

Social and ecological drivers of success in agri-environment schemes: the roles of farmers and environmental context

Article

Accepted Version

McCracken, M. E., Woodcock, B. A., Lobley, M., Pywell, R. F., Saratsi, E. ORCID: https://orcid.org/0000-0001-5917-6463, Swetnam, R. D., Mortimer, S. R. ORCID: https://orcid.org/0000-0001-6160-6741, Harris, S. J., Winter, M., Hinsley, S. and Bullock, J. M. (2015) Social and ecological drivers of success in agri-environment schemes: the roles of farmers and environmental context. Journal of Applied Ecology, 52 (3). pp. 696-705. ISSN 0021-8901 doi: https://doi.org/10.1111/1365-2664.12412 Available at https://centaur.reading.ac.uk/40882/

It is advisable to refer to the publisher's version if you intend to cite from the work. See <u>Guidance on citing</u>. Published version at: http://www.journalofappliedecology.org To link to this article DOI: http://dx.doi.org/10.1111/1365-2664.12412

Publisher: Wiley-Blackwell

All outputs in CentAUR are protected by Intellectual Property Rights law, including copyright law. Copyright and IPR is retained by the creators or other copyright holders. Terms and conditions for use of this material are defined in the <u>End User Agreement</u>.



www.reading.ac.uk/centaur

CentAUR

Central Archive at the University of Reading

Reading's research outputs online

1	Social and ecological drivers of success in agri-environment schemes: the
2	roles of farmers and environmental context
3	
4	Morag E. McCracken ¹ , Ben A. Woodcock ¹ , Matt Lobley ² , Richard F. Pywell ¹ , Eirini Saratsi ² ,
5	Ruth D. Swetnam ^{1,3} , Simon R. Mortimer ⁴ , Stephanie J. Harris ⁴ , Michael Winter ² , Shelley
6	Hinsley ¹ , and
7	James M. Bullock ¹ *.
8	
9	¹ NERC Centre for Ecology and Hydrology, Benson Lane, Wallingford, UK, OX10 8BB
10	² Centre for Rural Policy Research, Department of Politics, University of Exeter, Rennes Drive, Exeter,
11	UK, EX4 4RJ
12	³ Department of Geography, Staffordshire University, Leek Rd, Stoke-on-Trent, UK, ST4 2DF
13	⁴ School of Agriculture, Policy and Development, University of Reading, Earley Gate, Reading, UK, RG6
14	6AR
15	
16	*Corresponding author: James Bullock; NERC Centre for Ecology and Hydrology, Benson
17	Lane, Wallingford, UK, OX10 8BB; jmbul@ceh.ac.uk; +44 (0)7824 460866
18	
19	Running title: The success of agri-environment schemes
20	
21	Word count: 7,007
22	
23	Number of: Tables 2; Figures 2: References 40
24	

25 Summary

Agri-environment schemes remain a controversial approach to reversing biodiversity
 losses, partly because the drivers of variation in outcomes are poorly understood. In
 particular, there is a lack of studies that consider both social and ecological factors.

29 2. We analysed variation across 48 farms in the quality and biodiversity outcomes of 30 agri-environmental habitats designed to provide pollen and nectar for bumblebees and 31 butterflies or winter seed for birds. We used interviews and ecological surveys to gather 32 data on farmer experience and understanding of agri-environment schemes, and local and 33 landscape environmental factors.

34 3. Multimodel inference indicated social factors had a strong impact on outcomes and 35 that farmer experiential learning was a key process. The quality of the created habitat was 36 affected positively by the farmer's previous experience in environmental management. The 37 farmer's confidence in their ability to carry out the required management was negatively 38 related to the provision of floral resources. Farmers with more wildlife-friendly motivations 39 tended to produce more floral resources, but fewer seed resources.

40 4. Bird, bumblebee and butterfly biodiversity responses were strongly affected by the 41 quantity of seed or floral resources. Shelter enhanced biodiversity directly, increased floral 42 resources and decreased seed yield. Seasonal weather patterns had large effects on both 43 measures. Surprisingly, larger species pools and amounts of semi-natural habitat in the 44 surrounding landscape had negative effects on biodiversity, which may indicate use by 45 fauna of alternative foraging resources.

Synthesis and application. This is the first study to show a direct role of farmer social
variables on the success of agri-environment schemes in supporting farmland biodiversity.
It suggests that farmers are not simply implementing agri-environment options, but are

learning and improving outcomes by doing so. Better engagement with farmers and working with farmers who have a history of environmental management may therefore enhance success. The importance of a number of environmental factors may explain why agrienvironment outcomes are variable, and suggests some – such as the weather – cannot be controlled. Others, such as shelter, could be incorporated into agri-environment prescriptions. The role of landscape factors remains complex and currently eludes simple conclusions about large-scale targeting of schemes.

- 56
- 57 Keywords: birds; bumblebees; butterflies; experiential learning; farmer; farmland; habitat
- 58 quality; interdisciplinary; landscape; multimodel inference

59 Introduction

Agri-environment schemes offer farmers financial incentives to adopt wildlife-friendly 60 management practices, and are implemented in several parts of the world with the goal of 61 reversing biodiversity losses (Baylis et al. 2008; Lindenmayer et al. 2012). These schemes are 62 costly – the European Union budgeted €22.2bn for the period 2007–2013 (EU 2011) – and 63 controversial. Controversy arises because researchers have reported variable success of 64 65 agri-environment schemes in enhancing biodiversity (Kleijn et al. 2006; Batary et al. 2010b). It is clear that well-designed and well-managed options can benefit target taxa. For 66 example, Pywell et al. (2012) found that options designed for birds, bees or plants had 67 increased richness and abundance of both rare and common species. Baker et al. (2012) 68 showed positive effects of options providing winter seed resources on granivorous bird 69 populations. The question therefore arises - what causes variation in the success of agri-70 71 environment schemes?

