

# Role of flavonoids and nitrates in cardiovascular health

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#### ROLE OF FLAVONOIDS AND NITRATES IN CARDIOVASCULAR HEALTH

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Key words: platelet function; blood pressure; dietary nitrate; flavonoids; vascular function;

**Abbreviations:** CVD, cardiovascular disease; CHD, coronary heart disease; CI, confidence interval; DASH, dietary approach to stop hypertension; EDHF, endothelium-derived hyperpolarizing factor; eNOS, endothelial nitris oxide synthase, FMD, flow mediated dilation; GLUT, glucose transporter ; HDL-C, high-density lipoprotein cholesterol; iNOS, inducible nitric oxide suthase; LDI, laser Dopler imaging with iontophoresis; LDL-C, low-density lipoprotein cholesterol; MAP, Mitogen-Activated Protein MI, myocardial infarction; NADPH, nicotinamide adenine dinucleotide phosphate nNOS, neuronal nitric oxide synthase; NO, nitric oxide; PI3K, phosphoinositide 3-kinase; PLC $\gamma$ 2, phospholipase C $\gamma$ 2; RR, relative risk; RCT, randomised control trial; SGLUT1, sodium-dependent glucose transporter 1; TAG, triacylglycerol; SYK, spleen tyrosine kinase.

#### 1 Abstract

2 Cardiovascular diseases (CVD) remain the leading cause of death globally. Effective dietary 3 strategies for their prevention are of high priority. Increasing evidence suggests that phytochemicals, particularly dietary flavonoids and nitrates, are key modulators of CVD risk 4 5 reduction through impact on multiple risk factors. The aim of this review is to explore the evidence 6 for the impact of flavonoid- and nitrate-rich foods and supplements on CVD risk, with specific 7 reference to their importance as mediators of vascular health and platelet function. There is 8 accumulating evidence to support benefits of dietary flavonoids on cardiovascular health. Dose-9 dependent recovery of endothelial function and lowering of blood pressure have been reported for 10 the flavanol (-)-epicatechin, found in cocoa, apples and tea, through production and availability of 11 endothelial nitric oxide (NO). Furthermore, flavonoids, including quercetin and its metabolites, 12 reduce in vitro and ex vivo platelet function via inhibition of phosphorylation-dependent cellular 13 signalling pathways, though further *in vivo* studies are required to substantiate these mechanistic 14 effects. Hypotensive effects of dietary nitrates have been consistently reported in healthy subjects in 15 acute and chronic settings, though there is less evidence for these effects in patient groups. 16 Proposed mechanisms of actions include endothelial-independent NO availability, which is 17 dependent on the entro-salivary circulation and microbial conversion of dietary nitrate to nitrite in 18 the mouth. In conclusion, flavonoid and nitrate-rich foods show promising effects on vascular 19 function, yet refinement of qualitative dietary guidelines will require confirmation of this evidence, 20 together with further randomly controlled trials for determination of effective doses.

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22 23

## 24 Introduction

25 Cardiovascular diseases (CVD) are the leading cause of mortality globally, accounting for around 31 % of deaths each year<sup>(1)</sup>. In the UK CVD was the second most common cause of death in 2014, 26 responsible for 27 % of all mortalities<sup>(2)</sup>. There are several recognised risk factors for CVD 27 28 including raised serum low-density lipoprotein (LDL)-cholesterol and triacylglycerol (TAG), low 29 serum high-density lipoprotein (HDL)-cholesterol, elevated blood pressure, diabetes and obesity many of which can be modified by the lifestyle choices, including diet<sup>(3)</sup>. Epidemiological data 30 31 from the 1970's indicated that coronary heart disease (CHD) rates were higher in countries with low fruit and vegetable consumption<sup>(4)</sup>. This has been supported by a number of more recent studies that 32 33 have shown that dietary patterns rich in fruit and vegetables are associated with reduced rates of CHD, stroke and CVD mortality<sup>(5; 6; 7; 8; 9; 10)</sup>. Some researchers have attempted to identify the types 34 35 of fruits and vegetables responsible for the reduced risk of CVD. Joshipura et al in 2001 showed 36 that people in the highest quintile of fruit and vegetable intake had a 20% lower relative risk (RR) 37 for CHD compared with those in the lowest quintile of intake. In addition, each 1 serving per day 38 increase in fruit and vegetable intake was associated with a 4 % lower risk of CHD. They also 39 found that vitamin C-rich fruits (6 % lower RR per 1 serving/day increase) and particularly green 40 leafy vegetables (23 % lower RR per 1 serving/day increase) had the largest protective effects<sup>(5)</sup>.

Fruits and vegetables are a rich source of phytochemicals such a flavonoids and dietary nitrates, which have been shown to independently exert a number of health effects and could be responsible, at least in part, for the apparent protective effects of fruit and vegetable consumption. The aim of this review is to provide a brief overview of evidence related to the effects of flavonoids and dietary nitrates on cardiovascular health with particular reference to vascular and platelet function.

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#### 47 **Dietary flavonoids**

The main categories of phytochemicals are polyphenols, which include flavonoids, terpenoids, 48 49 nitrogen-containing alkaloids and sulphur-containing compounds. Flavonoids are produced by 50 plants as secondary metabolites and have biological roles in plant pigmentation, flavour, growth, reproduction, predator and pathogen resistance<sup>(11)</sup>. They are present in a variety of foods including 51 52 vegetables, fruits, nuts, grains, red wine and chocolate, in concentrations that vary due to a number of factors, including environmental stress, such as UV exposure<sup>(12)</sup>. Flavonoids consist of two 53 54 benzene rings linked by a 3-carbon chain (C6-C3-C6) as shown in Figure 1. The flavonoid classes 55 differ due to the C ring structural differences, number of phenolic hydroxyl groups and their 56 substitutions, and are commonly divided into seven structural subclasses namely: isoflavones, 57 flavanols or catechins, flavanonols, flavonols, flavanones, flavones, anthocyanins and

anthocyanidins<sup>(13)</sup> (Figure 2). The small structural variations between subclasses are related to
considerable differences in biological functions.

