 1968 and 1974) for
forestry infrastructure development in Siberia/RFE. Most recently (and in the foreseeable
future), trade in eastern regions is influenced by increasing demand from China (Figure 12),
with significant potential to adversely impact the health and intactness of Siberian and RFE
forests in particular (Bergen et al 2013; Newell and Simeone 2014).

974 Figure 12.

975 Predictions of the future distribution and state of ecosystems in Northern Eurasia vary 976 considerably (Gustafson et al. 2011a, 2011b; Tchebakova and Parfenova 2012, 2013), with 977 remaining large uncertainties in the vegetation dynamics. Progress in dynamic vegetation 978 observations and modelling in North Eurasia has become more visible with the recent 979 availability of high-resolution remote sensing data on topography, plant phenology, biomass, 980 and soil wetness (Kharuk et al. 2017a; Tchebakova et al. 2016a, 2016b). However, more 981 efforts will be needed to expand the new data capabilities into lowlands and tundra regions.

982 Study results from the region suggest that further global warming will put at risk the 983 sustainability of forest and forest landscapes (Gauthier at al. 2015; Schaphoff et al. 2015; 984 Figure 4). As mentioned earlier in this paper, models predict substantial shifts of vegetation 985 to the north with forest steppe and steppe expected to be dominant across large southern 986 territories of the present forest zone (Schaphoff et al. 2006; Tchebakova and Parfenova 2012). 987 However, the changes in climatic conditions during the last several decades have occurred too 988 rapidly for vegetation structure to completely adjust to the new conditions. The immediate 989 response of vegetation cover to changes of climatic variables can be quite rapid, but the 990 recovery can be characterized to occur over a longer time frame with significant delay. When 991 the climate changes shift a region to conditions outside of the range of dominant species, the 992 past and current seed dispersal rates (Udra 1988) are slower than the migration rate needed for 993 vegetation to alter its composition to one appropriate to the predicted climate change.

994 A similar conclusion was reached based on comparisons of palynological data and 995 radio-carbon dating in Western Europe (Huntley and Birks 1983) and in the European part of 996 Russia (Velichko 2002; Velichko et al. 2004). It has been shown that under warming during 997 the first half of the Holocene, the expansion rate of the majority of tree species was 200 - 300 998 m per year although the rate did reach 500 - 1000 m per year for pioneer species (birch and 999 aspen). Similar estimates of the expansion rate of the boreal and temperate tree species in the 1000 early Holocene (from 100 to 1000 m per year) have been obtained from palynological data 1001 (Higgins and Richardson 1999; Tinner and Lotter 2001; Higgins and Harte 2006).

1002 The results of paleoclimatic and paleogeographical reconstructions of the past epochs 1003 can be useful (as analogues) for prediction of the possible changes of the vegetation cover due to the projected change of climate conditions in the 21<sup>st</sup> century. Numerous refugia (areas 1004 1005 with species that are different from the surrounding dominant ecosystems/populations) provide clues to the boundaries of the past ecosystems and also show the level of their 1006 1007 resilience to a changing environment. Many global and regional paleoclimatic reconstructions 1008 have been compiled for various warming and cooling periods of the Late Pleistocene and 1009 Holocene (Velichko 2002). According to available paleogeographical data, the thermal 1010 maximum of the Holocene (about 6-5.5 ka BP) could be considered as an analogue of the climatic conditions for the middle of the 21<sup>st</sup> century and the optimum of the last Interglacial 1011 1012 (Mikulino-Eemian-Sangamon, Stage 5e of the deep-sea oxygen curve, about 125 ka BP) period could be considered as a paleo analogue for the end of the 21<sup>st</sup> century (Velichko et al. 1013 1014 2004). Still it is not clear how much dispersal rates may accelerate under climate change, but 1015 it is very likely that the southern parts of the forest zone will be under very high risk, and the 1016 potential loss or decline of southern taiga forests will not be compensated for by increasing 1017 forest area beyond the current northern tree line.

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Ecosystem changes in the present forest zone of Northern Eurasia may be quite rapid

1019 due to simultaneous effects of climate change that is among the largest over the planet (Figure 1020 3; Blunden and Arndt 2015, 2016) and of anthropogenic factors such as logging (Figure 12), 1021 air, soil, and water pollution, and man-induced fires (see Research focus: frequency and 1022 intensity of extremes). First of all, the feedbacks from these changes directly affect the 1023 ecosystem services to societies of the region and, thus, their well-being. Secondly, the 1024 biogeochemical feedbacks of the carbon cycle changes in the forest and tundra zones of 1025 Northern Eurasia and its Arctic shelf seas may go far beyond the continent after the release of 1026 methane and CO<sub>2</sub> from large carbon storage in forest, wetlands, and frozen soil to the 1027 atmosphere due to biomass decomposition, fires, and thawing (Friedlingstein et al. 2006; 1028 Shvidenko et al. 2011, 2013; Gao et al. 2013; Gauthier et al. 2015; Shakhova et al. 2015; 1029 Ruppel and Kessler 2017). These types of feedbacks affect the rates of global Earth system 1030 change and, therefore, represent a global concern.

1031 In Central Europe, air pollution has been recognized as a key threat for forest ecosystems since the second half of the 20<sup>th</sup> century. At the end of the 20<sup>th</sup> century, sulphur 1032 1033 and nitrogen depositions in Europe connected with lignite combustion and the high 1034 concentration of industry reached their highest levels. Thereafter, the deposition of S 1035 decreased by >80% (Schöpp et al. 2003), with concurrent reductions in NH<sub>3</sub> and NO<sub>x</sub> 1036 (Kopáček and Posh 2011). The decrease of SO<sub>2</sub> emissions in Czechia has been one of the 1037 most pronounced (Vestreng et al. 2007), and is believed to have profound consequences for 1038 ecosystem biogeochemistry (Oulehle et al. 2011). This reduction in pollution has to be 1039 continued and its monitoring remains an important task.

1040 Norway spruce (*Picea abies*) is a tree species sensitive to air pollution. Thus, Norway 1041 spruce forests in the mountains of Central and Eastern Europe have been selected for regional 1042 studies of the interaction of climate and socio-economic drivers (Campbell et al. 2004; 1043 Mišurec et al. 2016; Kopačková et al. 2014, 2015). Since 1994, a network of 15 small 1044 forested watersheds (GEOMON) was established in Czechia to understand the forest response 1045 to air pollution. Since then, GEOMON has provided a testbed for exploration of element 1046 cycling on a watershed scale using modern remote and proximal sensing methods (Fottová 1047 1995; Oulehle et al. 2008).

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## 1049 **Research focus 6: pressure on agriculture and pastoral production**

1050 The temperate and steppe zones of East Europe are a breadbasket for a large part of Northern 1051 Eurasia (Swinnen et al. 2017). However, under pressure of growing population, the nations of 1052 these zones will need to invest in climate-smart agricultural techniques to sustain or continue 1053 to improve agricultural yields and livestock production given forecasted climate change. 1054 "Climate-smart" agricultural systems are resilient to climate change and offer carbon and 1055 GHG emissions mitigation potential without compromising productivity, food security, and 1056 the livelihoods of those working in the agricultural sector. So far, Iizumi and Ramankutty 1057 (2016) found that statistically significant increases in wheat yields in Ukraine were explained 1058 by improved agro-climatic conditions, i.e., warmer and longer growing seasons, and not by 1059 management strategies.

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#### 1061 Land abandonment and recultivation

During the past quarter-century, land abandonment in the Northern Eurasia region has been associated with fundamental changes in agricultural production and land use caused by the breakup of the Soviet Union in 1991 (Lerman et al. 2004). The guaranteed markets and subsidized production from the Soviet era, particularly in the livestock sector and less productive agricultural land, were lost. This caused an unprecedented drop in fodder-crop production, plummeting livestock numbers (Schierhorn et al. 2014a), decline in grain yields (Trueblood and Arnade 2001), increased fallow periods (de Beurs and Ioffe 2014) and 1069 widespread agricultural land abandonment (Alcantara et al. 2012, 2013; Prishchepov et al. 2012; Griffiths et al. 2013; Lieskovský et al. 2015). According to official statistics, 1070 1071 approximately, 59 Mha of farmland were abandoned from 1991 to 2000 across the post-1072 Soviet countries (Figure 13). A large portion of this change occurred in Russia. Two 1073 generalized trajectories of change resulted from this perturbation of 1991 and its subsequent 1074 effects up to the present: 1) some former agriculture lands have been taken out of production 1075 and have become reforested, and 2) others were temporarily taken out of production but have 1076 been later recultivated and/or otherwise put back into production under different ownership, 1077 management or other socio-economic processes.

1078 With regards to the first trajectory, overall, the abandoned agricultural fields in 1079 Eastern Europe and Russia are driving an increase of forest cover, and have become a 1080 terrestrial carbon sink at the global scale over the late 20th and early 21st centuries 1081 (Kuemmerle et al. 2011b; Schierhorn et al. 2013; Kurganova et al. 2014, 2015). By 2010, 1082 approximately 5 Mha of new forests were observed on former agricultural fields in Eastern 1083 Europe that were cultivated during the Soviet era (Potapov et al. 2015). In the temperate zone, 1084 abandoned fields are often slowly but steadily encroached by shrubs and forests. Varying 1085 levels and timing of abandonment of agricultural lands were observed at the landscape level 1086 in three Landsat scene case study sites over the period 1975–2001 in the Siberian Taiga zone 1087 (Bergen et al. 2008), with most consistent decreases in agricultural land areas after 1990.

After the dissolution of the Soviet Union and subsequent cessation of the state subsidies for collective agriculture, large areas of less productive croplands were either abandoned (Alcantara et al. 2012, 2013; Prishchepov et al. 2012) or the fallow periods increased (de Beurs and Ioffe 2014). Potapov et al. (2015) reported that 32% of total forest regrowth between 1985 and 2012 was due to afforestation of former agricultural lands. However, afforestation of abandoned croplands is currently not included in the official 1094 forestry reports (Potapov et al. 2012), and the legal status of these lands remains uncertain.

1095 **Figure 13.** 

1096 The second trajectory which centers on land recultivation is more complex. First, 1097 agriculture abandonment rates varied across all of the former-USSR countries and were 1098 mediated by national and regional policies regarding support of agriculture (Prishchepov et al. 1099 2012), as well as access to new markets (de Beurs and Ioffe 2014). One of the lowest rates of 1100 abandonment was observed where land reforms were successfully completed in a short period 1101 (Poland) or, in an alternate case, where they were absent (Belarus). Strong regional 1102 differences were also observed within countries. For example, Ioffe et al. (2012) looked at 1103 the contrasting situation of Kostroma, an oblast in the north of European Russia and Samara, 1104 an oblast in southern European Russia. In the northern oblast, agriculture is now limited and 1105 in retreat beyond relatively small-scale operations in suburbia, while in Samara, the 1106 agricultural activity now appears to be sustainable, albeit on a somewhat less extensive spatial 1107 scale than in the past.

1108 After 2000, a partial recultivation of abandoned lands has been observed, which is 1109 primarily driven by adjustment of agricultural policies and growing prices for agricultural 1110 commodities (de Beurs and Ioffe 2014; Estel et al. 2015; Meyfroidt et al. 2016; Smaliychuk et 1111 al. 2016). However, recultivation rates have been compensated by ongoing agricultural land 1112 abandonment—reaching 60 Mha by 2013 for three largest post-Soviet agricultural nations 1113 (Figure 13). From 2000 to 2010, grain yields increased (Trueblood and Arnade 2001; Liefert 1114 et al. 2010). In southern Russia where the physical attributes, location, and human resources 1115 are best positioned to support agricultural activity (e.g., in Stravropol' Krai), there is growth 1116 potential for agriculture (Kattsov et al. 2012). Here there is evolving specialization of former socialized farms in response to market conditions. In Stavropol', this involves a shrinkage of 1117

animal husbandry and a consequent release of surplus labor, increased levels of absentee (corporate) ownership of farmland in the more favorable locations, decoupling of the economic fate of successful large farms from deficient local municipal budgets, and an expansion of non-Russian ethnic communities in the countryside (Ioffe et al. 2014).

Dynamics of cultural landscapes in European countries of the former Soviet Bloc can 1122 1123 also be characterized by two opposite processes - intensification and extensification (Fjellstad 1124 and Dramstad 1999; Bičík et al. 2015). Intensification occurs when cropping intensity or 1125 livestock stocking increases on some land. This may be accompanied by abandonment of 1126 other, more marginal cropland, pastures, or rangeland. In contrast, extensification occurs 1127 when more cropland or pastures are needed so that additional natural lands are converted to 1128 agriculture. Land abandonment in Central and Eastern Europe since the 1950s has resulted 1129 from a complex multi-dimensional process with environmental, ecological, economic and 1130 social consequences (Kuemmerle et al. 2008; Keenleyside and Tucker 2010). Detailed 1131 information about abandoned lands is missing from European national land resource statistics.

1132 The combined abandonment-reforestation and abandonment-recultivation trajectories 1133 potentially provide future options for both biofuel production and cropland expansion. The 1134 Northern Eurasian region represents a great potential to boost agricultural production 1135 (Schierhorn et al. 2014b), and also to provide other ecosystem services on abandoned lands. 1136 However, climate change and socio-economic and political development may substantially 1137 limit such opportunities (Meyfroidt et al. 2016). The future of some abandoned lands is 1138 uncertain due to the fluctuation of prices for agricultural commodities, growing interest in 1139 biofuel production and development of national food security programs by the successors of 1140 the former Soviet Union. In some post-Soviet countries (e.g., Ukraine), land reforms are not 1141 yet completed to this date (2017), limiting recultivation of abandoned lands. Adverse

1142 demographic conditions in Eastern Europe associated with an exodus of the rural population 1143 (Nikodemus et al. 2005; Prishchepov et al. 2013) and the depopulation of rural areas in China 1144 (Liu et al. 2010) may trigger additional land abandonment. Because of limited institutional 1145 and economic ability to adapt to changing weather patterns, the increase of weather extremes 1146 represents a real threat for future agricultural production in Northern Eurasia. This may reduce the possibility to close existing yield gaps (Dronin and Kirilenko 2010; Lioubimtseva 1147 1148 and Henebry 2012; Schierhorn et al. 2014a; Horion et al. 2016). Last but not least, the 1149 observed increases in cropping intensity (de Beurs and Ioffe 2014) without adequate application of fertilizers may reduce soil fertility and diminish yields. 1150

With respect to the above, the importance of socio-economic factors in land use is paramount. For example, the level of institutional suppression in two major crop-producing nations of the former Soviet Union, Ukraine and Russia, during the last 60 years of the Soviet period was so high, that the former Soviet Union imported grain in the last two decades of its history. Conversely, in recent years, even after the massive land abandonment in the 1990s, these two nations have become the second and third major wheat exporters globally.

