

Curing vector for Incl1 plasmids and its use to provide evidence for a metabolic burden of Incl1 CTX-M-1 plasmid pIFM3791 on Klebsiella pneumoniae

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Curing vector for Incl1 plasmids and its use to provide evidence for a metabolic burden of Incl1 CTX-M-1 plasmid pIFM3791 on Klebsiella pneumoniae --Manuscript Draft--

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Abstract:	Using a sequence based approach we previously identified an Incl1 CTX-M-1 plasmid, pIFM3791, on a single pig farm in the UK that was harboured by K. pneumoniae, Escherichia coli and Salmonella enterica serotype 4,5,12,i: To test the hypothesis that the plasmid had spread rapidly into these differing host bacteria we wished to assess whether the plasmid conferred a fitness advantage. To do this an Incl1 curing vector was constructed and used to displace the Incl1 CTX-M-1 plasmids from K. pneumoniae strain B3791 and several other unrelated Incl1 harbouring strains indicating the potential wider application of the curing plasmid. The Incl1 CTX-M-1 plasmid was re-introduced by conjugation into the cured K. pneumoniae strain and also a naturally Incl1 plasmid free S. enterica serotype 4,5,12,i:-, S348/1. Original, cured and complemented strains were tested for metabolic competence using BiologTM technology and in competitive growth, association to mammalian cells and biofilm formation experiments. The plasmid-cured K. pneumoniae strain grew more rapidly than either the original plasmid-carrying strain or plasmid-complemented strains in competition experiments. Additionally, the plasmid-cured strain was significantly better at respiring with L-sorbose as a carbon source and putrescine, γ-amino-n-butyric acid, L-alanine, L-proline as a nitrogen sources. By contrast, no differences in phenotype were found when comparing plasmid harbouring and plasmid free S. enterica S348/11. In conclusion, the Incl1 curing vector successfully displaced multiple Incl plasmids. The Incl1 CTX-M1 plasmid conferred a growth disadvantage upon K. pneumoniae, state of the source and putrescine, γ-butyric acid, L-alanine, L-proline as a nitrogen sources. By contrast, no differences in phenotype were found when comparing plasmid harbouring and plasmid free S. enterica S348/11. In conclusion, the Incl1 curing vector successfully displaced multiple Incl plasmids. The Incl1 CTX-M1 plasmid conferred a growth disadvantage upon K. pneumoniae, possi				

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1 Curing vector for IncI1 plasmids and its use to provide evidence for a

2 metabolic burden of IncI1 CTX-M-1 plasmid pIFM3791 on Klebsiella

3 pneumoniae

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25 Abstract

Using a sequence based approach we previously identified an IncI1 CTX-M-1 plasmid, 26 pIFM3791, on a single pig farm in the UK that was harboured by K. pneumoniae, 27 Escherichia coli and Salmonella enterica serotype 4,5,12,i:-. To test the hypothesis that 28 the plasmid had spread rapidly into these differing host bacteria we wished to assess 29 whether the plasmid conferred a fitness advantage. To do this an IncI1 curing vector 30 was constructed and used to displace the IncI1 CTX-M-1 plasmids from K. pneumoniae 31 strain B3791 and several other unrelated IncI1 harbouring strains indicating the 32 33 potential wider application of the curing plasmid. The IncI1 CTX-M-1 plasmid was reintroduced by conjugation into the cured K. pneumoniae strain and also a naturally 34 IncI1 plasmid free *S. enterica* serotype 4,5,12,i:-, S348/1. 35 Original, cured and complemented strains were tested for metabolic competence using BiologTM technology 36 and in competitive growth, association to mammalian cells and biofilm formation 37 38 experiments. The plasmid-cured K. pneumoniae strain grew more rapidly than either the original plasmid-carrying strain or plasmid-complemented strains in competition 39 experiments. Additionally, the plasmid-cured strain was significantly better at respiring 40 41 with L-sorbose as a carbon source and putrescine, γ -amino-n-butyric acid, L-alanine, Lproline as a nitrogen sources. By contrast, no differences in phenotype were found when 42 comparing plasmid harbouring and plasmid free S. enterica S348/11. In conclusion, the 43 44 IncI1 curing vector successfully displaced multiple IncI plasmids. The IncI1 CTX-M1 plasmid conferred a growth disadvantage upon K. pneumoniae, possibly by imposing a 45 metabolic burden the mechanism of which remains to be determined. 46

47 Introduction

Extended Spectrum β -Lactamases (ESBL) confer resistance to most penicillins and 48 monobactams and, more significantly, the clinically important cephalosporins 49 (Gniadkowski, 2001). The CTX-M class of ESBLs has become the most prevalent type 50 isolated from clinical settings (Livermore et al., 2007), and is increasingly common in 51 community and veterinary isolates (Batchelor et al., 2005; Pitout et al., 2005). 52 Awareness of the potential spread of this resistance in livestock has increased (Horton et 53 al., 2011). Furthermore, recent genome sequencing data of human and food animal 54 55 Escherichia coli isolates from the Netherlands indicate that the dissemination of resistance across reservoirs is caused by the expansion of successful plasmid lineages 56 (de Been et al., 2014). 57

58 During a diagnostic investigation in 2009 into pig mortality related to diarrhoea on a single pig farm in the UK, CTX-M-1 harbouring Klebsiella pneumoniae, 59 60 Escherichia coli and Salmonella of serotypes 4,5,12,i:- and Bovismorbificans were recovered. Genotyping methods suggested the dissemination of a common IncI1-CTX-61 M-1 plasmid, which was demonstrated by sequencing a plasmid isolated from each of 62 63 the bacterial species present in the farm. These plasmids, pIFM3791, pIFM3804 and pIFM3844 had been found to be identical, excepting a small number (n=7) of mutations 64 (see supplementary data for Table S1) (Freire Martín et al., 2014). These findings 65 prompted the question whether or not this plasmid conferred a fitness advantage to the 66 host bacterium, possibly beyond the resistance phenotype. Plasmids may confer a 67 selective advantage to their host even in the absence of antibiotic selective pressure as 68 suggested by Enne et al. (2004). The plasmids present in this farm may themselves 69 confer a selective advantage, especially as there was no recent evidence of use of lactam 70 71 based antibiotics on this farm. To assess this, our aim was to prepare plasmid bearing