72

Some options seem to work less well than others. Pywell et al. (2012) demonstrated that 73 general compared to more targeted management had little effect in enhancing birds, bees 74 and plants, while Baker et al. (2012) found that habitats providing breeding season 75 resources for birds were less effective than those supplying winter food. But even within 76 options there is great variation in biodiversity responses (Batary et al. 2010a; Scheper et al. 77 2013). There are several studies of the drivers of agri-environmental success (with success 78 defined variously), but individual projects have looked at only one or a few drivers. In this 79 paper we take a holistic approach by assessing a number of putative social and 80 81 environmental constraints on success; specifically farmer experience and understanding,

landscape and local environment, and the weather. In doing so, we consider success in
terms of both biodiversity outcomes and habitat quality.

84

Social scientists have long considered the role of the farmer in agri-environment schemes, 85 but their questions have tended to focus on why farmers do or do not participate in the 86 87 schemes (Wilson & Hart 2001; Wynne-Jones 2013) or how to change farmer behaviours in 88 relation to environmental management (Burton & Schwarz 2013; de Snoo et al. 2013). There is a consensus that many farmers show limited engagement with the aims of agri-89 environment schemes (Wilson & Hart 2001; Burton, Kuczera & Schwarz 2008), leading to 90 concern that this may jeopardize scheme success (de Snoo et al. 2013). There is, however, 91 92 little direct evidence to link farmer understanding of, and engagement with, agrienvironmental management with biodiversity outcomes on the farm (Lobley et al. 2013). 93 94 Indeed, despite calls for more interdisciplinary social and ecological research into rural land 95 use (Phillipson, Lowe & Bullock 2009) there is little such work in relation to agri-96 environment schemes.

97

Much ecological work has focused on the roles of landscape and local environments in 98 determining biodiversity outcomes. Several studies have shown that the abundance and 99 100 diversity of target species in agri-environment habitats is greater: a) in landscapes with higher target species richness or amount of (semi-)natural habitat; and/or b) where local 101 habitat quality (e.g. food plant diversity) is greater (Carvell et al. 2011; Concepcion et al. 102 2012; Shackelford et al. 2013). While weather conditions are rarely considered, it is likely 103 104 that weather during surveys will affect animal activity and the weather during the preceding 105 seasons will affect local population sizes (Pollard & Moss 1995).

While most studies focus on success in terms of biodiversity outcomes, the farmer can only directly affect the quality of the created habitat. It is therefore useful to consider success in these terms as well. In this paper we derive measures of habitat quality related to the foraging resources made available to the target biota. As well as impacts of the farmer's activities, such quality measures may be affected by local abiotic factors such as soil type, shading and seasonal weather (Myers, Hoksch & Mason 2012).

113

Putting these social and ecological factors together, we hypothesize that the richness and 114 abundance of target taxa using agri-environment habitats are increased where: the 115 116 landscape contains more target species and semi-natural habitat, the quality of the created habitat is higher and when weather conditions during the season and the survey period are 117 118 more optimal for these taxa. We expect local habitat quality to be important and 119 hypothesize that this is in turn affected by the farmer's experience in, and understanding of, agri-environmental management, as well as local abiotic environmental factors. We 120 consider these hypotheses for agri-environment options developed to provide resources for 121 122 key declining taxa of the farmed environment: pollen and nectar for bees and butterflies; 123 and winter food for granivorous farmland birds.

124 Materials and methods

125 STUDY SITES AND AGRI-ENVIRONMENT OPTIONS

We assessed the success of two options available to arable farmers under the English Entry 126 127 Level agri-environment scheme (ELS), which involve sowing selected plant species in 6 m wide strips at field edges. The Nectar Flower Mixture option NFM ('EF4' under ELS; Natural 128 129 England (2013)) uses a mixture of at least three nectar-rich plant species to support nectar-130 feeding insects, specifically bumblebees and butterflies. The Wild Bird Seed Mixture WBM 131 ('EF2' under ELS) requires at least three small-seed bearing plant species to be sown, and is designed to provide food for farmland birds, especially during winter and early spring (see 132 133 Appendix S1 in Supporting Information for more detail). We assessed NFM and WBM 134 because they had specific success criteria, in terms of the taxa targeted (Pywell *et al.* 2012).

135

136 We selected 48 arable or mixed farms that had NFM or WBM strips sown between autumn 137 2005 and autumn 2006. To represent a range of English farming landscapes, 24 farms were in the east (Cambridgeshire and Lincolnshire), which is flat with large arable fields, and 24 in 138 139 the south-west (Wiltshire, Dorset, Devon & Somerset), which is more hilly, with smaller fields and more mixed arable and grass farms. Half of the farms in each region had NFM 140 options and half WBM. All farms had a minimum of two fields with the relevant ELS option. 141 142 The farms were selected: a) first by Natural England – the statutory body that manages ELS 143 - examining their GENESIS database for farms meeting the required geographic, date and ELS option criteria; and then b) by contacting farmers until sufficient had been found that 144 145 were willing to take part.

146

147 FARMER INTERVIEWS

Semi-structured interviews were conducted in 2007 with all farmers. The interviews were 148 designed to explore farmer attitudes towards, and history of, environmental management 149 and their perceptions and understanding of the management requirements for NFM or 150 WBM. Lobley et al. (2013) analysed these interviews, and we used them to calculate three 151 152 measures of farmer attitudes to, and engagement with, agri-environment schemes. "Experience" describes, on a four point scale, the farmer's history of environmental 153 management both formally as part of a scheme and informally: some had long-lasting and 154 frequent engagement (4); others less frequent engagement (3); while some had limited 155 experience, perhaps undertaking a single project (2); and some had no previous 156 157 engagement (1).

