

Clouds, circulation and climate sensitivity

Article

Accepted Version

Author final version after peer review corrections

Bony, S., Stevens, B., Frierson, D. M. W., Jakob, C., Kageyama, M., Pincus, R., Shepherd, T. G. ORCID: https://orcid.org/0000-0002-6631-9968, Sherwood, S. C., Siebesma, A. P., Sobel, A. H., Watanabe, M. and Webb, M. J. (2015) Clouds, circulation and climate sensitivity. Nature Geoscience, 8 (4). pp. 261-268. ISSN 1752-0894 doi: https://doi.org/10.1038/NGEO2398 Available at https://centaur.reading.ac.uk/39925/

It is advisable to refer to the publisher's version if you intend to cite from the work. See <u>Guidance on citing</u>.

To link to this article DOI: http://dx.doi.org/10.1038/NGEO2398

Publisher: Nature Publishing Group

All outputs in CentAUR are protected by Intellectual Property Rights law, including copyright law. Copyright and IPR is retained by the creators or other copyright holders. Terms and conditions for use of this material are defined in the <u>End User Agreement</u>.

www.reading.ac.uk/centaur

CentAUR



Central Archive at the University of Reading

Reading's research outputs online

Clouds, Circulation and Climate Sensitivity

- ² Sandrine Bony^{1,*}, Bjorn Stevens², Dargan M. W. Frierson³, Christian Jakob⁴,
- ³ Masa Kageyama⁵, Robert Pincus⁶, Theodore G. Shepherd⁷, Steven C. Sherwood⁸,
- ⁴ A. Pier Siebesma⁹, Adam H. Sobel¹⁰, Masahiro Watanabe¹¹, Mark J. Webb¹²
- ⁵ ¹LMD/IPSL, CNRS, Université Pierre et Marie Curie, Paris, France
- ⁶ ²Max Planck Institute for Meteorology, Hamburg, Germany
- ⁷ ³Department of Atmospheric Sciences, University of Washington, Seattle, USA
- ⁸ ⁴School of Mathematical Sciences, Monash University, Clayton, Australia
- ⁹ ⁵LSCE/IPSL, CNRS, CEA, Gif-sur-Yvette, France
- ¹⁰ ⁶NOAA Earth System Research Lab, University of Colorado, Boulder, USA
- ¹¹ ⁷Department of Meteorology, University of Reading, Reading, UK
- ¹² ⁸CCRC and Centre of Excellence for Climate System Science, Univ. New South Wales, Sydney,

13 Australia

- ¹⁴ ⁹*KNMI*, *De Bilt*, *The Netherlands*
- ¹⁵ ¹⁰Department of Applied Physics and Applied Mathematics, Columbia University, New York, USA
- ¹⁶ ¹¹Atmosphere and Ocean Research Institute, University of Tokyo, Chiba, Japan

¹⁷ ¹²*Hadley Centre, Met Office, Exeter, UK*

Fundamental puzzles of climate science, such as our inability to provide robust as-18 sessments of future global and regional climate changes, are rooted in our limited 19 understanding of how clouds, circulation and climate interact. Recent advances in 20 our capacity to observe, simulate and conceptualize the climate system now make it 21 possible to improve this understanding. We argue that by focussing research on a 22 handful of important scientific questions, particularly those that have become more 23 tractable as a result of recent advances, will accelerate progress. Four such questions 24 are outlined below. They aim at understanding the role of cloud feedbacks and con-25 vective organization in climate, and the factors that control the position, the strength 26 and the variability of the tropical rain belts and the extra-tropical storm tracks. 27

Clouds stimulate the human spirit. Recognised for centuries as harbingers of weather,
 only in recent decades have scientists begun to appreciate their role in determining the gen eral circulation of the atmosphere and its susceptibility to change.

Forming mostly in the updrafts of the turbulent and chaotic air-flow, clouds em-31 body the complex and multi-scale organisation of the atmosphere into dynamical entities, 32 or storms. These entities are responsible for mediating the radiative transfer of energy, 33 distributing precipitation, and are often associated with extreme winds. It has long been 34 recognized that water and the diabatic processes it mediates play a fundamental role in 35 tropical circulations, and there is increasing evidence that they also influence extra-tropical 36 circulations¹. Globally, the impact of clouds on Earth's radiation budget and hence surface 37 temperatures, also depends critically on how clouds interact with one another and with 38 larger scale circulations². Far from being passive tracers of a turbulent atmosphere, clouds 39 thus embody processes that can actively control circulation and climate (Box 1). 40

For practical reasons, early endeavours to understand climate deployed a divide and 41 conquer strategy in which efforts to understand clouds and convective processes developed 42 separately from efforts to understand larger-scale circulations. Over time, a gap developed 43 between the sub-disciplines. However, technological progress and conceptual advances 44 have tremendously increased our capacity to observe and simulate the climate system, such 45 that it is now possible to study more readily how small-scale convective processes — that 46 is, clouds — couple to large-scale circulations (see Box 2). Much like a new accelerator 47 allows physicists to explore the implication of the interactions among forces acting over 48 different length scales, these new capabilities are transforming how atmospheric scientists 49 think about the interplay of clouds and climate. This offers a great opportunity not only to 50 close the gap between scientific communities, but also to answer some of the most pressing 51 questions about the fate of our planet. 52

53 Urgent need for accelerated progress

Climate is changing at an unprecedented pace³. Government and private decision makers 54 involved in planning and risk assessments urgently need information about how rapidly 55 temperatures will rise, how rainfall patterns will change, and whether the frequency of ex-56 treme weather will increase. Climate scientists have built a successful research framework 57 for detecting and attributing some global aspects of climate change, such as the basic 58 trends in globally averaged temperatures and sea level. This success is reflected in the 59 growing level of confidence in understanding of such changes³. However this framework 60 is much less effective when it comes to quantifying critical aspects of climate change such 61 as the climate sensitivity or regional changes. On these aspects, observational data sets are 62 limited, natural variability obscures the anthropogenic signal, and climate models produce 63 uncertain projections^{4,5}. This leads to a low confidence in their assessment³. 64