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## 61 Absorption and Metabolism of flavonoids

62 Flavonoids are commonly found in the diet as conjugated esters, glycosides or polymers, which have limited bioavailability, requiring intestinal enzyme hydrolysis or colonic microbiota 63 64 fermentation before absorption into the circulation. Aglycones formed in the intestine by cleavage of flavonoid side chains can enter the epithelial cell by passive diffusion<sup>(14)</sup>. However polar 65 66 glucosides can be actively transported into epithelial cells via the sodium-dependent glucose transporter 1 (SGLUT1), where they are hydrolysed by intracellular enzymes to the aglycone<sup>(15)</sup>. 67 68 The importance of the latter absorption route is unclear, but glycosylated flavonoids and aglycones 69 have been shown to inhibit the SGLUT1 transporter, potentially reducing dietary glucose 70 absorption<sup>(16)</sup>. Before transport to the circulation, the aglycones also undergo further metabolism (Phase II) and conjugation including glucuronidation, methylation or sulfation. Efflux of the 71 72 metabolites back into the intestine also occurs via transporters including multidrug resistance protein and P-glycoprotein and the glucose transporter GLUT2<sup>(17)</sup>. Further Phase II metabolism 73 74 occurs in the liver via portal vein transportation and further recycling into the intestinal lumen via 75 the enterohepatic recirculation in bile<sup>(18)</sup>. Some flavonoids, particularly polyphenol sugar 76 conjugates, pass unabsorbed into the colon and are associated with marked modulation of the colonic gut microbiota<sup>(19)</sup> and the production of principally small phenolic acid and aromatic 77 78 catabolites, which are subsequently absorbed into the circulation<sup>(20)</sup>. These metabolites can be 79 subjected to further metabolism in the liver before they are efficiently excreted in the urine in quantities far higher than those that entered the circulation via the intestine<sup>(21)</sup>. Due to the extensive 80 81 metabolism and rapid excretion, plasma concentrations do not reflect quantitative absorption and 82 total urinary metabolite excretion can be a more valuable biomarker of intake. Evidence for tissue 83 accumulation of polyphenols and their metabolites is very limited and while this can't be ruled out, 84 it is believed that frequent ingestion of flavonoid-rich foods is required to maintain constant circulating levels (see review <sup>(22)</sup>). Detailed studies using stable isotopes have allowed the 85 86 determination of metabolic pathways of certain polyphenol subclasses. Anthocyanins, found in 87 foods such as berries, are reported to have low bioavailability, but recent data have shown they are 88 extensively metabolised to a diverse range of metabolites, which has highlighted a previous 89 underestimation of anthocyanin absorption and metabolism<sup>(23)</sup>.

90

91 Flavonoid intake and CVD risk: Epidemiological studies

92 Epidemiological studies have produced strong evidence for the negative association between high fruit and vegetable consumption and cardiovascular disease mortality<sup>(24; 25)</sup>. Yet it is difficult to 93 94 identify the specific mediator(s) of health due to the numerous potential bioactive compounds 95 present in fruits and vegetables. Observational studies suggest that intakes of flavonoids are associated with a decreased risk of CVD, although the findings are not entirely consistent, because 96 97 of variation in population studied, dose and specific flavonoid consumed. Data from a large post-98 menopausal cohort identified a negative association between flavonoid-rich diets and CVD 99 mortality<sup>(26)</sup>. Further evidence showed intakes of flavanol- and procyanidin-rich foods were associated with decreased risk of chronic non-communicable diseases particularly CVD<sup>(27; 28)</sup>. In 100 101 2005, Arts and Hollman collated data from 15 prospective cohort studies, of these 13 provided 102 evidence for a positive association between dietary flavanols, procyanidins, flavones and flavanones and CVD health, with a reduction of CVD mortality of approximately 65 %<sup>(29)</sup>. Systematic 103 104 reviews<sup>(30; 31; 32)</sup> that have focused on flavonol intake have reported inconsistent findings including an inverse association between high flavonol intake and CHD or stroke mortality<sup>(31; 32)</sup> compared 105 106 with no association between flavonol intake and CHD risk<sup>(30)</sup>. However, a more recent 107 comprehensive systematic review and meta-analysis of 14 studies identified that intakes of 108 anthocyanidins, proanthocyanidins, flavones, flavanones and flavan-3-ols were associated with 109 lower CVD RR of 11 %, 10 %, 12 %, 12 % and 13 % respectively when comparing the highest and 110 lowest categories of intake; with a 5 % lower RR for CVD for every 10mg/d increment in flavonol intake<sup>(33)</sup>. 111

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113 An inverse association between flavanol intake and CVD mortality was initially identified in the 114 Iowa women health study, which followed 34,489 women, free of CVD at study inclusion <sup>(34)</sup> while, 115 a subsequent follow-up found no association between reduced CVD risk and flavanol intake, instead an association with procyanidin intake<sup>(26)</sup>. These seemingly contrasting findings were due to 116 117 the different ways the data from chocolate and seeded grapes were categorized in the dietary 118 assessment, and emphasises the importance of standardisation of dietary assessment, and the 119 possible benefits of using biomarkers of intake. Evidence from prospective cohort studies generally 120 supports the hypothesis that a greater intake of dietary flavonoids is associated with a lower risk of 121 CVD, although there are inconsistencies in potential benefit. Further supportive evidence from 122 well-performed randomly controlled dietary intervention studies is required to establish a direct 123 relationship between different flavonoid sub-groups and CVD risk.