158

"Concerns" represents farmer statements about their perceptions as to how easy it would 159 160 be to meet the stipulations for creating and managing the habitat (e.g. establishing the 161 plants, limiting herbicide use, cutting requirements). Responses to each requirement were scored 1 (very difficult) to 5 (easy), and a mean score across requirements was derived for 162 Finally, "Motivation" categorized the farmers in terms of their stated 163 each farmer. motivation for where they placed the strips on the farm, from more wildlife-focused to 164 more utilitarian. The three categories were: 1) the best for wildlife, 2) to fit in with farming 165 166 operations, or 3) simply to fulfill ELS requirements. Spearman rank correlations across the 48 farms indicated that these measures were independent of each other. We did not 167 consider the influence of farmer demographic variables (e.g. age or education) as these 168 have a complex relationship with environmental behaviours (Burton 2014). 169

170

171 ECOLOGICAL SURVEYS

Ecological surveys were carried out in 2007 and repeated in 2008. Three strips - or two if 172 173 there were no more – were surveyed on each farm and parallel measures were made in a nearby 'control' cropped area at a field edge and of equivalent size, shape and aspect. A 174 shelter score (0–8) was calculated, which represented the number of directions in which the 175 176 strip was protected by hedges, etc (Dover 1996). We obtained data from national sources further describing the physical environment of each strip: the Agricultural Land Classification 177 ALC, which grades land from 1–5 according to its agricultural quality; and the soil type, 178 179 which we classified into light, medium or heavy soils (see Appendix S2).

180

For NFM strips we counted the number of flower units (i.e. a single flower, a multi-flowered 181 stem or an umbel; Heard *et al.* (2007)) and identified these to species in five 1 m² quadrats 182 at 10 m intervals along two parallel 50 m transects during July and again in August (for later 183 184 emerging species). Bumblebees (as colour groups, e.g. Heard et al. (2007) - for brevity we 185 refer to these as species) and butterflies (to species) were surveyed along these transects by recording those foraging within a 4 m band centred on the transect. Insect surveys were 186 carried out between 10.30 h and 17.00 h during dry weather at temperatures >16 °C, and 187 weather conditions - air temperature and wind speed (from 0=calm to 5=strong breeze) -188 189 were recorded.

190

For WBM strips, we estimated the seed resource by gathering all seeds from each sown species in three 1 m² quadrats at 10 m intervals along two parallel 50 m transects in September. Samples were stored at -20 °C in the dark until processing, at which time the seeds were separated from other plant material, dried at 80 °C for 24 hr and weighed. Bird use of the whole strip was monitored in November, January and February, during weather

conducive to bird activity (e.g. avoiding rain or high winds). Timed bird counts were made
from a distance and then all birds were flushed (Hinsley *et al.* 2010).

198

199 LANDSCAPE AND SEASONAL WEATHER VARIABLES

200 To describe the landscape context of each farm, land cover was mapped in a 4 x 4 km 201 square centred on each farm using Google Earth and the CEH Land Cover Map 2007. We 202 used this single square size and a single landscape measure – the percentage cover of semi-203 natural habitats (grassland, woods, heaths, etc) - to avoid type 1 errors and highly correlated variables. This scale encompasses foraging distances of the target taxa (e.g. 204 Osborne et al. 2008), although the exact scale used was probably unimportant as 205 206 differences among farms in % semi-natural cover were very similar for 2 x 2 km and 4 x 4 km squares (correlation coefficient = 0.81). Species pools were estimated from national 207 208 datasets of species lists mapped on a 10 x 10 km grid (Appendix S2). The grid square 209 overlapping the centre point of each farm was interrogated for species lists of: butterflies 210 for the period 2005–2009; granivorous birds during the winter for 2007–2011; and bumblebees from 2000–2010. 211

212

Daily weather data through 2007 and 2008 were obtained from the British Atmospheric Data Centre for the weather station closest to each farm. Daily maxima or minima were averaged across specific seasons (winter = December–February, etc) according to hypotheses about how weather would affect certain response variables (e.g. winter bird numbers would be affected by winter minimum temperatures).

218

219 STATISTICAL ANALYSES

We analysed the success of NFM and WBM habitats in terms of: a) biodiversity responses 220 and b) habitat quality in terms of resources for the target taxa. For a), we considered the 221 number and species richness of butterflies, bumblebees and granivorous birds. Number was 222 the sum across the multiple surveys in a year, and species the total seen across the surveys. 223 For b), we considered the number and species richness of flowers (mean across the 224 quadrats and surveys) and seed weight (mean across quadrats). Determinants of success 225 226 were analysed using general linear mixed models in R (R Core Development Team 2008) 227 using the 'glme' function of the lme4 package (Bates 2010). The nine response variables were tested against subsets of continuous and categorical explanatory variables ('fixed 228 effects': Tables 1, 2), which were selected to reflect our hypotheses about the roles of 229 farmer and environmental factors. Note that because we included 'region' as a separate 230 factor, any effects of other variables do not reflect differences between the south-western 231 232 and eastern regions.

233

234 In addition to these fixed effects, year was treated as a repeated measure by nesting it as a random effect within a subject factor describing the smallest sampling unit, i.e. the 235 individual strip. To account for additional random effects, replicate strips were nested 236 within farm, allowing analysis of factors at both the farm and the strip scale (Table 1). All 237 238 data were counts and were modelled using a Poisson error term with a log link function, with the exception of seed weight, which was ln(n+1) transformed and modelled with 239 normal errors. When used as explanatory variables, seed weight and flower numbers were 240 ln(n+1) transformed. For the analysis of seed weight responses, four outlier values (>1000) 241 mg) were removed to improve model fit and ALC was excluded as performance of the mixed 242 243 models showed it to be strongly collinear with other explanatory variables. Because birds

were surveyed over the whole strip we considered strip area in preliminary analyses, but this was collinear with other factors and had low importance and so was excluded from the full analyses.

247

We used multimodel inference, which allowed us to consider competing models and moderately collinear variables (Burnham & Anderson 2002; Freckleton 2011). For each response variable, models representing all possible combinations of the fixed effects (excluding interactions), including a null model and a saturated global model, were created and the *AIC* difference (Δ_i) was calculated as:

$$253 \qquad \Delta_i = AIC_i - AIC_{\min},$$

where AIC_{min} is the lowest value of any model, and AIC_i is the model-specific value. Following Burnham and Anderson (2002), models with $\Delta_i < 4$ were considered to form a set that best explained variation. For this subset of *R* models, Akaike weights (*w_i*) were derived:

257
$$w_i = \frac{\exp\left[-\frac{1}{2}\Delta_i\right]}{\sum_{r=1}^{R} \exp\left[-\frac{1}{2}\Delta_r\right]}$$

where w_i represents the probability that model *i* would be the best fitting if the data were 258 collected again under identical conditions. The relative importance of individual variables 259 260 can be calculated as the w_i of all models within the $\Delta_i < 4$ subset sums to 1. The importance of individual fixed effects was assessed by summing the w_i values of all models containing 261 that explanatory variable within the subset using the 'MuMIn' package (Bartoń 2013). As 262 263 many variables were modelled, we focused subsequently on the most frequently-included variables with an importance ≥ 0.4 (all included variables are given in Tables 1, 2). Parameter 264 265 estimates were weighted by w_i and averaged across all models. Following Symonds and

266 Moussalli (2011) we calculated the marginal R^2 value for the global model to indicate 267 goodness of fit.

- 268
- 269 Results

270 The ELS strips were successful in that they had more target species and resources than the paired control (crop) strips. Generalized linear mixed models using Poison errors and pairing 271 272 ELS and control strips showed the former had higher bumblebee numbers (mean per strip, 273 per year 10.6 vs. 0.3; F_{1,242} = 686, P<0.001) and species (2.0 vs. 0.1; F_{1,242} = 91, P<0.001), butterfly numbers (6.1 vs. 0.6; $F_{1,242}$ = 346, P<0.001) and species (2.2 vs. 0.5; $F_{1,242}$ = 75, 274 P<0.001), flower numbers (672 vs. 71; F_{1,242} = 39676, P<0.001), granivorous bird numbers 275 (63 vs. 1.7; F_{1,230} = 2946, P<0.001) and species (4.4 vs. 1.1; F_{1,230} = 150, P<0.001), and seed 276 weight (124 vs. 0 g; *F*_{1,230} = 2629, *P*<0.001). 277

278

279 BIODIVERSITY OUTCOMES

The agri-environment strips had a wide range of bumblebee numbers (per strip, per year; 0-280 97) and species (0-6), butterfly numbers (0-50) and species (0-8), and granivorous bird 281 numbers (0-485) and species (0-13). The global models explained variation in each 282 response quite well ($R^2 = 0.28-0.68$), and to a similar extent to other large-scale agro-283 ecology studies (Gabriel et al. 2010). The most important explanatory variables were those 284 describing the local environment (Table 1). Bumblebees, butterflies and birds were more 285 abundant and diverse in strips which had more abundant and diverse flowers or a greater 286 seed mass (Fig. 1), and in strips which were more sheltered. Weather conditions during the 287 288 survey had generally minor importance, which may be because the surveys were done 289 during a narrow set of benign conditions. Unsurprisingly, farmer social variables had little direct importance for biodiversity measures although there were more bumblebee numbers and species on farms with more experienced farmers, and more butterfly species where farmers placed their strips in locations they considered best for wildlife.

293

Region had contrasting effects, with south-western farms having more bumblebee numbers and species, fewer butterfly numbers and species, and similar bird numbers and species to eastern farms. Landscape factors were often important, in that both the percentage of semi-natural habitat and the size of the species pool had (surprisingly) negative relationships with biodiversity. Bird numbers and species were enhanced under higher winter minimum temperatures, and a similar pattern was seen for insect numbers in relation to summer maximum temperatures.

301

302 HABITAT QUALITY OUTCOMES

303 There was large variation among strips in flower number (per strip, per year; 0–9329) and species (0–17), or seed weight (0–597 mg). No model explained variation in flower species 304 richness in the NFM strips well ($R^2 \le 0.06$), and no variable had high importance (Table 2). 305 Models for flower number and seed weight performed better. According to these, more 306 307 experienced farmers produced strips with more resources (Fig. 2). Higher flower numbers were also found on strips created by farmers who placed them on the basis of wildlife-308 focused than utilitarian motives, but the opposite pattern was shown for seed weight. 309 Interestingly, farmers who had envisioned greater problems with establishing and 310 maintaining these habitats produced strips with a greater seed yield. Of the environmental 311 312 factors, region had little importance and the local conditions were important only in 313 determining flower numbers, which were greater on sites of poorer agricultural quality and

which were more sheltered. Flower numbers and seed weight were boosted by higher maximum temperatures in the season preceding maturation of flowers (spring) or seeds (summer). In addition, flower numbers were negatively affected by higher temperatures in the summer.

318

319 Discussion

As we hypothesized, the biodiversity outcomes of the agri-environment schemes were 320 321 influenced by a range of factors, including landscape variables, the quality of the local habitat, seasonal weather and conditions during the surveys. Habitat quality itself – i.e. 322 floral or seed resources - responded to the farmers' experience and understanding of agri-323 324 environmental management as well as local environment and seasonal weather. Below we consider the factors in detail, but this study has highlighted the importance of multiple 325 326 drivers in explaining variation in the success of agri-environment schemes. This builds on 327 previous work, which has shown that a suite of factors are required for agri-environment success, including relevant prescriptions, adequate management and proximity to source 328 populations (Whittingham 2011; Pywell et al. 2012). We have for the first time 329 demonstrated the direct roles of social alongside these ecological factors. This 330 interdisciplinary insight suggests actions to improve the success of agri-environment 331 schemes need to consider farmers' motivations, landscape factors and the local 332 333 environment.

334

335 FARMER EXPERIENCE AND UNDERSTANDING

While social scientists have researched farmers' attitudes and motivations towards agrienvironmental management (de Snoo *et al.* 2013), little is known about whether and how

these social drivers affect biodiversity outcomes. The social and natural sciences have 338 different research traditions, and while there are a number of studies which have used 339 interdisciplinary approaches (Phillipson, Lowe & Bullock 2009; Austin, Raffaelli & White 340 2013) there is still little work linking social and ecological data in quantitative analyses. 341 Interviews provide complex qualitative data, and those with our farmers revealed a range of 342 previous engagement with agri-environmental management, a variety of opinions about the 343 ease with which farmers felt they would be able to implement the required management, 344 345 and different motivations for taking part (Lobley et al. 2013). The social scientists in the project team translated these qualitative responses into quantitative scores, which allowed 346 us to combine social with ecological data in linear mixed models. 347

348

This approach proved to be powerful in linking biodiversity outcomes to farmer motivations. 349 350 In the agri-environment options investigated, farmers are asked to establish specific seed 351 mixes in field margins, which supply food resources to the target taxa. Farmers with greater 352 agri-environmental experience produced strips with more of these resources. Experience 353 was scored relative to the length of time and frequency with which farmers stated they had been involved in environmental management. Agri-environment schemes such as that in 354 England, which simply pay farmers to follow specific prescriptions, have been criticized as 355 356 not actively engaging farmers or allowing them to develop skills in environmental management (Burton, Kuczera & Schwarz 2008; de Snoo et al. 2013). In our case, it seems 357 that farmers had developed such skills through their involvement in agri-environmental 358 359 management.