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# 1158 Agriculture and pastoral production in the DLB

Spanning 25–125° E and 24–55° N across 17 countries (Figure 1), the DLB is the largest contiguous dryland in the extratropics. The region has served as the historical trade route between the Chinese East and the Mediterranean West, combining the Persian Royal Road and the Silk Road. The Silk Road was and is an important international trade route between China and the Mediterranean. Historically, the Silk Road has experienced major expansions and geopolitical conflicts among cultures and religions, political and institutional shifts including the collapse of the Soviet Union (Hostert et al. 2011). Especially in the last

1166 millennium, resource extractions (e.g., oil), rapid land use change (e.g., urban and agricultural 1167 expansion), climatic change, and natural disturbances (e.g., dust storms) have driven change 1168 in the region. The increased demand for meat and dairy products have produced strong 1169 pressure on agro-pastoral lands where transitional economies with frequent institutional shifts, 1170 water resource scarcity and climate conditions interact to alter DLB ecosystems and societies. 1171 The geopolitical systems are diverse, but most countries in the region are either developing or 1172 transitional economies with great demands for meat and dairy production (Ojima and Chuluun 1173 2008)

1174 While climate projection models agree that the DLB will become much warmer over 1175 the rest of the century, there is little agreement and considerable uncertainty about future 1176 precipitation patterns for the region. The Fifth IPCC Assessment Report (AR5; IPCC 2014) 1177 stated with high confidence that the Coupled Model Intercomparison Project Phase 5 1178 (CMIP5) generation of models could project temperature distribution at a regional scale better 1179 than the previous generation of models. However, the AR5 report states with "a medium 1180 confidence" that there had been no improvements in model performance for precipitation. 1181 Moreover, global and regional climate models are seriously challenged by the rugged terrain 1182 found in much of the DLB (Parfenova et al. 2013; Lu et al. 2009a; John et al. 2013b, 2016).

1183 Over the past three decades, the DLB has gone through several major changes that 1184 drive regional agricultural and pastoral land changes. First, the regional population has 1185 increased at a moderate rate similar to the global population trend. But some areas, especially 1186 around urban agglomerations in the East Asian part of the DLB, have increased more rapidly 1187 resulting in greater pressure on agricultural and pastoral lands (Qi et al. 2012a, 2012b; 1188 Kraemer et al. 2015). Second, there have been profound institutional shifts in the agricultural 1189 sector, primarily in post-Soviet Central Asia where the newly independent states have 1190 disparate natural resource endowments. To balance food security with commodities for export, these new nations have shifted their agricultural priorities (for example, replaced high
water demanding cotton by wheat) that have altered regional water demands — resulting in
agricultural abandonment in some locations and intensification in others (Wright et al. 2012;
de Beurs et al. 2015; Kraemer et al. 2015).

1195 Observations and biosphere models suggest that climate change is producing shifts of 1196 the ecotones in the drylands of Asia (Groisman and Soja 2009; Tchebakova et al. 2016a). The northward movement of the tree line and the changing dynamics of cover types, such as 1197 1198 shrublands and savannas in the grassland matrix, alters feedbacks to carbon, water, and 1199 energy balances. Warming trends along with land-use and land-cover change (LULCC) could 1200 substantially modify the carbon balance and biodiversity of the Eurasian Steppe. Natural and 1201 anthropogenic factors act in concert amplifying one another. Consequences of reckless land 1202 use and general drying of the continental interiors include water scarcity, lowered water 1203 quality, soil salinization from agriculture intensification, and the disappearance of lakes/rivers 1204 due to reduced snow packs, glacier loss, and aggressive fresh water extraction (Klein et al. 1205 2012).

1206 The region has also experienced a rapid transformation in land cover. Grasslands have 1207 been converted to croplands in Central Asia and in portions of East Asia. Changes from 1208 cropland to vacant land have accompanied the collapse of the Soviet Union as farms were 1209 abandoned en masse (Lioubimtseva and Henebry 2009; Chen et al. 2015b; Figure 13). The 1210 net gain in carbon sequestration due to abandonment of croplands is offset by grassland 1211 degradation from the increased grazing pressures following dramatic increases in land 1212 privatization (e.g., herding policy on the Mongolia Plateau, Chen et al. 2015b), and increased 1213 food demands (Oi et al. 2012b).

1214 **Figure 14**.

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LULCC has simultaneously occurred at an alarming scale across the DLB. A

1216 transition matrix based on the Moderate Resolution Imaging Spectroradiometer (MODIS) 1217 Land Cover Type Product (MCD12Q1) between 2001 and 2012 revealed that shrublands and 1218 savannas (i.e., steppe) show a high degree of turnover across the entire region, at 38% for 1219 shrublands and 73% for savannas, respectively (Figure 14). Regionally, shrublands and 1220 savannas showed a greater turnover (77% and 89%, respectively) during the decade, with East 1221 Asian and Central Asia at 47% and 88%, respectively, and the Middle East at 39% and 54%, 1222 Similarly, croplands and cropland/natural vegetation mosaics have high respectively. 1223 turnover in East Asia (53% and 72%, respectively), in Central Asia (49% and 66%, 1224 respectively), and in the Middle East (25% and 73%, respectively). Barren and water cover 1225 types represent about 35% and 1% of total land area, respectively, but showed a 15% and 1226 18% turnover across the region, respectively. Intensive use of exposed barren areas has 1227 escalated dust storms, drought severity, and water shortages (e.g., Xuan et al. 2000; Chen and 1228 Liu 2014). Worse yet, in the Fifth IPCC Report, Barros et al. (2014) predicts that this water-1229 limited region will experience a warming trend significantly higher than the global mean, 1230 which would alter summer and winter precipitation patterns and increase the frequency of 1231 extreme climate events with longer, more intense, and more frequent summer heat waves. 1232 Cook et al. (2016) reports that, since 1998, the drought in the eastern Mediterranean Levant 1233 region (Cyprus, Israel, Jordan, Lebanon, Palestine, Syria, and Turkey) is the worst drought of 1234 the past nine centuries. Furthermore, the LULCC in DLB is expected to be significantly 1235 higher in the upcoming decades than now (Kelley et al. 2015; Chen et al. 2017), jeopardizing 1236 the regional stability and sustainability of the DLB. All of these factors along with its 1237 landlocked geographic location make DLB a hotspot for the scientific community concerned 1238 with negative consequences of ongoing global change.

By shifting C stocks in soils and vegetation, both abandonment and intensification strongly impact the regional carbon budget. For instance, the total extra C sink in abandoned 1241 croplands in Kazakhstan (12.9 Mha) over 1991-2010 is estimated to be nearly  $31\pm2$  Mt C yr<sup>-1</sup>, 1242 which could compensate annually for about 49% of the current fossil fuel emissions in this 1243 country (Kurganova et al. 2015). Most countries within the DLB implemented various reform 1244 policies to promote economic growth while improving quality of life. The new governance 1245 and policies increased GDPs, but at the same time resulted in shifting food demands, moving 1246 towards more processed, high protein animal products, which can drive increases in 1247 grasslands-based livestock production (Chen et al. 2015a, 2015b).

A regional land-use change analysis using MODIS data suggests differential land-use change across the DLB (Figure 15) with cropland abandonment in the west (zoom windows at the bottom) and expansion in the east (zoom windows at right) are driven primarily by shifts in governance and economic development. Therefore, the DLB has seen increasing demands for food quantity and quality as well as decreasing food production, resulting in unbalanced pressure on agricultural and pastoral lands (Chen et al. 2015a, 2015b).

1254 **Figure 15.** 

1255 From the perspective of cultural and social norms, the Asian part of DLB shares 1256 similarities in history of nomadic herding lifestyles and in geographic proximity. Totaling 1257 8.82 million km<sup>2</sup>, Central Asia, Mongolia and Northern China includes the largest land-1258 locked countries (Kazakhstan and Mongolia) and has been influenced by some of the most 1259 severe geopolitical, biophysical, and socioeconomic disturbances affecting societies and 1260 simultaneously their livestock, a major source of food in the region. The region's total 1261 livestock of 209.16 million animals in 1992 increased to 278.3 million in 2011 (33.1% 1262 increase). However, livestock in Kazakhstan and Kyrgyzstan decreased substantially (by 1263 43.8% and 34.1%, respectively) likely due to the collapse of the Soviet Union. Empirical 1264 relationships among ecosystem production, population density, gross domestic production, and land use remain intrinsically connected even with major policy shifts (such as the collapse 1265

of the Soviet Union or the new status of China within the World Trade Organization (Chen et
al. 2015a, 2015b). The underlying mechanisms responsible for these consistent relationships,
as well as their dynamics remain unknown.

1269 Food security in the Central Asian part of the DLB critically depends on the water 1270 availability from the mountains, especially given the drying, browning, and brightening trends 1271 that characterize the region during the past 15 years (de Beurs et al. 2015). Some countries 1272 started taking practical measures by constructing reservoirs in order to ensure their economic 1273 development. These actions will have short-term benefits, but estimates of contemporary and 1274 future water resources that will originate from the high mountain cryosphere at the regional 1275 scale are needed to develop long-term adaptation and mitigation strategies. These estimates 1276 will be used for socio-economic vulnerability assessments of the benefits to local 1277 communities whose livelihood depend on the quantity and seasonality of water discharges 1278 from the Central Asian mountains with respect to regional and national priorities. This 1279 specific objective will require the blending of geosciences with social sciences to evaluate the 1280 role of high-elevation ice storage in permafrost and glaciers for levels of vulnerability and the 1281 resilience of mountain and downstream ecosystems along with their inhabitants.

1282

# 1283 Research Focus 7: changes in infrastructure

In the previous sections we mostly describe environmental and climatic changes in Northern Eurasia in recent decades. They have affected infrastructure of the region. In particular, the Arctic and Siberia have been substantially affected by the permafrost changes and its impact on man-made infrastructure (e.g., buildings, factories, mines, bridges, roadways, and pipelines). In the boreal zone, gradual onset of drier climate conditions accompanied with more frequent wild fires endangers human settlements, silviculture, and agriculture. In the DLB, a general depletion of already scarce water resources affects the general well-being of 1291 all population groups, and all aspects of human activity. These climate-related impacts on the 1292 infrastructure have been compounded by the marked social, economic, and institutional 1293 changes over Northern Eurasia during the past three decades. Therefore, this section is 1294 devoted mostly to the socio-economic changes attributable to the dramatic political and 1295 economic transformations that have affected infrastructures of Northern Eurasia.

1296 In Russia, these transformations have been most pronounced in its Arctic regions 1297 where regional welfare critically depends upon the well-being of the entire country (e.g., 1298 Stammler 2005; Forbes et al. 2009; Kumpula et al. 2011; Pelyasov 2011; Hitztaler and Bergen 2013; Andrew 2014). Here, several socio-economic processes are major anthropogenic 1299 1300 drivers of environmental change since the 1960s. These include migration, urbanization, and 1301 industrialization (e.g., Heleniak 2010, 2014). Ongoing and projected climate-induced changes 1302 in natural systems will impact the human environment with direct, immediate implications for 1303 land use, the economy, subsistence, and social life.

1304 Although some climatic changes may be economically beneficial (e.g., decrease in 1305 climate severity and associated heating costs, longer navigation season), other changes 1306 negatively impact the natural environment, both traditional and nontraditional sectors of the 1307 economy, and the regional socioeconomic conditions. Overall, these climatic-induced 1308 changes in natural conditions exert additional pressure on the marginal environments of 1309 Eurasian Arctic, which are already stressed by human activities (Fondahl 1996; Crate 2006; 1310 Forbes et al. 2009). For example, infrastructure development and climate change are 1311 interacting in complex ways to alter permafrost over large areas of the Eurasian Arctic (Shur 1312 and Goering 2009; Polishchuk and Polishchuk 2013, 2014). Communities, urban 1313 environments, and industrial infrastructure built on ice-rich soils can be catastrophically 1314 affected by thawing permafrost (Streletskiy et al. 2012; Shiklomanov and Streletskiy 2013;

Shiklomanov et al. 2017). Simultaneously, permafrost thawing, caused by both climate and
infrastructure changes, affects natural landscapes and ecosystems (Raynolds et al. 2014;
Khrustalev and Davidova 2007; Khrustalev et al. 2011).

Permafrost thawing and its associated impacts on natural and built environments have been identified as priority issues for all Arctic regions (Walker and Pierce 2015). Due to unprecedented levels of urban and industrial development, this problem is most pronounced for the Arctic regions of Northern Eurasia.

1322 The Taiga ecoregion of Northern Eurasia has also seen dramatic pendulum-like shifts 1323 in population, infrastructure and forest resource use between the late Soviet, early post-Soviet, 1324 and the present-day eras. Over this time span, additional changes in the ecosystems driven by 1325 climate factors have also been accompanied by multiple severe wildfire years. Siberia's 1326 population expanded by 9 million people (23.5 to 32.5 million) between the years 1959 to 1327 1989; a similar trend occurred in the RFE. This was due in large part to state incentives 1328 encouraging settlement of these eastern reaches of the Soviet domain. Thus in these 1329 'peripheral' regions away from the 'center' (Moscow and St. Petersburg), population growth 1330 was strongly a product of in-migration and not intrinsic population growth.

With the relatively sudden withdrawal of State-supported programs, this situation precipitated significant shifts in population and natural resource use in the immediate post-Soviet era (Voinova et al 1993; Bergen et al. 2013). Driven by significant economic hardship, subsequent population out-migration began ~1990, which pervaded East Siberia and the RFE and has only recently been lessening. In addition to high rates of migration out of the regions altogether, residents also migrated within the regions from rural areas to the few main cities, resulting in a more urban population.

