

# Intercomparison and validation of the mixed layer depth fields of global ocean syntheses

Article

Accepted Version

Toyoda, T., Fujii, Y., Kuragano, T., Kamachi, M., Ishikawa, Y., Masuda, S., Sato, K., Awaji, T., Hernandez, F., Ferry, N., Guinehut, S., Martin, M. J., Peterson, K. A., Good, S. A., Valdivieso, M. ORCID: https://orcid.org/0000-0002-1738-7016, Haines, K. ORCID: https://orcid.org/0000-0003-2768-2374, Storto, A., Masina, S., Kohl, A., Zuo, H., Balmaseda, M., Yin, Y., Shi, L., Alves, O., Smith, G., Chang, Y.-S., Vernieres, G., Wang, X., Forget, G., Heimbach, P., Wang, O., Fukumori, I. and Lee, T. (2017) Intercomparison and validation of the mixed layer depth fields of global ocean syntheses. Climate Dynamics, 49 (3). pp. 753-773. ISSN 0930-7575 doi: https://doi.org/10.1007/s00382-015-2637-7 Available at https://centaur.reading.ac.uk/52363/

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Published version at: http://link.springer.com/article/10.1007%2Fs00382-015-2637-7 To link to this article DOI: http://dx.doi.org/10.1007/s00382-015-2637-7

Publisher: Springer



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47

#### 49 Abstract

50Intercomparison and evaluation of the global ocean surface mixed layer depth (MLD) fields 51estimated from a suite of major ocean syntheses are conducted. Compared with the reference 52MLDs calculated from individual profiles, MLDs calculated from monthly mean and gridded profiles show negative biases of 10-20 m in early spring related to the re-stratification process 5354of relatively deep mixed layers. Vertical resolution of profiles also influences the MLD 55estimation. MLDs are underestimated by approximately 5-7 (14-16) m with the vertical 56resolution of 25 (50) m when the criterion of potential density exceeding the 10-m value by 0.03kg m<sup>-3</sup> is used for the MLD estimation. Using the larger criterion (0.125 kg m<sup>-3</sup>) generally 5758reduces the underestimations. In addition, positive biases greater than 100 m are found in 59wintertime subpolar regions when MLD criteria based on temperature are used. Biases of the 60 reanalyses are due to both model errors and errors related to differences between the 61assimilation methods. The result shows that these errors are partially cancelled out through the 62ensemble averaging. Moreover, the bias in the ensemble mean field of the reanalyses is smaller 63 than in the observation-only analyses. This is largely attributed to comparably higher resolutions 64 of the reanalyses. The robust reproduction of both the seasonal cycle and interannual variability 65by the ensemble mean of the reanalyses indicates a great potential of the ensemble mean MLD 66 field for investigating and monitoring upper ocean processes.

67

### 68 Keywords

69 ocean reanalysis, mixed layer depth, Ocean Reanalyses Intercomparison Project (ORA-IP), data

assimilation, ocean general circulation model, isothermal layer depth

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- 72

# **1 Introduction**

75	The ocean surface mixed layer (ML), directly communicating with the atmosphere, transmits
76	surface heat, freshwater and momentum fluxes to the interior ocean, which forces the ocean
77	circulation (e.g., Pedlosky 1996). On the other hand, sea surface temperature (SST), which
78	provides the boundary condition for the atmosphere, is determined through the ML processes.
79	Also, heat content in the ML is one of the primary forcing factors of the atmospheric circulation
80	in some cases (e.g., Shey et al. 2000). Since the ML depth (MLD) is a relevant physical
81	parameter for describing the dynamic nature of the ML, it is of great value to quantitatively
82	determine the spatio-temporal variation of the MLD in the global ocean for better understanding
83	the ocean circulation and air-sea interaction.
84	
85	The Ocean Reanalyses Intercomparison Project (ORA-IP) was initiated to evaluate global ocean
86	syntheses produced in several research and operational centers (Balmaseda et al. 2015). These
87	syntheses include both analyses that use observations only and analyses that combine ocean
88	models and observations through data assimilation methods (referred to as "reanalyses" in this
89	study). It is important to evaluate their strength and weakness in various aspects in order to
90	understand the extent to which these products can be used to monitor the state of the ocean,
91	initialize climate prediction and understand oceanic physical processes and in order also to
92	identify priorities for new developments (e.g., Lahoz and Errera 2010). Furthermore, the signal-
93	to-noise ratio inferred from an ensemble of these products can improve the understanding of the
94	robustness of oceanic physical processes represented by these reanalyses (e.g., Lee et al. 2009).
95	

96 MLD is selected as one of the important indices for the ORA-IP (Toyoda et al. 2014) in addition

97	to heat and salt content, steric height, sea level, surface heat fluxes, depth of the 20 degree
98	isotherm and sea ice. In the present study, monthly mean global MLD time series are estimated
99	and intercompared from 19 syntheses (2 observation-only analyses and 17 reanalyses).
100	Following a brief description of MLDs in Sect. 2, we first investigate the observation-only
101	analyses focusing on errors in estimating MLDs in Sect. 3. The ensemble mean of the
102	reanalyses is also examined since it can have a better fidelity in some regions if model errors in
103	the individual reanalyses cancel out through the ensemble averaging approach.
104	Intercomparisons of all the syntheses/reanalyses are provided in Sect. 4. The findings are
105	summarized in Sect. 5.
106	
107	
108	2 Data
109	
110	2.1 Definition of MLD
111	
112	For the MLD definition, density criteria (e.g., Levitus 1982) are used in this study, i.e., MLD is
113	defined as the depth where potential density exceeds the 10-m depth value by $\Delta \rho = 0.03$ or
114	0.125 kg m <sup>-3</sup> ("MLDr003"/"MLDr0125"), since these 2 criteria are often used (e.g., Hosoda et
115	al. 2010) and our interest is in sensitivity of the MLD estimation to the criterion value.
116	Similarly, isothermal layer depth (ILD) is defined as the depth where potential temperature
117	differs from the 10-m depth value by $\Delta T = 0.2^{\circ}C$ or $0.5^{\circ}C$ ("ILDt $02$ "/"ILDt $05$ "). These ILDs
118	were sometimes used as substitutes for MLDs in previous studies since salinity profiles are less
119	numerous than temperature profiles. Hence, for the present intercomparison, both MLDs and
100	

121	temperature criterion ( $\Delta T = 0.5^{\circ}C$ ), multiplied by the characteristic thermal expansion rate (e.g.,
122	0.24 kg m <sup>-3</sup> °C <sup>-1</sup> at 18°C and 35 psu), generally correspond to the density criterion ( $\Delta \rho = 0.125$
123	kg m <sup>-3</sup> ).
124	
125	2.2 Observation-only analyses
126	
127	Two observation-only analyses archived in the ORA-IP are used in this study, EN3v2a and
128	ARMOR3D (Table 1). EN3v2a analyzed in-situ temperature and salinity (TS) observations;
129	ARMOR3D synthesized satellite-derived sea level anomalies (SLAs) and SSTs in addition to in-
130	situ TS observations. Monthly MLD and ILD time series are calculated from the monthly mean
131	TS fields on the native grids of the individual datasets. Interpolated values on global longitude-
132	latitude grids with one-degree resolution are used for the intercomparison.
133	
134	In order to evaluate the MLDs/ILDs in the ORA-IP, we use the freely available MLD/ILD
135	datasets of MILA-GPV (Hosoda et al. 2010) and de Boyer Montégut et al. (2004; "deBoyer"
136	hereafter). These data are estimated as the average of MLDs/ILDs deduced from individual TS
137	profiles. In particular, MILA-GPV uses only the Argo profiles without interpolation between
138	grid points, although the spatio-temporal coverage of the dataset is limited. Hence, we use
139	MILA-GPV as a reference for the intercomparison mainly (e.g., Fig. 1). Note that deBoyer
140	provides only the monthly climatological fields for MLDr003 and ILDt02.
141	
142	Additionally, we use MLDs/ILDs calculated from the monthly TS climatologies of the World
143	Ocean Atlas (WOA) 2009 (e.g., Locarnini et al. 2010). Note that this dataset (derived from TS
144	climatologies) is somewhat similar to EN3v2a and ARMOR3D (derived from monthly TS

145 analyses) but different from MILA-GPV and deBoyer (derived from individual TS profiles).