72 and plasmid free isogenic strains for phenotypic testing and two approaches were used. 73 One was to place the plasmids of interest in a common isogenic background. The other was to generate cured host strains for which a number of methods have been described. 74 75 Commonly used methods include continuous non-selective passage or growth in the 76 presence of sub-lethal concentrations of DNA intercalating agents, but both methods 77 may accumulate mutations (Ferguson & Denny, 2007). Thus, for this study we opted for 78 the use of incompatibility based curing following the observation that two plasmids bearing the same replicon cannot be stably maintained in a bacterium (Couturier *et al.*, 79 80 1988). Plasmid incompatibility based curing has been successfully employed for curing of plasmids (Hale et al., 2010; Tatsuno et al., 2001), but not as yet for IncI1 plasmids. 81 Here we describe the construction of a curing tool for IncI1 CTX-M plasmids and then 82 83 the phenotypic analyses of plasmid free and plasmid carrying strains.

84

85 Materials and methods

86 Bacterial strains and plasmids

The strains and plasmids described in this study were either wild-type and originating from previous studies (Freire Martín *et al.*, 2014; Horton *et al.*, 2011; Randall *et al.*, 2012), commercially available strains and plasmids, or, in the case of pIFM26 (S1), a modification of previously described plasmid pAKE604 (El-Sayed *et al.*, 2001), which differed from it only in the orientation of the restriction sites within its MCS. The strains were grown in LB medium and, when necessary for plasmid maintenance, in the presence of appropriate antibiotics.

94 Generation of curing vector pIFM27

Exponentially growing bacteria were made electrocompetent through successive waterand glycerol washes. For molecular cloning, amplified PCR products were digested

97 using commercially available enzymes and ligated to digested vectors using standard
98 techniques, a description of which has been included within the supplementary
99 materials (S1). The final curing vector was named pIFM27 and it harboured negative
100 selection gene *sacB*, resistance markers *kan^r*, *amp^r* and *aac6*-Ib and IncI1 plasmid
101 replication down-regulator *RNAI* alongside its native promoter (Fig. 1).

102 Plasmid conjugation

103 Stationary, antibiotic free LB broth cultures of donor (50µl) and recipient (150µl) were 104 mixed and overlaid on a polycarbonate 0.2µm filter placed on the centre of a LB agar 105 plate and incubated at 37°C for 24hrs. The bacteria were washed with 2ml of PBS and 106 transconjugants selected by plating on Rambach agar plates supplemented with 10µg/ml 107 of tetracycline and 2µg/ml of cefotaxime. Tetracycline was chosen as the intended 108 recipients had been shown to be resistant during extensive characterisation carried out 109 as part of previous work (Freire Martín et al., 2014). Colonies of the appropriate colour (red for the S. enterica strains, purple for the K. pneumoniae strains) were re-streaked 110 and checked for the presence of the incoming plasmid by CTX-M universal PCR and 111 112 plasmid profiling.

113 Characterization methods used for verification of curing, conjugation and strain114 identity

Macro-restriction profiles of strains were obtained by *Xba*I Pulsed Field Gel Electrophoresis (PFGE) as described by the PulseNet network (Gerner-Smidt *et al.*, 2006). Electrophoresis conditions were 14°C at 6V/cm for 19.5h with switch times ranging from 2.2 to 54.2s of linear ramping for the *K. pneumoniae* strains and 2.2 to 63.8s for the *S. enterica* strains. Gel images were analysed using of BioNumerics software (v.6.6; Applied Maths, Belgium). 121 Plasmid profiling was performed following the method of Kado and Liu (1981). As

- reference supercoiled DNA ladder (Sigma-Aldrich D5292), reference strain 39R861 and
- reference strain 20R764 were also run.
- 124 A number of primers were also used to test for the presence of certain genes within the
- strains throughout the different stages of curing using PCR, as described in the results.
- 126 See Table 1 for the primer sequences. The PCR ramping conditions were in every case
- 127 25 cycles of 94° C for 30s, 60° C for 30s and 72° C for 1m.

128 Phenotypic tests of plasmid burden by Biolog Phenotype Microarray

BiologTM plates PM2 and PM3 were used following manufacturer's instructions. BiologTM output data files were imported into R (http://www.R-project.org; R Core Team, R: A Language and Environment for Statistical Computing, R Foundation for Statistical Computing, Vienna, Austria, 2014) and the kinetic curves for each biological replicate were fitted using the Grofit package (Kahm *et al.*, 2010). The features studied were: the area under the curve (AUC), the maximum value achieved (MaxV), and the fastest rate of increase (RateI).

136 **Competitive growth curves**

Competition experiments of plasmid-free vs. plasmid-harbouring strains were set up and 137 138 carried out as previously described (Lenski et al., 1994). A sample of the competition mixture at time 0, and thereafter every 24hrs for 6 days, was serially diluted and plated 139 140 on LB agar and LB agar supplemented with 2µg/ml of cefotaxime to obtain counts of total bacteria and of resistant bacteria, respectively. To calculate the selection rate the 141 142 natural log of the ratio of plasmid-bearing/plasmid-free for each day was plotted for 143 each competition. The selection rate was taken as the slope of the equation defining the 144 line of best fit. Each competition was repeated four times and two tailed t-tests were carried out to determine whether the mean selection rate for each pair of strainscompeted was significantly different from 0.