1338

During the final three decades of the Soviet era, the forestry sector sustained high rates

1339 of timber production in Siberia. Some of this timber was exported to Japan based on official 1340 agreements with Japan in 1958 and 1974 (Mathieson 1979) and, in the last decades, to China 1341 (Figure 12). This brought investments in infrastructure. Despite the otherwise successful 1342 commitment of the Federal Forest Service to scientific forestry including the creation of forest 1343 inventory and an exceptional scientific knowledge (Kukuev et al. 1997), late Soviet-era forest 1344 harvest itself was surprisingly inefficient (Shvidenko and Nilsson 1994). Immediately after 1345 political dissolution in 1991, total harvest volumes significantly declined across Russia to 1346 approximately 175 million m<sup>3</sup> compared to approximately 400 m<sup>3</sup> in 1989 (Bergen et al. 1347 2013). Significant growth did not occur again in the forest industry until approximately 2009.

1348 As governance and institutions have regrouped after the early post-Soviet transition 1349 era, new or renewed developments in forest and energy sectors have emerged. Resource use 1350 in the taiga of Siberia and the RFE is influenced by its proximity to China, Japan and Korea. 1351 These countries have (a) some of the world's highest human population density numbers, (b) 1352 either naturally limited or depleted forest resources, and (c) far-reaching global-industrial and trade conglomerates (Crowley 2005; Bergen et al. 2013). Thus, in Russia, the geographic 1353 1354 location of forest exploitation is shifting to eastern reaches that can easily supply and 1355 transport logs to the growing Asian market (Newell and Simeone 2014). This occurs both 1356 through legal forest management and harvest but also through illegal harvest (Vandergert and 1357 Newell 2003).

Siberia and the RFE Taiga regions are also rich in oil, gas and minerals, i.e., natural resources which are of great current economic and strategic importance. Within Russia there may be a greater shift in oil and gas extraction to East Siberia and the RFE given that the historic large oil reserves of western Siberia are thought to be approximately 75% tapped (Dienes 2004). The Eastern Siberia-Pacific Ocean pipeline has recently been completed, along with a spur directly into northern China. Most significantly, Russia sees its energy sector as a strategic central pillar to its re-establishment as a global economic power (Dienes
2004; Hashim 2010). Thus, it is likely that energy extraction and associated infrastructure
will increase.

1367 Communities in the Asian part of DLB are poised between dry and cold weather conditions. Their position is precarious in the face of multiple forces: climatic variations, 1368 1369 extremes, and their changes; environmental degradation and loss of ecosystem services; 1370 globalization of markets; rapid population growth and changes to demographic structure; out-1371 migration of the young and able segments of society with the subsequent brain drain and 1372 remittances to the left-behind families. Rural dryland communities in Central and East Asia 1373 face further challenges and opportunities due to the lingering consequences of the institutional 1374 upheaval and uncertainty following the end of the Soviet Union, China's market reforms and increasing regional influence of China. The DLB region has a low population, but the 1375 1376 population is rapidly increasing. The total population in Central Asia and Mongolia in 1992 1377 was 54.05 million. In 2011, it increased to 67.09 million, a 24.1% increase over the 20 year period. As might be expected, this population increase is coupled with rapid urbanization, 1378 1379 agricultural development, and desertification (caused by heavy grazing) across Central and 1380 East Asia. The average regional increase of urban population from 1992 to 2011 was 27.3% 1381 with the largest increases occurring in China and Tajikistan (both of  $\sim 50\%$ ) and the lowest 1382 increase occurring in Kazakhstan (6.4%). In contrast, there is a 10.1% decrease in urban 1383 population in Mongolia.

Along with drastic changes in economics, institution and governance, land use in the dryland Asia region includes the improvements of major infrastructures, which have facilitated the transition of these nations. An obvious example is the region-wide installation of mobile communication facilities enabling information exchanges for effective and efficient communications. A second major infrastructure improvement is the development of 1389 transportation networks including aviation, railways, and highways across the region that 1390 enabled more efficient logistics management and distribution of goods within countries as 1391 well as trade across countries.

1392 Figure 16.

1393 A crucial infrastructure factor in these DLB regions is a rapid rate of urbanization 1394 (Koch and Valiyev 2015). In particular, real estate development in the decade of the 2000s 1395 has led to major lateral expansion as well as vertical build-up that have transformed small 1396 cities into major metropolises. For example, in Kazakhstan, the extent of the Almaty urban 1397 agglomeration has increased substantially as observed by the Dense Sampling Method (DSM) 1398 (Nghiem et al. 2009) using NASA satellite scatterometer data in 2000-2009 (Figure 16). 1399 With the capability to track urban change in three dimensions (Nghiem and Small 2016), 1400 DSM results also reveal the significant vertical build-up as observed in the Almaty urban core 1401 area with a fast growth rate of approximately 7% per year in terms of the total volume of 1402 building structures in the 2000s (Figure 17). Such an overheated urbanization rate may result 1403 in an excessive building supply that surpasses the building occupancy rate, and thereby may 1404 turn the real estate boom into a bust.

1405 In northern China, tremendous urban development quadrupled Beijing urban extent 1406 observed by DSM in the 2000s and brought along severe air pollution as a consequence 1407 (Jacobson et al. 2015). Similarly, in the DLB cities such as the complex of Xiangfang, 1408 Nangang, and Harbin have experienced multi-fold lateral expansion and significant vertical 1409 build up shrouded in smog due to soaring air pollution from coal combustion and the 1410 petrochemical industry (Huang et al. 2016). Mongolia has also undergone rapid urbanization 1411 similar to that of many cities in northern China, resulting in serious air pollution problems 1412 caused by automobiles and industrialization (Batmunkh et al. 2013). In any case, the rapid

1413 urban transformation exerts a high demand for rapid infrastructure development, such as road 1414 networks not only for intra-urban but also for inter-urban connectivity to support the 1415 commercial and industrial activities for the increasing population.

1416 **Figure 17**.

1417 Complex interactions among a rapidly changing climate and the continuously evolving 1418 social, economic, and political systems in Northern Eurasia require an integrative approach 1419 for studying the cumulative effects of infrastructure and climate change on high-latitude 1420 social-economic and natural systems. This research should focus on assessing the 1421 vulnerability of communities, industries, and ecosystems and should aim at developing 1422 adaptation and mitigation strategies and plans for the sustainable development of the Arctic 1423 infrastructure. The high latitudes of Eurasia, the largest and most dynamically complex 1424 northern region, can serve as a basis for developing effective climate mitigation policies and 1425 adaptation measures for global circumpolar North. The observed disparity of changes among 1426 the DLB countries hints that the socioeconomic factors define the resilience of these countries 1427 to ongoing changes and not so much the climatic factors.

1428

# 1429 Research focus 8: societal feedbacks in response to environmental changes

1430 In the distant past, humans reacted to environmental changes passively – they migrated away 1431 from environments that became adverse or unsustainable. Nowadays, many societies are 1432 equipped with tools and resources to withstand the negative consequences of environment 1433 change, to some extent. Common approaches to addressing adverse environmental changes 1434 include irrigation, construction of dams and dikes, diversion of water streams, large-scale geo-1435 engineering projects (e.g., reforestation), mandatory ecological standards to curb pollution, 1436 more effective agronomic practices and robust crops, new construction codes, and the 1437 application of ecological expertise to each new large development.

### 1438 **Figure 18**.

1439 Planning is also now beginning to be practiced to reduce the adverse impact of 1440 disasters associated with environmental changes and increase the resilience of the 1441 communities at risk. Implementation of these activities has associated costs and requires 1442 careful planning based upon numerical experiments with models that realistically describe 1443 processes of environmental changes in all their complexity and interactions. It should also 1444 consider disruptive effects of environmental hazards given the uncertainty of the future 1445 environment state and the trend of increasing frequency of loss events and damage produced 1446 by disasters and creeping environmental crises globally (Figure 18) and also regionally 1447 (Porfiriev 2001, 2016). The need for a suite of such models is more urgent when the risks of 1448 negative consequences of environmental change are higher (Porfiriev 2012, 2013, 2014).

1449 Human activities have been the drivers of certain ongoing environmental changes. It is 1450 important to recognize the loop: societal feedbacks in response to these changes may facilitate 1451 the recurrence of disasters or cause a second cycle of inadvertent environmental change if the 1452 response misses the target or is ill-designed. For instance, reforestation may cause more 1453 intense rainfall and dykes may increase flood peaks. Curbing industrial development may 1454 negatively impact human well-being and overall societal resilience. This means that studies 1455 of the impact of environmental changes on societies and the development of adaptation and 1456 mitigation measures in response to their detrimental consequences should be accompanied by 1457 thorough assessments of the "end state" resulting from the environmental changes and the 1458 actual and projected societal response to these changes. This can be implemented only by 1459 mainstreaming all these kinds of impacts and feedbacks into comprehensive Earth System and 1460 integrated assessment models (see the next section of this Paper).

1461

# 1462Research focus 9: quantification of the role of Northern Eurasia in the global Earth and

# 1463 socioeconomic system

1464 Northern Eurasia is a key part of the global Earth and socioeconomic systems. It occupies a 1465 substantial portion of the land surface of the Earth (19%) and 60% of land surface north of 40°N. Northern Eurasia is where some of the largest climatic, environmental, and socio-1466 1467 economic changes have occurred during the past century. In many aspects, changes here 1468 presage the rates of global change including global temperature rise (cf., Figure 3 versus 1469 Figure 2). The strength of the snow cover-temperature biogeophysical feedback, 1470 biogeochemical feedback due to depletion of the surface and upper soil layer carbon and 1471 frozen ice storages (Figure 7; Romanovsky et al. 2010a, 2010b; Schepaschenko et al. 2013; 1472 Shakhova et al. 2015), atmospheric dust load from extensive DLB desert areas (Lioubimtseva 1473 and Henebry 2009, Sokolik 2013; Sokolik et al. 2013), and atmospheric pollution from 1474 industrial development (Lu et al. 2010) and from boreal forest fires (Soja et al. 2007) affect 1475 the global climate and environment. Large areas of natural and anthropogenic land-cover 1476 change are closely related to the interaction of the cryosphere and terrestrial hydrology change 1477 (Tchebakova et al. 2009; Zhang et al. 2011, Mátyás and Sun 2014; Figure 4) with human 1478 activities (Qi et al. 2012a, 2012b; Chen et al. 2013, 2015a; Horion et al. 2016, Figures 12 and 1479 15). The importance of these changes and associated impacts on Northern Eurasia and 1480 potential feedbacks to the global Earth and socioeconomic systems may be quantified using 1481 models.

1482

# 1483 Global change modeling for Northern Eurasia

1484

1485 As discussed in the previous sections, Northern Eurasia is comprised of a complex and

1486 diverse set of physical, ecological, climatic and human regional systems, which interact 1487 among themselves and can have potentially important feedbacks on the evolution of the 1488 global Earth and human systems. At the same time, the region has experienced dramatic 1489 climate, environmental and socio-economic changes, which leads us to argue that studying the 1490 fate of Northern Eurasia needs to be placed in the context of global change modeling (i.e. the 1491 modeling of the coupled human and Earth systems at the global scale) and include 1492 interactions with other regions of the globe. In this section, we review past and ongoing 1493 modeling studies over Northern Eurasia and provide new approaches for integrated modeling 1494 for Northern Eurasia.

1495

### 1496 Past and ongoing modeling studies over Northern Eurasia

1497 Many models have been developed and used to study various components of the Earth system 1498 with a focus on Northern Eurasia. Monier et al. (2017) provides an overview of recent and 1499 ongoing modeling studies over Northern Eurasia and identifies the many ecological and 1500 geophysical processes comprising Earth system dynamics (i.e. the hydrological cycle, soil 1501 thermal dynamics, wildfires, dust emissions, carbon cycle, terrestrial ecosystem dynamics, 1502 climate and weather, sea ice) and the human dimensions (i.e. demography, risk management 1503 addressed, agriculture, forestry, water management) addressed by the Northern Eurasia 1504 modeling community. Because of the major role of Northern Eurasia in the global land 1505 system, they find that most studies focus on the land processes (i.e. land and water carbon 1506 cycle, energy balance) or on the fate of the land system under climate change (permafrost 1507 thawing, agriculture, wildfire). They also find that most studies focus on a single component 1508 of the Earth system, with generally little attention placed on interactions and feedbacks, and 1509 with climate change being imposed. Nonetheless, Monier et al. (2017) identify a few studies

1510 that try to integrate various aspects of the Earth system, in terms of scale, teleconnection or 1511 global feedbacks, and processes, as well as other studies focusing on integrated systems where 1512 multiple disciplines overlap, such as modeling studies of water management (Shiklomanov et 1513 al. 2013) or land management (Gustafson et al. 2011a, 2011b; Kuemmerle et al. 2011a, 1514 2011b, 2014; Lebed et al. 2012; Loboda et al. 2012; Robinson et al. 2013; Shuman et al. 1515 2013a; Blyakharchuk et al. 2014). This growing effort to integrate existing models through 1516 scale, processes and feedback has translated into more coordinated and multidisciplinary 1517 research projects by NEESPI scientists along with the development and integration of models 1518 that can interact with each other, including weather and aerosol physics, permafrost and 1519 terrestrial hydrology with water management, the carbon and water cycles, land carbon and 1520 atmospheric transport modeling, and biospheric and climate information (Table 1).

# 1521 **Table 1.**

1522 Modeling studies focusing on a specific component of the Earth system have provided 1523 valuable insight into processes controlling their behavior and the direct impact of climate 1524 change. Meanwhile more integrated modeling studies have been useful for identifying and quantifying potential interactions and feedbacks among various components of the Earth 1525 1526 system and societal activities associated with environmental changes over Northern Eurasia. 1527 However, most studies of climate change impacts rely on standard socio-economic and 1528 climate change scenarios, thus limiting the possibility of conducting integrated studies. A 1529 common experimental design for these studies is to prescribe climate change and to examine 1530 the varied response of a particular component of the Earth system (Rosenzweig et al. 2014). 1531 In such an approach, many potential global and regional feedbacks that can have major 1532 implications for the climate system, both in Northern Eurasia and globally, are overlooked. The development of effective climate mitigation and adaptation strategies for Northern 1533

Eurasia depends on understanding how environmental conditions may evolve in the region within the context of global change, including the influence of feedbacks and potential thresholds (i.e., "tipping points"). Fortunately, modeling frameworks have already been developed to study these issues (see the next section) and they could be improved to better represent the important aspects of the Earth system that are unique to Northern Eurasia.