360

The unexpected findings that more experienced farmers had more bumblebees and more wildlife-focused farmers had more butterfly species on their strips independent of their effects on habitat quality raises the tantalizing prospect that more continuous agrienvironmental management had allowed populations to increase. While this interpretation is speculative, it reflects the scheme's aim to facilitate population recovery of target species (Baker *et al.* 2012).

367

368 The fact that farmers with more concerns about the ease of management produced greater quantities of seed suggests that if farmers are learning experientially (Riley 2008) then this is 369 370 more successful if they are aware of their own knowledge gaps. That is, those who thought 371 it would be easy had a misplaced confidence. The conflicting effects of farmer motivation for strip placement on the quality of the two strip types may reflect the relative levels of 372 373 knowledge about these habitats. NFM was quite novel for many farmers and so those more 374 motivated by wildlife benefits may have managed these strips more carefully. Farmers are 375 more familiar with the requirements for WBM as many sow game cover, which is similar. 376 While the differences were small, utilitarian farmers achieved better WBM results.

377

The three social variables were not correlated and so these relationships reveal different aspects of the agri-environmental role of farmers. We did not link these social variables to specific actions carried out by the farmer. This was because: a) we did not want to burden farmers with recording their actions or to influence their behaviours by doing so; and b) we were more interested in the farmers' experience and motivations than the well-studied issue of how management affects outcomes. However, it is clear that we are only beginning to understand the role of farmers in achieving agri-environmental success.

385

386 LOCAL AND LARGE-SCALE ENVIRONMENTAL FACTORS

The agri-environmental prescriptions were supported by the importance of the abundance 387 and richness of flowers in attracting bumblebees and butterflies (Carvell et al. 2011) and of 388 389 seed resources in attracting granivorous birds (Hinsley et al. 2010). Shelter benefits animals 390 by providing warmth and protection (Pywell et al. 2004). Our findings of a positive effect of 391 shelter on flower numbers, but a negative effect on seed weight are more novel, and may 392 reflect a balance of competition (e.g. shading) and facilitation (e.g. warming). More flowers under conditions of low agricultural quality (i.e. low ALC) may reflect lower cover of 393 394 competitive grasses, etc (Pywell et al. 2005).

395

Several studies have found that bee and bird abundance and richness are higher within agri-396 397 environmental options in landscapes with more semi-natural habitat (Concepcion et al. 398 2012; Shackelford et al. 2013). There is less information on the role of the species richness 399 in the landscape, although Pywell et al. (2012) found this had a positive effect for bees but none for birds. By contrast, our study suggested negative effects of the proportion of semi-400 natural habitat and/or the size of the species pool on all but one of the biodiversity 401 measures. Some studies have shown that agri-environmental options can have smaller 402 403 effects on biodiversity in more diverse landscapes, presumably because these offer alternative foraging resources (Batary et al. 2010a; Carvell et al. 2011). In our case it may be 404 that smaller species pools and areas of semi-natural habitat indicate fewer alternative 405 resources and so the agri-environment strips act as 'honey pots' in attracting more birds or 406 407 insects. Whatever the mechanism, landscape effects on agri-environmental outcomes are 408 not straightforward.

409

Seasonal weather effects on abundance of the target biota and floral and seed resources are not surprising and reflect fundamental biological optima (Anguilletta 2009). However, it is important to note the importance of weather patterns for spatio-temporal variation in success, and that these may cause apparent failures which are beyond anyone's control.

414

415 IMPLICATIONS FOR IMPROVING AGRI-ENVIRONMENT SUCCESS

416 Agri-environmental research needs to move on from the question that has predominated for some time - 'do they work?' - to ask instead - 'what are the causes of variation in 417 success?'. While some factors that affect outcomes have been studied - such as landscape 418 419 context – this paper has shown that a holistic understanding of drivers is necessary. In particular, we have demonstrated the role of the farmer. In implementing agri-environment 420 421 management, the farmer is not simply carrying out prescribed tasks, but is making decisions 422 which impact on success. The importance of experience suggests that farmers gain 423 experiential understanding of agri-environment management. This indicates scheme success might be improved by ensuring farmers stay engaged and build up experience. Indeed, 424 Jarratt (2012) found that as farmers become more engaged in environmental-friendly 425 farming there is a willingness to take on more complex conservation activities. This leads to 426 427 the question whether actively training farmers in agri-environment management might expedite such learning (Lobley et al. 2013). Indeed a review of the English scheme (Defra 428 2008) recommended that farmers should get increased advice, although it remains to be 429 seen whether this will be implemented. 430

The farmer has a role in choosing which agri-environment options to use, their placement 432 on the farm and their establishment and management. Our study covered the latter two 433 processes and these determined the quality of habitat produced and, ultimately, how many 434 birds or insects used these strips. The fact that the amount of shelter affected both the 435 436 quality and biodiversity outcomes suggests that farmers might be advised to consider this 437 factor when deciding where to place strips. Similarly, pollen and nectar flower strips might 438 be best placed on poorer quality land. Understanding of the role of the weather has a 439 different implication, in that it can help farmers and others understand why agrienvironment options may perform badly sometimes, much as crops do. Landscape factors 440 441 have a complex role and the lack of general patterns (Batary et al. 2010a; Concepcion et al. 2012) suggests that any large-scale targeting of agri-environment schemes should be done 442 with caution. 443

444

445 Acknowledgements

Many people helped with fieldwork, especially Sarah Hulmes, Lucy Hulmes, Rich Broughton Paul Bellamy, John Redhead and Jodey Peyton. Claire Carvell advised on surveys. The research was funded under the RELU programme (grant RES-227-25-0010). We thank the following for providing data: BTO, BWARS, Butterfly Conservation and the BADC. Natural England helped with finding farmers and we also thank the 48 farmers for their cooperation.