147 Association of *K. pneumoniae* to cultured monolayers of mammalian cells

148 The porcine intestinal epithelial cell line IPEC-J2 was used. Specifically, 2 day old cells cultured to confluency in 24 well plates were used. On the day of the assay, the culture 149 150 medium was removed from the wells and the cells were washed twice with Hank's 151 balanced salts solution to remove residual antibiotics. The bacterial inocula were resuspended in Ham's F12/DMEM supplemented with 2% mannose and delivered in 152 volumes of 1ml at a density of $\sim 10^7$ CFU/ml per well in triplicate. The plates were then 153 incubated for 1hr in an atmosphere of 5% CO2 at 37°C with 100% humidity. After 154 155 incubation, the bacterial inocula were removed and the wells washed three times with 156 HBSS. After washing, the cells were disrupted by addition of 1% Triton-X 100 (in PBS) 157 with mechanical stirring followed by serial dilution and plating on LB agar (Favre-Bonte et al., 1999). 158

159 Association and invasion of *S. enterica* to cultured monolayers of mammalian cells

Association of S. enterica was evaluated in the same manner as above with minor 160 differences. Specifically, the bacterial inocula were delivered as 1 ml of $\sim 3.5 \times 10^7$ 161 CFU/ml suspended in Ham's F12/DMEM with a co-incubation step of 2hr. A second 162 plate was set up identically and used for the enumeration of intracellular bacteria 163 164 (invasion). After co-incubation and washing, the monolayers were overlayed with Ham's F12/DMEM supplemented with 150mg/L colistin and incubated for 90min. The 165 antibiotic containing medium was removed and the wells were washed three times with 166 167 HBSS. After washing, the cells were disrupted and the bacteria were enumerated as described above (Searle et al., 2009). 168

169 **Biofilm formation**

Stationary bacteria were seeded at 0.05_{OD570} and cultured statically in LB (K. 170 pneumoniae) or LB without salt (S. enterica) within triplicate wells in microplates for 171 24h at 25°C and 37°C. The culture was discarded, the wells were washed 3 times with 172 173 PBS and 130µl of 1% crystal violet was added to the wells and incubated at room temperature for 30 min. The crystal violet solution was then discarded and the wells 174 175 were washed 4 times with distilled water. To solubilise the bound crystal violet, 130µl of ethanol/acetone (70/30 v/v) was added to the wells and after 10min the OD570 176 177 determined (Woodward et al., 2000). The experiments were carried out three times for 178 each strain and temperature.

179 Statistical analysis of phenotypic assays

180 For each of the measured phenotypes statistical analysis to compare strains was 181 performed using the triplicate measurements for each strain as input to a one way 182 ANOVA test. Any ANOVA test having a P-value <0.05, indicating that there is a difference in feature values between at least two strains, was followed by a Tukey's 183 184 HSD post-hoc test, where a P-value < 0.05 for a specific strain comparison denoted a 185 significant difference. For the Biolog experiment this analysis was carried out in R 186 whereas for the remaining experiments statistical analysis was carried out using GraphPad Prism 5. 187

188

189 **Results**

190 Construction of the IncI1 curing plasmid: selection of targets and vector

The DNA sequences of IncI1-CTX-M-1 plasmids from *K. pneumoniae, E. coli* and *S. enterica* 4,5,12;i;- identified during a pig farm investigation (Freire Martín *et al.*, 2014) were analysed. The aim of this analysis was to identify genes involved in replication and maintenance in order to target them with the curing vector. A single replicon was

identified as well as addiction system pndA/C. In the first instance, the RNA gene, 195 196 RNAI, which controls the copy number in IncI1 plasmids by translational regulation of replication initiator repZ (Praszkier & Pittard, 2005), was amplified by PCR and cloned 197 198 into suicide vector pIFM26 (see supplementary material). This vector is not stable in the absence of selection which, together with the presence of negative selection marker 199 200 sacB (confers sucrose sensitivity to Gram negative organisms), would enable recovery 201 of vector free clones by growth on antibiotic-free sucrose-containing media (Hale et al., 202 2010).

K. pneumoniae strain B3791 is naturally resistant to both kanamycin and ampicillin, the markers present in pIFM26. An alternative selectable marker was required and so an amikacin resistance determinant *aac6*-Ib was cloned from plasmid CB01 and inserted to create pIFM27 (pIFM26::*RNAI*::*aac6*-Ib) (Fig. 1). CB01 was a wild type plasmid isolated by C. Boinet (unpublished thesis).

Curing *K. pneumoniae* strain B3791 of IncI1 CTX-M plasmid by transformation with pIFM27

210 To validate the use of curing vector pIFM27 curing tests were performed with 211 wild type strains carrying IncI1-CTX-M-1 plasmids from sources other than the farm pertaining to this study. LREC215, a commensal E. coli isolated from a broiler chick 212 213 (Horton et al., 2011) and LREC447, an avian pathogenic E. coli isolated from a broiler 214 (Randall et al., 2012), were confirmed by PCR to carry a CTX-M group 1 gene on an 215 IncI1- γ plasmid and to be sensitive to kanamycin, a strong selectable marker present in pIFM27. Electrocompetent cells were transformed with curing vector pIFM27 with 216 217 selection on kanamycin (50mg/L). Four colonies from each transformation were tested for the acquisition of pIFM27 by vector PCR. 100% of the colonies tested were shown 218 219 to be sensitive to cefotaxime and no longer carried their respective CTX-M plasmids as

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shown by both CTX-M PCR and plasmid profiling. pIFM27 was excluded from the transformants by culture in LB followed by recovery on LB agar supplemented with 5% sucrose. Eight colonies recovered on sucrose supplemented agar for each strain were tested by vector PCR and plasmid profiling which showed that the vector had been successfully excluded. As the presence of *RNAI* in this vector was sufficient for the exclusion of the target plasmid there was no need to pursue the making of a pndA/C harbouring construct.