A deeper understanding of how clouds and aerosols affect the planetary energy bud-65 get is needed if we are to increase our confidence in these fundamental aspects of cli-66 mate change^{6,7}. However, given the strong dependence of regional climate patterns and 67 extremes on the large-scale circulation, it is equally important to better understand how 68 clouds and convection affect atmospheric dynamics and its change as the troposphere be-69 comes warmer and wetter, the stratosphere colder and the cryosphere smaller^{4,8} (Box 1). 70 Our degree of understanding of the interplay between clouds, circulation and climate sen-71 sitivity thus demarcates the frontiers of our ability to anticipate climate changes. 72

Numerical models have always played an important role in climate change studies and assessments. However, robust conclusions require more than a consensus by the most comprehensive models. They require the underpinning of physical arguments –theories– developed through the use of a hierarchy of models and critically assessed using available data^{6,9}. An increased emphasis on *understanding* may well be the best course of action to develop reliable insights about climate change, but also to address their urgent need. Con-

ceptual breakthroughs have typically come from rephrasing old questions in a new way, 79 one that makes long-standing problems finally tractable. Advances in key issues such as 80 the extent of the Hadley cell¹⁰, the intensity of tropical cyclones¹¹, or the height reached 81 by convective clouds¹², have all come through idealized studies and clever application of 82 physical reasoning to obtain constraints on the system, leading to new ways of using and 83 interpreting comprehensive models, and linking them to observations. We argue therefore 84 that accelerating progress in climate change assessments requires an approach focused on 85 the development and testing of hypotheses that link changes in regional patterns, extremes, 86 climate sensitivity, and other important features of climate in a self-consistent way. The 87 theories or 'story lines' that emerge from such an approach emphasize physical concepts 88 and testable ideas around which scientific activity can organise, and may also make com-89 munication of risk-based assessments more concrete. 90

By focusing the development of story lines around a few carefully chosen questions, 91 a more comprehensive analysis will be possible, one in which the integration of obser-92 vations, evidence obtained from a hierarchy of models, and physical understanding will 93 advance knowledge much more efficiently than would the consideration of particular lines 94 of evidence in isolation. Below, four such questions are outlined. Among the great variety 95 of questions one might consider, these four stood out both because of their centrality to a 96 more specific understanding of global and regional climate changes, and because new and 97 emerging approaches or insights are, as outlined below, making them more tractable. 98

99 Four Questions

* What role does convection play in cloud feedbacks?

Many changes of the climate system at global and regional scales are mainly determined by the globally averaged temperature. For this reason, one of the simplest and most important measures of the system response to forcing remains the "climate sensitivity",

i.e., the equilibrium change in the globally averaged near-surface temperature in response 104 to a doubling of the concentration of atmospheric CO_2 . Available evidence suggests a 105 range in the climate sensitivity from 1.5 to 4.5 K³. The socio-economic implications of 106 this uncertainty are enormous — a simple calculation demonstrates that to maintain a 107 warming target of two degrees, nearly twice as much CO₂ could be emitted in a low (1.5 108 K) climate sensitivity world as compared to a high (4.5 K) sensitivity world. Economic 109 modelling suggests that progress in the assessment of climate sensitivity would have a 110 staggering economic value¹³. 111

Although the likely range of climate sensitivity estimates has not narrowed in the past three decades, tremendous progress has been made in understanding the factors controlling climate sensitivity^{6,7}. It is now possible to delineate between well understood processes, which contribute to a base value of about 2.7 K¹⁴, from more poorly understood processes – largely cloud feedbacks.

Cloud feedbacks could be described as the climate systems equivalent of Winston 117 Churchills Russia: "a riddle wrapped inside a mystery inside an enigma. Over the past 118 decades at least some aspects of cloud feedbacks have at least become less enigmatic. 119 Mechanisms governing the height of the deepest clouds are now much better understood¹². 120 Feedbacks from clouds in the planetary boundary layer over oceans (Fig. 1), which make 121 one of the largest contributions to inter-model spread in climate sensitivity, appear to 122 be driven largely by mixing of the lower troposphere by shallow convection^{2, 15–17}; in a 123 warmer climate these processes are expected to dry the marine boundary layer over the 124 vast expanse of the tropical oceans, reducing the low-cloud amount and the Earth's albedo 125 in a way that amplifies warming. These and other cloud feedback processes are increas-126 ingly understood as being mediated by changes in atmospheric circulations rather than by, 127 for example, microphysical effects⁷. 128

This emerging narrative may make cloud feedbacks less enigmatic, but leaves the mystery as to the nature of the interplay between clouds and convection. This riddle is

manifest in the tendency of models to exhibit a large degree of freedom in their prediction 131 of upper-level cloud cover responses¹⁸, and in their representation of shallow convective 132 mixing, which appears to determine the strength of their low-cloud feedbacks². Convective 133 mixing processes have been found to be important in explaining the distribution of the 134 tropical rain belts, and may also affect climate (temperature) and hydrological (rainfall) 135 sensitivity through processes currently missing or poorly represented in climate models 136 - for instance convective scale organization, or processes related to the distribution of 137 clouds at mid to upper levels. Might the presently crude representation of convective 138 mixing processes in models be missing important cloud feedback mechanisms? 139

These ideas could be tested by suppressing or altering processes in comprehensive 140 models in ways that are guided by results from observations or more fundamental models. 141 One could then ask to what extent the broader implications of such processes are consis-142 tent with other things we know. So doing would help explain how much of the model 143 spread can be attributed to differences in convective parameterizations, or whether poor 144 parameterizations (or simply the absence of critical processes) are skewing our prediction 145 of the system. Increasingly specific ideas could also guide the collection and analysis of 146 Earth observations, for instance through field experiments focusing on undisturbed con-147 ditions in the maritime tropics or improved space based estimates of lower tropospheric 148 water vapour. 149

¹⁵⁰ * What controls the position, strength and variability of storm tracks?