146

### 147 **2.3 Reanalyses**

148

149 Each of the reanalyses used in this study may have their own systematic error, attributed to

150 ocean general circulation model (OGCM), spatial resolution, surface forcing, ML

- 151 parameterization, assimilated data and assimilation method adopted in each analysis (Table 2).
- 152 The ensemble averaging will partially result in compensation of errors, thus decreasing the error
- 153 of the MLD estimate, but still errors will remain. In addition, the reanalyses can be clustered in
- several groups: For example, versions of NEMO are used in G2V3, C-GLORS, UR025.4,
- 155 GloSea5, ORAS4 and ORAP5, while versions of MOM are used in MERRA, ECDA, PEODAS,
- 156 K7-ODA and K7-CDA; Smoother approaches are adopted in GECCO2, ECCO-NRT, ECCO-v4,
- 157 K7-ODA and K7-CDA; Coupled models are used in ECDA, K7-CDA and MOVE-C; Relatively
- 158 high horizontal resolutions are adopted in G2V3, C-GLORS, UR025.4, GloSea5, ORAP5 and
- 159 ECCO-v4. If similar MLD features are exhibited within the groups, important information for
- 160 improving the systems can be provided.
- 161 As for the observation-only analyses, monthly MLD and ILD time series are calculated from the
- monthly mean TS fields on the native grids and interpolated onto the common longitude-latitudegrids with one-degree resolution.
- 164
- 165 In addition, the ensemble mean of the 17 model based reanalysis MLDs/ILDs are calculated
- 166 ("ENSMEAN"; not including EN3v2a and ARMOR3D). Note that these MLDs/ILDs differ
- 167 from MLDs/ILDs calculated from the ensemble mean TS fields. In order to reduce the influence
- 168 of the difference in period among the reanalyses (Table 3), the MLD/ILD time series for

169	ENSMEAN are calculated as follows: Monthly climatologies averaged over the period of 2001-
170	2011 (or longest available during this period hereafter) and monthly interannual anomalies from
171	these climatologies are first computed for the individual ensemble members. Using these
172	climatologies and interannual anomalies, the monthly climatologies and interannual anomalies
173	(for 1948-2012) of ENSMEAN are calculated respectively. The absolute MLD/ILD time series
174	for ENSMEAN are produced as the sum of these monthly climatologies and interannual
175	anomalies.
176	
177	
178	3 Uncertainties in observation-only analyses
179	
180	Figure 1 exhibits the zonal-mean monthly MLD/ILD normalized differences of EN3v2a,
181	ARMOR3D, deBoyer, WOA and ENSMEAN from MILA-GPV. (Note that this does not mean
182	that MILA-GPV is true.) The differences between deBoyer and MILA-GPV (MLDr003 and
183	ILDt02) are generally small, since these are comparable datasets that use individual TS profiles.
184	Relatively large differences at high latitudes may possibly result from spatio-temporally limited
185	observations there, especially from the Argo floats. MLDs/ILDs for WOA, EN3v2a and
186	ARMOR3D exhibit biases toward shallower depths. ILDt02s in WOA are 20 to 40% shallower
187	than those in MILA-GPV globally, which is consistent with the result of de Boyer Montégut et
188	al. (2004). They indicated that the global shallow biases are attributed to the fact that MLD/ILD
189	calculated from averaged TS profiles is more strongly affected by profiles from which shallower
190	MLD/ILD are estimated. Therefore, it can be considered that the smaller discrepancies from
191	MILA-GPV for EN3v2a and ARMOR3D than those for WOA, as shown in Fig. 1, are due to
192	their use of the monthly mean TS profiles as opposed to the climatologically averaged TS

193 profiles as in WOA.

194

195	As described above, the temperature criterion ( $\Delta T = 0.5^{\circ}C$ ) generally correspond to the density
196	criterion ( $\Delta \rho = 0.125$ kg m <sup>-3</sup> ). These criteria give similar patterns for each of the observation-
197	only analyses except that large positive biases are seen at about 60°S-40°S and 40°N-60°N in
198	winter-early spring for the temperature criterion cases. We will discuss these biases later
199	(subsection 3.3).

200

201By using larger values for the criterion ( $\Delta \rho = 0.125 \text{ kg m}^{-3}$  and  $\Delta T = 0.5^{\circ}\text{C}$ ), generally similar 202patterns to those with smaller values ( $\Delta \rho = 0.03$  kg m<sup>-3</sup> and  $\Delta T = 0.2$ °C) are obtained for 203WOA, EN3v2a and ARMOR3D, respectively, but the amplitudes of the negative biases are 204 much reduced. On the other hand, the positive biases at mid- and high latitudes are enhanced. 205For ENSMEAN, the discrepancies from MILA-GPV are considerably smaller than those for 206 WOA, EN3v2a and ARMOR3D for each of the criteria. The change in vertical resolution of 207profiles can be an error source as well as averaging of profiles as indicated by de Boyer 208Montégut et al. (2004). How these errors differ according to the criterion values is also an 209important question. In addition, representation of the interannual variability is relevant for 210climate studies as well as the climatology. These are quantitatively analyzed in the following 211subsections. 212

213 **3.1 Errors due to averaging of profiles** 

214

de Boyer Montégut et al. (2004) previously revealed that averaging of profiles can lead to

216 underestimations of MLD (shallower biases). In this subsection, we investigate the influence of

the time average by comparing the MLD/ILD estimates from monthly mean ("m") and

218 instantaneous ("i") TS profiles ("MLDr003m" and "MLDr003i" and likewise). Since both of the

above TS profiles are now provided by the MOVE-G2 experiment, our comparison here focuses

- 220 on the influence of the time average of profiles. In addition, interannually averaged monthly TS
- 221 profiles (like climatologies) are also used to estimate the MLDs/ILDs.