1539

# 1540 New approaches to integrated modeling for Northern Eurasia

1541 Earth System Models (ESMs; Brovkin et al. 2006, 2013; Friedlingstein et al. 2006; Arora et 1542 al. 2013; Eby et al. 2013; Zickfeld et al. 2013; Koven et al. 2015; Zaehle et al. 2015) have 1543 been developed by coupling together unique Earth system component models (e.g., atmosphere, land, cryosphere, oceans). These provide an ideal modeling framework to 1544 1545 investigate interactions and feedbacks among these components as well as the impact of 1546 changes in Northern Eurasia on the global Earth system. For example, in an ESM, carbon 1547 emissions from land-use change in Northern Eurasia may increase atmospheric carbon 1548 dioxide concentrations to influence climate, the uptake of atmospheric carbon dioxide by 1549 oceans to influence ocean acidification, and the uptake of atmospheric carbon dioxide by land 1550 vegetation in the future. ESMs provide tools to investigate the response of the system to 1551 changes in external forcings that not only affect each of the components individually but also 1552 the interactions among them. For example, climate change impacts cannot be examined 1553 without considering the role of human activity. In current ESMs, however, there is a simple 1554 representation of the influence of human activity on earth system components. 1555 Anthropogenic effects related to industrial, residential, and agricultural activities may be 1556 represented by simply prescribing an input of greenhouse gases into the atmosphere. More 1557 sophisticated ESMs might also use prescribed changes in land use across the globe to simulate

the effects of spatial and temporal variations in albedo, sensible and latent heat fluxes, and greenhouse gas fluxes on regional and global energy budgets. In these ESM studies, the simulated human activity is determined solely by prescribed policies without any consideration about how feedbacks from changing environmental conditions might modify these activities in the future. For example, the land-use change prescribed in CMIP5 simulations is driven solely by socio-economic considerations and does not account for climate change impacts on land productivity (Hurtt et al. 2011).

1565 Because ecological and social systems are interdependent and constantly co-evolving, 1566 their non-linear behavior is difficult to predict. Taking into account that human well-being and ecosystem integrity are fundamentally linked, these processes must be managed in a way 1567 that implies balancing economic capacity, environmental integrity, and resilience to future 1568 1569 changes (Jones et al. 2013; DeLucia 2015). For this reason, another major effort has been put 1570 into the linkage between models of human activity, including the global economy, global 1571 trade, demography, technologies and user preferences-which are essential to study the 1572 potential impacts of humans on the environment-to models of the physical climate system, 1573 generally simplified compared to ESMs. These models are known as Integrated Assessment 1574 Models and allow economic decisions to respond to changing environmental conditions to 1575 support mitigation and adaptation efforts (IAMs: Rotmans et al. 1990; Alcamo et al. 1994; 1576 Weyant et al. 1996; Prinn et al 1999; Sokolov et al. 2005, 2009; van Vuuren et al. 2006, 2007; 1577 Riahi et al. 2007; Hijioka et al. 2008; Melillo et al 2009, 2016; Wise et al. 2009; Reilly et al. 1578 2012; Hallgren et al. 2013; Prinn 2013; Nelson et al. 2014a, 2014b; Sue Wing et al. 2015).

1579 IAMs have been at the core of the Representative Concentration Pathways (RCPs, van 1580 Vuuren et al 2011), a set of socio-economic and emissions scenarios, including socio-1581 economic change, technological change, energy and land use, and emissions of greenhouse gases and air pollutants, developed for the climate modeling community in support of theIPCC AR5.

More recently, major efforts have focused on developing models with a detailed representation of all components of the coupled Human-Earth system, by coupling IAMs with ESMs, or essentially replacing the simplified representation of the climate system in IAMs with ESMs. Such models can provide novel insights into the complex issue of global change by accounting for an exhaustive number of feedbacks among the components of the Earth system and of the human system.

1590 Figure 19 shows an example of a coupled human-Earth system model, with three 1591 pathways for feedbacks between the two systems. The first pathway includes the human 1592 activity model providing emissions of greenhouse gases, aerosols and other precursors of 1593 atmospheric pollution, thus providing the footprint for both future climate change and air 1594 quality, with a feedback on the human system through health impacts. The second pathway 1595 centers on land, with the human activity model making decisions on land use change based on 1596 natural ecosystem productivity and crop yield. Finally, the third pathway centers on water, 1597 with the Earth system model computing basin-wide geophysical water resources and the 1598 irrigation demand from crops, and the human system model making economically based 1599 decisions on water availability for irrigation, with competition from municipal and energy 1600 use. The global and regional climate would in turn be affected by land use and land cover 1601 change and irrigation, through both emissions of greenhouse gases, changes in albedo and in 1602 the hydrological cycle.

1603 **Figure 19**.

1604 At the frontier of integrated assessment modeling, a number of issues have emerged 1605 that can be better examined with the ongoing development of coupled human-Earth system 1606 models for Northern Eurasia (Monier et al. 2017) and include the following:

The food-energy-water (FEW) nexus. While the FEW is a global issue and major efforts are underway to improve its representation in models of the coupled human-Earth system, it also has unique characteristics over Northern Eurasia that require specific improvements for such models to be useful, including thermokarst dynamics, permafrost degradation, scarcity of human infrastructure, varied levels of agricultural development and management practices, locally diverse hydrological conditions associated with complex biomes and climate interactions.

1614 The air quality and health nexus. In addition to the traditional anthropogenic precursor emissions associated with the industry, energy and transportation sectors, or biogenic 1615 1616 emissions of precursors, Northern Eurasia experiences varied and complex sources of air 1617 pollution, including wildfires, crop residue burning and dust. Accounting for these 1618 sources of pollutants, specific to Northern Eurasia, along with the transport of pollutants 1619 to and from surrounding countries, to quantify the economic impact of future changes in 1620 air pollution in the region can prove key to accurately inform policy responses for Northern Eurasia. 1621

1622 The new transnationalism of natural resources. The more porous international borders 1623 that have emerged after the dissolution of the former Soviet Bloc have considerable 1624 implications for Northern Eurasia's natural resources. In particular, forest resources but 1625 also oil and gas, are at the nexus of regional demand due to uneven distributions within 1626 the countries of Northern Eurasia. Understanding and developing levels of sustainable use 1627 will have implications ranging from local human livelihoods to the global carbon budget. 1628 Integrated models will need to include local, regional and, now, even international drivers 1629 and consequences of these coupled human-natural systems pertaining to natural resources.

1630 The opening of new Arctic trade routes. New trade routes emerging as the result of the • 1631 shrinking of Arctic sea ice extent could result in the ability of the timber industry and 1632 energy exploration to reach remote areas like Siberia. The development of infrastructures 1633 to respond to these new economic opportunities, including potential population migration 1634 within Northern Eurasia and from neighboring regions, will face challenges such as with 1635 climate-driven permafrost degradation or the disappearance of temporary roads 1636 constructed over frozen lakes and rivers. Investigating the fate of Northern Eurasia as these new trade routes emerge will require a detailed regional coupled human-Earth 1637 1638 system model.

1639 As with any model activity, the representation of interactions and feedbacks among 1640 Earth system components and societal activities in Northern Eurasia can be improved within 1641 models, in order for these models to address such emerging issues. Insights gained from 1642 previous and ongoing efforts by the NEESPI/NEFI research community, such as those on the 1643 unique features and processes of Northern Eurasia described above, could be incorporated to 1644 guide these model improvements to create a new generation of coupled human-Earth system 1645 models to study the role of Northern Eurasia on global change. For example, most ESMs do 1646 not have a representation of permafrost dynamics, which is important for Northern Eurasia as 1647 the presence of permafrost affects the availability of soil moisture and the timing and 1648 magnitude of runoff (which are important for the FEW nexus), the ability to support buildings 1649 and other infrastructure (which is important for the socio-economic development of remote 1650 regions in Siberia as Arctic trade routes open up after the sea ice retreat), and vegetation 1651 primary production rates and decomposition rates of organic matter (which influence the 1652 ability of the landscape to provide food, energy and timber and impact the timing, extent and 1653 severity of wildfires, which in turn, impact air quality and health). In addition, the 1654 degradation of permafrost might also be associated with several important tipping points including those related to water availability and the release of land carbon to the atmosphere. 1655 1656 The representation of permafrost dynamics in ESMs could strongly benefit from an improved 1657 representation of soil thermal dynamics, as influenced by water, ice, organic matter and soil 1658 texture in the soil profile, and of the surface insulating layer and its modification by snow 1659 cover, moss, litter or wildfires. Furthermore, we suggest that to improve key processes 1660 relevant to Northern Eurasia in ESM and IAM, like permafrost degradation, a stronger 1661 involvement of the Northern Eurasia modeling community and local stakeholders is needed.

1662

1663 **Concluding comments** 

1664

1665 The major goal of this paper is to introduce the reader to the present challenges in Northern 1666 Eurasia and to outline the pathways forward to address these challenges in the coming decades. In doing so, we have provided the reader with a sample of exemplars of NEESPI's 1667 1668 accomplishments. The science questions of the "Northern Eurasia Future Initiative" or NEFI derive from an urgent need to incorporate and expand our knowledge of the consequences of 1669 1670 human and social dimensions in assessing current and future change in Northern Eurasia. Across this region, the future strongly depends upon this incorporation and the amelioration 1671 1672 of environmental change, the effects of these changes on human societies, and bridging the 1673 considerable gaps in research procedures, capacity for prediction, and in time- and space-1674 scales that complicate the integration of human dynamics with environmental dynamics.

1675 When the embryonic NEESPI project began over a decade ago, there were concerns 1676 that a program spanning Eurasia involving scientists from multiple disciplines based in a 1677 score of nations with complex and sometimes opposing diplomatic missions could have been 1678 a failure. However, there were several significant factors that brightened and opposed such a 1679 dark forecast. Truly interdisciplinary interactions among engaged scientists who tackled a 1680 shared problem are a remarkable glue for holding research projects together, and they proved 1681 that creativity can prosper in "bottom-up" research programs. The role of Northern Eurasia as 1682 a recipient and generator of planetary climatic change is an important "big question" that 1683 captures the imagination of many scientists and transcends disciplines, cultures, languages 1684 and national politics. It is also a challenge whose unraveling requires teams working together 1685 openly in earnest and in good faith. The consequences of environmental and socio-economic 1686 change in Northern Eurasia that may spread well beyond its boundaries have been simply too 1687 dire to leave them unstudied and, generally speaking, unknown. NEESPI was born to reverse 1688 the situation by elucidating both negative and beneficial aspects of these changes to inform 1689 societies and, thus, better prepare them for resilient future development. An objective of 1690 NEFI is that this development must now be secured by science-based strategies provided to 1691 regional decision makers at different levels that will lead their societies to prosperity.

1692 Northern Eurasia has undergone significant environmental change, having experienced 1693 warming in the past few decades that already exceeds the 1.5°C to 2.0°C warming limits 1694 adopted as a target at the United Nations Climate Change Conference (30 November -12 1695 December 2015, Paris, France). Several aspects of this warming are manifested in changes in the regional energy and hydrological cycles, which affect and interact with the biosphere and 1696 1697 with socio-economic activities. These changes are multifaceted. Some of them seem and are 1698 inevitable (e.g., ecosystems' shift, glacial retreat and permafrost thawing, increased fire 1699 regimes, the new state of the regional environment); however, it is imperative they are 1700 acknowledged and comprehended. Some of these changes, particularly if their consequences 1701 are adverse for human well-being, can be reversed, moderated or mitigated — hopefully to 1702 levels that will completely or substantially negate their undesirable impacts. These latter 1703 instances include proactive and sometimes quite expensive interventions in water 1704 management, forestry and agricultural practices, environmental protection, infrastructure and 1705 urban planning and resource consumption. In any case, the scientist's duty is to propose and 1706 justify strategies for resilient future development in the region. "To justify" is a key word 1707 here. Scientists must strive to know the Earth system in its functional entirety to develop the 1708 tools necessary to project the future state in response to natural and societal impacts, as well 1709 as to estimate the overall consequences of the realization of these scenarios on human 1710 wellbeing.

1711 To these ends, we have formulated three major science questions to be answered by NEFI:

1712 1). How can we quantify and project ecosystems dynamics in Northern Eurasia when these 1713 dynamics may be internally unstable, are controlled by components that have been 1714 systematically changing, and have a potential to impact the global Earth system with 1715 unprecedented rates of change over the next few decades?

1716 2). What are the major drivers of the ongoing and future changes in the water cycles of
1717 Northern Eurasia and how will their changes affect regional ecosystems and societies, and
1718 feedback to the Earth system and global economy?

1719 3). How can the sustainable development of societies of Northern Eurasia be secured in the
1720 near future by overcoming the 'transitional' nature of their economics, environmental and
1721 climatic change challenges, and by disentangling restrictive institutional legacies?

To address these science questions, nine research foci are identified and their selection has been briefly justified in this paper. These research foci are: (1) Global change influence, particularly warming in the Arctic; (2) Increasing frequency and intensity of extremes and

1725 changes in the spatial and temporal distributions of inclement weather conditions; (3) Retreat 1726 of the cryosphere; (4) Changes in the terrestrial water cycle; (5) Changes in the biosphere; (6) 1727 Pressures on agriculture and pastoral production; (7) Changes in infrastructure; (8) Societal 1728 actions to mitigate the negative consequences of environmental change and to benefit from 1729 the positive consequences; and (9) Quantification of the role of Northern Eurasia in the global 1730 Earth and socioeconomic systems to advance research tools with an emphasis on observations 1731 and models. The socio-economic research challenges are integral to and a top priority for 1732 these research foci.

1733 Taking into account the numerous powerful feedbacks between the Earth and human 1734 systems in Northern Eurasia, we propose to employ Integrated Assessment Models (IAMs) at 1735 the final stage of this global change assessment. The purpose of these IAMs is to couple 1736 Earth system component models with the result being a functioning integrated Earth System 1737 Model. Simultaneously, models of the human system that represent the global economy, 1738 global trade, demography, technologies and user preferences will be incorporated. These will 1739 provide support to economic and societal decision-makers, so they are able to thoughtfully 1740 respond to changing environmental conditions to support mitigation and adaptation efforts. 1741 Development of IAMs which include detailed representation of all components of the Human-1742 Earth coupled system to account for the exhaustive number of feedbacks among these 1743 components, is the overarching goal of NEFI global change research. These models will 1744 provide information and guidance to decision makers in their efforts to secure sustainable and 1745 prosperous societal development and resilience-based ecosystem stewardship in Northern Eurasia. 1746

Finally, Northern Eurasia presents a range of complex human and environmental systems varying from modern industrial societies to traditional indigenous cultures, all undergoing significant social and environmental change. Certainly, the continuing transformation of the former USSR, China, Mongolia, and Eastern Europe represents one of the largest and most profound social changes of recent decades. Through NEFI, the work in Northern Eurasia is moving to more effectively address shared goals with interdisciplinary programs at the global level. The research record that will stand as the basis from which to launch NEFI is a logical consequence of the accomplishments of NEESPI. This situation and the need for progress is critical. Now is the time to press forward with this opportunity. The challenge lies before us.