451

452 Data accessibility

453 - Social and environmental data: NERC-Environmental Information Data Centre

454 doi:10.5285/d774f98f-030d-45bb-8042-7729573a13b2 (McCracken *et al.* 2015)

455 **References**

- Anguilletta, M.J. (2009) *Thermal adaptation: a theoretical and empirical synthesis*. Oxford
 University Press, Oxford.
- Austin, Z., Raffaelli, D.G. & White, P.C.L. (2013) Interactions between ecological and social
 drivers in determining and managing biodiversity impacts of deer. *Biological Conservation*, **158**, 214-222.
- 461 Baker, D.J., Freeman, S.N., Grice, P.V. & Siriwardena, G.M. (2012) Landscape-scale responses
- 462 of birds to agri-environment management: a test of the English Environmental
 463 Stewardship scheme. *Journal of Applied Ecology*, **49**, 871-882.
- 464 Bartoń, K. (2013) MuMIn: multi-model inference, R package version 1.9.13.
- Batary, P., Andras, B., Kleijn, D. & Tscharntke, T. (2010a) Landscape-moderated biodiversity
 effects of agri-environmental management: a meta-analysis. *Proceedings of the Royal Society B-Biological Sciences*, 278, 1894-1902.
- Batary, P., Baldi, A., Saropataki, M., Kohler, F., Verhulst, J., Knop, E., Herzog, F. & Kleijn, D.
- 469 (2010b) Effect of conservation management on bees and insect-pollinated grassland
- 470 plant communities in three European countries. *Agriculture Ecosystems* &
 471 *Environment*, **136**, 35-39.
- 472 Bates, D.M. (2010) *Ime4: Mixed-effects modeling with R.* Springer, London.
- Baylis, K., Peplow, S., Rausser, G. & Simon, L. (2008) Agri-environmental policies in the EU
 and United States: A comparison. *Ecological Economics*, 65, 753-764.
- Burnham, K.P. & Anderson, D.R. (2002) *Model selection and multimodel inference: a practical information-theoretic approach*, 2nd edn. New York.
- Burton, R.J.F. (2014) The influence of farmer demographic characteristics on environmental
 behaviour: A review. *Journal of Environmental Management*, **135**, 19-26.

Burton, R.J.F., Kuczera, C. & Schwarz, G. (2008) Exploring farmers' cultural resistance to
voluntary agri-environmental schemes. *Sociologia Ruralis*, 48, 16-37.

Burton, R.J.F. & Schwarz, G. (2013) Result-oriented agri-environmental schemes in Europe
and their potential for promoting behavioural change. *Land Use Policy*, **30**, 628-641.

Carvell, C., Osborne, J.L., Bourke, A.F.G., Freeman, S.N., Pywell, R.F. & Heard, M.S. (2011)
Bumble bee species' responses to a targeted conservation measure depend on
landscape context and habitat quality. *Ecological Applications*, **21**, 1760-1771.

Concepcion, E.D., Diaz, M., Kleijn, D., Baldi, A., Batary, P., Clough, Y., Gabriel, D., Herzog, F.,
Holzschuh, A., Knop, E., Marshall, E.J.P., Tscharntke, T. & Verhulst, J. (2012)
Interactive effects of landscape context constrain the effectiveness of local agrienvironmental management. *Journal of Applied Ecology*, **49**, 695-705.

de Snoo, G.R., Herzon, I., Staats, H., Burton, R.J.F., Schindler, S., van Dijk, J., Lokhorst, A.M.,
Bullock, J.M., Lobley, M., Wrbka, T., Schwarz, G. & Musters, C.J.M. (2013) Toward
effective nature conservation on farmland: making farmers matter. *Conservation Letters*, 6, 66-72.

494 Defra (2008) Environmental Stewardship review of progress. Defra, London.

495 Dover, J.W. (1996) Factors affecting the distribution of satyrid butterflies on arable 496 farmland. *Journal of Applied Ecology*, **33**, 723-734.

497 EU (2011) Is agri-environment support well designed and managed? European court of 498 auditors special report no. 7/2011. European Commission, Luxembourg.

Freckleton, R. (2011) Dealing with collinearity in behavioural and ecological data: model
 averaging and the problems of measurement error. *Behavioral Ecology and Sociobiology*, 65, 91-101.

502	Gabriel, D., Sait, S.M., Hodgson, J.A., Schmutz, U., Kunin, W.E. & Benton, T.G. (2010) Scale
503	matters: the impact of organic farming on biodiversity at different spatial scales.
504	Ecology Letters, 13 , 858-869.

- Heard, M.S., Carvell, C., Carreck, N.L., Rothery, P., Osborne, J.L. & Bourke, A.F.G. (2007)
 Landscape context not patch size determines bumble-bee density on flower mixtures
 sown for agri-environment schemes. *Biology Letters*, **3**, 638-641.
- 508 Hinsley, S.A., Redhead, J.W., Bellamy, P.E., Broughton, R.K., Hill, R.A., Heard, M.S. & Pywell,
- 509 R.F. (2010) Testing agri-environment delivery for farmland birds at the farm scale: 510 the Hillesden experiment. *Ibis*, **152**, 500-514.
- 511 Jarratt, S. (2012) Linking the environmentally friendly farming careers of farmers to their 512 effective delivery of wildlife habitats within the East of England. PhD, Nottingham.
- Kleijn, D., Baquero, R.A., Clough, Y., Diaz, M., De Esteban, J., Fernandez, F., Gabriel, D.,
 Herzog, F., Holzschuh, A., Johl, R., Knop, E., Kruess, A., Marshall, E.J.P., SteffanDewenter, I., Tscharntke, T., Verhulst, J., West, T.M. & Yela, J.L. (2006) Mixed
 biodiversity benefits of agri-environment schemes in five European countries. *Ecology Letters*, 9, 243-254.
- Lindenmayer, D., Wood, J., Montague-Drake, R., Michael, D., Crane, M., Okada, S.,
 MacGregor, C. & Gibbons, P. (2012) Is biodiversity management effective? Crosssectional relationships between management, bird response and vegetation
 attributes in an Australian agri-environment scheme. *Biological Conservation*, **152**,
 62-73.
- 523 Lobley, M., Saratsi, E., Winter, M. & Bullock, J.M. (2013) Training farmers in agri-524 environmental management: the case of Environmental Stewardship in lowland 525 England. *International Journal of Agricultural Management*, **3**, 12-20.