Extratropical storms draw their energy from the temperature contrast between the equator and poles. They are associated with the familiar high and low pressure systems of the midlatitudes, with their attendant temperature fronts, precipitation, and sometimes severe weather. Most extra-tropical storms develop, organise and decay in spatially localised regions known as "storm tracks." The storm tracks tend to be roughly aligned with the global jet streams (upper-level eastward wind currents) and are major components of the general circulation through their role in the meridional transport of energy, moisture and momentum, and in the modification of Earth's energy budget through associated pat terns of clouds (Fig. 2).

The jets and the storms interact with each other symbiotically, giving rise to low-160 frequency variations. One feature of this variability is the emergence of persistent "block-161 ing" events, which effectively reroute storms away from their usual track. Blocking events 162 can be associated with summer heat waves and winter cold snaps over the blocked region, 163 as well as unusual storminess away from the block. Year to year variability in the position 164 of the storm tracks is associated with large swings in temperature: monthly averaged tem-165 peratures in the upper mid-west of the United States, for instance, can vary by more than 166 10 °C from one year to the next as the storm tracks shift. Likewise, unusual persistence in 167 the path of successive storms can lead to widespread flooding as was the case for the UK 168 in the winter of 2013/2014, or to unseasonably pleasant weather. 169

The chaotic variations of the storm tracks become manifest as natural weather and 170 climate variability on decadal timescales, which makes it difficult to attribute a change 171 in any given year to changes in the climate. But models and theory do suggest that the 172 storm tracks are sensitive to external forcing, for instance changes in meridional tempera-173 ture gradients. Near the surface, temperature gradients are expected to weaken as surface 174 warming is stronger near the poles; aloft, temperature gradients will strengthen as the 175 stratosphere cools and the tropical upper troposphere warms. These changes have oppos-176 ing effects¹⁹, but on balance models suggest that storm tracks will shift poleward with 177 warming. Support for this line of thinking arises from a discernible poleward shift of sum-178 mertime precipitation in the Southern Hemisphere, which has been attributed to cooling 179 in the polar stratosphere resulting from the depletion of ozone there²⁰. But these shifts 180 are not monolithic, particularly in the Northern Hemisphere where zonal asymmetries are 181 fundamental to an understanding of storm track location²¹. Changes in the zonal asym-182 metry of the jet can lead to equator-ward shifts in regions²² even if, on average, the jet is 183 displaced poleward. 184

Even for changes in the jets that models robustly simulate, understanding remains low. Uncertainty in future projections is not surprising as models also exhibit large biases in the simulation of the present day, with storm tracks located too far equatorward and, in the Northern Hemisphere, too zonally oriented²³. Progress in developing a narrative for future storm track changes will likely depend on progress in understanding the origins and implications of these biases.

Theoretical understanding of extratropical storms is largely based on dry dynamics, 191 but the water that flows through these storms also plays a fundamental role in determining 192 their evolution. Half of the poleward transport of energy within storm tracks is accom-193 plished by the latent heat component, meaning moisture is vital in setting the temperature 194 gradients upon which storms grow. The release of latent heat within the warm sector of 195 storms and in frontal regions has long been understood as an important and additional 196 energy source for cyclogenesis. However the myriad ways in which clouds couple to the 197 storm tracks are just beginning to be appreciated, for instance through their radiative ef-198 fects. As the clouds embedded within the storm tracks shift, there are systematic implica-199 tions for the radiation budget and its influence on the temperature gradients that give rise to 200 the storms in the first place^{24,25}. The development of a hierarchy of modelling approaches 201 is advancing understanding of how moist processes such as those imbedded along frontal 202 systems, interactions with ocean circulations, and cloud radiative effects, influence both 203 storm development and the structure of the storm tracks. Because storm tracks are large 204 enough to be resolved across these model hierarchies, and very high-resolution approaches 205 can also increasingly resolve convective circulations within the storm system²⁶ as well as 206 remote influences from fine-scale orography or changes in tropical circulations, hierarchi-207 cal modeling approaches hold particular promise for developing story lines of how storm 208 tracks will change in the future. 209

To gain confidence in these emerging story lines, it will be useful to look to the past. Models suggest that storm tracks have responded to past external forcings²⁷. A maturing theoretical understanding of these changes, expressed for instance in the form of hypotheses of storm track change during the last-glacial maximum or mid-holocene periods, could be tested using reconstructions of past precipitation changes from pollen records²⁸. Developing an understanding of storm-track dynamics that would allow us both to explain the record of past changes and to robustly predict the tendency of a change, would be a significant advance.

* What controls the position, strength and variability of the tropical rain belts?

In the tropics, rain tends to be concentrated in compact bands or belts (Fig. 3). Over the ocean, the Inter-tropical Convergence Zone (ITCZ) contains some of the rainiest regions on the planet, and some of the deepest cumulonimbus and stratiform anvil clouds. These tropical rain belts are so closely related to the monsoons, which spread the rainy regions more poleward over land, that scientists increasingly think of those monsoons as the terrestrial amplification of the seasonal migration of the rain belts. These climate features directly affect hundreds of millions of people, who depend on rainfall for fresh water.

Tropical rain belts cannot be understood without understanding the roles of the 226 clouds within them. Over the ocean these rain belts are tied to the warmest sea surface tem-227 peratures, which favour sustained rising motion as seen in the rising branch of the Hadley 228 and Walker circulations. The high clouds in the rain belts have a strong effect on shortwave 229 radiation due to the amount of condensate, and on long-wave radiation due to their height. 230 These radiative effects influence both sea surface temperature and atmospheric circulation. 231 The breadth of the subsiding branches of tropical over-turning circulations determines the 232 prevalence of low clouds within the broader tropics. Any climate forcing that leads to a 233 change in strength, width, or location of a tropical rain belt is thus potentially associated 234 with a cloud feedback, which will in turn influence the patterns of temperature change and 235 circulation response to the forcing. 236