1757

# 1758 Abbreviations

| 1759 | ACIA        | Arctic Climate Impact Assessment                               |
|------|-------------|--|
| 1760 | AMAP        | Arctic Monitoring and Assessment Programme                     |
| 1761 | AR5         | The Fifth IPCC Assessment Report                               |
| 1762 | BP          | Before present   |
| 1763 | CGCM3.1     | Canadian Centre for Climate Modelling and Analysis             |
| 1764 |             | Model, 3 <sup>rd</sup> Version                                 |
| 1765 | CMIP5       | Coupled Model Intercomparison Project Phase 5                  |
| 1766 | DLB         | Dry Land Belt of Northern Eurasia                              |
| 1767 | DSM         | Dense Sampling Method  |
| 1768 | ERA-interim | Global atmospheric reanalysis developed at the European Centre |
| 1769 |             | for Medium-Range Weather Forecasts                             |
| 1770 | ESM         | Earth System Model   |
| 1771 | GCM         | Global Climate Model   |
| 1772 | GHG         | greenhouse gases   |
| 1773 | GLABTOP2    | Glacier Base Topography Model, 2 <sup>nd</sup> Version         |
|      |             |  |

| 1774 | GPR     | ground-penetrating radar  |
|------|---------|---|
| 1775 | GRACE   | Gravity Recovery Satellite Experiment                                 |
| 1776 | GTN-P   | Global Terrestrial Network for Permafrost                             |
| 1777 | GTOS    | Global Terrestrial Observing System                                   |
| 1778 | HadCM3  | UK Hadley Centre Climate Model, 3rd version                           |
| 1779 | IAM     | Integrated Assessment Model   |
| 1780 | ICSU    | International Council for Science Union                               |
| 1781 | IPCC    | Intergovernmental Panel on Climate Change                             |
| 1782 | IPCLCM4 | Institute Pierre Simon Laplace Climate Model, 4 <sup>th</sup> Version |
| 1783 | LC/LU   | Land Cover/Land Use   |
| 1784 | LCLUC   | Land Cover and Land Use Change  |
| 1785 | MODIS   | Moderate Resolution Imaging Spectroradiometer                         |
| 1786 | NEESPI  | Northern Eurasia Earth Science Partnership Initiative                 |
| 1787 | NEFI    | Northern Eurasia Future Initiative                                    |
| 1788 | RFE     | Russian Far East  |
| 1789 | RubCliM | Large-Scale Bioclimatic Envelope Model                                |
| 1790 | SibCliM | Siberian Bioclimatic Model  |
| 1791 | SIE     | Sea Ice Extent  |
| 1792 | WMO     | World Meteorological Organization                                     |
| 1703 |         |   |

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## 3496 Figure legends

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3498 Figure 1. The NEESPI study area is loosely defined as the region between 15°E in the west, 3499 the Pacific Coast in the east, 40°N in the south, and the Arctic Ocean coastal zone in the 3500 north. On this map, green corresponds to vegetated lands. Light brown and yellow indicate 3501 sparse vegetation and arid areas, respectively (Groisman et al. 2009). Major city names within 3502 the NEESPI domain (shown in Groisman et al. 2009) are removed. During the NEESPI 3503 studies, we expand the study domain occasionally to address the ecosystem in its entirety 3504 beyond the strict lat/long boundaries (e.g., taiga and tundra zones in Fennoscandia or barren 3505 and semi-desert areas in China. The Dry Land Belt of Northern Eurasia is sketched on the 3506 map by a dashed white line.

3507 Figure 2. Global annual surface air temperature anomalies (°C) derived from the 3508 meteorological station data for the 1957–2016 period (Lugina et al. 2006, updated). This time 3509 series is based upon the land-based surface air temperature station data with a processing 3510 algorithm developed 25 years ago by Vinnikov et al. (1990). The reference period used for 3511 calculations of anomalies is 1951–1975. Dotted ovals in the figure show (a) this reference 3512 period, (b) the new state of the global Earth system (+0.3° to 0.4°C of the global temperature) 3513 with shift during the late 1970s and early 1980s, that manifested itself in biospheric, oceanic, 3514 cryospheric, and atmospheric variables around the World (Reid et al. 2016), and (c) the last period (since circa 2001), when impacts on the Earth system (e.g., retreat of the cryosphere, 3515 3516 Arctic warming, increasing dryness of interior of the continents) still need to be completely 3517 documented.

Figure 3. Seasonal temperature anomalies over Northern Eurasia (the NEESPI study domain)
for the 1881–2016 period. The reference period used for calculations of anomalies is 1951–

3520 1975. The annual anomaly for 2016 is +2.0°C. Linear trend estimates shown by dash lines
3521 are provided for demonstration purposes only. Data source: archive of Lugina et al. (2006
3522 updated).

3523 Figure 4. Vegetation distribution under present climate conditions and equilibrium vegetation 3524 distribution under future climate conditions (scenarios) over Northern Eurasia in current 3525 climate and by the year 2090 as calculated by the RuBCliM ecosystem model (developed by 3526 modifying the SibCliM ecosystem models, Tchebakova et al. 2009, 2010, 2016a) using an 3527 ensemble of Canadian (CGCM3.1), UK (HadCM3) and French (IPCLCM4) GCM outputs 3528 for the B1 and A2 scenarios for the IPCC Fourth Assessment Report (Core Writing Team 3529 2007), where greenhouse gases induced global warming of  $3-5^{\circ}$ C and  $6-8^{\circ}$ C, respectively, 3530 by 2090 (Tchebakova et al. 2016a).

Figure 5. Left. Annual surface air temperature anomalies (°C) area-averaged over the 60°N 90°N latitudinal zone (Lugina et al. 2006, updated). Right. September Arctic sea ice extent,
SIE, 10<sup>6</sup> km<sup>2</sup> (U.S. National Snow & Ice Data Center, Boulder, Colorado, USA web-site,
<u>http://nsidc.org/data</u>; date of retrieval; Dec. 30, 2015). For possible change in 2016, see
Gannon (2016). Linear trend estimates shown by dash lines are provided for demonstration
purposes only.

**Figure 6.** Examples of fire-induced forest transformations in the light-coniferous (Scots pine and larch) forests of southern Siberia when logging and plantation are done: (a) unburned forest; (b) forest burned by low-severity fire with high trees survival; (c) forest burned by high-severity fire with high tree mortality; (d) repeatedly-burned forest with all trees killed and almost all organic layer consumed; (e) logging after post-fire tree mortality; (f) repeatedly burned and logged forest site, with little to no tree regeneration, dominated by tall grasses; (g) plantation of Scots pine on a repeatedly-disturbed site with no natural regeneration; (i) burned plantation; (j) the "question" mark indicates sites where management activities may alter
these disturbance trajectories in unknown ways (Kukavskaya et al. 2016).

Figure 7. Manifestations of the cryosphere retreat: (a) Spring snow cover extent anomalies over Eurasia (Blunden and Arndt 2016); (b) Number of newly emerging thermokarst lakes in West Siberia during the 1973–2013 period (Polishchuk et al. 2015); (c-d) Altai Mountains on the boundary of Russia, China, and Mongolia; Kozlov glacier in 1906 and 2013, respectively (Syromyatina et al. 2015).

Figure 8. Annual number of deep cyclones with sea surface atmospheric pressure in its center less than 980 hPa entering sector  $[45^{\circ}N - 50^{\circ}N; 60^{\circ}E - 90^{\circ}E]$  that encompasses Central Asia according to ERA-interim reanalysis (Archive of Tilinina et al. 2013, updated).

**Figure 9.** Changes in the surface water cycle over Northern Eurasia that have been statistically significant in the 20<sup>th</sup> century; areas with more humid conditions (**blue**), with more dry conditions (**red**), with more agricultural droughts (**circles and ovals**), and with more prolonged dry episodes (**rectangles**) (Groisman et al. 2009, updated). In the westernmost region of this map (Eastern Europe), blue and red rectangles overlap indicating "simultaneous" (although in different years) increases of heavy rainfall frequency and of occurrences of prolonged no-rain periods.

**Figure 10.** Top panel: Annual precipitation and surface air temperature in Siberia (east of the Ural Mountains, excluding Chukotka) from 18 Siberian stations and reanalysis fields. Lower panel: Total annual river discharge to the Arctic Ocean from the six largest rivers in the Eurasian Arctic for the observational period 1936–2014 (Holmes et al. 2015) and annual minimum sea ice extent for 1979–2014 (source of the sea ice extent data: U.S. National Snow & Ice Data Center, Boulder, Colorado, USA web-site, http://nsidc.org/data).

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- Figure 11. Carbon sources and sinks by full carbon account of Russian terrestrial ecosystems (average for 2007–2009). Units of sinks and sources are g C  $m^{-2}$  yr<sup>-1</sup> (Shvidenko and Schepaschenko 2014).
- Figure 12. Major export markets for Russian forest products 1960—2009 (archive of Newell
  and Simeone 2014; data source European Forest Institute 2014).
- Figure 13. Changes in sown areas across the former Soviet Union (Russia, Ukraine, and Kazakhstan) from 1990 to 2013; areas of abandoned sown areas for this period are: 40 Mha in Russia (Rosstat 2016); 5.4 Mha in Ukraine (Ukrstat 2014); and 13 Mha in Kazakhstan (Kazstat 2014).
- Figure 14. Land cover change from 2001 to 2012 based on MODIS LC products for the threeregions within DLB.
- 3578 Figure 15. Land-use and land-cover change in the Asian part of the DLB without steppe
- regions of Siberia from 2001 to 2012 (Qi et al. 2012a, 2012b updated). Two zoomed
- 3580 windows show the land-use and land-cover changes between 2001 and 2012 in (A) the
- 3581 Central Asia around the Uzbekistan and (B) southern border of the Gobi Desert around
- 3582 Lanzhou, China.
- **Figure 16**. Almaty urban region in Kazakhstan from DSM satellite observations in 2000 (left) and 2009 (right), translucently draped over 3D topography. Red represents main urban areas, transitioned into orange for urban area with less development, then to yellow for suburban, and finally to green for rural/natural/wilderness areas. Blue indicates surface water (lakes, reservoirs, etc.). Astounding expansion of the Almaty urban extent occurred between 2000 and 2009.
- **Figure 17**. Dramatic increase in the total building volume corresponding to the real estate boom since 2000 in an area of  $\sim 6 \text{ km}^2$  centered in the urban extent of Almaty in 2009 seen in red in the right panel of Figure 16. Error bars show the accuracy of regional averaged values

(columns) and incorporate together errors of the observation and area-averaging methods used. The linear trend line indicates the mean rate of the building volume increase during the study period and its comparison with error bars shows that the changes are clearly seen beyond the noise generated by observations and the averaging procedure.

**Figure 18**. The frequency of and monetary losses from the major natural and environmental

3597 disasters across the globe. Source: Munich Re-insurance NatCatSERVICE

3598 (http://www.munichre.com/en/reinsurance/business/non-life/natcatservice/index.html).

3599 Figure 19. An example schematic of an Integrated Assessment Model (IAM) that couples a

3600 human activity model and an Earth system model (ESM) with a focus on three feedback

3601 pathways: health, land-use change, and water resources (from Monier et al. 2017). See text for

details.

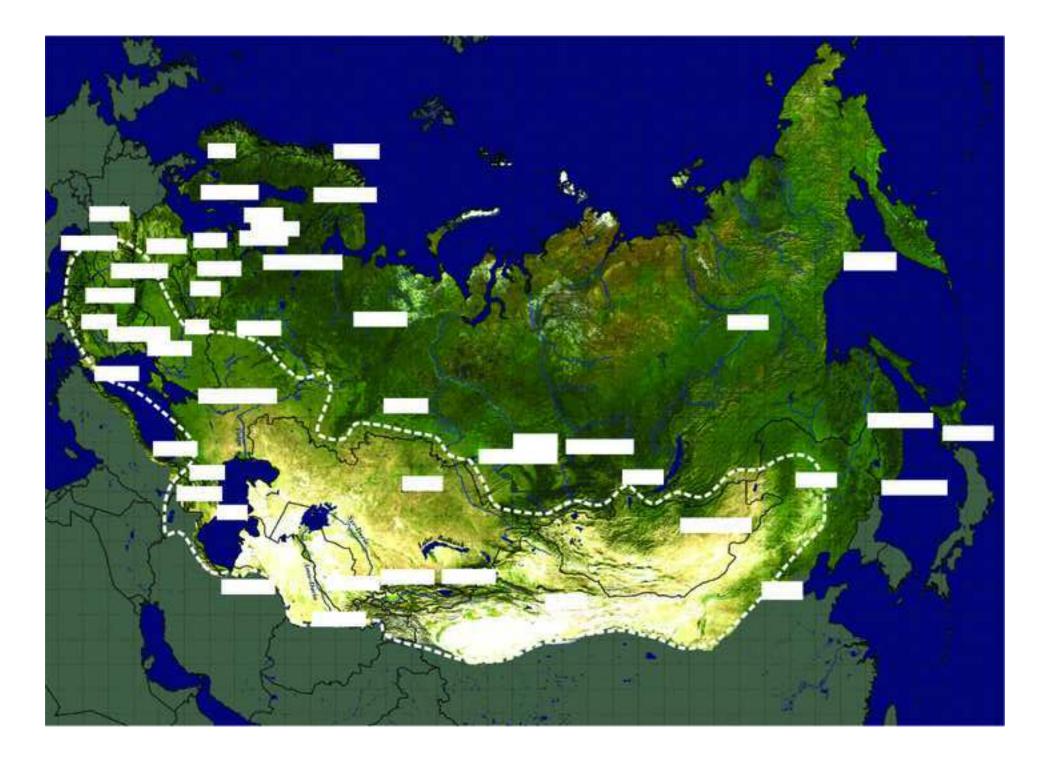
## Table 1

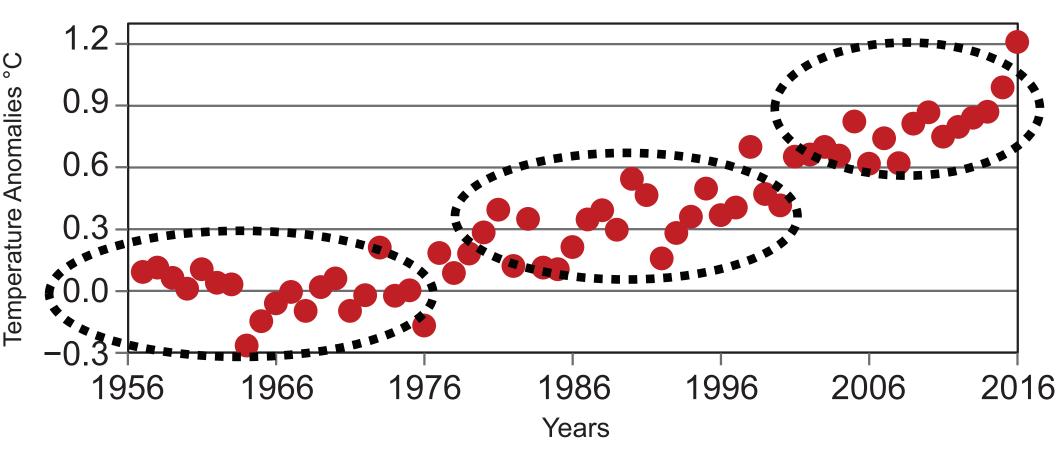
Non-exhaustive list of modeling studies with a focus on Northern Eurasia. The list is sorted by specific aspects of the Earth and human systems. Some studies are listed under several aspects of the Earth and human systems. From Monier et al. (2017 updated).