227 In the case of K. pneumoniae B3791 curing vector pIFM27 was electroporated 228 with selection on amikacin 2mg/L. This resulted in forty putative transformant colonies of which three had acquired pIFM27, as confirmed by vector PCR. The remainder were 229 230 most likely spontaneous resistant mutants which is possible given the recipient strain 231 harboured another aminoglycoside resistance gene, a family of compounds against 232 which cross-resistance is known to occur (Shaw et al., 1993). The three genuine transformants were sensitive to cefotaxime (2mg/L) and had lost pIFM3791, as verified 233 234 by CTX-M PCR and plasmid profiling. pIFM27 was excluded from these three by culture on LB agar supplemented with 5% sucrose. Six randomly selected sucrose 235 236 resistance derivatives from each transformant were negative for pIFM27 by PCR, their XbaI PFGE banding patterns were all highly similar if not identical to that of B3791 and 237 238 plasmid profiles showed loss of a ~8kb plasmid, namely pIFM27. The remaining native 239 plasmids present in B3791 remained unaffected throughout the curing process (Fig. 2). Collectively, these data indicated that curing vector pIFM27 excluded the IncI1 plasmid 240 from strain B3791. One cured derivative, designated CL32, was taken forward for 241 242 further analysis. The curing of an E. coli and a Salmonella 4,5,12,i:- originally isolated from the same farm as K. pneumoniae B3791 (Freire Martín et al., 2014) was also 243 244 attempted. This was, however, unsuccessful due to high levels of resistance to multiple antibiotic and we did not investigate other selectable markers in this proof of conceptstudy.

247

Re-introduction of the IncI1 CTX-M1 plasmids into cured *K. pneumoniae*, strain CL32.

Having prepared CL32, the plasmid cured derivative of K. pneumoniae B3791, 250 the last step in constructing strains for plasmid phenotype comparisons was to re-251 252 introduce the outbreak plasmids into CL32. Solid surface mating conjugations were set 253 up with strains CL32 as recipient and laboratory strains of E. coli (NEB10iß) harbouring pIFM3791 (isolated from K. pneumoniae), pIFM3904 (isolated from E. coli) 254 255 and pIFM3844 (isolated from Salmonella 4,5,12:i:-), respectively, as donors. DNA 256 sequence analysis had previously shown these to plasmids to be very similar to each 257 other except for a small number of SNPs. As part of this work e wished to test whether these differences represented adaptations to the harbouring species (Freire Martín et al., 258 259 2014).

Transconjugants were selected by plating on Rambach agar supplemented with tetracycline at 10μ g/ml, which selected for CL32, and cefotaxime at 2μ g/ml, which selected for the incoming plasmid. Transconjugant colonies were sub-cultured and tested by PCR using the CTX-M universal primers (Table 1) and by plasmid profiling (Fig. 3) and the presence of a plasmid of ~100kb was confirmed in transconjugants of CL32 (Table 2).

266

267 Phenotypic impact of plasmid carriage upon K. pneumoniae B3791

In growth competition studies, strain CL32 outcompeted *K. pneumoniae* strain B3791

269 (P=0.08), CL32-pIFM3791 (P<0.05), CL32-pIFM3804 (P<0.05) and CL32-pIFM3844

(P<0.05). A representative example of the data is shown in Fig. 4 and the summary of
the data in Table 3. For *K. pneumoniae* B3791 and its cured and complemented
derivatives no differences in association to IPEC-J2 monolayers were found. Crystal
violet biofilm assays performed at 25°C and 37°C found no differences when comparing
plasmid-free to plasmid-carrying strains.

275 In order to investigate subtler impacts in the metabolism of the strain caused by plasmid carriage, Phenotype Microarrays (BiologTM) were performed on wild type K. 276 pneumoniae strain B3791, its IncI1 plasmid cured derivative CL32 and complemented 277 278 strain CL32-pIFM3791. There were four compounds for which one measurable parameter of respiration for the plasmid free strain CL32 was significantly higher (P-279 280 value <0.05) than B3791 and CL32-pIFM3791 (Table 4). These were rate of increase 281 (RateI) on L-sorbose as a carbon source and maximum value achieved (MaxV) on 282 putrescine, γ -Amino-N-Butyric and Ala-Gly dipeptide as nitrogen sources.

Using caproic acid, 4-hydroxy benzoic acid and putrescine as carbon sources 283 284 there were significant differences between CL32 and B3791 or between CL32 and CL32-pIFM3791. For L-alanine, L-proline and L-threonine although CL32 was better 285 286 able to respire on these compounds than the other two strains the difference was only significant when compared to plasmid-complemented strain CL32-pIFM3791. Finally, 287 288 for dipeptide Ala-Thr significant differences were found for two different measures of 289 growth, MaxV and AUC, although, the comparisons differed for each measure. Whilst the AUC for CL32 was significantly different only from CL32-pIFM3791, for MaxV all 290 three comparisons revealed significant differences (Table 4). 291

292

293 Effect of plasmid carriage on *Salmonella* 4,5,12:i:- strain S348/11

294 Having demonstrated the phenotypic impact of pIFM3791 upon K. pneumoniae, the question arose as to whether similar phenotypic impacts might occur in other Gram 295 296 negative bacteria. We had previously noted that E. coli and Salmonella 297 Bovismorbificans and 4,5,12:i:- from the same farm carried pIFM3791-like plasmids, which however could not be cured due to problems with cross-resistance. For this 298 299 reason cefotaxime-sensitive Salmonella 4,5,12:i:- originating from the original farm were screened by PFGE, plasmid profiling, susceptibility testing and CTX-M PCR. An 300 301 isolate designated S348/11 was identified which was identical to the Salmonella 302 4,5,12:i:- by these measures except for the lack of carriage of a pIFM3791-like plasmid. Plasmid pIFM3791was introduced by conjugation into S348/11 and the phenotype of 303 304 transconjugants was tested as described above. The presence of the plasmid did not 305 affect competitive growth of the strains, their association and invasion of monolayers of 306 mammalian cell line IPEC-J2, or their ability to form biofilm.