237

Local interactions between the atmosphere and the upper ocean or the land surface

have long been recognized to play a role in determining the position of the rain belts. 238 However recent work has emphasized that changes in the rain belts' location and intensity 239 are intimately coupled to circulations on a variety of scales. Mesoscale convective circula-240 tions appear to influence the poleward extent of the monsoon in ways that are just starting 241 to be undersood²⁹, and planetary scale circulations connect the rain belts to processes in 242 distant extra-tropical locations³⁰. Newly developed energetic frameworks have proven to 243 be a useful way to understand these connections³¹. Models suggest that high-latitude heat 244 sources, for example, drive atmospheric heat transport through the midlatitudes and into 245 the tropics. There, the Hadley cell responds by transporting energy away from the heating, 246 and moisture toward the heating. This causes tropical rain belts to be displaced toward 247 the heating, even when that heating is located far away. This type of tropical-extratropical 248 interaction may help explain the double-ITCZ problem in climate models, a longstand-249 ing bias associated with an overly pronounced southern ITCZ: a deficit in cloudiness over 250 the Southern Ocean warms the entire southern hemisphere, causing excessive precipita-251 tion within the southern tropics and driving a stronger ITCZ in the southern hemisphere³². 252 This process probably explains why cooling in one hemisphere by aerosols or ice sheet 253 expansion pushes the tropical rain bands toward the opposite hemisphere³³. 254

Historical evidence also supports the view that tropical rain bands may be quite 255 mutable. Most strikingly, in the Sahara, vegetation and lake indicators, as well as many 256 examples of rock art, document periods such as the early and mid-Holocene, when the 257 African monsoon extended much further north than today (see Box 2). Although much 258 of this change would seem to be due to changes in insolation driven by precession of 259 Earth's orbit, this factor alone is insufficient to explain the shift in today's climate models, 260 even when vegetation feedbacks are taken into account³⁴. Past ITCZ shifts may be poorly 261 simulated at other time periods as well, e.g., the Last Glacial Maximum³⁵. Insufficient 262 understanding, and uncertainties in past climate reconstructions, make it difficult to assess 263 modelled responses. Hence, developing a story line for future changes in tropical rain 264 bands will be a challenge, one that seems unlikely to be met without coordinated efforts 265

using a hierarchy of models to work through specific hypotheses motivated by more robust
evidence of past changes.

²⁶⁸ * What role does convective aggregation play in climate?

Satellite imagery offers an inexhaustible opportunity to admire the vast variety of 269 ways in which moist convection is organised: from randomly scattered small clouds, to 270 clusters of convective cells forming in arcs, bands or whirls on mesoscales, as well as 271 large-scale cloud systems which trace circulations on the planetary scale. The propensity 272 of convection to aggregate and organise has long been related to the variability of weather 273 and to the occurrence of extreme rainfall events. The idea that the organization of moist 274 convection might play a role in the dynamics of the climate system is not a new one. In-275 sights from field studies dating to the dawn of the satellite era have suggested that tropical 276 convective clusters affect vertical profiles of atmospheric heating significantly enough to 277 influence circulations on much larger scales³⁶. 278

Idealised numerical studies have led to renewed interest in the subject of organiza-279 tion. These studies demonstrate that convection can aggregate spontaneously even in the 280 absence of external drivers (Fig. 4), leading to the concept of "self-aggregation"³⁷. These 281 studies, and observational analyses inspired by them, suggest that the degree of aggrega-282 tion of a given amount of convection influences the mean atmospheric state: an atmosphere 283 in which convection is more aggregated is drier, clearer, and more efficient at radiating 284 heat to space^{37,38}. Cloud-resolving simulations further suggest that self-aggregation might 285 increase with temperature³⁹. If so, convective aggregation could feed back on climate 286 changes driven by other influences, and may contribute to changes in extreme events. 287

The tendency of deep convection to organise may also influence the general atmospheric circulation. Because convection often organises in a way that modulates the energetics of the atmosphere, the presence of organization on scales of a few tens to several hundreds of kilometers may influence the strength of larger-scale vertical motions and perhaps the structure of the tropical rain belts. Another hypothesis is that long-standing riddles, like the Madden-Julian Oscillation (a 30-60 day oscillation of rainfall patterns in the
tropical Indo-Pacific region) are a large-scale manifestation of convective self-aggregation.

Observations and numerical simulations at very high resolution are showing that 295 the convective organization is also important for the development of precipitation from 296 shallow convection⁴⁰. Such organization buffers the response of clouds to perturbations 297 in the aerosol environment, or changes in surface fluxes. Likewise, because the effects of 298 shallow cloud cover on radiation can help organize deep convection⁴¹ and influence the 299 structure of tropical convergence zones⁴², the organization of convection on a wide range 300 of scales may create an interesting link between the cloud feedback and the tropical rain 301 belt questions. 302

Highly resolved simulations offer opportunities to develop and test an emerging nar-303 rative on the role of convective organization. By using such very high-resolution ap-304 proaches to more fundamentally understand the physical processes underlying aggrega-305 tion, it may be easier to introduce compelling representations of aggregating processes in 306 large-scale models, or disaggregating processes in the highly resolved simulations. Such 307 approaches would enable numerical experiments aimed at assessing whether or not, and if 308 so how, convective aggregation matters for climate. And these experiments can form the 309 basis for improving the design of field experiments, or informing the analysis of existing 310 data, so as to test the story lines that develop from the modelling. 311

312 A Grand Challenge

For a system as complex as the Earth, posing the right questions—ones that will most effectively advance the science—may well be the greatest challenge. One can certainly argue for additional questions, but we have no doubt that our science and the broader society would be well served even if it only focused on the four posed here. Regardless of the questions one poses, meta-scientific challenges must also be addressed to make progress.

First, general circulation models constitute one of the pillars of climate science. 319 Shortcomings in their representation of clouds, precipitation and circulation have persisted 320 for many generations of models⁴³, and cause significant problems that remain even when 321 other complexities in the system are stripped away⁵. To gain the most from comprehen-322 sive modelling approaches requires energising model development efforts around those 323 processes that most affect the simulation of storm tracks, tropical rain belts and climate 324 sensitivity. Focusing model development efforts around a small set of questions, such as 325 the four articulated above, stands the best chance of reducing long-standing model biases 326 and uncertainties. In the long run, such an approach will also advance the utility of global 327 modelling more broadly, since questions like the future of the permafrost layers, or the 328 dynamics of the terrestrial and ocean carbon sinks depend very much on the magnitude of 329 warming and the distribution of precipitation. 330

Second, the numerous scales and boundless diversity of processes that challenge the modelling also challenge observing systems. Better understanding will highlight gaps or weaknesses in these systems, and therefore will help prioritise the needs for new observations, imaginative field campaigns, or novel reconstructions, synthesis or interpretations of the long-term palaeo-climatic data records. Here again, developing a consensus around the pursuit of a few questions may disproportionately advance the field, for instance by better identifying the needs and opportunities for advancing the palaeo or satellite records.