222

223Underestimations in the zonal mean of greater than 10 m are seen in case of the monthly mean 224profiles at mid-high latitudes in March-May (September-December) in the Northern (Southern) 225Hemisphere (Fig. 2a, b). These are attributed to the re-stratification process of deep wintertime 226MLDs/ILDs in the Kuroshio Extension region, in the south of the North Atlantic Current and in 227the Southern Ocean and are generally 10-20 m (Fig. 2c, d). Note that larger biases can be seen 228in the sea ice region. In addition, use of the climatological profiles (averaged over the 2001-2011 period) results in further underestimation of MLD, especially in the tropics, where TS 229230profiles vary greatly in association with El Nino and Southern Oscillation (not shown). 231232In the latitudes of 20°-30°, underestimations from this effect are enlarged in March (September) 233in the Northern (Southern) Hemisphere (e.g., Fig. 2a). A previous study (Takeuchi and Yasuda 2342003) identified the MLD shoaling from February to March (from August to September) in a 235large part of this latitude band in the Northern (Southern) Hemisphere, despite the fact that 236monthly mean net surface heat flux is cooling the ocean surface. Since they used the averaged 237profiles (e.g., WOA 1998), the MLD shoaling may partially be explained by the above 238underestimations brought about by monthly averaging. Note that Takeuchi (2006) discussed the 239possible effect of variability of surface heat flux within a month by using a simple ML model. 240

241Similar effects can be expected from averaging of profiles over time as above and within a grid cell, when the length scale calculated from the typical advection speed (e.g.,  $1 \text{ cm s}^{-1} \times$ 242 2431 month $\sim$ 26 km) is comparable to the grid spacing. Hence the above estimation for the effect 244of averaging over time by using the MOVE-G2 result might be different from that for the effect 245of averaging within a grid cell. In addition, the impact of temporal averaging of profiles in the 246estimation of monthly MLD/ILD may be affected by the amount of high frequency variability, 247which in turn may be affected by horizontal resolution. To address this question we have used 248G2V3 which has a finer horizontal resolution (1/4°) than MOVE-G2 (1° zonally and 0.3-0.5° 249meridionally). MLD/ILD estimates from monthly and daily mean TS profiles for an older 250version of G2V3 have been compared. This comparison generally supports the above-described 251underestimations of 10-20 m in early spring (not shown). Note that both reanalyses (MOVE-G2 252and G2V3) assimilated the satellite-derived SLA observations (Table 2).

253

254 It should be noted that profiles from the real observations would have further variability on

smaller scales, which cannot be resolved in OGCMs. The averaging of these profiles may cause

the underestimation of MLD in the same way as indicated by de Boyer Montégut et al. (2004).

257 Therefore, the broad tendency of larger MLDs in ENSMEAN than in the observation-only

analyses as shown in Fig. 1 can be attributed to this effect partly.

259

260 Horizontal resolution can affect not only representation of the eddy-scale variability as

discussed above but also averaging area of TS profiles for the MLD/ILD estimation. In order to

262 investigate the latter effect, we compare the MLDs (MLDr003m and MLDr0125m) estimated

263 from monthly TS profiles in the MOVE-G2 experiment. Three TS profiles on the one-degree

resolution grids are used for the MLD estimation: 1) those interpolated from the MOVE-G2

265	grids (e.g., "MLDr003m_1x1"), 2) those smoothed by a 9-point filter after the interpolation
266	(e.g., "MLDr003m_3x3") and 3) those smoothed by a 25-point filter after the interpolation (e.g.,
267	"MLDr003m_5x5"). Note that the smoothed profiles correspond to the profiles from low
268	resolution analysis. As shown in Fig. 3, shallower MLDs are estimated when the smoothed (low
269	resolution) TS profiles are used. This effect appears mostly in winter, in contrast to the effect of
270	the time averaging (early spring; Fig. 2). Larger-scale smoothing results in greater magnitude of
271	shoaling for both MLDr003m and MLDr0125m. While errors resulting from the smoothing at
272	high latitudes are larger with the larger criterion ( $\Delta \rho = 0.125$ kg m <sup>-3</sup> ), errors at mid-latitudes are
273	smaller with the larger criterion. Although various resolutions (about 1/4-1°) are adopted for the
274	reanalyses in this study, a tendency of shallower MLDs for reanalyses with lower resolutions is
275	not seen as shown later (Section 4). Therefore, while horizontal resolution finer than 1° seems
276	not to much influence the MLD estimation, the coarser resolutions (such as 3° and 5°) can
277	largely affect the estimation.
278	
279	3.2 Effect of vertical resolution
280	
281	The average vertical resolutions of the observational profiles are 8.2 m, 2.3 m, 19.5 m and 9.4 m
282	for profiling floats, CTD (Conductivity-Temperature-Depth), XBT (eXpendable
283	BathyThermograph) and MBT (Mechanical BathyThermograph) measurements, respectively
284	(de Boyer Montégut et al. 2004), whereas those for mooring arrays are usually about 20 m. On
285	the other hand, the vertical resolutions of TS profiles in the syntheses can be much lower as
286	shown in Fig. 4. For example, the vertical resolution of the WOA data is 25 (50) m at 50, 150

287 (150-300) m depth. The low resolution of TS profiles can also be an error source in the MLD

estimation.

290	Figure 5 shows schematic illustrations for the MLD estimations from high and low resolution
291	profiles. In the high resolution case (Fig. 5a) and low resolution case (1) (Fig. 5b), the estimated
292	MLDs are comparable to the "real" MLD (from the common simultaneous profile). On the other
293	hand, in the low resolution case (2) (Fig. 5c), the estimated MLD is much shallower than the
294	real MLD. Thus, MLD can be underestimated by using a low resolution vertical discretization
295	depending on the relative position of grids to the real MLD. In order to quantitatively assess this
296	effect, we generalize the MLD estimation as in Fig. 5d. Since we assume that we have at least
297	one grid point in the thermocline, which should hold for the most of the regions and resolutions
298	we investigate here, this simplified model does not contain a low-stratified layer beneath the
299	seasonal thermocline. We note that if the thermocline is not resolved with at least one grid point
300	overestimation of MLD can also happen. In fact, larger MLDs are estimated from the averaged
301	profiles than from the individual profiles, in particular with larger criteria, at high latitudes in
302	winter. There, the averaging of the profiles with a relatively weak thermocline results in a weak
303	thermocline being represented in the syntheses, leading to an overestimation of MLD/ILD, as
304	indicated by Noh and Lee (2008).

305

306 Here, the estimation error, e, is determined by using level spacing,  $\Delta z$ , relative position of the 307 bending point of the profile to the grid, r, and vertical density gradient of the seasonal

308 thermocline,  $\frac{\partial \rho}{\partial z}$ , as

309 
$$e = \begin{cases} \Delta z (1-r) \times \frac{\frac{\partial \rho}{\partial z} \cdot \Delta z \cdot r - \Delta \rho}{\frac{\partial \rho}{\partial z} \cdot \Delta z \cdot r} & \text{for } c \equiv \frac{\Delta \rho}{\Delta z} / \frac{\partial \rho}{\partial z} < r < 1 \\ 0 & \text{for } 1 < r < 1 + c \end{cases}$$
(1)

310 where c is the lower limit of r, and is given by the relation that the density at a grid point is

larger than the reference (10-m) value by  $\Delta \rho$  exactly. (Although the true MLD would be the 311 312bending point for this profile, we use the "real MLD" based on the density criterion here 313 considering the practical use with rather noisy profiles.) The expected error is then estimated as  $\bar{e} = \int_{c}^{1+c} e dr$ 314(2)Figure 6 exhibits the expected error values depending on the vertical resolution for  $\Delta \rho = 0.03$ 315and 0.125 kg m<sup>-3</sup>, where  $\Delta z$  of 10 m corresponds to the vertical resolution of typical 316 317 observations. For  $\Delta \rho = 0.03$  kg m<sup>-3</sup>, the shallower errors at low- and mid-latitudes are within 5-7 (14-18) m with  $\Delta z = 25$  (50) m (Fig. 6a). In case of  $\Delta \rho = 0.125$  kg m<sup>-3</sup>, the errors are 318 319much smaller (e.g., 3-5 m with  $\Delta z = 25$  m) and errors for the observational profiles are less 320 than 1 m (Fig. 6b). In contrast to the errors indicated in subsection 3.1, the distribution of the 321errors from vertical resolution is rather broad in terms of time and space (e.g., Fig. 6c). 322