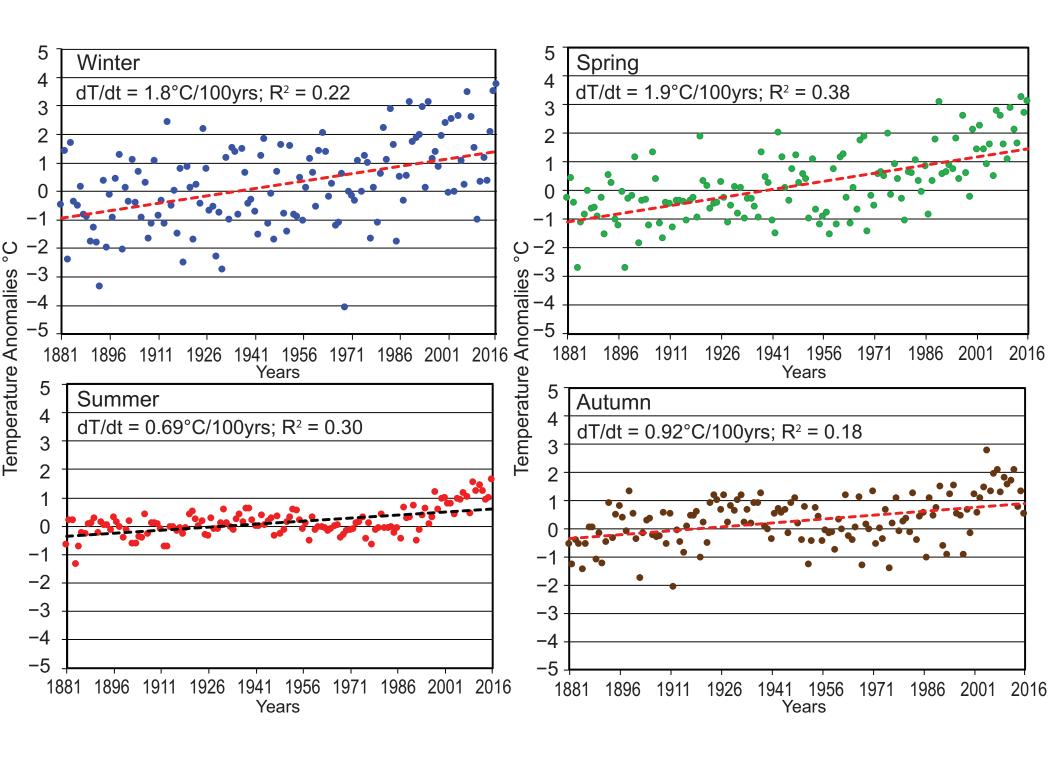
| Specific aspects  | References to modeling studies with a focus on Northern Eurasia               |
|-------------------|---|
| of the Earth and  |   |
| human systems     |   |
| Agriculture (crop | Dronin and Kirilenko 2010; Gelfan et al. 2012; Iizumi and Ramankutty          |
| modeling,         | 2016; Magliocca et al. 2013; Peng et al. 2013; Schierhorn et al. 2014a,       |
| economics)        | 2014b; Tchebakova et al. 2011   |
| Air quality       | Baklanov et al. 2013; Darmenova et al. 2009; Lu et al. 2010; Siljamo et al.   |
| (aerosols, ozone, | 2013; Sofiev et al. 2013; Soja et al. 2004; Sokolik et al. 2013; Xi and       |
| pollen, dust)     | Sokolik 2015a, 2015b  |
| Carbon (in land   | Bohn et al. 2013, 2015; Cresto-Aleina et al. 2015; Dargaville et al. 2002a,   |
| and water)        | 2002b; Dass et al. 2016; Dolman et al. 2012; Gao et al. 2013; Glagolev et     |
|                   | al. 2011; Gustafson et al. 2011a; Hayes et al. 2011a, 2011b, 2014; John et    |
|                   | al. 2013a; Kicklighter et al. 2013, 2014; Kim et al. 2011; Koven et al. 2011; |
|                   | Kuemmerle et al. 2011a, 2011b; Kurganova et al. 2014, 2015; Lu et al.         |
|                   | 2009b; McGuire et al. 2010; Mukhortova et al. 2015; Narayan et al. 2007;      |
|                   | Olchev et al. 2009a, 2013a; Rawlins et al. 2015; Rossini et al. 2014;         |
|                   | Sabrekov et al. 2014, 2016; Saeki et al. 2013; Schaphoff et al. 2015;         |
|                   | Schierhorn et al. 2013; Schulze et al. 2012; Shakhova et al. 2013, 2015;      |
|                   | Shuman and Shugart 2009; Shuman et al. 2013a; Yue et al. 2016; Zhang et       |
|                   | al. 2012b; Zhao et al. 2009; Zhu et al. 2013, 2014; Zhu and Zhuang 2013;      |
|                   | Zhuang et al. 2013  |
| Climate           | Anisimov et al. 2013; Arzhanov et al. 2012a, 2012b; Miao et al. 2014;         |

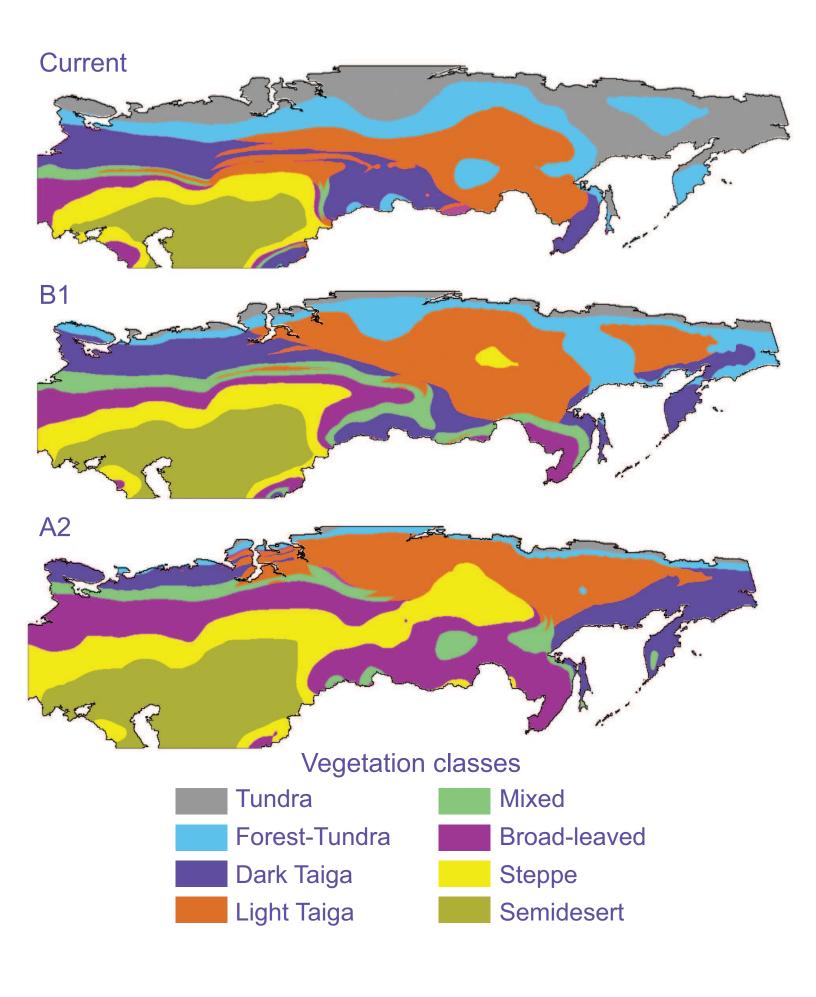
|                  | Monier et al. 2013; Onuchin et al. 2014; Shahgedanova et al. 2010;          |
|------------------|---|
|                  | Shkolnik and Efimov 2013; Volodin 2013; Volodin et al. 2013; Zuev et al.    |
|                  | 2012  |
| Cryosphere       | Callaghan et al. 2011a, 2011b; Farinotti et al. 2015; Hagg et al. 2006;     |
| (snow, glaciers, | Klehmet et al. 2013; Loranty et al. 2014; Mokhov et al 2013b;               |
| sea ice)         | Pieczonka and Bolch 2015; Shahgedanova et al. 2010; Shakhova et al.         |
|                  | 2015; Sokratov and Shmakin 2013; Sorg et al. 2012                           |
| Demography       | Heleniak 2015   |
| Energy balance   | Brovkin et al. 2006; Gálos et al. 2013; Loranty et al. 2014; Olchev et al.  |
|                  | 2009b; Oltchev et al. 2002b; Tchebakova et al. 2012                         |
| Hydrological     | Bowling and Lettenmaier 2010; Cresto-Aleina et al. 2015; Gelfan 2011;       |
| cycle            | Georgiadi et al. 2010, 2014a; Hagg et al. 2006; Karthe et al. 2015; Khon    |
|                  | and Mokhov 2012; Kicklighter et al. 2013; Klehmet et al. 2013;              |
|                  | Kuchment et al. 2011; Liu et al. 2013, 2014, 2015; McClelland et al.        |
|                  | 2004; Motovilov and Gelfan 2013; Novenko and Olchev 2015; Olchev et         |
|                  | al. 2009a, 2013a; Oltchev et al. 2002a, 2002b; Osadchiev 2015; Rawlins      |
|                  | et al. 2010; Serreze et al. 2006; Shiklomanov et al. 2013; Shiklomanov      |
|                  | and Lammers 2013; Shkolnik et al 2017; Sorg et al. 2012; Streletskiy et     |
|                  | al. 2015b; Troy et al. 2012; Zhang et al. 2011                              |
| Land-use change  | Blyakharchuk et al. 2014; Chen et al. 2017; Griffiths et al. 2013;          |
|                  | Gustafson et al. 2011a; Hayes et al. 2011a; Hitztaler and Bergen 2013;      |
|                  | Kicklighter et al. 2014; Kraemer et al. 2015; Kuemmerle et al. 2009;        |
|                  | Meyfroidt et al. 2016; Peterson et al. 2009; Prishchepov et al. 2013, 2017; |
|                  | Robinson et al. 2013; Schierhorn et al. 2013, 2014a, 2014b; Smaliychuk      |
|                  | et al. 2016; Zhang et al. 2015  |
| Infrastructure   | Shiklomanov and Streletskiy 2013; Shiklomanov et al. 2017; Stephenson       |

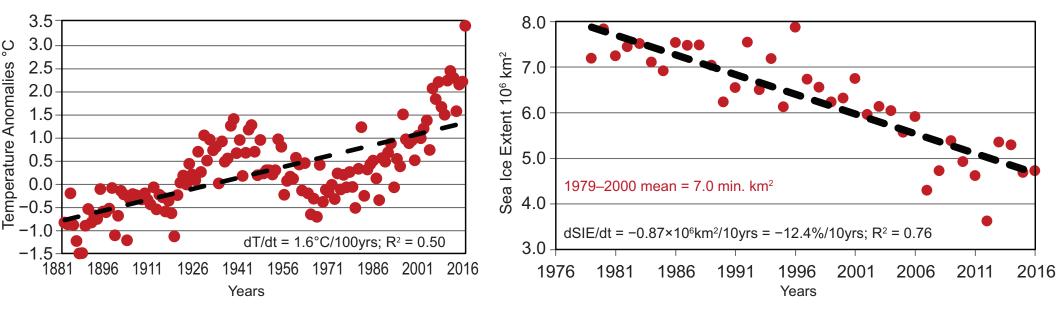
|                   | et al. 2011; Streletskiy et al. 2012  |
|-------------------|---|
| Nitrogen          | Kopáček et al. 2012; Kopáček and Posch 2011; Oulehle et al. 2012; Zhu       |
|                   | and Zhuang 2013; Zhuang et al. 2013   |
| Permafrost        | Euskirchen et al. 2006; Gao et al. 2013; Gouttevin et al. 2012; Hayes et    |
|                   | al. 2014; MacDougall and Knutti 2016; Marchenko et al. 2007; Shakhova       |
|                   | et al. 2013, 2015; Shkolnik et al. 2012b; Streletskiy et al. 2012, 2015b;   |
|                   | Zhang et al. 2011   |
| Terrestrial       | Cresto-Aleina et al. 2013; Kopačková et al. 2014, 2015; Lapenis et al.      |
| ecosystems        | 2005; Lebed et al. 2012; Li et al. 2016; Shuman et al. 2013a, 2013b;        |
| characteristics   | Shuman and Shugart 2012; Ziółkowska et al. 2014                             |
| Vegetation shifts | Gustafson et al. 2011a; Jiang et al. 2012, 2016; Khvostikov et al. 2015;    |
|                   | Kicklighter et al. 2014; Li et al. 2014; Macias-Fauria et al. 2012;         |
|                   | Novenko et al. 2014; Schaphoff et al. 2015; Shuman et al. 2015; Soja et     |
|                   | al. 2007; Tchebakova et al. 2009, 2010, 2016a, 2016b; Tchebakova and        |
|                   | Parfenova 2012; Velichko et al. 2004  |
| Weather (i.e.     | Barriopedro et al. 2011; Meredith et al. 2015; Mokhov et al 2013a;          |
| extreme events)   | Schubert et al. 2014; Shkolnik et al. 2012a                                 |
| Wildfire          | Balshi et al. 2007; Dubinin et al. 2011; Gustafson et al. 2011b; Kantzas et |
|                   | al. 2013; Loboda and Csiszar 2007; Malevsky-Malevich et al. 2008;           |
|                   | Narayan et al. 2007; Park and Sokolik 2016; Schulze et al. 2012; Soja et    |
|                   | al. 2004; Tchebakova et al. 2009, 2012; Vasileva and Moiseenko 2013         |
| Zoology           | Bragina et al. 2015; Kuemmerle et al. 2011a, 2014; Ziółkowska et al.        |
|                   | 2014  |