Finally, the convergence of two scientific cultures, one concerned with small-scale convective processes, the other with large-scale climate processes, is the result of an increasing capacity to simulate and observe a range of scales that encompasses both, and thereby study their interaction more fundamentally (see Box 2). By linking water to circulation, this convergence can will lead to important advances in Earth system science. As envisioned by Edward Lorenz forty-five years ago⁴⁴¹, a deeper understanding of how clouds and moist processes interact with the circulation might help us think about largescale dynamics as a *dynamics of water systems*, a way of thinking that we believe is a pre-requisite for our science as it endeavours to help a society in urgent need of information about Earth's changing climate.

Box 1: How do clouds and circulation interact?

The influence of the large-scale atmospheric circulation on clouds has long been recog-349 nized, and is evident on any satellite picture (Figure 5). In the extratropics, large cloud-350 systems are caught up in and trace the motions associated with baroclinic and mesoscale 351 waves. In the tropics, clusters of deep clouds trace the ascending branches of the Hadley-352 Walker circulation, while low clouds cover the ocean in anticyclonic areas. But clouds are 353 not merely sentinels of the circulation, they are increasingly understood to influence and 354 shape the very circulations in which they are embedded. The interaction between clouds 355 and circulation primarily results from three processes: phases changes, radiative transfer, 356 and turbulent transport of air parcels. Condensation and evaporation processes associ-357 ated with the formation, the maturation or the dissipation of clouds, and the interaction of 358 clouds with solar and infrared radiation, lead to atmospheric heating and cooling perturba-359 tions, which stimulate waves and turbulence and which affect the horizontal and vertical 360 distributions of temperature on a wide range of scales. In addition, the mesoscale up- and 361 down-draughts that form within cloud systems transport heat, moisture and momentum, 362 and thus rectify the large-scale atmospheric state. Through these various effects, clouds 363 influence both locally and remotely the atmospheric static stability, the wind shear and 364 the meridional gradients of temperature. In doing so they help determine the localization 365

¹The previous generation was greatly concerned with the dynamics of pressure systems and talked about highs and lows. Today we have not lost interest in these systems but we tend to look upon them as circulation systems. This change in attitude has led to a deeper understanding of their dynamics. Perhaps the next generation will be talking about the dynamics of water systems. (Lorenz, 1969)

and strength of large-scale dynamical features such as the tropical Hadley-Walker circulation, intra-seasonal oscillations and mid-latitude jets^{25, 32, 45, 46} and influence the rate of development, the structure and the strength of smaller-scale disturbances such as tropical and extra-tropical cyclones, as well as the organization of convection and the occurrence of a range of mesoscale phenomena^{1,41,47,48}. New opportunities now make it possible to improve significantly the understanding of these interactions (Box 2).

Box 2: New Opportunities for Rapid Progress

The clouds-and-circulation problem has been a challenge for a long time, but new oppor-373 tunities make us confident that a more rapid progress is now possible. Increasing computer 374 power is allowing the representation of motions on the scale of less than a kilometre over 375 domains of thousands of kilometres, even extending to the entire globe (Fig. 6a). Such 376 ultra high-resolution simulations on climate time scales will make it possible to generate 377 clouds and large-scale circulation in a physically consistent manner, and thus to study their 378 interaction. Recent advances in observational capability, particularly satellite measure-379 ments with active remote sensing, have removed ambiguity in the passive sensing of cloud 380 and atmospheric structure, and enabled a view of how clouds of different depths couple 381 to their large-scale environment (Fig. 6b). Advances in methods of data assimilation-382 the optimal synthesis of models and observations—are also able to make increasing use 383 of satellite measurements, soon including direct measurements of winds, which provides 384 increasingly consistent and complete pictures of clouds and circulation. Advances in the 385 identification and interpretation of isotopic signatures, available in both the palaeoclimate 386 record and the present day, are giving impetus to investigations of past climate changes 387 (Fig. 6c). Simulations of past and future climates are now being performed using the same 388 models, offering "out-of-sample" tests of our understanding of the role of clouds and cir-389 culation in climate dynamics⁴⁹. Finally, new methodologies of comparison between simu-390 lations and observations are now allowing us to not only identify model errors, but to also 391 better interpret their sources⁵⁰. 392

- Emanuel, K. The role of water in atmospheric dynamics and climate. In Pearce, R. P. (ed.) *Meteorology at the Millennium*, 1–14 (Academic Press, London, 2002).
- 2. Sherwood, S. C., Bony, S. & Dufresne, J.-L. Spread in model climate sensitivity traced to atmospheric convective mixing. *Nature* **505**, 37–42 (2014).
- IPCC 2013. Summary for Policymakers. In Stocker, T. et al. (eds.) Climate Change 2013: The Physical Science Basis. Contribution of Working Group I to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change, 1–29 (Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA., 2013).
- 4. Shepherd, T. G. Atmospheric circulation as a source of uncertainty in climate change projections. *Nature Geoscience* **7**, 703–708 (2014).
- 5. Stevens, B. & Bony, S. What are climate models missing? *Science* **340**, 1053–1054 (2013).
- Bony, S. *et al.* Carbon Dioxide and Climate: Perspectives on a Scientific Assessment. In Hurrell, J. W. & Asrar, G. (eds.) *Monograph on Climate Science for Serving Society: Research, Modelling and Prediction Priorities*, 391–413 (Springer Netherlands, Dordrecht, 2013).
- Boucher, O. *et al.* Clouds and Aerosols. In Stocker, T. *et al.* (eds.) *Climate Change* 2013: The Physical Science Basis. Contribution of Working Group I to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change, 571–657 (Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA., 2013).
- Sherwood, S. C. *et al.* Climate Processes: Clouds, Aerosols and Dynamics. In Hurrell, J. W. & Asrar, G. (eds.) *Climate Science for Serving Society*, 73–103 (Springer Netherlands, Dordrecht, 2013).
- 9. Held, I. Simplicity amid Complexity. Science 343, 1206–1207 (2014).