124

125 Chronic and acute effects of flavonoid intake on micro- and macrovascular function

126 The vascular endothelium plays a key role in the regulation of vascular homeostasis, and alterations

in endothelial function contribute to the pathogenesis and clinical expression of CVD<sup>(35)</sup>. Many 127 128 factors impact adversely on the endothelium, these include diabetes mellitus, smoking, physical 129 inactivity, aging, hypertension, systemic inflammation, dyslipidaemia and insulin resistance, with diet being key in modulating endothelial function<sup>(36; 37)</sup>. Prospective cohort studies have supported 130 131 the association between endothelial function and an increased risk of CVD events and have identified the latter as a valuable holistic surrogate marker of CVD risk<sup>(38; 39)</sup>. Endothelial 132 dysfunction has been associated with the development of atherosclerosis and CVD<sup>(40)</sup> and is most 133 134 commonly measured in the brachial artery by flow mediated dilatation (FMD), which uses non-135 invasive ultrasound before and after increasing shear stress by reactive hyperaemia, with the degree 136 of dilation reflecting arterial NO release. Another commonly used technique is laser Doppler 137 imaging with iontophoresis (LDI), which measures the endothelial function of the peripheral 138 microcirculation. The degree of endothelial dysfunction occurring in the microcirculation has been 139 shown to be proportional to that occurring in the coronary arteries<sup>(41)</sup>. This technique measures the response of cutaneous blood vessels to transdermal delivery of two contrasting vasoactive agents: 140 141 acetylcholine (endothelium-dependent vasodilator) and sodium nitroprusside (endothelium-142 independent vasodilator) by iontophoresis. A reduced local vasodilatory response to acetylcholine is 143 associated with endothelial dysfunction<sup>(42)</sup>.

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145 Consumption of fruits rich in anthocyanins and proanthocyanidins in the form of purple grape juice 146 or grape seed extract (for between 14-28 days) significantly increased FMD in volunteers with 147 angiographically documented CHD or above average vascular risk<sup>(43)</sup>. Furthermore, consumption of pomegranate, containing tannins and anthocyanins, for a period of 90 days to 3 years resulted in 148 149 improvements in carotid intermedia thickness (CIMT), a measure of the extent of atherosclerosis in the carotid artery, in those with increased CVD risk<sup>(43)</sup>. The FLAVURS study investigated the dose-150 151 dependent effect (+2, +4 and +6 additional portions/d) of flavonoid-rich and flavonoid-poor fruits 152 and vegetables compared to habitual diet, on microvascular reactivity, determined by LDI, and 153 other CVD risk markers. After two additional portions of flavonoid-rich fruits and vegetables, 154 equivalent to an estimated increase in total dietary flavonoids from  $36 \pm 5$  to  $140 \pm 14$  mg/d<sup>(44)</sup>, a 155 significant increase in endothelium-dependent microvascular reactivity was observed in men. In 156 addition, reduced C-reactive protein, E-selectin and vascular cell adhesion molecule, and increased 157 plasma NOx was observed with four additional flavonoid-rich portions, compared to the control and low-flavonoid intervention<sup>(45)</sup>. This data supports vascular improvements reported in a previous 158 159 study investigating a similar single dose of flavonoid-rich foods<sup>(46)</sup>. Identification of the specific 160 flavonoid bioactive is not possible in studies that include a variety of foods, yet these data

162 could be considered as useful strategies for CVD risk factor reduction.

163

164 The majority of the population is in a postprandial state for most of the day and it is recognized that 165 acute physiological responses to meals are a major contributor to overall CVD risk. Flavonoid-rich 166 foods have been implicated in modulating postprandial responses. For example blueberries are a rich source of flavonoids, particularly anthocyanin, flavanol oligomer, and chlorogenic acid<sup>(47)</sup>. 167 168 Acute improvements in vascular function, measured by FMD, were observed in healthy men in a time- and dose-dependent manner (up to a concentration of 766mg total polyphenols)<sup>(48)</sup> with little 169 observed effect of processing<sup>(47)</sup>. These beneficial effects on postprandial vascular reactivity are not 170 171 confined to blueberries, as a mixed fruit puree containing, 457 mg (-)-epicatechin increased microvascular reactivity and plasma NOx<sup>(49)</sup>. Although the fruit puree contained varied flavonoids, 172 173 the potential vascular benefits of (-)-epicatechin is supported by a meta-analysis of six randomly 174 controlled trials which found that 70-177 mg (-)-epicatechin, from cocoa or chocolate sources 175 significantly increased postprandial FMD by 3.99 % at 90-149 min post ingestion<sup>(50)</sup>. These data 176 indicate that different classes of flavonoids in the form of foods can significantly improve 177 postprandial vascular function and possible CVD risk.

178

179 Flavanols, as a subgroup of flavonoids, have been extensively studied and increasing evidence has 180 shown that higher intake of flavanol-rich foods improve arterial function in numerous groups 181 including those at risk for CVD, with established CVD<sup>(51)</sup> and more recently healthy young and 182 aging individuals<sup>(52)</sup>. The mechanisms of action are not totally understood, but causality between intake and an improvement in arterial function has been demonstrated<sup>(53)</sup>. The important dietary 183 184 flavonol, (-)-epicatechin, is naturally present is high concentrations in cocoa, apples and tea and a 185 number of systematic reviews and meta-analyses, including a recent study of 42 randomised 186 controlled human dietary intervention studies on supplemental and flavan-3-ols-rich chocolate and 187 cocoa, reported significant acute and chronic (up to 18 weeks) dose-dependent cardiovascular 188 benefits, including recovery of endothelial function, lowering of blood pressure and some 189 improvements in insulin sensitivity and serum lipids<sup>(50; 54; 55)</sup>. Furthermore green and black tea (rich 190 in (-)-epicatechin) was also reported to reduce blood pressure and LDL-cholesterol in a systematic review and meta-analysis of a small number of studies, but these findings need confirmation in 191 192 long-term trials, with low risk of bias<sup>(56)</sup>. The extensive studies into the vascular effects of (-)-193 epicatechin and their impact on other CVD risk markers has prompted some to propose specific 194 dietary recommendation for these flanonoids and a broader recommendation on flavanol-rich fruits

and vegetables for CVD risk reduction, although further evidence may be required before specific
recommendations are considered.<sup>(57)</sup>