323 The mean differences of EN3v2a/ARMOR3D from MILA-GPV (over 40°S-50°N and the 2001-

324 2011 period) are -11.4 and -8.2 m for  $\Delta \rho = 0.03$  and 0.125 kg m<sup>-3</sup>, respectively. These

325 values are between the above estimations with  $\Delta z = 25$  and 50 m (blue and red lines

326 respectively in Fig. 6a, b) and generally consistent with the resolutions of these data at the 100-

327 300 m depth (Fig. 4). Note that the  $\Delta z$  values vary with depth (and per dataset) and also that

328 use of vertical covariances of background errors (or smoothing) in the analyses can make the

329 resolution of the represented vertical variability coarser than the level spacing.

330

331 Differences between ENSMEAN and MILA-GPV are generally smaller than differences

between EN3v2a/ARMOR3D and MILA-GPV (Fig. 1) as described above. This can be largely

attributed to the higher vertical resolutions in the reanalyses, although the possible effect of

334 small scale variability in the real observation data might cause the shallower MLDs in the

335	observation-only analyses partly (subsection 3.1). Figure 4 represents the vertical resolution for
336	each depth and synthesis. The level spacing of the reanalyses is generally less than 20 m at
337	depths important for the ML variation (approximately the upper 200 m), which works well for
338	the relatively small errors in the MLD and ILD fields of ENSMEAN. Note that relatively large
339	ensemble size in this study should contribute to the generally small model errors in ENSMEAN.
340	
341	<b>3.3 Overestimation of ILDs in the subpolar regions</b>
342	
343	In addition to the aforementioned underestimations relative to MILA-GPV, overestimation of

subpolar regions. Figure 7 shows the ILDt05 distributions in March for MILA-GPV, WOA,

wintertime ILDs (biased-deep) estimated from the monthly mean TS profiles are seen in the

EN3v2a and ARMOR3D. ILDs deeper than 400 m can be widely seen in the subarctic North

347 Pacific in WOA, EN3v2a and ARMOR3D, while are only seen at a few grid points in MILA-

348 GPV. Similar overestimation occurs in the Southern Ocean in austral winter (not shown).

349

344

In these subpolar regions, stratification is mostly determined by the halocline (e.g., Yuan and 350351Talley 1996) and the thermocline is weak especially in winter (e.g., Dodimead 1967). A surface 352isothermal layer extending to the mesothermal layer (intermediate warm layer) can appear when 353the dicothermal structure (intermediate cold profile) weakens seasonally as shown, for example, 354in Fig. 8. However, since temperature in the surface layer changes rather rapidly if resolved with enough temporal resolution, this occurs only during a short period (Fig. 8a). On the other hand, 355356 the monthly data represent the occurrence of thick isothermal layer in a whole month, resulting 357in the overestimation greater than 100 m (Fig. 8b).

358

#### 359 **3.4 Interannual variability**

361 A comprehensive assessment of the interannual anomaly field on a global scale is rather difficult 362due to limited independent observations. In this study, we assume white noise for the 363interannual anomaly field in each of the datasets and thereby investigate correlations of 364 interannual signals between the datasets. Figure 9 presents the zonal mean correlation 365 coefficients for interannual anomaly components in the data rich Argo period, using monthly 366 data (seasonal cycle removed) for 2005-2011, among MILA-GPV, EN3v2a and ARMOR3D. 367 Correlation coefficients (for MLDs in particular) are small at high latitudes presumably due to 368 the limited number of observations there. At low- and mid-latitudes (about  $50^{\circ}S-60^{\circ}N$ ), values 369 for MLDr003 and ILDt02 generally locate between 0.15 and 0.4 (Fig. 9a, b), whereas those for MLDr0125 and ILDt05 between 0.3 and 0.6 (Fig. 9c, d). Thus the interannual signals are more 370 consistently represented when using the larger criteria ( $\Delta \rho = 0.125$  kg m<sup>-3</sup> and  $\Delta T = 0.5$  °C). 371372373 In addition, correlation coefficients between EN3v2a and ARMOR3D (red) are larger than those 374 between MILA-GPV and EN3v2a/ARMOR3D (light blue/light green) for MLDr0125 and 375ILDt05 (Fig. 9c, d). This suggests that the signal-to-noise ratio of the interannual anomalies are 376 relatively low in MILA-GPV possibly due to the limited coverage by Argo floats. The 377 correlation coefficients for MLDr0125 between EN3v2a and ARMOR3D (red) are lower by 0.1-378 0.2 at low latitudes than at mid-latitudes. This reduction of the correlation at low latitudes does 379 not occur for ILDt05. This result implies that salinity analysis in the tropics may not be well 380 constrained by observations. In fact, the lower correlation for MLDr0125 at low latitudes 381mainly results from relatively low correlation in the western Pacific warm pool region and in the 382Intertropical Convergence Zone (not shown), where salinity plays an important role in

383	determining the surface-layer stratification due to large freshwater input to the ocean. Note that,
384	although Shi et al. (An assessment of upper ocean salinity content from the Ocean Reanalyses
385	Inter-comparison Project (ORA-IP), submitted to the same issue of Climate Dynamics, 2015)
386	show that the averaged salinities over the 0-700 m depth in this region (156°E, 8°N) from the
387	reanalyses are generally consistent with the observations by the TRITON buoy, our result
388	indicates that the vertical salinity distribution in the upper ocean is still uncertain. Figure 10
389	compares the MLD/ILD time series in the western Pacific warm pool region (150°E-180°, 5°S-
390	5°N). It is demonstrated that MLDr0125 time series (Fig. 10a) are much less consistent with
391	each other than the ILDt05 time series (Fig. 10b), the latter of which are well constrained by
392	relatively rich observations for temperature profiles by mooring arrays in the tropics. Variability
393	in MLDr0125 of ARMOR3D (green line) is relatively weak on both the seasonal and
394	interannual time scales. Although grid-scale correlation between MILA-GPV and EN3v2a is
395	rather lower (Fig. 9c), the area-mean values exhibit similar interannual variations, especially for
396	the period of the correlation analysis (2005-2011).
397	
398	Zonal mean correlation coefficients between ENSMEAN and other datasets are shown in Fig.
399	9e, f. For both MLDr0125 and ILDt05, correlation coefficients between ENSMEAN and
400	EN3v2a/ARMOR3D (blue/green) are greater than those between ENSMEAN and MILA-GPV
401	(yellow) at low- and mid-latitudes. This fact also suggests relatively low signal-to-noise ratio of

402 the interannual variability in MILA-GPV as described above. Note that distortion of the

403 monthly MLD distributions by Argo sampling was reported in previous studies (e.g., Juza et al.

404 2012). On the other hand, the variability in MILA-GPV is by and large consistent with the

405 variability in ENSMEAN in terms of area-mean values, especially for the 2005-2011 period

406 (e.g., Fig. 10).