307

308 **Discussion**

309 PFGE and PCR tests confirmed curing plasmid pIFM27 successfully excluded IncI1 310 CTX-M plasmids from K. pneumoniae B3791, and other unrelated strains with 100% 311 efficiency. We showed also that the use of the curing plasmid could be extended by incorporation of alternative resistance markers demonstrating that this approach has 312 313 wide applications dependent upon the incompatibility and selectable marker genes 314 cloned. Here, limited selectable markers precluded use in other multiple antibiotic 315 resistant strains. However, this should no longer be a major obstacle due to the presence of restriction sites flanking the resistance cassette allowing for easy exchange of 316 markers. 317

318 Using cured derivative CL32 for phenotypic comparisons carried the risk that 319 differences may be caused by the curing process hence complemented CL32 derivatives 320 were also used. Plasmid cured CL32 out-competed both wild type and plasmid 321 complemented strains, which themselves behaved in essentially the same manner suggesting a fitness burden was imposed by carriage of the test plasmids. In contrast, a 322 323 recent study of an ST7 IncI1-CTX-M-1 plasmid isolated from broilers in the Netherlands found that its carriage did not impose a burden on the growth of its 324 325 *Escherichia coli* host (Fischer *et al.*, 2014) although previous findings suggest plasmids 326 can slow down the growth of naïve hosts (Lee & Edlin, 1985; Zünd & Lebek, 1980). In the case of pIFM3791 it may have been recently acquired by K. pneumoniae and thus, 327 328 co-evolution between plasmid and host, which is known to ameliorate growth 329 disadvantage (Bouma & Lenski, 1988), may have not taken place by the time of 330 isolation on the farm. Other reasons for the differences in behaviour could be caused by the actual sequence of the Dutch plasmid, as it displayed a different pMLST sequence 331 332 type than that of pIFM3791 and its sequence is otherwise unknown.

333 We did not investigate alternative selection markers and this precluded the construction 334 of a Salmonella 4,5,12:i:- plasmid cured derivative. As such, we introduced pIFM3791, pIFM3804 and pIFM3844 into strain Salmonella 4.5.12:i- S348/11 which was a plasmid 335 free strain from the same farm. Given the available data, S348/11 was highly similar to 336 337 the original strain harbouring a pIFM3791 like plasmid, but it cannot be ruled out that it 338 may have in the past harboured one such plasmid, and already be somewhat adapted to its carriage. Conversely, highly related versions of the plasmid were originally found in 339 340 E. coli and Salmonella 4,5,12:i:- strains as well as K. pneumoniae. If the plasmid was introduced by a Salmonella 4,5,12:i:- strain into a K. pneumoniae strain this could also 341 342 account for a degree of pre-existing plasmid adaption to S348/11 but not B3791. This is

supported by previous description of *in vitro* adaptation of a plasmid genome resultingin the amelioration of the fitness burden imposed upon its host (Modi & Adams, 1991).

Another interesting finding was the ability of CL32 to respire more efficiently 345 346 than the other two strains on ten substrates: K. pneumoniae B3791 and CL32-pIFM3791 behaved similarly giving confidence that plasmid pIFM3791 did impact metabolism 347 348 although sequence analysis did not suggest any obvious gene candidates. Nevertheless 349 many genes of unknown function may contribute and future transcriptional and targeted mutagenesis studies may resolve the mechanisms behind the phenotypes. Whether the 350 351 suppression of respiratory activity using L-sorbose as a carbon source and putrescine, γ -352 amino-N-butyric acid and dipeptide Ala-Gly as nitrogen sources impacts 353 epidemiologically is worthy of consideration. Of importance is the identification of specific metabolically-based burdens mediated by the plasmid on K. pneumoniae which 354 355 could be explained if expression of plasmid genes diverts resources away from the transcription of metabolic pathways involved in the growth under nutrient limiting 356 357 conditions. Transcriptional interference mediated by integrated plasmids has been noted 358 before (Zagaglia et al., 1991).

359 Collectively, these data show that the effect that plasmid pIFM3791 has on its host is highly dependent on that host's make up. Salmonella 4,5,12:i:- strain 348/11 was better 360 361 able to carry the outbreak plasmids than the plasmid in K. pneumoniae strain B3791. 362 Given its similarity to plasmid bearing isolates it is possible S348/11 is a naturally cured strain and adapted to the burdens imposed by the plasmid. Additionally, the experiments 363 364 here also show that whether an effect is detectable or not will depend on the exact 365 aspect of fitness that is being measured. The implication of these findings for the reversal of antimicrobial resistance is that even if there is a fitness cost for a certain 366 strain of pathogenic bacteria, as long as there are others in its environment for which 367

such cost does not exist, and given the mobility of conjugative plasmids, once the selection pressure is imposed by means of the antibiotic, the plasmid can spread again. For this reason, it would have been interesting to have carried out the experiments with *E. coli* strains isolated from the farm. *E. coli* are often found to be harmless commensals, and if it was found that plasmid carriage is either beneficial or neutral for them, this could further lend weight to the idea that plasmids are maintained by certain strains and that are then taken up by other strains in times of selective pressure.

375

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- 384 **Transparency declarations**
- 385 None to declare.