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198 Possible mechanisms of flavonoids and vascular effects

199 Despite the high antioxidant potential of a number of classes of flavonoids, there is limited evidence 200 to support this mechanism of action due to the low plasma concentrations of flavonoids compared with other endogenous or exogenous antioxidants<sup>(58)</sup>. Many of the vascular effects of flavonoids 201 202 have been associated with molecular signalling cascades and related regulation of cellular function. 203 One of the potential mechanisms of action is the association between flavonoid, particularly (-)-204 epicatechin, and prolonged, augmented nitric oxide (NO) synthesis, the primary modulator of 205 vascular dilation<sup>(53)</sup>. NO production from L-arginine is regulated by three nitric oxide synthase (NOS) enzymes: endothelial NOS (eNOS), neuronal NOS (nNOS) and inducible NOS (iNOS) with 206 207 lower production and/or availability of NO as the main effect on endothelial dysfunction. Several in 208 vitro and human studies have reported potent vasorelaxant activity of certain flavonoids related to 209 activation of eNOS<sup>(53; 59)</sup>. A common polymorphism in the eNOS gene is the Glu298Asp singlenucleotide polymorphism that modifies its coding sequence, replacing a glutamate residue at 210 211 position 298 with an aspartate residue. This polymorphism has been linked to increased risk of cardiovascular events putatively through reduced NO production by eNOS<sup>(60; 61)</sup>. Interestingly, in a 212 213 small acute randomized control study a significant genotype interaction with endothelium-214 dependent microvascular dilation was observed after consumption of fruit and vegetable puree 215 containing 456 mg (-)-epicatichin. Wild-type, GG, participants (non-risk group) showed an 216 increased endothelial vasodilation at 180 min compared to control, with no effect in T allele 217 carriers. This supports the importance of (-)-epicatichin in eNOS activation and NO availability, 218 with little vascular effect of (-)-epicatichin in those with impaired eNOS function. This nutrient-219 gene interaction may explain in part, the large variation in individual vascular responses to 220 flavonoid consumption, but requires further confirmatory studies<sup>(62)</sup>.

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222 Flavonoids have also been reported to modulate xanthine oxidase activity, resulting in decreased 223 oxidative injury and consequential increased NO<sup>(63)</sup>. The vascular effects induced by phenolics may 224 also be mediated by the inhibition of Ca2+ channels and/or the blockage of the protein kinase C-225 mediated contractile mechanism, as has been observed for caffeic acid phenyl ester and sodium 226 ferulate, respectively<sup>(64)</sup>. Furthermore, benefits may be mechanistically linked to the actions of 227 circulating phenolic metabolites on inhibition of neutrophil nicotinamide adenine dinucleotide 228 phosphate (NADPH) oxidase activity, which prevents NO degradation and increases its availability<sup>(48)</sup>. More recently a possible role of flavonoid promotion of endothelium-derived 229

230 hyperpolarizing factor (EDHF) in vasodilation, which induces hyperpolarization, thus leading to

dilation of the vascular smooth muscle cell has been identified<sup>(65)</sup>. In summary there are multiple
potential mechanisms by which flavonoids and their metabolites can modulate vascular function<sup>(66)</sup>

and these may act in an additive or synergistic manner. It is evident that dietary relevant doses of

flavonoids are associated with vascular benefit with varied proposed modulating mechanisms that

require elucidation in further studies.

236

# 237 Flavonoids and platelet aggregation

238 Platelets are small nucleated cell fragments that are produced by megakaryocytes in the bone marrow<sup>(67; 68)</sup> and play a critical role in haemostasis through formation of aggregates over arterial 239 wall injuries<sup>(69)</sup>. When platelet activation becomes impaired, thrombosis can occur, a 240 241 pathophysiological condition which can lead to blockage of coronary arteries or impaired blood 242 supply to the brain, leading to events such as myocardial infarction (MI) or stroke<sup>(70)</sup>. Many studies have previously shown the ability of flavonoids to inhibit platelet function<sup>(71; 72; 73)</sup>. Quercetin is 243 244 found in many foods such as apples, onions, tea and wine, and present in significant quantities in many diets <sup>(74)</sup>. Further understanding of how quercetin modulates platelet function is of relevance 245 246 to establish a mechanistic link between flavonols and CVD risk.

Hubbard *et al.*<sup>(75)</sup> observed a significant inhibition of *ex vivo* platelet aggregation after ingestion 247 of quercetin-4'-O- $\beta$ -D-glucoside at a dose of 150 mg and 300 mg, with peak quercetin metabolite 248 249 concentrations of 4.66 µM and 9.72 µM respectively. This data was supported by a further small 250 human study which reported at peak plasma quercetin metabolite concentrations of 2.59 µM and 251 significant inhibition of ex vivo collagen-stimulated platelet aggregation 60 and 240 min after 252 consumption of a high-quercetin onion soup rich in quercetin glucosides (68.8 mg total quercetin) 253 compared with a matched low quercetin onion control (4.1 mg total quercetin)<sup>(76)</sup>. Inhibition of 254 Spleen Tyrosine Kinase (SYK) and Phospholipase  $C\gamma 2$  (PLC $\gamma 2$ ), two key platelet proteins involved 255 with the collagen-stimulated signalling pathway were also observed, and confirms this as one 256 potential mechanism of action. These data are in agreement with previous *in vitro* studies displaying 257 the ability of quercetin to inhibit collagen, ADP and thrombin-stimulated platelet aggregation, as 258 well as inhibiting collagen-stimulated Mitogen-Activated Protein (MAP) kinases and Phosphoinositide 3-kinase (PI3K) phosphorylation<sup>(77; 78)</sup>. 259