526	McCracken, M.E., Woodcock, B.A., Lobley, M., Pywell, R.F., Saratsi, E., Swetnam, R.D.,
527	Mortimer, S.R., Harris, S.J., Winter, M., Hinsley, S., Bullock, J.M. (2015). Biodiversity,
528	environmental and social data 2007-2008 for the project Improving the Success of
529	Agri-environment Schemes (FarmCAT). NERC-Environmental Information Data
530	Centre doi:10.5285/d774f98f-030d-45bb-8042-7729573a13b2

- Myers, M.C., Hoksch, B.J. & Mason, J.T. (2012) Butterfly response to floral resources during
 early establishment at a heterogeneous prairie biomass production site in Iowa, USA.
 Journal of Insect Conservation, 16, 457-472.
- 534 Natural England (2013) Entry Level Stewardship Handbook 4th edition.
- Osborne, J.L., Martin, A.P., Carreck, N.L., Swain, J.L., Knight, M.E., Goulson, D., Hale, R.J. &
 Sanderson, R.A. (2008) Bumblebee flight distances in relation to the forage
 landscape. *Journal of Animal Ecology*, **77**, 406-415.
- 538 Phillipson, J., Lowe, P. & Bullock, J.M. (2009) Navigating the social sciences: 539 interdisciplinarity and ecology. *Journal of Applied Ecology*, **46**, 261-264.
- 540 Pollard, E. & Moss, D. (1995) Historical records of the occurrence of butterflies in Britain:
- 541 examples showing associations between annual number of records and weather.
 542 *Global Change Biology*, **1**, 107-113.
- 543 Pywell, R.F., Heard, M.S., Bradbury, R.B., Hinsley, S., Nowakowski, M., Walker, K.J. & Bullock,
 544 J.M. (2012) Wildlife-friendly farming benefits rare birds, bees and plants. *Biology*545 *Letters*, 8, 772-775.
- Pywell, R.F., Warman, E.A., Carvell, C., Sparks, T.H., Dicks, L.V., Bennett, D., Wright, A.,
 Critchley, C.N.R. & Sherwood, A. (2005) Providing foraging resources for bumblebees
 in intensively farmed landscapes. *Biological Conservation*, **121**, 479-494.

549	Pywell, R.F., Warman, E.A., Sparks, T.H., Greatorex-Davies, J.N., Walker, K.J., Meek, W.R.,
550	Carvell, C., Petit, S. & Firbank, L.G. (2004) Assessing habitat quality for butterflies on
551	intensively managed arable farmland. Biological Conservation, 118 , 313-325.
552	R_Core_Development_Team (2008) R: Version 2.12.2. A Language and Environment for
553	Statistical Computing. R Foundation for Statistical Computing, Bristol, UK. URL
554	hhtp://cran.r-project.org.
555	Riley, M. (2008) Experts in their fields: farmer-expert knowledges and environmentally
556	friendly farming practices. Environment and Planning A, 40, 1277-1293.
557	Scheper, J., Holzschuh, A., Kuussaari, M., Potts, S.G., Rundlof, M., Smith, H.G. & Kleijn, D.
558	(2013) Environmental factors driving the effectiveness of European agri-
559	environmental measures in mitigating pollinator loss - a meta-analysis. Ecology
560	Letters, 16, 912-920.
561	Shackelford, G., Steward, P.R., Benton, T.G., Kunin, W.E., Potts, S.G., Biesmeijer, J.C. & Sait,
562	S.M. (2013) Comparison of pollinators and natural enemies: a meta-analysis of
563	landscape and local effects on abundance and richness in crops. Biological Reviews,
564	88, 1002-1021.
565	Symonds, M.E. & Moussalli, A. (2011) A brief guide to model selection, multimodel inference

and model averaging in behavioural ecology using Akaike's information criterion. *Behavioral Ecology and Sociobiology*, **65**, 13-21.

568 Whittingham, M.J. (2011) The future of agri-environment schemes: biodiversity gains and 569 ecosystem service delivery? *Journal of Applied Ecology*, **48**, 509-513.

Wilson, G.A. & Hart, K. (2001) Farmer participation in agri-environmental schemes: towards
conservation-oriented thinking? *Sociologia Ruralis*, **41**, 254-274.

572 Wynne-Jones, S. (2013) Ecosystem service delivery in Wales: evaluating farmers' 573 engagement and willingness to participate. *Journal of Environmental Policy &* 574 *Planning*, **15**, 493-511.

575

576 Supporting Information

- 577 Supporting information is supplied with the online version of this article.
- 578 **Appendix S1.** Description of Nectar Flower Mix and Wild Bird Mix options.
- 579 **Appendix S2.** Additional data sources.

580 Table 1. The importance of social and ecological drivers of biodiversity outcomes across 24 farms with agri-environment options targeted at

581 pollen and nectar feeding insects and 24 farms with options targeted at seed-eating birds. Importance was derived using Akaike weights (*w_i*)

582 following averaging of linear mixed models, and the parameter estimates (Param. est.) are weighted by w_i and averaged across models.