386

387

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472

473 Legends

Figure 1: Genetic map of IncI1 curing vector pIFM27. The features coloured in black
represent genetic structures already present in vector pIFM26 whilst the features
coloured in grey are those added in this study.

477

478 **Figure 2:** Plasmid profiling DNA gel electrophoresis of B3791, its pIFM27

transformant derivatives CL28 and, pIFM27 free derivatives CL31 and CL32. 20R764

480 is a reference plasmid free *E. coli* for identification of the chromosomal DNA band

481 plasmids of B3791 and its derivatives. The band of approximately 8kb in CL28

482 corresponds to the introduced pIFM27 curing vector. In lanes CL28 to CL32 the native

483 CTX-M IncI1 p3791 has been lost.

484

485 Figure 3: a) Plasmid profiling of S348/11 and its outbreak plasmid harbouring

transconjugants. Plasmid profiling DNA gel electrophoresis of strain S348/11 and its

487 outbreak plasmid carrying derivatives. 20R764 is a reference plasmid free *E. coli* for

identification of the chromosomal DNA band. 39R861 is a reference strain carrying four

489 plasmids of known sizes as labelled. Strain S348/11 carries no plasmids as shown in this

490 gel, its transconjugants have acquired a plasmid of around 100kb, circled blue. **b**)

491 Plasmid profiling of CL32 transconjugants complemented with the outbreak

492 **plasmids.** Plasmid profiling DNA gel electrophoresis of strain CL32 transconjugants.

493 T91-3 plasmid DNA has been included as a reference of the outbreak plasmid size

494 (circled red). 20R764 is a reference plasmid free *E. coli* for identification of the

495 chromosomal DNA band. 39R861 is a reference strain carrying four plasmids of known

496 sizes as labelled. All transconjugants have acquired a plasmid of around 100kb, circled

497 blue.

498

- 499 **Figure 4:** Representative example of a competition experiment of strain CL32 vs.
- 500 B3791. The log_e of the ratio of plasmid bearing over plasmid free organisms on each
- 501 day has been plotted. The negative slope of the line of best fit denotes that B3791 is
- 502 being outcompeted by CL32.

503

504 **Table 1: Primers used in this study**

Primer	Sequence
RNAFW	TCTAGAATATCTCGAGTGCACAGGGTTGAATCTC
RNARV	GGATCCTGTTCCGGAAGCCATAAA
M13FW	GTAAAACGACGGCCAG
M13RV	CAGGAAACAGCTATGAC
PRE-MCS	GCGATTAAGTTGGGTAACG
aac6'IbFW	GAATTCATGAGCAACGCAAAAACA
aac6'IbRV	GAATTCTTAGGCATCACTGCGTGT

505

506 Table 2: List of plasmid complemented strains of CL32 and S348/11 as well as

507 plasmid donor lab strains T91a, T04a and T44a.

508

Strain	Parent	Species	CTX-plasmid
T91a	NEB10-beta	E. coli	pIFM3791
T04a	NEB10-beta	E. coli	pIFM3804
T44a	NEB10-beta	E. coli	pIFM3844
CL32-pIFM3791	CL32	K. pneumoniae	pIFM3791
CL32-pIFM3804	CL32	K. pneumoniae	pIFM3804
CL32-pIFM3844	CL32	K. pneumoniae	pIFM3844
S348/11	NA	S. enterica	NA
S348/11-pIFM3791	S348/11	S. enterica	pIFM3791
S348/11-pIFM3804	S348/11	S. enterica	pIFM3804
S348/11-pIFM3844	S348/11	S. enterica	pIFM3844

509

Table 3: Effect of plasmid carriage on the competitive fitness of strains B3791 and S348/11

Plasmid-less	Plasmid-carrying	Selection rate	P-value
CL32	B3791	-0.29	0.08
	CL32-pIFM3791	-0.19	0.009
	CL32-pIFM3804	-0.22	0.011
	CL32-pIFM3844	-0.27	0.006
0240/11	S348/11-pIFM3804	-0.01	0.954
S348/11	S348/11-pIFM3844	0.01	0.957

512

513	Competition experiment results (Selection Rate) and their statistical significance as
514	assessed by a two tailed T test (P value). Highlighted in grey are those results
515	considered to be significantly different from no selection ($P < 0.05$)

considered to be significantly different from no selection (P < 0.05).

	Feature Strain order		P values of comparisons by Tukey's HSD		
Compound (Plate, Well)			CL32 vs. B3791	CL32-pIFM3791 vs. B3791	CL32::pIFM3791 vs. CL32
L-Sorbose (PM2, D04)	RateI	CL32 > CL32-pIFM3791 > B3791	0.009	0.974	0.012
	MaxV	CL32 > CL32-pIFM3791 > B3791	0.013	0.145	0.194
Caproic acid (PM2, E02)	AUC	CL32 > CL32-pIFM3791 > B3791	0.031	0.430	0.164
4-Hydroxy Benzoic Acid (PM2, E07)	RateI	CL32 > B3791 > CL32-pIFM3791	0.159	0.174	0.013
	RateI	CL32 > B3791 > CL32-pIFM3791	0.072	0.904	0.042
Putrescine (PM2, H08)	MaxV	CL32 > CL32-pIFM3791 > B3791	0.033	0.736	0.085
	AUC	CL32 > CL32-pIFM3791 > B3791	0.029	0.679	0.082
L-Alanine(PM3, A07)	MaxV	CL32 > B3791 > CL32-pIFM3791	0.165	0.472	0.035
L-Proline (PM3, B09)	MaxV	CL32 > B3791 > CL32-pIFM3791	0.104	0.566	0.028
	MaxV	CL32 > B3791 > CL32-pIFM3791	0.054	0.464	0.012
L-Threonine (PM3, B11)	AUC	CL32 > B3791 > CL32-pIFM3791	0.098	0.526	0.024
Putrescine (PM3, D11)	Putrescine (PM3, D11) MaxV CL32 > B3791 > CL32-pIFM3791		0.029	0.456	0.007
γ-Amino-NButyric Acid (PM03, G08)	MaxV	CL32 > CL32-pIFM3791 > B3791	0.026	0.991	0.030
Ala-Gly (PM3, H04)	MaxV	CL32 > B3791 > CL32-pIFM3791	0.026 0.768		0.012
	MaxV	CL32 > B3791 > CL32-pIFM3791	0.025	0.033	0.001
Ala-Thr (PM3, H07)	AUC	CL32 > B3791 > CL32-pIFM3791	0.236	0.238	0.024