Flavonoids undergo significant endogenous metabolism and it is important to determine the bioactivity of metabolites as well as the aglycones by understanding structure-activity relationships and how functional groups affect platelet function. Anti-platelet effects of tamarixetin, quercetin-3sulphate and quercetin-3-glucuronide, as well as the structurally distinct flavonoids apigenin and catechin, quercetin and its plasma metabolites were determined. Quercetin and apigenin 265 significantly inhibited collagen-stimulated platelet aggregation and 5-HT secretion with similar 266 potency, and logIC<sub>50</sub> values for inhibition of aggregation of -5.17 ( $\pm 0.04$ ) and -5.31 ( $\pm 0.04$ ), 267 respectively<sup>(79)</sup>. Flavones (such as apigenin) are characterized by a non-hydroxylated C-ring, 268 whereas the C-ring of flavonols (e.g. quercetin) contain a C-3 hydroxyl group (Figure 1). Catechin 269 was less effective, with an inhibitory potency two orders of magnitude lower than quercetin, 270 suggesting that *in vivo*, metabolites of quercetin and apigenin may be more relevant in the inhibition 271 of platelet function. Flavan-3-ols such as catechin possess a non-planar, C-3 hydroxylated C ring, 272 which is not substituted with a C-4 carbonyl group (as is found in flavonols). Quercetin-3-sulphate 273 and tamarixetin (a methylated quercetin metabolite) were less potent than quercetin, with a 274 reduction from high to moderate potency upon addition of a C-4' methyl or C-3'-sulphate group, 275 but at concentrations above 20 µM, all achieved substantial inhibition of platelet aggregation and 5-276 HT release. Quercetin-3-glucuronide caused much lower levels of inhibition, providing evidence for 277 reduced potency upon glucuronidation of the C ring. Jasuja et. al have shown quercetin-3-278 glucuronide to potently inhibit protein disulphide isomerase (PDI), an oxidoreductase important in 279 thrombus formation<sup>(80)</sup>. X-ray crystallographic analyses of flavonoid-kinase complexes have shown that flavonoid ring systems and the hydroxyl groups are important features for kinase binding <sup>(81) (82)</sup> 280 281 supporting the evidence for structure-specific effects on platelet function. Taken together, this 282 evidence shows the importance of understanding the structural differences of flavonoids, and how 283 specific functional groups on polyphenols can lead to enhanced or reduced effects in different stages of haemostasis and thrombosis. This evidence may also facilitate the design of small-284 285 molecular inhibitors and inform specific dietary advice.

286

287 In summary, flavonoids are generally poorly absorbed and substantially metabolised to aid rapid 288 elimination. Many flavonoid subgroups reach the colon in their native state, and are fermented by 289 the microbiota, which produces small phenolic metabolites with potential bioactivity after 290 absorption. CVD risk reduction from high fruit and vegetable intake may be due, in part, to benefits 291 from flavonoid ingestion. In particular, (-)-epicatechin, a key flavanol, has been causally linked 292 with increased arterial endothelial-dependent dilation measured by FMD, with a putative increase in 293 NO bioavailability. Other potential mechanisms of action include modulation of NADPH oxidase 294 activity and reduction of NO degradation. Furthermore, flavonoids, particularly quercetin and its 295 metabolites, reduce *in vitro* and *ex vivo* platelet function, possibly via inhibiting phosphorylation in 296 cell signalling cascades. Further research will be required to determine the biological effects of 297 flavonoid subgroups in vivo, and the minimal effective dose of these compounds before it is 298 possible to make any specific dietary recommendations.

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300

#### 301 Inorganic nitrate and nitrite

302 Inorganic nitrate and nitrite were previously considered largely inactive by products of the 303 oxidation of NO endogenously. However, emerging evidence suggest these anions are important 304 storage forms of NO, which can be reduced to bioactive NO under certain conditions. Nitrate is 305 particularly abundant in vegetables such as beetroot and green leafy varieties (spinach, lettuce, 306 rocket) where it is absorbed from the soil and transported to the leaf where it accumulates. Nitrate is 307 important for plant function and is the main growth-limiting factor. In UK diets, estimates from 308 1997 suggest that the average nitrate intake is approximately 52 mg/day, with vegetables being the 309 main source of nitrate, contributing around 70 % of daily intakes with the remaining nitrate derived from drinking water<sup>(83)</sup>. 310

The consumption of inorganic nitrate either from dietary or supplemental sources have been shown to exert a number of important vascular effects such as blood pressure lowering, protection against ischemia-reperfusion injury, inhibiting platelet aggregation, preserving or improving endothelial dysfunction and enhancing exercise performance<sup>(84)</sup>.

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#### 316 The nitrate-nitrite-NO pathway

317 The continuous generation of NO from L-arginine by the enzymatic action of eNOS in the presence 318 of oxygen within endothelial cells is important for maintenance of vascular homeostasis. Indeed 319 reduced production or bioavailability of NO is associated with a number of cardiovascular and 320 metabolic disorders<sup>(85)</sup>. The nitrate-nitrite-NO pathway is a NOS and oxygen independent pathway 321 for the generation of bioactive NO, and is an important alternative pathway for NO production, particularly during periods of hypoxia<sup>(86)</sup>. Ingested nitrate, obtained mainly from green leafy 322 323 vegetables and beetroot, is readily absorbed in the upper part of the gastrointestinal tract where it mixes with NO produced from NOS<sup>(87)</sup>. 324

325

Ingested nitrate peaks after approximately 1 hour<sup>(88)</sup> and remains elevated for up to 5-6 hours post 326 327 ingestion. The majority of ingested nitrate (65-75 %) is excreted in urine with a very small 328 proportion of nitrate (<1 %) reaching the large bowl, which is excreted in the faeces<sup>(89)</sup>. The 329 remaining nitrate is reabsorbed by the salivary glands and concentrated up to 20-fold, reaching concentrations of 10 mM in the saliva<sup>(90)</sup>. Salivary nitrate is converted to nitrite via a two-electron 330 331 reduction, a reaction that mammalian cells are unable to perform, during anaerobic respiration by nitrate reductases produced by facultative and obligate anaerobic commensal oral bacteria<sup>(86; 91)</sup>. 332 333 The importance of oral bacteria in the nitrate-nitrite-NO pathway has been demonstrated in a number of studies<sup>(88; 92; 93)</sup>. When the nitrite rich saliva reaches the acidic environment of the 334