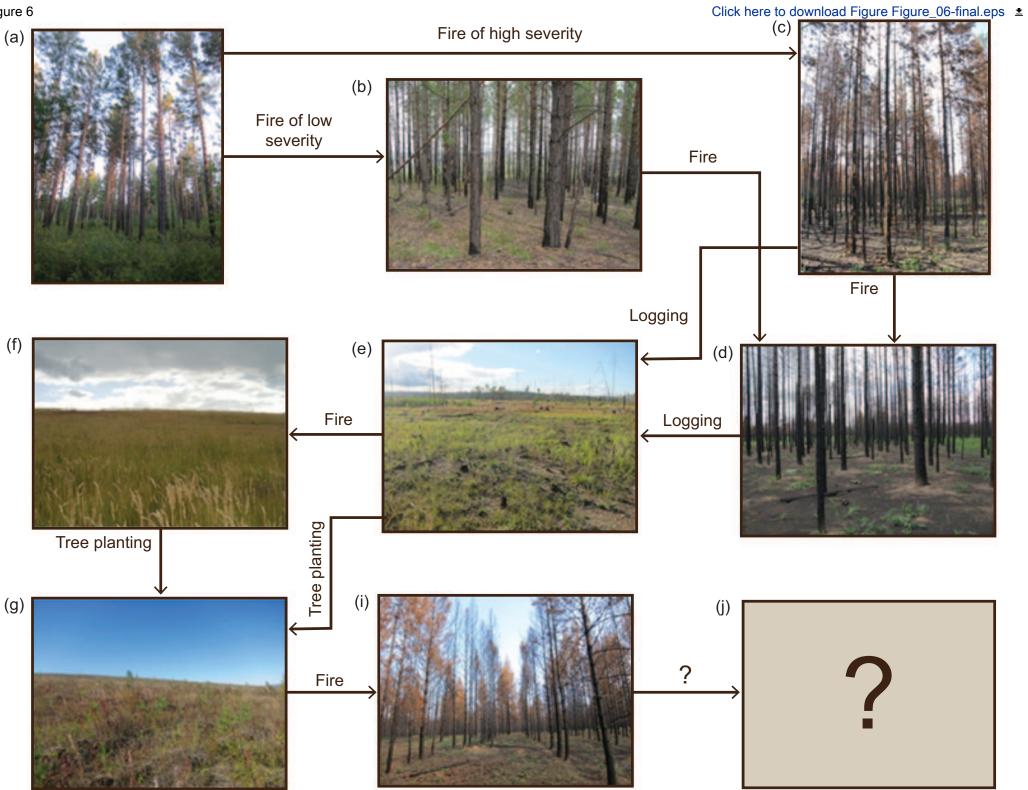




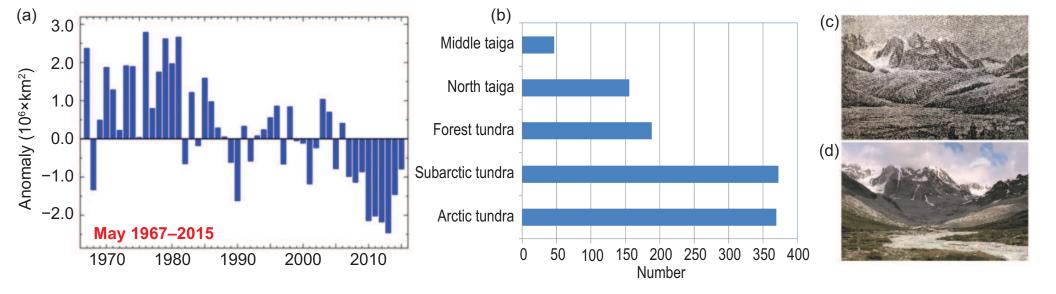












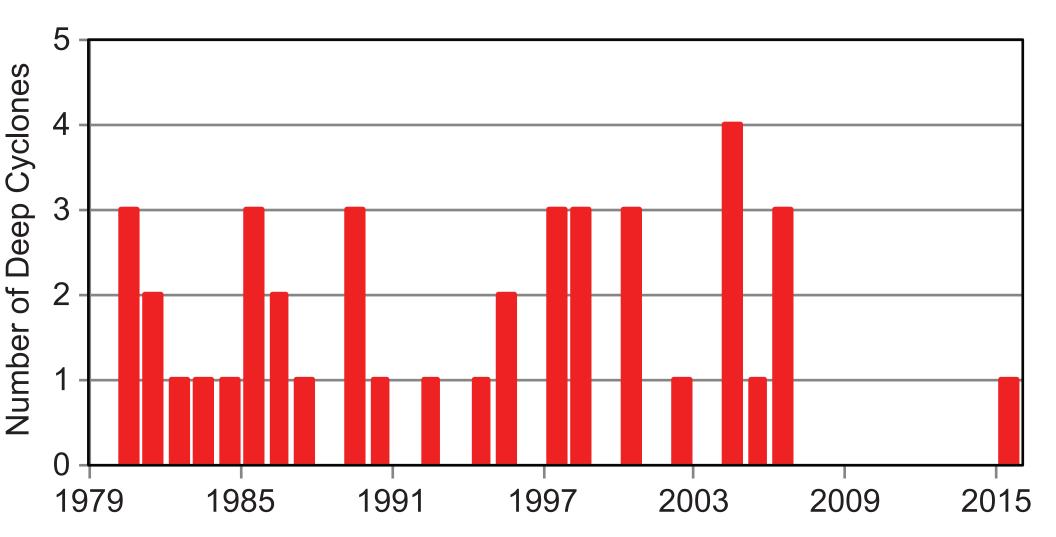
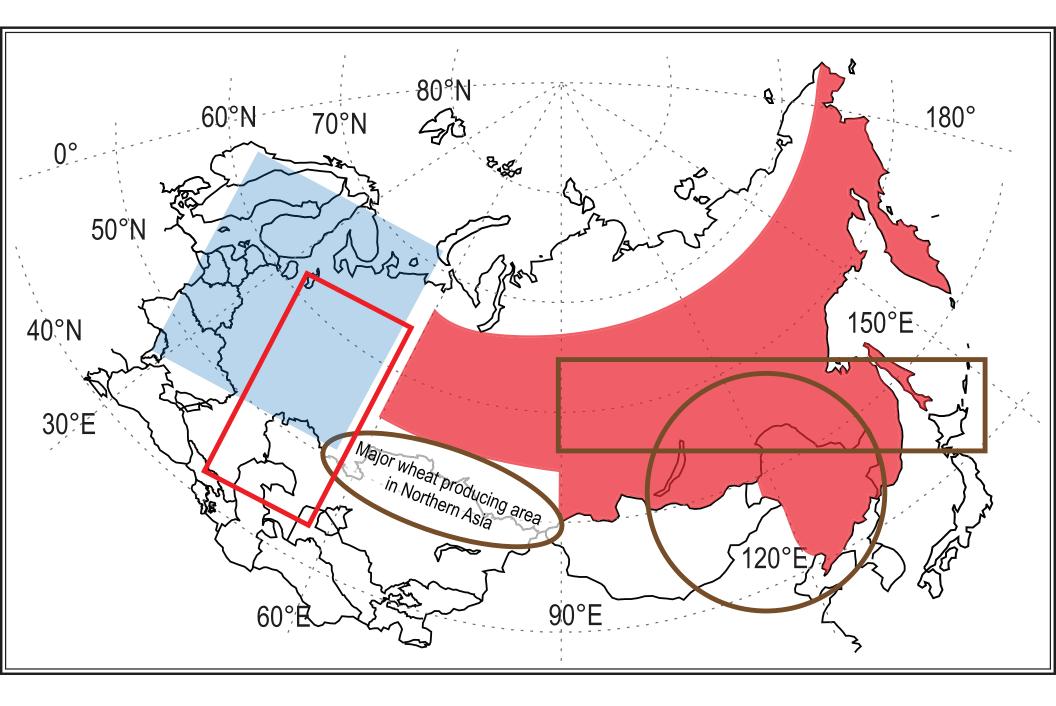
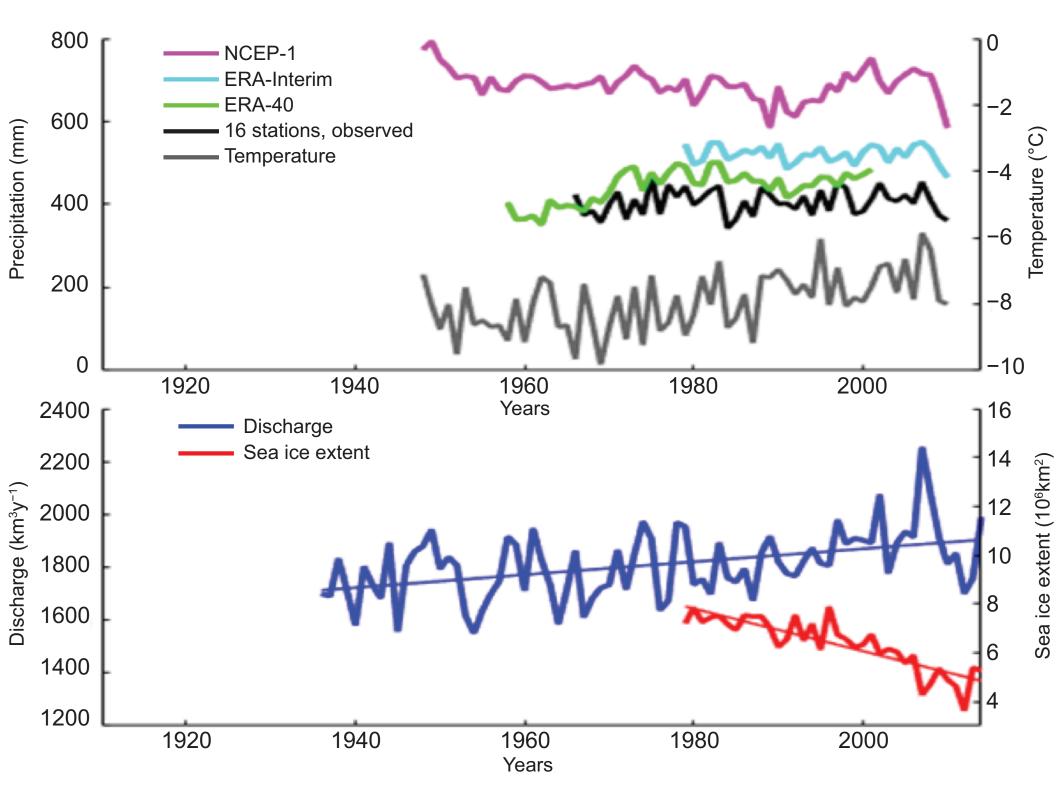
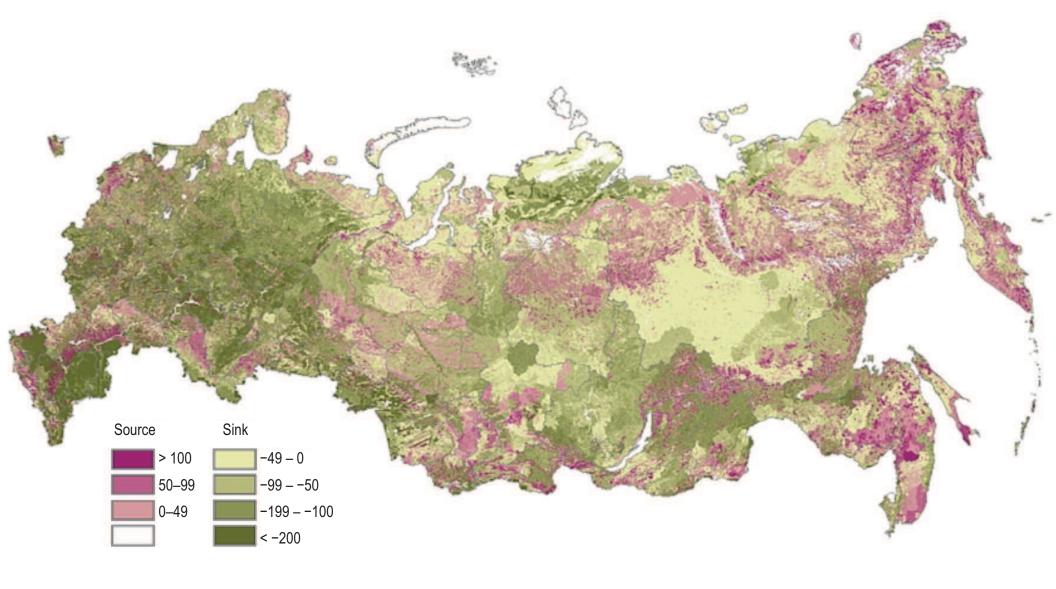