408	Correlation coefficients between ENSMEAN and EN3v2a/ARMOR3D (blue/green) are
409	generally greater than those between EN3v2a and ARMOR3D (red) as shown in Fig. 9e, f. This
410	suggests better representation of interannual signals in ENSMEAN, which can be attributed to
411	the use of atmospheric information as surface forcing. Independent validations will ensure the
412	effectiveness of the ensemble use of reanalyses in detecting interannual variability, which awaits
413	future work.
414	
415	
416	4 Intercomparison of the reanalysis MLDs/ILDs
417	
418	4.1 Seasonal and interannual variations of MLDs
419	
420	Seasonal variations of MLDs are basically characterized by the winter- and summer-time MLD
421	features. Following the discussion in subsection 3.1, MLDs in February and August are
422	compared among the syntheses as typical of MLDs in winter and summer respectively with
423	relatively small errors (e.g., MLDs in March are considered to have larger errors). Figures 11
424	and 12 show that the MLDr0125 discrepancies from MILA-GPV are relatively large in the
425	winter hemisphere on a basin scale for both the observation-only analyses and reanalyses.
426	Distributions for MLDr003, ILDt02 and ILDt05 (figures not shown) are generally similar to
427	those for MLDr0125.
428	
429	In February (Fig. 11), positive discrepancies are seen in the Kuroshio Extension and
430	recirculation regions in most of the reanalyses, where common biases are known in coarse

431	resolution models (e.g., Hasumi et al. 2010). Similar positive discrepancies are exhibited in the
432	Gulf Stream recirculation region. Positive discrepancies can also be seen in the Arabian Sea and
433	Bay of Bengal for all the renalyses except G2V3 and ECCO-v4. In addition, in many
434	reanalyses, negative discrepancies are seen in the Southern Ocean (in austral summer) such as
435	reported in several studies (e.g., Gnanadesikan et al. 2006; Noh and Lee 2008). Note that MLDs
436	become larger from ORAS4 to ORAP5 in this region probably by incorporating the effects of
437	the wave breaking and Langmuir circulation (Janssen 2012), although other updates of the
438	system (e.g., horizontal resolution) may also have a contribution. Since the above discrepancies
439	are not seen in the observation-only analyses (EN3v2a and ARMOR3D), these can be
440	considered as weakness of the reanalyses that need to be improved.
441	
442	Negative discrepancies from MILA-GPV are predominant in the North Atlantic Current region
443	for many syntheses including the observation-only analyses. These can be attributed to
444	overestimations of the monthly MLDs by Argo sampling in winter in this region (up to about 50
445	m) indicated by Juza et al. (2012) at least partly. It is considered that, in the subpolar North
446	Atlantic, both limited observations and poor representations by models affect the large ensemble
447	spread of the syntheses which is defined in this study as the standard deviation from the
448	ensemble mean (e.g., Xue et al. 2012). However, since the absolute MLD values are several
449	times larger in this region than in other regions, normalized ensemble spread values larger than
450	0.4 generally occur in limited regions near the coast.
451	
452	Weak negative discrepancies in the tropics for all the syntheses are consistent with our results
453	described in subsection 3.2. Although negative discrepancies in the subarctic North Pacific for
454	ENSMEAN are comparable to those for EN3v2a and ARMOR3D, their amplitudes for the

455individual reanalyses differ greatly: negative discrepancies larger than the ENSMEAN values in 456G2V3, GECCO2, ECCO-NRT, ECDA, K7-ODA, K7-CDA, MOVE-G2 and MOVE-CORE; 457positive discrepancies in C-GLORS, UR025.4 and GloSea5. The overall patterns are similar in C-458GLORS, UR025.4 and GloSea5, suggesting that, in this cluster, the choice of model (NEMO3.2), forcing (ERA-interim (ERAi)) and resolution (Table 2) plays a dominant role in determining the 459460 MLD. Note that horizontal resolution often influences the tuning of parameterizations such as 461 isopycnal diffusivity that is important to the representation of the stratification in the subpolar regions. Although G2V3, ORAS4 and ORAP5 also use the NEMO model and ERA-interim 462 463forcing, a bias correction scheme (Balmaseda et al. 2007) might work to reduce the above 464 positive biases. Note that, although the ERA-interim forcing is also used for ECCO-v4, it is 465 corrected through the 4DVAR approach. Another cluster includes MERRA, ECDA and 466 PEODAS, which commonly use the MOM models and also show similar patterns. Although 467 K7-ODA and K7-CDA also use the MOM3, the assimilation method they use (4DVAR) appears 468 to have stronger effect on the MLD patterns.

469

470 In August (Fig. 12), the large MLDs around the Antarctic Circumpolar Current (ACC) region as 471represented in MILA-GPV are estimated to be smaller in most of the reanalyses. In addition, the 472amplitudes of the discrepancies are remarkably different between the datasets, and hence the 473ensemble spread in this region is relatively large. Improvement in representing MLDs in this 474region is needed in the future. To do so, intercomparisons for sea ice (e.g., Smith et al. 2014) 475and surface flux (e.g., Valdivieso et al. 2014) might indicate important clues in association with 476 deep convection following the sea ice formation by strong cooling. Further observations in the 477Southern Ocean, particularly for the sea ice region, are also important, indicated by large 478differences between MILA-GPV and EN3v2a/ARMOR3D with their signs changing on small

479 scales.

481	Positive discrepancies in the mid-latitude South Pacific and Atlantic seen in C-GLORS,
482	UR025.4 and GloSea5 (Fig. 12) are similar to those in the North Pacific in boreal winter (Fig.
483	11). In the South Indian Ocean, positive discrepancies are also seen in most of the reanalyses,
484	which can be attributed partly to a weak representation of the Agulhas retroflection in coarse
485	resolution models as reported by previous studies (e.g., Morioka et al. 2012). Such difficulty in
486	representing the MLD variability is also seen in several reanalyses in the confluent region
487	between the Brazil and Malvinas Currents. The above limitations are also found in the
488	intercomparison of the salinity fields (Alves et al. 2014).
489	
490	Negative discrepancies in the western tropical Pacific are observed in several reanalyses.
491	Previous studies have reported that precipitation inputs derived from atmospheric reanalysis
492	datasets are much larger than satellite-based estimates in this region (Iwasaki et al. 2014). On
493	the other hand, evaporation for OGCMs is usually estimated from the bulk formula by using
494	simulated SST as in the reanalyses here. The model experiment using the above freshwater
495	fluxes often generates a too strong halocline, thereby leading to negative MLD biases.
496	Assimilation of the recent sea surface salinity observations from satellites is likely to reduce
497	these biases (e.g., Köhl et al. 2014, Toyoda et al. 2015). Furthermore, better results from the
498	reanalyses with ocean-atmosphere coupled models (ECDA and MOVE-C) suggest an advantage
499	of these approaches (e.g., Fujii et al. 2009) as they may eliminate some of the uncertainties
500	associated with precipitation forcing from atmospheric analysis. Obviously, further validation
501	studies for the freshwater fluxes reproduced or corrected in the reanalyses are necessary. This
502	would be addressed in the ORA-IP.