- 10. Held, I. M. & Hou, A. Y. Nonlinear axially symmetric circulations in a nearly inviscid atmosphere. *J. Atmos. Sci.* **37**, 515–533 (1980).
- 11. Emanuel, K. A. The Dependence of Hurricane Intensity on Climate. *Nature* **326**, 483–485 (1987).
- 12. Hartmann, D. L. & Larson, K. An important constraint on tropical cloud climate feedback. *Geophys. Res. Lett.* **29**, 1951 (2002).
- Cooke, R., Wielicki, B. A., Young, D. F. & Mlynczak, M. G. Value of information for climate observing systems. *Environ Syst Decis* 34, 98–109 (2013).
- 14. Stevens, B. & Bony, S. Water in the atmosphere. *Physics Today* 66, 29 (2013).
- 15. Rieck, M., Nuijens, L. & Stevens, B. Marine Boundary Layer Cloud Feedbacks in a Constant Relative Humidity Atmosphere. *J. Atmos. Sci* **69**, 2538–2550 (2012).
- Zhang, M. *et al.* CGILS: Results from the first phase of an international project to understand the physical mechanisms of low cloud feedbacks in single column models. *J. Adv. Model. Earth Syst.* 5, 826–842 (2013).
- 17. Zhao, M. An Investigation of the Connections among Convection, Clouds, and Climate Sensitivity in a Global Climate Model. *J. Clim.* **27**, 1845–1862 (2014).
- Zelinka, M. D., Klein, S. A. & Hartmann, D. L. Computing and Partitioning Cloud Feedbacks Using Cloud Property Histograms. Part I: Cloud Radiative Kernels. J. *Clim.* 25, 3715–3735 (2012).
- Butler, A. H., Thompson, D. W. J. & Heikes, R. The Steady-State Atmospheric Circulation Response to Climate Change–like Thermal Forcings in a Simple General Circulation Model. *J. Clim.* 23, 3474–3496 (2010).
- Kang, S. M., Polvani, L. M., Fyfe, J. C. & Sigmond, M. Impact of Polar Ozone Depletion on Subtropical Precipitation. *Science* 332, 951–954 (2011).

- Brayshaw, D. J., Hoskins, B. & Blackburn, M. The Basic Ingredients of the North Atlantic Storm Track. Part I: Land–Sea Contrast and Orography. J. Atmos. Sci 66, 2539–2558 (2009).
- Simpson, I. R., Shaw, T. A. & Seager, R. A Diagnosis of the Seasonally and Longitudinally Varying Midlatitude Circulation Response to Global Warming. *J. Atmos. Sci* 71, 2489–2515 (2014).
- Woollings, T. Dynamical influences on European climate: an uncertain future. *Phil. Trans. Roy. Soc. A: Mathematical, Physical and Engineering Sciences* 368, 3733– 3756 (2010).
- Grise, K. M. & Polvani, L. M. Southern Hemisphere Cloud–Dynamics Biases in CMIP5 Models and Their Implications for Climate Projections. J. Clim. 27, 6074– 6092 (2014).
- Ceppi, P., Zelinka, M. D. & Hartmann, D. L. The response of the southern hemispheric eddy-driven jet to future changes in shortwave radiation in cmip5. *Geophys. Res. Lett.* 41, 3244–3250 (2014).
- 26. Miyamoto, Y. *et al.* Deep moist atmospheric convection in a subkilometer global simulation. *Geophys. Res. Lett.* **40**, 4922–4926 (2013).
- Rivière, G., Laîné, A., Lapeyre, G., Salas-Mélia, D. & Kageyama, M. Links between Rossby Wave Breaking and the North Atlantic Oscillation–Arctic Oscillation in Present-Day and Last Glacial Maximum Climate Simulations. *J. Clim.* 23, 2987– 3008 (2010).
- 28. Bartlein, P. J. *et al.* Pollen-based continental climate reconstructions at 6 and 21 ka: a global synthesis. *Clim. Dynam.* **37**, 775–802 (2011).
- Marsham, J. H. *et al.* The role of moist convection in the West African monsoon system: Insights from continental-scale convection-permitting simulations. *Geophys. Res. Lett.* 40, 1843–1849 (2013).

- 30. Biasutti, M. & Giannini, A. Robust Sahel drying in response to late 20th century forcings. *Geophys. Res. Lett.* **33**, L11706 (2006).
- Kang, S. M., Held, I. M., Frierson, D. M. W. & Zhao, M. The Response of the ITCZ to Extratropical Thermal Forcing: Idealized Slab-Ocean Experiments with a GCM. *J. Clim.* 21, 3521–3532 (2008).
- 32. Hwang, Y. T. & Frierson, D. Link between the double-Intertropical Convergence Zone problem and cloud biases over the Southern Ocean. *PNAS* **110**, 4935–4940 (2013).
- Held, I. M., Delworth, T. L., Lu, J., Findell, K. L. & Knutson, T. R. Simulation of Sahel drought in the 20th and 21st centuries. *Proc. Natl Acad. Sci. USA* 102, 17891– 17896 (2005).
- Perez-Sanz, A., Li, G., González-Sampériz, P. & Harrison, S. P. Evaluation of modern and mid-Holocene seasonal precipitation of the Mediterranean and northern Africa in the CMIP5 simulations. *Clim. Past* 10, 551–568 (2014).
- Donohoe, A., Marshall, J., Ferreira, D. & McGee, D. The Relationship between ITCZ Location and Cross-Equatorial Atmospheric Heat Transport: From the Seasonal Cycle to the Last Glacial Maximum. *J. Clim.* 26, 3597–3618 (2013).
- Houze Jr, R. A. Cloud clusters and large-scale vertical motions in the tropics. J. Meteor. Soc. Japan 60, 396–408 (1982).
- Bretherton, C. S., Blossey, P. N. & Khairoutdinov, M. An energy-balance analysis of deep convective self-aggregation above uniform SST. *J. Atmos. Sci* 62, 4273–4292 (2005).
- Tobin, I., Bony, S. & Roca, R. Observational Evidence for Relationships between the Degree of Aggregation of Deep Convection, Water Vapor, Surface Fluxes, and Radiation. J. Clim. 25, 6885–6904 (2012).