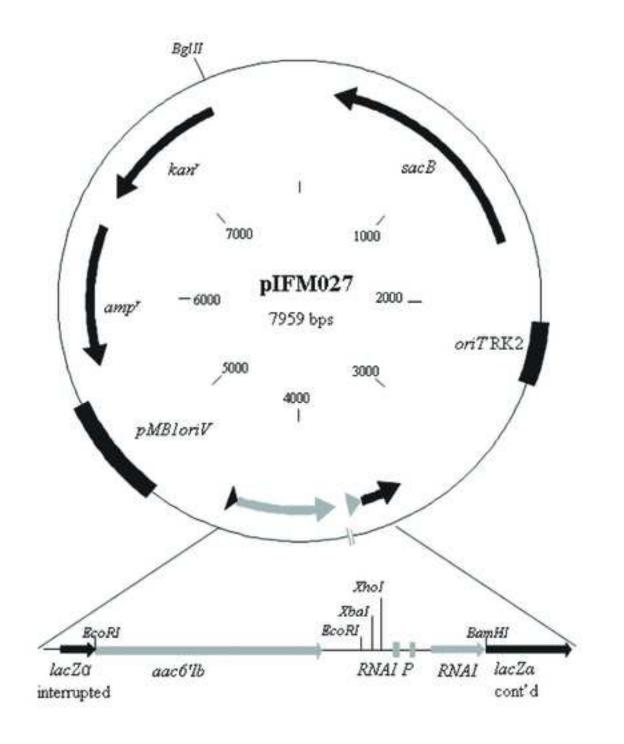
516 Table 4: Effect of plasmid carriage on the metabolism of *K. pneumoniae* B3791 as assessed by Phenotype Microarray Biolog.

517

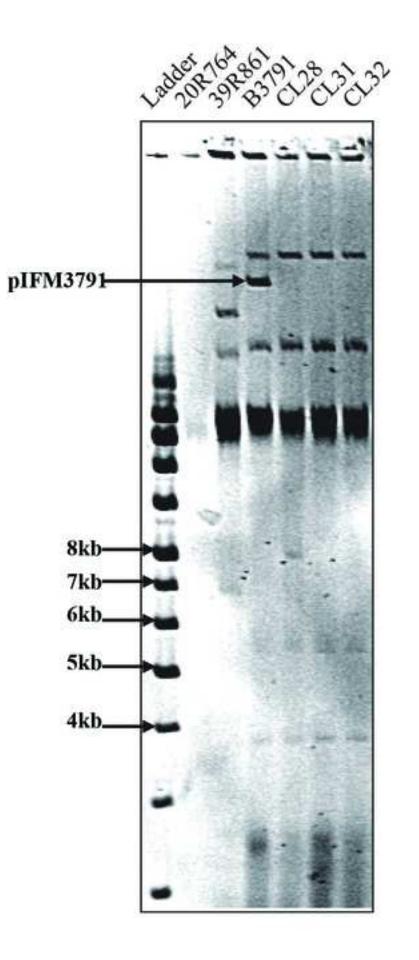
Listed are the compounds for which significant differences in their respiration were found for at least one of the features tested. Results that reach statistical significance have been highlighted in grey.

520 * MaxV = maximum value achieved, RateI = fastest rate of increase, AUC = area under the curve.

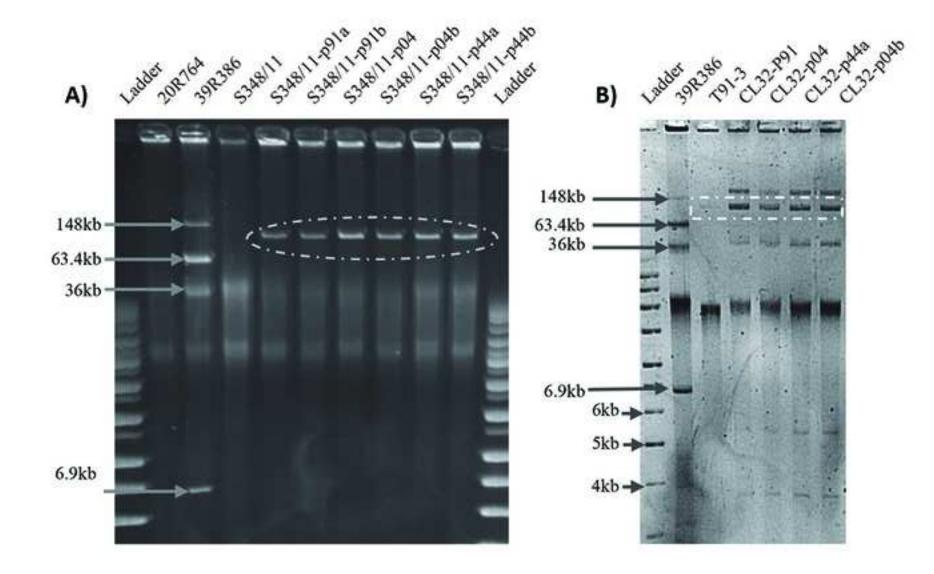
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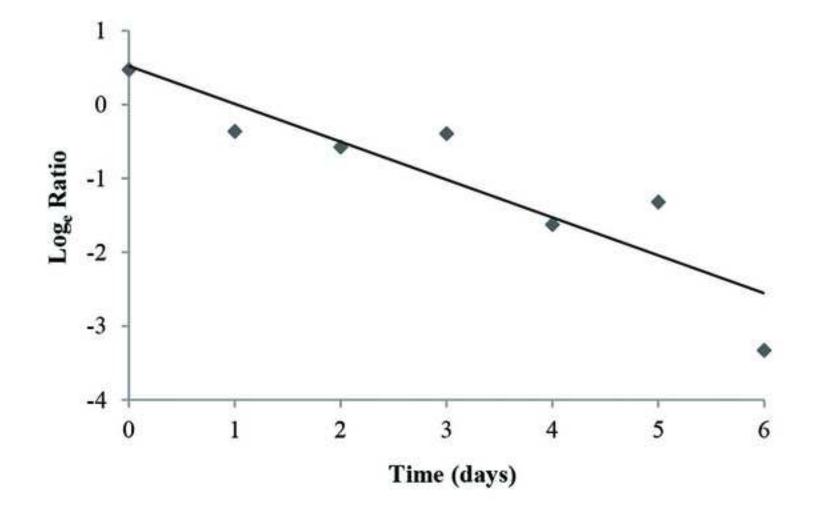