504	The skillful reproduction of the interannual variability in the ENSMEAN MLDr0125 field is
505	demonstrated in subsection 3.4. Here, we intercompare the correlation of the individual
506	reanalyses with ENSMEAN. Figure 13 shows the distributions of correlation coefficients for the
507	interannual anomalies of MLDr0125 between ENSMEAN and the individual
508	reanalyses/syntheses. Correlation is low at high latitudes as seen for the observation-only
509	analyses (Fig. 9e). At low and mid-latitudes, relatively large correlation can be seen in ORAS4
510	and MOVE-G2. Since both reanalyses use coarse resolution (about 1°) and the 3DVAR method,
511	higher resolution or sophisticated assimilation method does not always improve the
512	reproduction of the interannual variability. Relatively small correlation coefficients are seen in
513	the Kuroshio Extension, Gulf Stream, Agulhas retroflection and Malvinas Current regions,
514	where discrepancies in mean values from MILA-GPV are also large (Fig. 11, 12). In the regions
515	relevant to El Nino and Southern Oscillation and the Pacific decadal oscillation (e.g., Mochizuki
516	et al., 2010), the correlation coefficients are relatively large for most of the reanalyses, which
517	encourage possible applications of the reanalysis datasets to the studies on these oscillations.
518	
519	For both observation-only analyses (bottom row in Fig. 13), correlation coefficients at mid-
520	latitudes are higher for MLDr0125 than for ILDt05, as seen in Fig. 9e, f, although these are
521	generally smaller than those between ENSMEAN and the reanalyses. As also seen in Fig. 9e,
522	the correlation coefficients for MLDr0125 are lower at low latitudes than at mid-latitudes. It is
523	shown in Fig. 13 that this arises from relatively low values in the regions of low surface salinity
524	(e.g., the Indonesian maritime continent and the region of the Amazon River plume). In
525	particular, the correlation for MLDr0125 between ENSMEAN and ARMOR3D is remarkably
526	low, consistent with the result shown in Fig. 10. Surface salinity observations from satellites

will possibly contribute to the improvements of the analyses in these regions (e.g., Toyoda et al.,
2015). Also, observations by the mooring array are required to be maintained in order to keep or
enhance the quality of the reanalyses/syntheses.

530

#### 531 **4.2 Barrier layer thicknesses**

532

533The barrier layer is the isothermal layer below the ML that prevents cooling of the ML by entrainment of the underlying waters (e.g., Lukas and Lindstrom 1991). Therefore, the barrier 534layer thickness (BLT), which is usually deduced from the MLD and ILD, is an important 535536parameter for the surface heat budget in climate studies. In this subsection, BLTs from the 537syntheses are examined to evaluate the integrated reproduction of the MLDs and ILDs. BLT is 538defined in this study as difference between MLDr0125 and ILDt05 only when ILDt05 is larger 539than MLDr0125 (e.g., Maes et al. 2006). 540541Figure 14 shows the distributions of the BLTs from the syntheses. Results are only displayed for 542low- and mid-latitudes because of the issues with ILDs at high latitudes (subsection 3.3). Large 543BLTs present in the western equatorial Pacific and Atlantic and the north-eastern Indian Ocean 544are represented in MILA-GPV, EN3v2a and ARMOR3D. BLTs in the western Pacific and 545eastern Indian Oceans are larger in EN3v2a and ARMOR3D than in MILA-GPV. In objective 546analyses, a large zonal correlation scale may be used owing to fast wave speeds in the tropics. On the other hand, a recent study using the Argo data that the barrier layer develops and ceases 547548on a rather shorter time scale (Katsura and Oka 2014). This fact implies the presence of smaller-549scale correlations there due to a confined distribution of intense BLTs as in MILA-GPV.

550

551	In addition to the formation of BLTs associated with excess precipitation (and also river
552	discharge) over evaporation in the tropics, another generating mechanism associated with
553	subduction of the salty subtropical waters can be seen around the boundaries between tropics
554	and subtropics. For example, pronounced BLTs around $10^{\circ}$ N - $20^{\circ}$ N in the North Pacific are
555	thought to come from both the North Pacific tropical water (e.g., Suga et al. 2000) and eastern
556	subtropical mode water (e.g., Toyoda et al. 2004). These BLTs are greater in ARMOR3D than in
557	MILA-GPV and EN3v2a in both North and South Pacific. This might result from the use of
558	vertical modes in synthesizing satellite data (e.g., SLA and SST) as well as in-situ TS profiles in
559	ARMOR3D (Table 1).
560	
561	The reanalyses basically reproduce the above features of the BLT distribution seen in the
562	observational datasets. For example, the important aspects of BLTs in the western tropical
563	Pacific are well represented in ENSMEAN, comparable to MILA-GPV in a quantitative sense.
564	Note that BLTs are relatively small in GECCO2, ECCO-NRT and K7-ODA, which all use the
565	smoother approaches (e.g., 4DVAR and KS). This suggests that the control of the salinity field
566	(such as by adjusting the surface freshwater fluxes) is still challenging in the smoother
567	approach. In contrast, BLTs in the coupled reanalyses (ECDA, K7-CDA and MOVE-C) are
568	quantitatively comparable to those in MILA-GPV and ENSMEAN, which is encouraging for
569	the use of coupled models.
570	
571	
572	5 Summary and discussion
573	

574 In the present study, we have investigated the fidelity of a suite of global ocean synthesis

575products in representing the MLD/ILD fields which are recognized as an important element in 576the ocean circulation system. These syntheses, including 2 observation-only analyses and 17 577reanalyses which assimilate data into models, have been provided by operational and research 578centers as an international action of the ORA-IP (Balmaseda et al. 2014). First, we compared the 579observation-only analyses with reference datasets (de Boyer Montégut et al. 2004; Hosoda et al. 5802010) that determined MLD directly from individual TS profiles. The purpose is to investigate 581the errors in estimating MLDs/ILDs unrelated to model errors. Negative biases are seen in the MLDs/ILDs from monthly mean and gridded TS profiles of the above syntheses with respect to 582583those from individual profiles as reported by de Boyer Montégut et al. (2004). It is revealed that 584these underestimations from the averaging procedure of profiles are associated with a rapid re-585stratification process to the relatively deep ML state in early spring and estimated to be 586approximately 10-20 m. In addition, negative biases are generated depending on the vertical resolutions of profiles, which are distributed more broadly in time and space. When the criterion 587588 $\Delta \rho = 0.03 \text{ kg m}^3$  is used, the underestimations from this effect are estimated as 5-7 (14-16) m 589for the vertical resolution  $\Delta z = 25$  (50) m. On the other hand, they are generally much smaller in case of the larger criterion  $\Delta \rho = 0.125$  kg m<sup>-3</sup>. Furthermore, considerable overestimations 590591(greater than 100 m) of the wintertime ILDs from the monthly mean profiles are seen in 592subpolar regions in association with the mesothermal structure.

593

594 Discrepancies between the ensemble mean obtained from the 17 sets of reanalyses and the 595 reference datasets are noticeably smaller than the observation-only analyses in many regions 596 where model errors in the individual reanalyses are mutually canceled out through the ensemble 597 averaging approach. This can be attributed mainly to the higher vertical resolutions of the 598 reanalyses in reproducing the MLDs as well as the large ensemble size. The results (e.g., Fig. 1)

show, on the other hand, that there exist a few regions where model errors are not canceled by the ensemble mean (such as the Kuroshio Extension and ACC regions). Such common model errors possibly arise from the coarse horizontal resolutions as reported in previous studies (e.g., Hasumi et al. 2010). Interannual variability is better represented in both the analyses using all available TS observations (including Argo data) and the ensemble mean of the reanalyses than the analysis using the Argo data only, especially when the larger values are used for the criteria (Δρ = 0.125 kg m<sup>-3</sup> and ΔT = 0.5 °C).