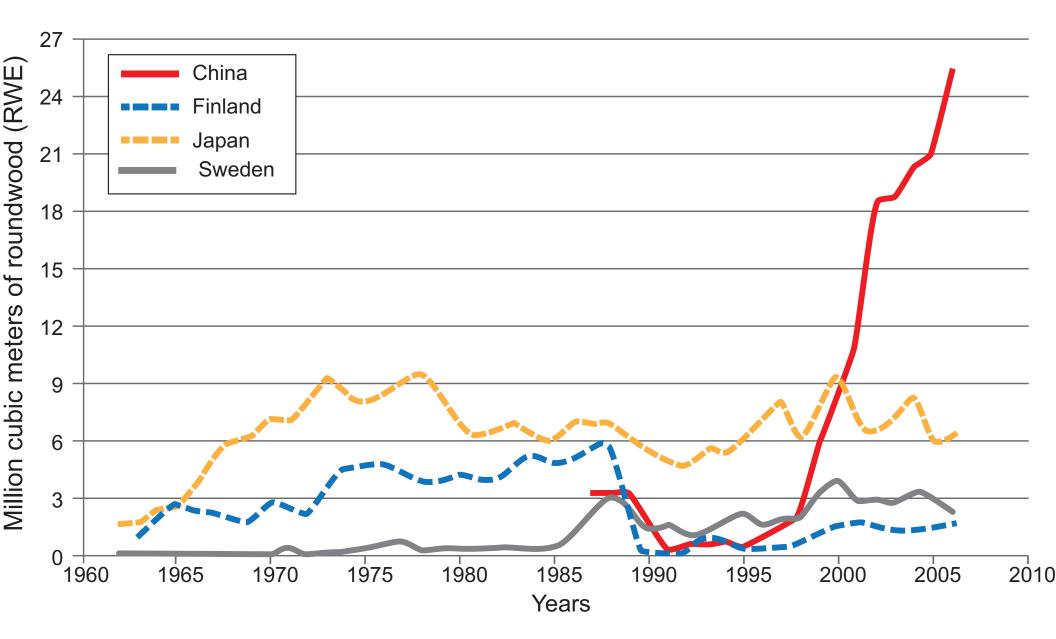
Figure 8

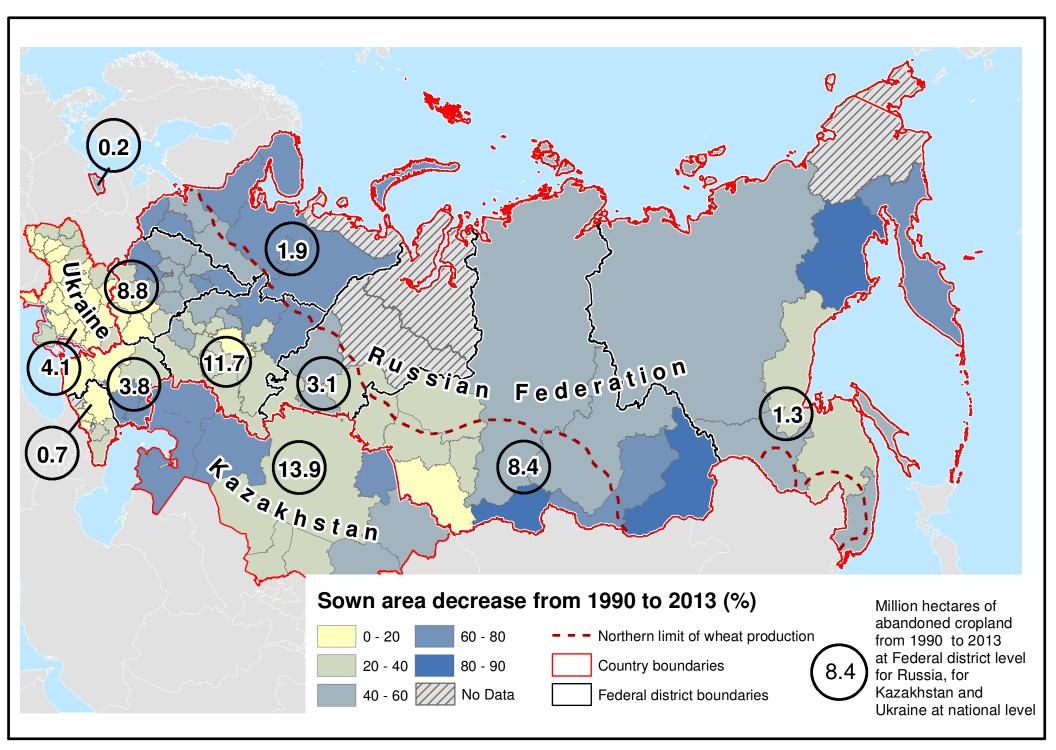


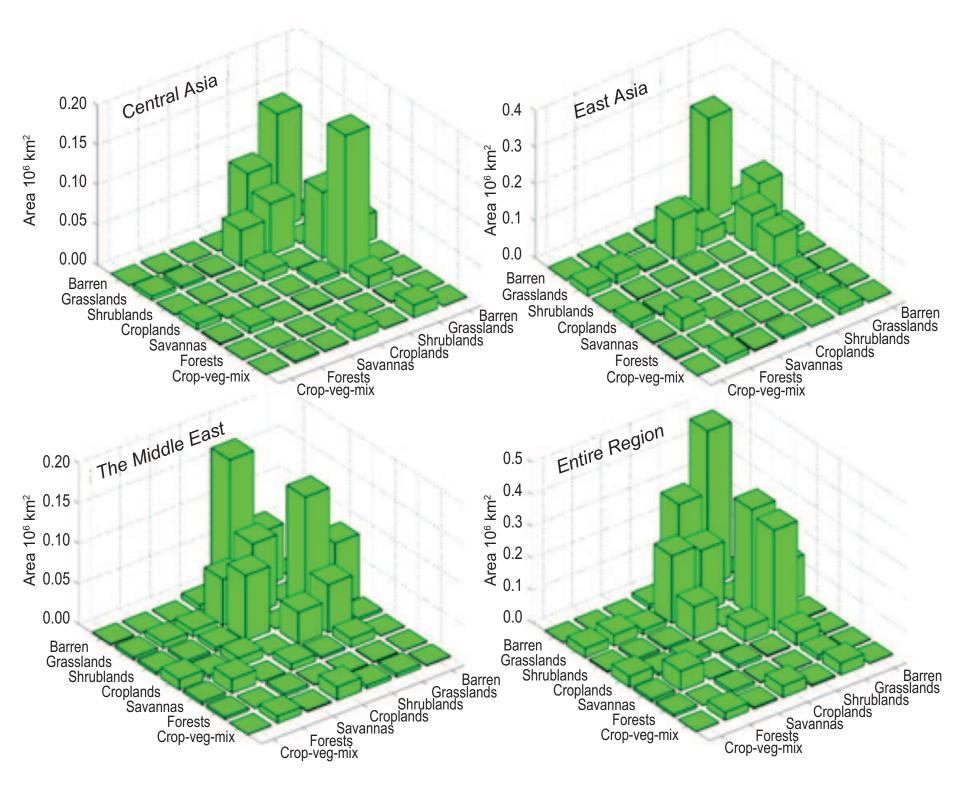


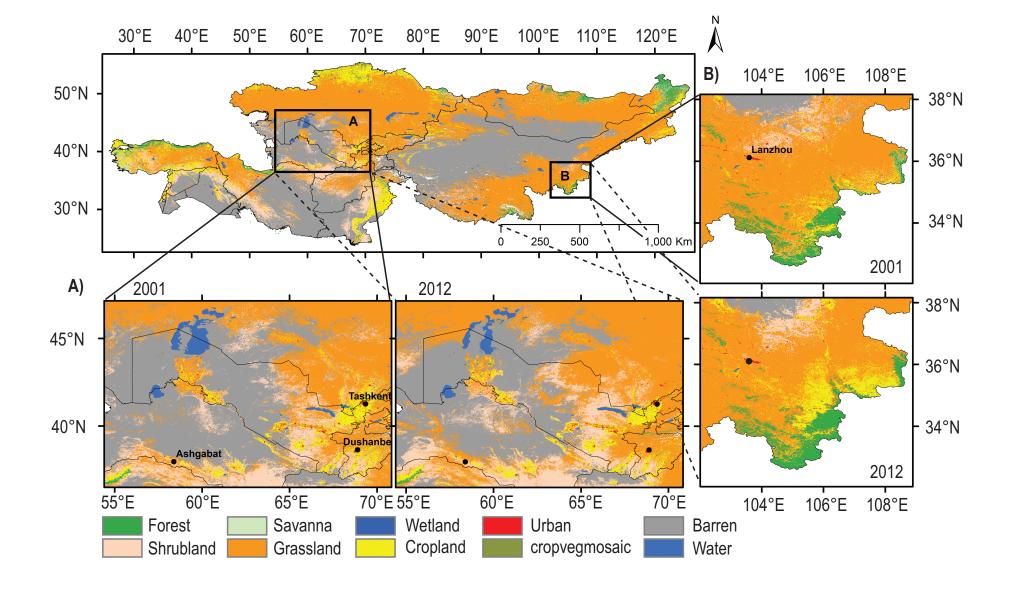




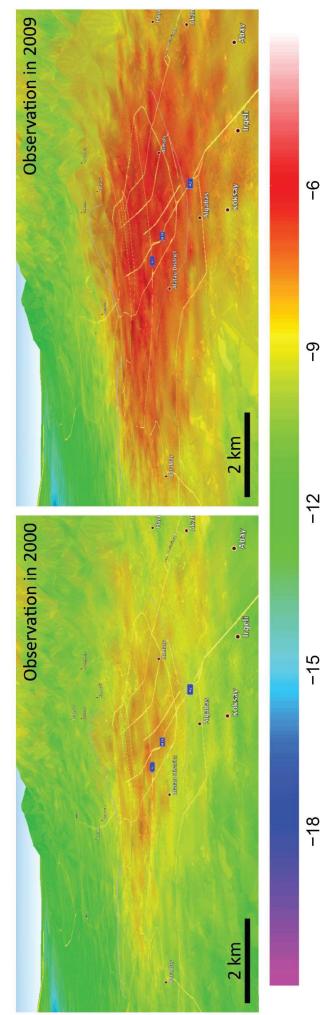




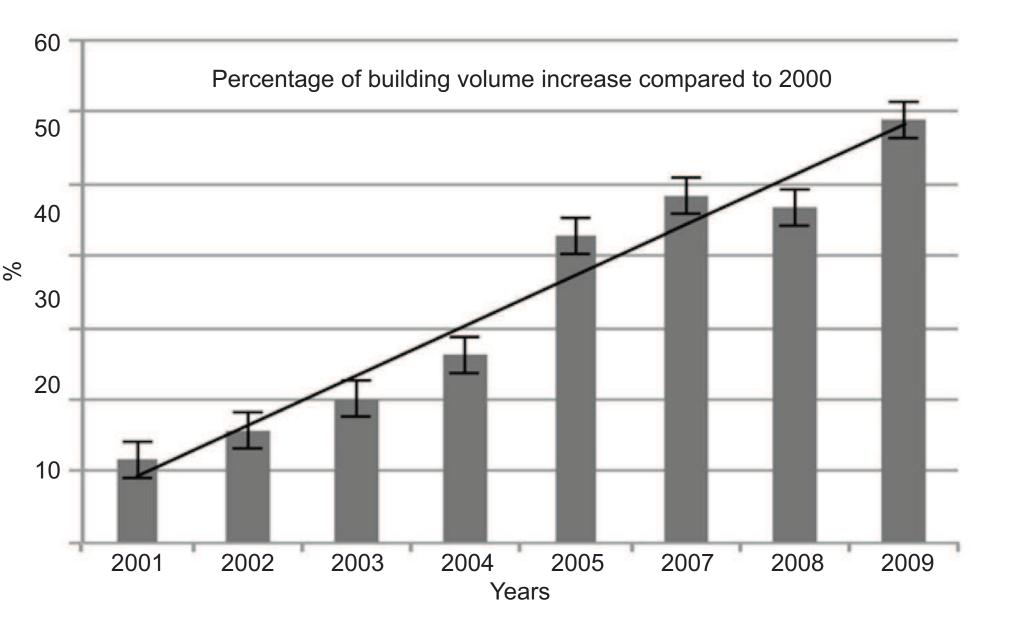


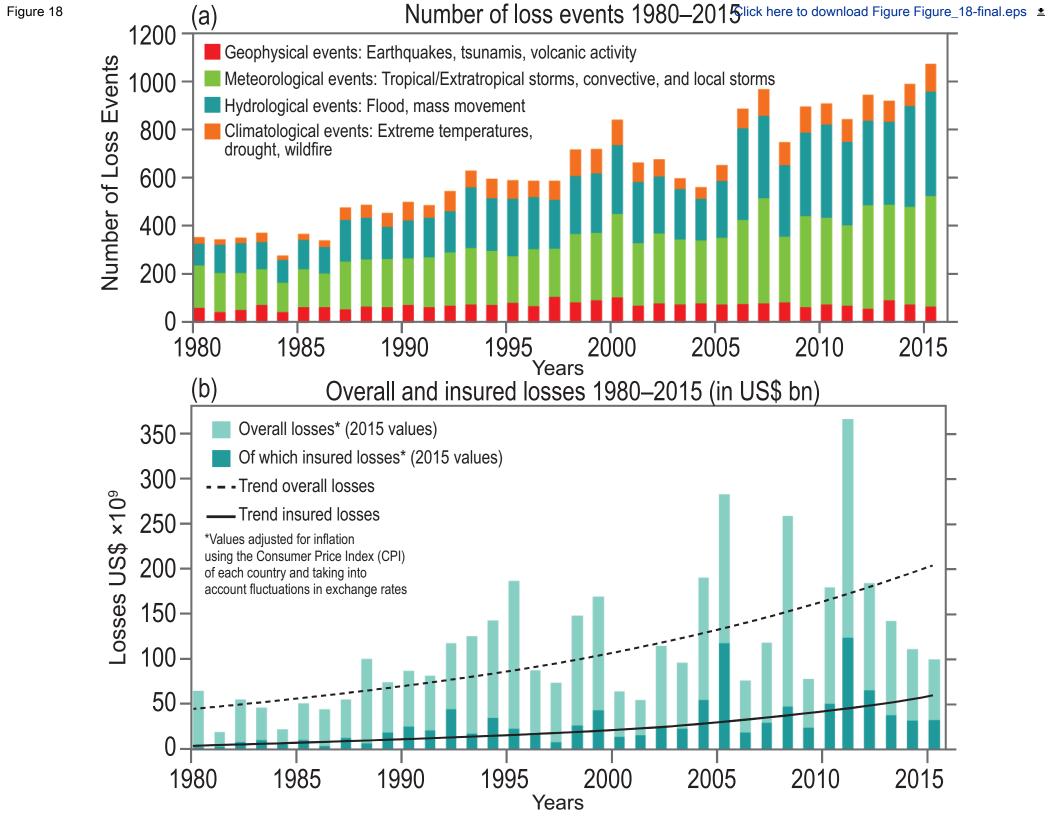


Observation in Radar Backscatter (db)











## **HUMAN SYSTEM**