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1 SUPPLEMENTARY MATERIAL

All molecular biological methods including DNA extraction, measurement of DNA purity
and concentration, PCR, restriction digestion of DNA, agarose gel electrophoresis, ligation,
transformation, electroporation and selection followed standard procedures(Sambrook, J., E.
F. Fritsch, n.d.) and manufacturer's conditions for use of reagents. Sequencing reactions were
carried out by the AHVLA Central Sequencing Unit.

7

8 Construction of IncI1 curing vector plasmid pIFM27 RNAI1 aac6-Ib

9 Primers were designed to amplify the RNAI gene along with its native promoter with tails on both forward (RNAIFW), and reverse (RNARV) primers (Table 1) that introduced 10 XbaI and XhoI sites to the 5' and a BamHI site to the 3' of the RNAI gene. Using plasmid 11 12 pIFM3804 DNA as template, the PCR generated a product of the correct size that blunt end cloned into pCR-Blunt. Plasmid DNA extracted from four transformants was digested using 13 XbaI and BamHI which confirmed the presence of an insert of the predicted size and 14 sequencing with insert flanking primers (M13FW and M13RV) showed complete integrity of 15 RNAI. 16

Plasmid DNA from one transformant was digested with XbaI and BamHI and the 17 RNAI insert was gel purified and ligated with vector pIFM26, previously digested with XbaI 18 19 and BamHI. pIFM26 was identical to previously described pAKE604, (El-Sayed et al., 2001) 20 with a minor modification to invert its multiple cloning site. The ligated DNA was used to 21 transform E. coli DH5 α with ampicillin selection (100mg/L). To verify the constructs, plasmid DNA extracted from three well isolated transformants was digested with BglII and 22 23 *Xho*I to confirm the predicted total and fragment size of the plasmid and was used as target for PCR and sequencing using RNAI-FW and Pre-MCS primers (Table 1). One transformant 24 25 designated CL19 was retained for further work.

26 As the outbreak strains were already resistant to kanamycin and ampicillin, the markers present on pIFM26, an alternative resistance marker, amikacin, was chosen for 27 inclusion in the curing vector. The amikacin resistance gene *aac6'-Ib* was amplified using 28 29 forward and reverse primers, aac6'-IbFW and aac6'-IbFW that included EcoRI tails (Table 1), using as target the wild type plasmid isolated by C. Boinet as part of her PhD studies 30 (Phenotypic and genotypic analysis of blaCTX-M encoding plasmids isolated from bovine E. 31 32 coli samples in the United Kingdom: Royal Holloway, University of London). The aac6'-Ib gene product was cloned into pCR-Blunt in E. coli NEB5a with selection for kanamycin 33 34 (50mg/L). Plasmid DNA extracted from five transformants was used in PCR with primers flanking the insertion site (M13FW and M13RV) to confirm presence of the insert (expected 35 ~800bp band) and the product integrity was confirmed by sequence analysis. One 36 37 transformant designated CL25 was retained for further work.

Plasmid DNA extracted from CL25 was digested with *EcoRI* and the *aac6'-Ib* fragment was cloned into the *EcoRI* site of pIFM19 DNA in *E. coli* NEB5α with selection for transformants resistant to ampicillin (100mg/L). Only one colony was found and PCR analysis using primer pairs aac6'-IbFW and Pre-MCS and aac6'-IbRV and Pre-MCS confirmed the correct orientation of the insert with respect to the LacZ promoter to drive its expression. The resulting strain was named CL27 and its plasmid pIFM27 (Figure 1).

44 Table S1: Sequence differences in outbreak plasmids pIFM3791, pIFM3804 and

45 **pIFM3844**

Position in pIFM3804	Sequence		Gene	Effect		
Fosition in pir wi3804	pIFM3791	pIFM3804	pIFM3844	Gelle	Effect	
6148	G	G	А	ISCR2	serine to phenylalanine in pIFM3844	
10994	CG	-G		outside coding region	NA	
19884	G	-	G	ybaA	frameshift (in pIFM3791 and pIFM3844)	
24941	А	-	А	hypothetical protein	frameshift (in pIFM3791 and pIFM3844)	
56570	А	-	А	hypothetical protein	frameshift (in pIFM3791 and pIFM3844)	
57253	Т	-	Т	outside coding region	NA	
58328	Т	-	Т	exc	frameshift (in pIFM3791 and pIFM3844)	

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