606

607 Differences in the individual syntheses were then assessed by intercomparing the winter and 608 summer MLDs/ILDs together with the BLTs. The result shows that, in addition to the consistent 609 features between the reanalyses, differences can also be seen depending on the configurations of 610 the reanalyses. Features seen in the reanalyses with similar configurations offer interesting 611 information toward the improved reanalyses (e.g., the change in mixing parameterization from 612ORAS4 to ORAP5) and also suggests a limit to the effectiveness of the ensemble mean 613 approach if similar reanalyses are included. At high latitudes, consistency among all the 614 observational and reanalysis datasets is relatively low in terms of both the seasonal cycle and 615 interannual variability. Therefore, observational studies that fully describe the high-latitudinal 616 variability are required as well as further improved modeling and assimilation techniques 617 toward the enhanced syntheses.

618

619 Although MLD/ILD data themselves are not assimilated in the syntheses here, the estimated

620 MLDs/ILDs are primarily influenced by the assimilated TS profile data. Therefore, regarding

621 the observational MLDs/ILDs as independent references might yield little extra effect. In

622 addition, smaller biases in a product do not necessarily indicate its superiority in other aspects.

623	Their magnitude depends on how strongly the observations of TS are used to constrain the
624	model locally in time and space. For example, the smoother approach does not insert local TS
625	corrections but tends to retain the model dynamics while trying to fit the model to the data,
626	which makes an MLD representation close to the observational data rather challenging. Hence,
627	it is important to make an accurate assessment of each synthesis from various aspects,
628	particularly with independent data. However, taking into consideration the fact that the MLD is
629	a key parameter in determining the upper ocean processes, which greatly influences other
630	variables, the validation and intercomparison of the MLD fields of the syntheses in this study
631	are of value for the communities of both model developers and users. In particular, the robust
632	reproduction of both the seasonal cycle and interannual variability of MLD by the ensemble
633	mean of the renalyses indicates a great potential of the ensemble mean MLD field for better
634	investigating and monitoring the upper ocean processes, together with other intercomparison
635	results such as for the surface forcing and heat content variability (e.g., Palmer et al. 2014).
636	Information on uncertainty derived from the ensemble spread should allow further quantitative
637	discussion for results derived from the ensemble mean (e.g., Xue et al. 2012).
638	
639	
640	Acknowledgements
641	
642	We thank two anonymous reviewers for their constructive comments. The MILA-GPV dataset
643	(Hosoda et al. 2010) is provided by the RCGC/JAMSTEC from their web site at
644	http://www.jamstec.go.jp/ARGO/argo_web/MILAGPV/. The MLD dataset of de Boyer

- 645 Montégut et al. (2004) is obtained from his web site at
- 646 http://www.ifremer.fr/cerweb/deboyer/mld/home.php. The Argo float data are provided by the

647	NODC/NOAA at their web site http://www.nodc.noaa.gov/OC5/WOD13/. This work was partly
648	supported by the Research Program on Climate Change Adaptation (RECCA) of the Ministry of
649	Education, Culture, Sports, Science and Technology of the Japanese government (MEXT), by
650	the Data Integration and Analysis System (DIAS) of the MEXT, by the joint UK DECC/Defra
651	Met Office Hadley Centre Climate Programme (GA01101), by the UK Public Weather Service
652	Research Programme, and by the European Commission funded projects MyOcean (FP7-
653	SPACE-2007-1) and MyOcean2 (FP7-SPACE-2011-1). During the preparation of this article,
654	our co-author Nicolas Ferry passed away. He was an active and supportive member of the ORA-
655	IP and CLIVAR-GSOP activities.
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# **Figure captions**



Fig. 1 Zonal mean monthly distributions of MLDr003, MLDr0125, ILDt02 and ILDt05



869 GPV, normalized by the MILA-GPV values.



Fig. 2 (a, b) Zonal mean monthly differences MLDr003m–MLDr003i (a) and

873 MLDr0125m–MLDr0125i (b) estimated from MOVE-G2 during the 2001-2012 period. (c, d)

874 Distributions of MLDr0125m–MLDr0125i in April-May (c) and November-December (d).

875



Fig. 3 Zonal mean monthly differences between MLDs from smoothed and unsmoothed TS

profiles during the 2001-2012 period in the MOVE-G2 experiment. (a)

879 MLDr003m\_3x3-MLDr003m\_1x1. (b) MLDr003m\_5x5-MLDr003m\_1x1. (c, d) Same as (a,

b) but for MLDr0125m.



**Fig. 4** Vertical resolution of the syntheses in the ORA-IP.



885

Fig. 5 Schematic illustrations of the MLD estimation for (a) high resolution and (b, c) low
resolution cases. MLDs are estimated from a common vertical profile of potential density (black

888 line) by using linearly interpolated values between the vertical grids. (d) A simplified sketch

showing relationship between error in the MLD estimation and vertical level spacing.



Fig. 6 (a, b) Zonal mean expected values for the underestimation associated with limited vertical resolution of profiles in the MLD estimation by using the criteria,  $\Delta \rho = 0.03$  (a) and  $\Delta \rho =$ 0.125 kg m<sup>-3</sup> (b). Green, blue and red lines denote the vertical resolutions of  $\Delta z = 10, 25$  and 50 m, respectively. (c) Distribution of the errors when  $\Delta \rho = 0.03$  kg m<sup>-3</sup> and  $\Delta z = 50$  m.  $\frac{\partial \rho}{\partial z}$ values at the ML bottom are calculated from the individual Argo profiles over the 2000-2012 period and averaged onto monthly and 1 degree by 1 degree bins for use in Eq. (1). Units are in meter.



901 Fig. 7 ILDt05 distributions in March in the North Pacific basin averaged over 2001-2011 for (a)





905 Fig. 8 Time evolution of the vertical temperature profile in the subpolar North Pacific at 180°E,

906 45°N in 2001 represented (a) by snapshot data with an interval of the model time step (20

907 minute) and (b) by monthly mean data. Both time series are derived from the MOVE-G2

908 product. Red (yellow) line indicates ILDt05s calculated from the former (latter) data.



911 Fig. 9 Zonal mean correlation coefficients of monthly interannual anomalies during 2005-2010
912 (defined in this study as monthly data over 6 years with the mean seasonal cycle for this period

913 removed), (a-d) among MILA-GPV, EN3v2a and ARMOR3D and (e, f) between ENSMEAN

and MILA-GPV/EN3v2a/ARMOR3D, for (a) MLDr003, (b) ILDt02, (c, e) MLDr0125 and (d,

- 915 f) ILDt05. The period was chosen because all datasets are available during this period.
- 916



918 Fig. 10 Time series of MLDr0125s (a) and ILDt05s (b) averaged over the western Pacific warm

- 919 pool region (150°E-180°, 5°S-5°N) for MILA-GPV, EN3v2a, ARMOR3D and ENSMEAN
- 920 (black, blue, green and red lines, respectively). 5-month running mean values are plotted.