- Wing, A. A. & Emanuel, K. A. Physical mechanisms controlling self-aggregation of convection in idealized numerical modeling simulations. *J. Adv. Model. Earth Syst.* 6, 59–74 (2014).
- 40. Seifert, A. & Heus, T. Large-eddy simulation of organized precipitating trade wind cumulus clouds. *Atmos. Chem. Phys.* **13**, 5631–5645 (2013).
- 41. Muller, C. J. & Held, I. M. Detailed Investigation of the Self-Aggregation of Convection in Cloud-Resolving Simulations. *J. Atmos. Sci* **69**, 2551–2565 (2012).
- 42. Neggers, R. A. J., Neelin, J. D. & Stevens, B. Impact Mechanisms of Shallow Cumulus Convection on Tropical Climate Dynamics. *J. Clim.* **20**, 2623–2642 (2007).
- 43. Jakob, C. Accelerating progress in global atmospheric model development through improved parameterization. *Bull. Am. Meteorol. Soc.* **91**, 869–875 (2010).
- 44. Lorenz, E. N. The nature of the global circulation of the atmosphere: a present view. *The General Circulation of the Atmosphere* 3–23 (1969).
- 45. Slingo, A. & Slingo, J. The response of a general circulation model to cloud longwave radiative forcing. I: Introduction and initial experiments. *Q. J. R. Meteorol. Soc.* **114**, 1027–1062 (1988).
- Bony, S. & Emanuel, K. A. On the role of moist processes in tropical intraseasonal variability: Cloud-radiation and moisture-convection feedbacks. *J. Atmos. Sci* 62(8), 2770–2789 (2005).
- 47. Chagnon, S., Gray, S. L. & Methven, J. Diabatic processes modifying potential vorticity in a North Atlantic cyclone. *Q. J. R. Meteorol. Soc.* **139**, 1270–1282 (2013).
- 48. Joos, H. & Wernli, H. Influence of microphysical processes on the potential vorticity development in a warm conveyor belt: a case study with the limited area model COSMO. *Q. J. R. Meteorol. Soc.* **138**, 407–418 (2012).

- 49. Braconnot, P. *et al.* Evaluation of climate models using palaeoclimatic data. *Nature Clim. Change* **2**, 417–424 (2012).
- 50. Martin, G. M. *et al.* Analysis and Reduction of Systematic Errors through a Seamless Approach to Modeling Weather and Climate. *J. Clim.* **23**, 5933–5957 (2010).

Acknowledgements This paper was developed as part of the Grand Challenge on "Clouds, Circulation and Climate Sensitivity" of the World Climate Research Programme. The process of identifying a handful of scientific questions that have the potential to advance our understanding of the interplay of clouds, circulation and climate sensitivity culminated in a workshop whose participants are gratefuly acknowledged: Dorian Abbot, Peter Bauer, Michela Biasutti, Hervé Douville, Jean-Louis Dufresne, Anthony Del Genio, Kerry Emanuel, Qiang Fu, Julia Hargreaves, Sandy Harrison, Isaac Held, Cathy Hohenegger, Brian Hoskins, Sarah Kang, Hideaki Kawai, Stephen A. Klein, Norman Loeb, Thorsten Mauritsen, Brian Mapes, Martin Miller, Caroline Muller, Colin Prentice, Camille Risi, Masaki Satoh, Courtney Schumacher, Bruce Wielicki, Masakazu Yoshimori, and Paquita Zuidema. Marie Doutriaux-Boucher (EUMETSAT) provided the satellite products used in Figure 2. S.B. and B.S. acknowledge support from the LABEX L-IPSL and the Max Planck Society for the Advancement of Science.

Author contributions S.B. and B.S. led the writing of the paper. All authors contributed to the development and writing of the manuscript.

Additional information Correspondence should be addressed to S.B. (email: bony@lmd.jussieu.fr).

Competing Interests The authors declare no competing financial interests.

Figure 1 What role does convection play in cloud feedbacks? Shallow clouds such as those shown on the left (with tops around 2.5 km, and hints of much deeper convection in the distant background) are known to be important in determining the sensitivity of climate system models to perturbations. The behaviour of convection on all scales is thought to be important for determining the response of clouds to a warming climate, particularly for the delicate cloud regimes covering tropical and subtropical oceans.

Figure 2 What controls the position, strength and variability of storm tracks? a A mid-latitude winter storm is outlined by the red dashed line (which demarcates the boundary between air-masses in the upper troposphere) overlain on infrared radiances measured with a geostationary satellite. **b** Motion vectors and brightness temperatures are used to deduce the motion and height of the cloud fields from which they are derived. **c** A conceptual cartoon illustrates the interplay between the circulation and a rich variety of cloud fields along a cross section roughly following the transect shown in panel (**a**). In **a-b** the data is limited by the field of view of the Meteosat satellite (EUMETSAT).

Figure 3 What controls the position, strength and variability of tropical rainbelts? a Observations (derived from the satellite Tropical Rainfall Measuring Mission) feature a contrasted distribution of precipitation at the regional scale, with large amounts of rainfall occurring in narrow bands of the tropics. **b** The position of tropical rain bands has a pronounced influence on precipitation over land, with droughts over periods of decades attributable to shifts in the ITCZ, as for instance seen in the Sahel during the 20th Century³³.

Figure 4 What role does convective aggregation play in climate? a In models convective organisation emerges spontaneously, increasingly so with increasing temperature⁴¹. **b** In observations (relative humidity profiles from AIRS satellite measurements) the middle troposphere is drier in an atmosphere in which the

same amount of precipitation is concentrated in a smaller number of convective clusters³⁸.