335 stomach some of it reacts to form nitrous acid, which further decomposes to NO and other reactive 336 nitrogen oxides<sup>(94)</sup>. The remaining nitrite (approximately 95 %) is absorbed into the circulation<sup>(95)</sup> where it forms NO via the action of a number of different nitrite reductases, which have selective 337 338 activity under oxygen/hypoxic/ischaemic conditions. These include haemoglobin<sup>(96)</sup>, myoglobin<sup>(97)</sup>, cytoglobin and neuroglobin<sup>(98)</sup>, xanthine oxidoreductase<sup>(99)</sup>, aldehyde oxidase<sup>(100)</sup>, aldehyde 339 dehydrogenase type  $2^{(101)}$ , eNOS<sup>(99)</sup>, cytochrome P450<sup>(102)</sup> and the mitochondrial electron transport 340 chain<sup>(103)</sup>. It is likely that the majority of the cardioprotective effects observed from dietary nitrate 341 342 consumption are via the conversion of nitrite to NO in blood and tissues.

343

# 344 Vascular effects of dietary nitrate and nitrite

Beneficial effects of nitrate consumption on vascular related function was first identified by Larsen *et al.*<sup>(104)</sup>, who showed that supplementation of healthy humans for three days with sodium nitrate reduced blood pressure. Since then, a number of studies have shown that dietary nitrate-rich vegetable sources such as beetroot juice, spinach, rocket and breads also lower blood pressure and vascular function in healthy subjects<sup>(88; 105; 106; 107)</sup>.

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# 351 Endothelial dysfunction

352 A hallmark of endothelial dysfunction is the reduced bioavailability of NO, either through reduced 353 eNOS activity or expression, or via increased NO consumption by free radicals and reactive oxygen species<sup>(108)</sup> as discussed above. It has been shown that consumption of 500 mL beetroot juice 354 355 containing 23 mmol nitrate reversed the deleterious effects of a mild ischaemia-reperfusion injury 356 to the forearms of healthy subjects and preserved the FMD response, whereas the response was reduced by 60 % in the control subjects<sup>(88; 93)</sup>. Hobbs *et al*.<sup>(105)</sup> found that consumption of bread 357 358 enriched with beetroot increased endothelium-independent blood flow in healthy subjects measured 359 by LDI. In healthy overweight and slightly obese subjects consumption of 140 mL beetroot juice (500 mg nitrate) or control alongside a mixed meal (57 g fat) attenuated postprandial impairment of 360 FMD<sup>(109)</sup>. More recently daily consumption of dietary nitrate in the form of beetroot juice over a 6-361 362 week period resulted in a 1.1 % increase in the FMD response compared with a 0.3 % worsening in the control group <sup>(110)</sup>. However, not all studies have found a beneficial effect of dietary nitrate on 363 endothelial function, with no effects of 250 mL beetroot juice (7.5 mmol nitrate) on FMD response 364 in patients with type 2 diabetes <sup>(111)</sup>. Furthermore, supplemental potassium nitrate consumption (8 365 366 mmol nitrate) did not affect FMD response in healthy subjects, although a significant reduction (0.3 367 m/s) in pulse wave velocity and SBP (4 mm Hg) at 3 h compared with the potassium chloride 368 control was reported. This suggests that although inorganic nitrate did not alter endothelial function, it did appear to increase blood flow in combination with reductions in BP. 369

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371 Organic and inorganic nitrate/nitrites are both effective in vascular health, yet it has been proposed 372 that inorganic dietary nitrate may be a more appropriate choice for vascular modulation than 373 organic nitrate supplements<sup>(112)</sup>. The enterosalivary circulation is key for the effects of inorganic nitrate and prevents a sudden effect, or toxic circulating concentrations of nitrite, in addition to 374 375 prolonging the vascular effects. In contrast, supplemental organic nitrate, which does not require the 376 enterosalivary circulation for absorption, has rapid pharmacodynamic responses, causing potent 377 acute effects, immediate vasodilation, and in chronic use considerably limited by the development 378 of tolerance and endothelial dysfunction. The more subtle and controlled effects of inorganic nitrate 379 may compensate for diminished endothelial function, and also has no reported tolerance. Therefore, 380 with the increasing recognition of the limitations of organic nitrate supplementation, and continuing 381 discovery of beneficial effects of inorganic nitrate/nitrite, dietary inorganic forms may prove to be the optimum strategy for vascular health<sup>(112)</sup>. 382

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#### 384 eNOS Glu298Asp polymorphism and nitrate interactions

385 Variation in response to nitrates could be due to genetic polymorphisms. Healthy men 386 retrospectively genotyped for the Glu298Asp polymorphism (7 GG and 7 T carriers), showed a 387 differential postprandial BP response after consumption of beetroot-enriched bread compared with 388 the control bread. A significantly lower DBP in the T carriers was observed with a concomitant 389 tendency for higher plasma NOx concentration. Despite the small study size these data suggests that carriers of the T allele, which limits endogenous NO production from endothelial eNOS <sup>(113)</sup>, were 390 391 more responsive to dietary nitrate. Cross-talk between NOS-dependent pathway and the nitrate-392 nitrite-NO pathway in control of vascular NO homeostasis could be a possible explanation for these observations <sup>(114)</sup>, although future suitably powered studies are needed to confirm these findings. 393 394 This nutrient-gene interaction is in contrast to that demonstrated for the same eNOS polymorphism 395 and dietary flavonoids (described above), and confirms the differential proposed mechanisms by 396 which flavonoids and nitrates impact on NO availability and vascular function.