921





923 Fig. 11 Distributions of MLDr0125 in February averaged over 2001-2011 for (top row from

- 924 left) MILA-GPV, differences between MILA-GPV and either EN3v2a, ARMOR3D or
- 925 ENSMEAN (e.g., EN3v2a–MILA-GPV), absolute and normalized (by the MILA-GPV values)
- 926 ensemble spread of the reanalyses and (others) differences between MILA-GPV and the
- 927 individual reanalyses.



930 Fig. 12 Same as Fig. 11 but for August.



- 933 **Fig. 13** Distributions of correlation coefficients for the interannual anomalies of MLDr0125
- 934 over the 2001-2011 period between ENSMEAN and the individual reanalyses (1st-3rd rows)
- and between ENSMEAN and EN3v2a/ARMOR3D (for both MLDr0125 and ILDt05; bottom
- 936 row).
- 937



939 Fig. 14 Distributions of BLT averaged over 2001-2011. BLT value averaged over the western

- 940 equatorial Pacific (150°E-170°E, 5°S-5°N; white box in the right bottom figure) is indicated in
- 941 each figure.

Table 1 Description of the observation-only analyses in the ORA-IP

Name	Center	Resolution	Assimilationed data	Reference
EN3v2a	UKMO	1°, 301v	TS	Ingleby and Huddleston (2007)
ARMOR3D	CLS	1/3°, 331v	TS/SLA/SST	Guinehut et al. (2012)

 Table 2 Description of the reanalysis products in the ORA-IP

Name	OGCM	Forcing	ML model	Assimilation data	Assimilation	Reference
(Center)	Resolution				Method	
G2V3	NEMO3.1	ERAi	Blanke and	TS/SLA/SST/SIC	KF, 3DVAR,	Ferry et al. (2012)
(Mercator Océan)	1/4°, 751v	corrected	Delecluse (1993)		Bias,FGAT,IAU	
C-GLORS	NEMO3.2	ERAi	Blanke and	TS/SLA/SST/SIC	3DVAR, FGAT	Storto et al. (2011)
(CMCC)	1/2°, 501v	corrected	Delecluse (1993)			
UR025.4	NEMO3.2	ERAi	Blanke and	TS/SLA/SST/SIC	OI, FGAT, IAU	Haines et al. (2012)
(U-Reading)	1/4°, 751v		Delecluse (1993)			
GloSea5	NEMO3.2	ERAi	Blanke and	TS/SLA/SST/SIC	3DVAR, FGAT,	Blockley et al.
(UKMO)	1/4°, 751v		Delecluse (1993)		IAU	(2013)
ORAS4	NEMO3.0	ERA40,	Blanke and	TS/SLA/SST	3DVAR, FGAT,	Balmaseda et al.
(ECMWF)	1°x(0.3-1)°, 42lv	ERAi	Delecluse (1993)		Bias, IAU	(2013)
ORAP5	NEMO3.4	ERAi	Blanke and	TS/SLA/SST/SIC	3DVAR, FGAT,	Zuo et al. (2014)
(ECMWF)	1/4°, 751v		Delecluse (1993)		Bias, IAU	
GECCO2	MITgcm	NCEP-R1	Large et al. (1994)	TS/SLA/MDT/	4DVAR	Köhl (2014)
(U-Hamburg)	1°x(1/3-1)°, 50lv	corrected		SST		

MERRA (Ocean)	MOM4	Merra	Large et al. (1994)	TS/SLA/SST/SIC	EnOI	Vernieres et al.
(GSFC/NASA/GMAO)	1/2°x(1/4-1/2)°, 401v					(2012)
ECCO-NRT	MITgcm	NCEP-R1	Large et al. (1994)	T/SLA	KF, KS	Fukumori (2002)
(JPL/NASA)	1°x(0.3-1)°, 46lv	corrected				
ECCO-v4	MITgcm	ERAi	Large et al. (1994)	TS/SLA/SST/SIC	4DVAR	Wunsch and
(JPL/MIT/AER)	0.4°x(0.4-1)°, 50lv	corrected				Heimbach (2013)
ECDA	MOM4 coupled	Coupled	Large et al. (1994)	TS/SST	EnKF	Chang et al. (2013)
(GFDL/NOAA)	1°x(0.3-1)°, 50lv					
PEODAS	MOM2	ERA40,	Chen et al. (1994)	TS/SST	EnKF	Yin et al. (2011)
(BOM)	2°x(0.5-1.5)°, 25lv	NCEP-R2				
K7-ODA (ESTOC)	MOM3	NCEP-R1	Large et al. (1994)	TS/SLA/SST	4DVAR	Masuda et al.
(RCGC/JAMSTEC)	1°, 451v	corrected				(2010)
K7-CDA	MOM3 coupled	Coupled	Noh et al. (2005)	TS/SLA/SST	4DVAR	Sugiura et al.
(CEIST/JAMSTEC)	1°, 451v					(2008)
MOVE-G2	MRI.COM3	JRA55	Noh et al. (2005)	TS/SLA/SST	3DVAR, FGAT,	Toyoda et al.
(MRI/JMA)	1°x(0.3-0.5)°, 53lv	corrected			IAU	(2013)
MOVE-CORE	MRI.COM3	CORE2	Umlauf and	TS	3DVAR, IAU,	Danabasoglu et al.
(MRI/JMA)	1°x0.5°, 511v		Burchard (2003)		Bias	(2013)
MOVE-C	MRI.COM2	Coupled	Noh et al. (2005)	TS/SLA/SST	3DVAR, IAU,	Fujii et al. (2009)
(MRI/JMA)	1°x(0.3-1)°, 50lv				Bias	

Abbreviations: MDT (mean dynamic topography), KF (Kalman filter), 3DVAR (3 dimensional variational method), Bias (one-step bias-correction algorism), FGAT (first guess at appropriate time), 4DVAR (4 dimensional variational method), EnOI (ensemble optimal interpolation), KS (Kalman smoother), EnKF (ensemble KF), IAU (incremental analysis updates)

Synthesis	Variables	Duration	Contact parson
EN3v2a	All	1993-2011	S. Good
ARMOR3D	All	1993-2010	S. Guinehut
G2V3	All	1993-2011	F. Hernandez
C-GLORS	All	1991-2011	A. Storto
UR025.4	MLDr003, MLDr0125, ILDt05	1993-2010	M. Valdivieso
GloSea5	All	1993-Jul. 2012	M. Martin
ORAS4	All	1958-2011	M. Balmaseda
ORAP5	All	1993-2012	H. Zuo
GECCO2	All	1948-Nov. 2011	A. Köhl
MERRA	MLDr0125, ILDt05	1993-2011	G. Vernieres
ECCO-NRT	MLDr0125, ILDt05	1993-2011	O. Wang
ECCO-v4	MLDr0125, ILDt05	1992-2010	X. Wang
ECDA	All	2005-2011	YS. Chang
PEODAS	All	1980-2012	O. Alves
K7-ODA	All	1975-2011	S. Masuda
K7-CDA	All	2000-2006	Y. Ishikawa
MOVE-G2	All	1993-2012	T. Toyoda
MOVE-CORE	All	1948-2007	Y. Fujii
MOVE-C	All	1950-2011	Y. Fujii

Table 3 Variables and duration available for the ORA-IP and contact parson

Durations submitted to the ORA-IP are sometimes shorter than those of the original reanalyses