Figure 5 [Box 1 figure] Clouds are closely coupled to the atmospheric circulation but in ways that we are only beginning to discover. (From SATMOS ©Meteo-France)

Figure 6 [Box 2 figure] The power of resolving processes across a range of scales. a Simulations of the climate system can now span a range of scales stretching from that of cloud systems (about 1 km) through the planetary scales (shown is the mixing ratio of condensed water simulated with a global cloud-resolving model²⁶). **b** Observations are now capable of profiling the vertical structure of condensate throughout the atmosphere (shown are vertical profiles of radar reflectivity and clouds from CloudSat and Calipso ©NASA and 2007 TerraMetrics). **c** Palaeo records are providing an ever richer and more coherent story of past changes in precipitation (shown is a distribution map of reconstructed lake levels across Africa, 9,000 years ago relative to today ©2012 Nature Education).

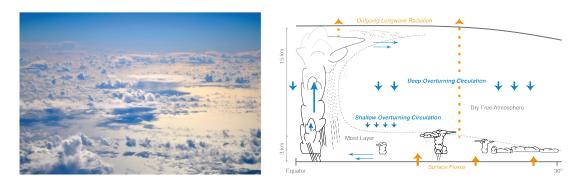


Figure 1: What role does convection play in cloud feedbacks? Shallow clouds such as those shown on the left (with tops around 2.5 km, and hints of much deeper convection in the distant background) are known to be important in determining the sensitivity of climate system models to perturbations. The behaviour of convection on all scales is thought to be important for determining the response of clouds to a warming climate, particularly for the delicate cloud regimes covering tropical and subtropical oceans.

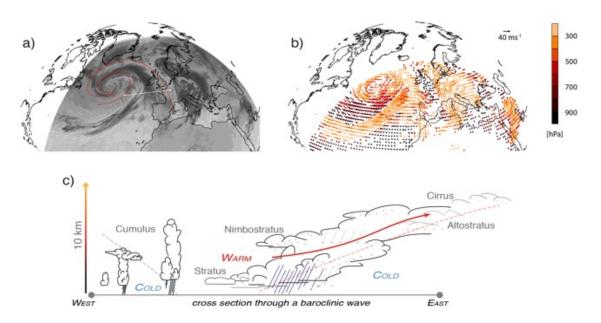


Figure 2: What controls the position, strength and variability of storm tracks? a A midlatitude winter storm is outlined by the red dashed line (which demarcates the boundary between air-masses in the upper troposphere) overlain on infrared radiances measured with a geostationary satellite. **b** Motion vectors and brightness temperatures are used to deduce the motion and height of the cloud fields from which they are derived. **c** A conceptual cartoon illustrates the interplay between the circulation and a rich variety of cloud fields along a cross section roughly following the transect shown in panel (**a**). In **a-b** the data is limited by the field of view of the Meteosat satellite (EUMETSAT).

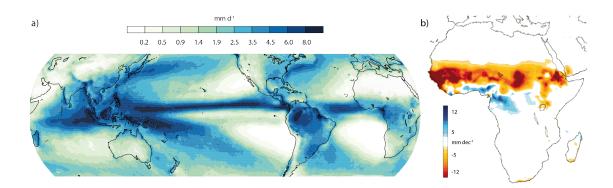


Figure 3: What controls the position, strength and variability of tropical rainbelts? a Observations (derived from the satellite Tropical Rainfall Measuring Mission) feature a contrasted distribution of precipitation at the regional scale, with large amounts of rainfall occurring in narrow bands of the tropics. **b** The position of tropical rain bands has a pronounced influence on precipitation over land, with droughts over periods of decades attributable to shifts in the ITCZ, as for instance seen in the Sahel during the 20th Century³³.

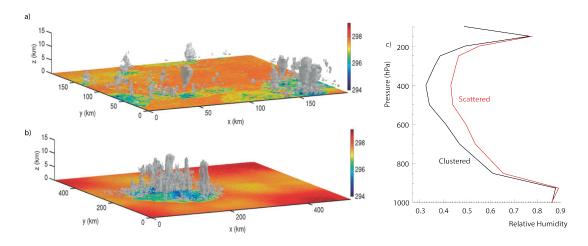


Figure 4: What role does convective aggregation play in climate? a In models convective organisation emerges spontaneously, increasingly so with increasing temperature⁴¹. b In observations (relative humidity profiles from AIRS satellite measurements) the middle troposphere is drier in an atmosphere in which the same amount of precipitation is concentrated in a smaller number of convective clusters³⁸.

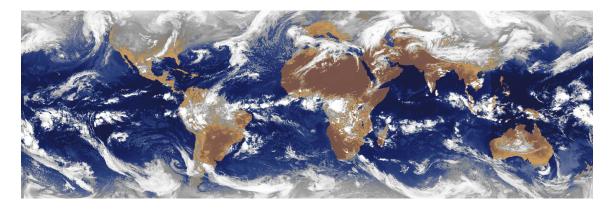


Figure 5: [Box 1 figure] Clouds are closely coupled to the atmospheric circulation but in ways that we are only beginning to discover. (From SATMOS ©Meteo-France)

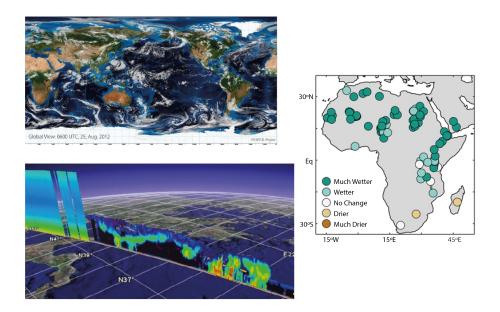


Figure 6: [Box 2 figure] The power of resolving processes across a range of scales. a Simulations of the climate system can now span a range of scales stretching from that of cloud systems (about 1 km) through the planetary scales (shown is the mixing ratio of condensed water simulated with a global cloud-resolving model²⁶). b Observations are now capable of profiling the vertical structure of condensate throughout the atmosphere (shown are vertical profiles of radar reflectivity and clouds from CloudSat and Calipso ©NASA and 2007 TerraMetrics). c Palaeo records are providing an ever richer and more coherent story of past changes in precipitation (shown is a distribution map of reconstructed lake levels across Africa, 9,000 years ago relative to today ©2012 Nature Education).