397

#### 398 Blood pressure

Dietary nitrate has been shown to reduced SBP and/or DBP, and increase circulating nitrate/nitrite (see review<sup>(115)</sup>). These findings are supported by more recent acute and chronic studies conducted in healthy younger populations (Table 1). A recent meta-analysis of four randomised clinical trials in older adults (55-76 y) revealed that consumption of beetroot juice did not have a significant effect on blood pressure. However, consumption of beetroot juice containing 9.6 mmol/d for 3 days (<sup>116)</sup>, or 4.8-6.4 mmol nitrate/L for three weeks (<sup>117)</sup> by older adults (60-70 y) significantly lowered resting SBP by 5 mmHg and 7.3 mmHg respectively, compared with control. These inconsistentfindings highlight the need for further studies to determine effects in older population groups.

407 It was concluded from data collated from eight studies conducted in patient groups that dietary 408 nitrate may help to reduce blood pressure in hypertensive subjects, but not in patients with type 2 diabetes, although only one study could be found in the latter population  $group^{(118)}$ . Furthermore, 409 minimal effects were reported in obese insulin resistant individuals <sup>(119)</sup>, and those with chronic 410 411 obstructive pulmonary disease despite relatively high doses of dietary nitrate (13.5 mmol/d and 9.6 mmol/d, respectively), although the intervention period was limited (2-3 days)<sup>(120; 121)</sup>. In contrast 412 consumption of beetroot juice (7.6 mmol/d) by 15 individuals with chronic obstructive pulmonary 413 414 disease significantly lowered DBP by 8.2 mmHg (P=0.019)<sup>(122)</sup>. Additional studies are required to 415 confirm these findings.

416

# 417 *Platelet aggregation*

Dietary and supplemental nitrate have been reported to significantly reduce platelet aggregation in healthy individuals <sup>(88; 110; 123)</sup>. However, a lack of effect was observed in women from one study. A proposed explanation for this gender difference was reduced soluble guanylyl cyclase (sGC) activity <sup>(123)</sup>, a hypothesis supported by studies in mice<sup>(124; 125)</sup>, although further conformational studies are required.

423

# 424 *Metabolic function*

425 The consumption of sodium nitrate by eNOS deficient mice reversed features of the metabolic syndrome including improvements in blood pressure, bodyweight, abdominal fat accumulation, 426 circulating TAG levels and glucose homeostasis<sup>(126)</sup>. The improvements in glucose homeostasis by 427 inorganic nitrate have been shown in a number of other mouse studies<sup>(127; 128; 129; 130)</sup>. For example, 428 Khalifi *et al.*<sup>(130)</sup> examined the effects of dietary nitrate in glucose tolerance and lipid profile in type 429 430 2 diabetic rats, and found that supplementation of drinking water with 100 mg/L sodium nitrate 431 prevented an increase in systolic blood pressure and serum glucose, improved glucose tolerance and 432 restored dyslipidaemia in an animal model of hyperglycaemia. A possible mechanism for the 433 beneficial effects of nitrate on glucose homeostasis may be the nitrite-mediated induction of GLUT4 translocation<sup>(131)</sup>, which enhances cellular uptake of glucose. More recent data has also 434 435 shown that dietary nitrate may increase browning of white adipose tissue, which may have 436 antiobesity and antidiabetic effects<sup>(132)</sup>. Yet there are few studies that have investigated the effects of dietary nitrate on glucose homeostasis in humans. Gilchrist et al.<sup>(111)</sup> found that consumption if 437 438 250 mL beetroot juice (7.5 mmol nitrate) for two weeks by individuals with type 2 diabetes 439 increased plasma nitrate and nitrite concentrations, but did not improve insulin sensitivity measured by the hyperinsulinaemic isoglycaemic clamp method. In support of this Cermark *et al.*<sup>(133)</sup> found that acute ingestion of sodium nitrate (0.15 mmol nitrate per kg bodyweight) did not attenuate the postprandial rise in plasma glucose or insulin following an oral glucose tolerance test in individuals with type 2 diabetes.

444

445 In summary, organic nitrate is now considered to have important benefits on vascular health. While 446 these benefits include the lowering of postprandial and longer-term blood pressure in healthy 447 groups, limited data in patient groups prevents the wider translation of these findings. Nitrate-rich 448 foods have some reported benefits on measures of vascular function, with mechanistic links to 449 increasing endothelial-independent NO availability through the reduction of nitrate to nitrite, and 450 NO. The importance of the entero-salivary circulation and reduction of nitrate to nitrite by oral 451 microbiota is essential for the functional effects of dietary nitrate. Evidence for the more controlled 452 and sustained physiological effects of dietary nitrates on vascular health has prompted consideration of their potential advantage over the rapid effects of nitrate supplements. Further research is 453 454 required to determine the lowest effective dose and specific mechanisms of action, particularly in 455 patients with hypertension and cardiometabolic disease.

456

#### 457 **Interactions of nitrate-nitrite with flavonoids**

458 Dietary flavonoids and nitrate affect vascular health by different mechanisms. Flavonoids are 459 proposed to modulate endothelial-dependent NO release, and nitrates impact on NO production 460 from nitrite intermediate and it is possible that their combined consumption may result in additive or synergistic vascular responses. Furthermore formation of NO and other reactive nitrogen species 461 462 in the stomach is enhanced by increasing nitrite concentrations, lower stomach pH and the presence of vitamin C or polyphenols<sup>(134; 135; 136)</sup>. Bondonno et al.<sup>(106)</sup> investigated the independent and 463 464 additive effects of consumption of flavonoid-rich apples and nitrate-rich spinach. They found that 465 the combination of nitrate and flavonoids did not result in additive effects on NO status, endothelial 466 function or blood pressure, although independent effects of flavonoid-rich apples and nitrate-rich spinach on these outcomes were reported. More recently, Rodriguez-Mateos et al.<sup>(137)</sup> investigated 467 468 interactions between cocoa flavanols and nitrate, and demonstrated additive effects on FMD 469 response when cocoa flavanols and nitrate were consumed at low doses in combination. In addition, 470 cocoa flavonoids enhanced nitrate related gastric NO formation, supporting previous studies and 471 suggests nutrient-nutrient interactions may modulate vascular function. Thus there is some evidence 472 to suggest that nitrates and flavonoids, when consumed in combination, may exert additive effects 473 on cardiovascular health, but due to the extremely limited data, confirmatory studies are required.