583 Categorical variables are marked * and the parameter estimates are given. The most important variables – with importance ≥0.4 – are

584 highlighted

Level	Local (strip) environment			Landscape		Farmer social			Seasonal weather	Weather during survey		Region* E;SW		
Variable	Flower #	Flower species	Shelter	% Semi- natural habitat	Species pool	Experience	Concerns	Motivation* 1;2;3	Summer max. temperature	Temperature	Wind			
Response	= Bumbleb	ee numbe	rs. Margina	al R ² = 0.68. Mo	dels where	$\Delta_{A/C} < 4 = 31 \text{ or}$	f 4096							
Importance	1	1	0.55	1	0.63	1	0.18	0.13	0.32	1	0.40	0.83		
Param. est.	0.46	0.11	0.11	-0.06	-0.07	0.28	0.13	0.06;0.32;0.46	0.21	0.17	-0.07	0.06;0.49		
Response	= Bumbleb	ee species	richness. N	$Marginal R^2 = 0.4$	48. Models	where $\Delta_{AIC} < 4$	= 144 of 409	5						
Importance	1	0.57	0.95	0.31	0.53	0.63	0.12	0.05	0	0	0.15	0.74		
Param. est.	0.25	0.04	0.1	-0.01	-0.03	0.13	-0.02	0.38;0.41;0.15	-	-	-0.04	0.38;0.56		
Response	= Butterfly	numbers.	Marginal R	² = 0.28. Model	s where Δ_A	_{IC} < 4 = 99 of 4	096							
Importance	0.46	1	0.73	0.17	0.48	0.12	0.12	0.12	0.85	0.09	0.1	0.62		
Param. est.	0.05	0.07	0.14	-0.02	-0.04	-0.06	0.09	2.12;0.91;0.17	0.43	0.01	-0.02	2.14;0.55		
Response	= Butterfly	species ric	hness. Ma	rginal $R^2 = 0.29$.	Models w	here $\Delta_{AIC} < 4 =$	72 of 4096							
Importance	0.71	0.15	0.83	0.27	0.18	0.38	0.08	0.87	0.12	0.08	0.08	1		
Param. est.	0.07	-0.02	0.09	-0.01	-0.02	-0.12	-0.01	1.44;0.53;0.69	-0.09	0.01	0.1	1.43;0.13		
Variable	Seed weight		e Seed weight Shelter		helter	% Semi-nat.	Species	Experience	Concerns	Motivation*	Winter min.	N/A		Region*
				habitat	pool			1;2;3	temperature			E;SW		
Response	= Granivor	ous bird nu	umbers. Ma	arginal R ² = 0.36	5. Models v	where $\Delta_{AIC} < 4 =$	19 of 512							
Importance	1		1	0.17	0.45	0.17	0.38	0.05	1			0.18		
Param. est.	0.16		0.27	0.01	-0.14	-0.09	-0.23	8.8;12.5;14.5	0.46			8.8;7.5		
Response	= Granivor	ous bird sp	ecies richn	iess. Marginal R	² = 0.36. M	odels where Δ	_{A/C} < 4 = 41 of	512						
Importance	1		0.38	0.69	0.57	0.37	0.14	0.12	1			0.29		
Param. est.	0.1		0.04	-0.02	-0.06	-0.08	-0.04	0.92;1.03;1.18	0.21			0.92;0.79		

585 Table 2. The importance of social and ecological drivers of habitat quality across 24 farms with agri-environment options targeted at pollen and

586 nectar feeding insects (quality = flower numbers and species richness) and 24 with options targeted at seed-eating birds (quality = weight of

587 seed). Importance was derived using Akaike weights (*w_i*) following averaging of linear mixed models, and the parameter estimates (Param.

- est.) are weighted by w_i and averaged across models. Categorical variables are marked with * and the parameter estimates are given. The
- 589 most important variables with importance ≥0.4 are highlighted. ALC = Agricultural Land Classification

Level	L	ocal (strip) environm	ent	Farmer social			Seasonal weather			Region* E;SW	
Variable	ALC Soil* Light;Med;Heavy		Shelter	Experience	Concerns	Motivation* 1;2;3	Spring ma temperatu		mmer max. mperature		
Response =	= Flower n	umbers. Marginal R ²	= 0.42. Mod	els where Δ_{AIC}	< 4 = 14 of 51	2					
Importance	1	0.24	1	0.97	0.23	0.43	1		1	0.34	
Param. est.	-0.72	963;720;1478	1.45	0.46	0.27	1477;720;166	4.5		-0.31	1477;741	
Response =	= Flower s	pecies richness. Marg	inal $R^2 = 0.0$	6. Models whe	re $\Delta_{A/C} < 4 = 4$	9 of 512					
Importance	0.35	0.04	0.22	0.36	0.21	0.01	0.21		0.21	0.17	
Param. est.	0.07	4.96;4.07;4.64	-0.05	0.06	0.05	4.64;5.12;4.53	0.07		0.06	4.64;4.81	
Variable	ALC	Soil*	Shelter	Experience	Concerns	Motivation*	Spring max.	Summer max.	Autumn max.	Region*	
		Light;Med;Heavy			(1;2;3	temperature	temperature	temperature	E;SW	
Response =	= Seed wei	ight. Marginal $R^2 = 0.2$	21. Models v	vhere $\Delta_{A/C} < 4 =$	55 of 512	1		1	:		
Importance	-	0.01	0.43	0.7	0.74	0.64	0.15	0.71	0.31	0.19	
Param. est.	-	167;166;191	-11.5	36.4	-35.1	191;200;299	-6.45	46	-35	191;184	

591 Figure legends

Fig. 1. Examples of relationships between the major habitat quality drivers and biodiversity 593 outcomes (see all drivers in Table 1). Circles show raw data, solid lines the fitted relationship 594 595 (from linear mixed models, so accounting for other drivers) and dotted lines ±1 standard error. a) Numbers of bumblebees, and b) Butterfly species richness as affected by the 596 number of flowers. c) Numbers of seed-eating birds as affected by the weight of seeds. The 597 598 unfilled circles in c) show large abundance values, which are, in order from left to right: 422, 485, 362, 223, 314 and 224. 599 600 Fig. 2. Examples of relationships between the length and intensity of the farmer's previous 601 602 experience of environmental management (from 1 none to 4 high) and habitat quality 603 measures in agri-environment strips (see all drivers in Table 2). Circles show raw data, solid 604 lines the fitted relationship (from linear mixed models, so accounting for other drivers) and dotted lines ±1 standard error of this fit. a) Number of flowers in a nectar flower strip. b) 605 Weight of seeds in a wild bird seed strip. The unfilled circles show large values, which are: in 606 607 a) 9329 and 5218; and in b) 597 mg.