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#### 477 Conclusions

478 There is an increasing body of evidence to suggest that dietary flavonoids, particularly flavonols 479 and anthocyanidins, improve vascular function and lower blood pressure at doses achievable in 480 diets that are high in foods such as fruits, vegetables, cocoa and teas. The potential mechanisms of 481 actions are not fully understood, although increased NO availability via endothelial-dependent 482 mechanisms have been proposed as a key modulator. Cell signalling-mediated mechanisms are also 483 important in both platelet and vascular function. Dietary inorganic nitrates are also dietary 484 modulators of vascular health, primarily through the formation of NO via the nitrate-nitrite-NO 485 pathway. Promising effects of inorganic nitrate consumption on blood pressure in healthy, 486 hypertensive and other patient groups have been identified, although many of the current studies are 487 limited in power and design, particularly those in specific patient groups. It is recognised that 488 greater potential benefit may be gained from dietary nitrates compared with organic supplements, 489 with the latter causing an immediate and severe reduction in blood pressure and endothelial 490 dysfunction. Research is required to determine whether dietary nitrates can be used in combination 491 with hypotensive therapy which may reduce or eliminate the requirement for medication and the 492 associated side-effects. Consumption of diets rich in flavonoids and nitrates may be important in 493 reducing CVD risk and promoting vascular benefit, although results have been inconsistent, and 494 more long-term studies are required to determine dose-dependent effects and the specific 495 mechanisms of action.

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# Figure 1. Generic structure of a flavonoid consisting of two benzene rings linked by a 3-carbon chain

Figure 2. Structure of the seven classes of flavonoids shown as aglycones

**Figure 3.** Diagram of inorganic nitrate metabolism via the nitrate–nitrite–nitric oxide (NO) pathway (adapted from Hobbs et al.,  $2013^{(115)}$ ). A proportion of ingested nitrate (NO<sub>3</sub><sup>-</sup>, - - - ) is converted directly to nitrite (NO<sub>2</sub><sup>-</sup>,  $\rightarrow$ ) by facultative anaerobic bacteria, that reside in plaque and on the dorsum of the tongue, during mastication in the mouth (a); the remainder is swallowed and is rapidly absorbed from the upper gastrointestinal tract. Approximately 25 % is removed from the circulation and concentrated in the salivary glands and re-secreted into the mouth, where it is reduced to nitrite. Some of the salivary nitrite enters the acidic environment of the stomach once swallowed (b), where NO is produced non-enzymically from nitrite after formation of nitrous acid (HNO<sub>2</sub>) and then NO and other nitrogen oxides. The NO generated kills pathogenic bacteria and stimulates mucosal blood flow and mucus generation. The remaining nitrite is absorbed into the circulation; in blood vessels (c) nitrite forms vasodilatory NO after a reaction with deoxygenated Hb (deoxy-Hb). Approximately 60 % of ingested nitrate is excreted in urine within 48 h. Oxy-Hb, oxygenated Hb.

Reference	Subject	Study design	Nitrate dose and vehicle	Placebo	BP primary/	Effects on BP*
	characteristics	and duration			secondary	
					outcome	
Jonvik <i>et</i>	Healthy	Acute, 0-300	Sodium nitrate (0.58 mM/L)	n/a	Primary	SBP -5 mmHg for beetroot juice
$al.^{(107)}$	<i>n</i> =18 (11 M/ 7 F)	min, semi-	Beetroot juice (0.60 mM/L)			(P < 0.001), -6 mmHg for rocket salad
	Age: $28 \pm 1$ y	randomised,	Rocket salad (0.58 mM/L)			( <i>P</i> =0.007) from 0-150 min and -7
		crossover	Spinach (0.58 mM/L)			mmHg for spinach from 0-300 min
						( <i>P</i> <0.001), sodium nitrate no change.
						DBP -3 mmHg rocket salad (P=0.045)
						and -6 mmHg spinach ( <i>P</i> <0.001) from
						0-300 min. No change in sodium
						nitrate and beetroot juice.
Ashworth	Healthy	Chronic, 1	High nitrate vegetable diet	Low	Primary	SBP -4 mmHg ( <i>P</i> <0.05)
<i>et al.</i> <sup>(138)</sup>	<i>n</i> =19 F	week,		nitrate		DBP no change
	Age: $20 \pm 2$ y	randomised,		vegetable		
		crossover		diet		
Jovanovski	Healthy	Chronic, 1	Spinach soup (13.6 mM/d)	Asparagus	Primary	SBP -4.1 mmHg ( <i>P</i> <0.01)
et al.	n=27 (11 M/ 16	week,		soup (0.01		DBP -4.4 mmHg ( <i>P</i> <0.05)
	F)	randomised,		mM/d)		
	Age: $25 \pm 11$ y	placebo				
		controlled,				
		single blind				
17 (	TT 1/1	crossover		1	C 1	
Keen $et$	Healthy	Chronic, 3	Beetroot juice (5 mNI/d)	n/a	Secondary	SBP no change
al.	<i>n</i> =0 M	days				DBP -12 mmHg ( $P=0.004$ )
	Age: $24 \pm 1$ y					MAP - $/$ mmHg ( $P < 0.001$ )

Table 1. The acute and chronic effects of dietary or inorganic nitrate on blood pressure in healthy subjects

M, male; f, female; y, years; n/a, not available; SBP, systolic blood pressure; DBP, diastolic blood pressure; MAP, mean arterial pressure. \* refers to differences from baseline.