

# Preparation of mucoadhesive methacrylated chitosan nanoparticles for delivery of ciprofloxacin

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#### **Research** Paper 1 **Preparation of Mucoadhesive Methacrylated Chitosan** 2 Nanoparticles for Delivery of Ciprofloxacin 3 Renas Rzgar Jalal<sup>a</sup>, Twana Mohammed M. Ways<sup>a,\*</sup>, Mahmoud H. Abu Elella<sup>b,c</sup>, Diyar Ahmed 4 Hassan<sup>d</sup>, Vitaliy V. Khutoryanskiy<sup>b</sup> 5 6 a. 7 Department of Pharmaceutics, College of Pharmacy, University of Sulaimani, Sulaimani, 8 46001, Kurdistan Region, Iraq. b. Reading School of Pharmacy, University of Reading, Whiteknights, Reading, RG6 6AD, 9 United Kingdom. 10 c. Chemistry Department, Faculty of Science, Cairo University, Giza, 12613, Egypt. 11 d. Pioneer Co. for Pharmaceutical Industries, Sulaimani, 46001, Kurdistan Region, Iraq. 12 \*Correspondence: twana.mohammed@univsul.edu.iq 13

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#### Abstract

Mucoadhesive polymers and their nanoparticles have attracted a lot of attention in pharmaceutical 16 applications, especially transmucosal drug delivery (TDD). Mucoadhesive polysaccharide-based 17 nanoparticles, particularly chitosan, and its derivatives, are widely used for TDD owing to their 18 outstanding features such as biocompatibility, mucoadhesive, and absorption-enhancing 19 properties. Herein, this study aimed to design potential mucoadhesive nanoparticles for the 20 delivery of ciprofloxacin based on methacrylated chitosan (MeCHI) using the ionic gelation 21 method in the presence of sodium tripolyphosphate (TPP) and compared them with the unmodified 22 chitosan nanoparticles. In this study, different experimental conditions including the polymer to 23 TPP mass ratios, NaCl, and TPP concentration were changed to achieve unmodified and MeCHI 24 nanoparticles with the smallest particle size and lowest polydispersity index. At 4:1 polymer /TPP 25 mass ratio, both chitosan and MeCHI nanoparticles had the smallest size (133±5 nm and 206±9 26 nm, respectively). MeCHI nanoparticles were generally larger and slightly more polydisperse than 27 the unmodified chitosan nanoparticles. Ciprofloxacin-loaded MeCHI nanoparticles had the highest 28 encapsulation efficiency (69±13%) at 4:1 MeCHI /TPP mass ratio and 0.5 mg/mL TPP, but similar 29 encapsulation efficiency to that of their chitosan counterpart at 1 mg/mL TPP. They also provided 30 a more sustained and slower drug release compared to their chitosan counterpart. Additionally, the 31

mucoadhesion (retention) study on sheep abomasum mucosa showed that ciprofloxacin-loaded
MeCHI nanoparticles with optimized TPP concentration had better retention than the unmodified
chitosan counterpart. The percentage of the remained ciprofloxacin-loaded MeCHI and chitosan
nanoparticles on the mucosal surface was 96% and 88%, respectively. Therefore, MeCHI
nanoparticles have an excellent potential for applications in drug delivery.

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Keywords: Chitosan; Methacrylated chitosan; Mucoadhesion; Nanoparticles; Ciprofloxacin;38Drug delivery39

#### 1. Introduction

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41 Mucoadhesive drug delivery systems are the drug carriers which have the ability to adhere to the mucus layer covering the mucosal membranes. The mucoadhesion of the drug delivery systems 42 increases the residence time of the drug at the site of application and/or absorption and may 43 enhance the absorption of the drug through mucosal membranes [1-3]. Increasing the residence 44 time of the drug achieved by mucoadhesive drug delivery systems can significantly decrease the 45 frequency of drug administration and therefore improve the patients' compliance. These systems 46 47 can also be used for targeting a drug to a specific region of the body for extended periods of time, resulting in decreased systemic drug exposure and minimizing the side effects of the drugs [2,4]. 48

Mucoadhesive drug delivery systems include different formulations such as tablets [5], patches 49 [6], suppositories [7,8], gels [9], liposomes [10,11], microparticles [12], and nanoparticles [13-16]. 50 Among these, the mucoadhesive nanoparticles have attracted the attention of researchers owing to 51 their small size, better distribution throughout the mucosal tissues, better physical stability [17,18], 52 high drug loading [19], and feasibility for applications via different routes of administration, 53 including oral [20,21], rectal [22], vaginal [23], nasal [24], ocular [25], and inhalational [26,27]. 54

Mucoadhesive nanoparticles are normally prepared using hydrophilic polymers as excipients in 55 their formulations. A typical example of these polymers is chitosan which is a cationic 56 polysaccharide with unique properties including hydrophilicity, safety, biodegradability, drug 57 permeation-enhancing ability, and mucoadhesivity [28,29]. The main mechanism involved in the 58 mucoadhesion of chitosan is the electrostatic attraction between chitosan and the mucin 59 glycoproteins of mucus on mucosal surfaces [30-32]. Under physiological conditions, the 60

positively charged amino groups of chitosan bind to the negatively charged sialic acid and sulfonic 61 acid groups of mucin [33-35]. This electrostatic attraction is generally considered to be a weak 62 interaction that only provides a limited mucoadhesive force being in many cases inadequate to 63 guarantee the prolonged retention of drug delivery systems on mucosal surfaces [36]. Therefore, 64 different chitosan derivatives including thiolated [37], boronated [38], acrylated [39], and 65 methacrylated chitosan [36] have been developed that can adhere to mucosal surfaces via covalent 66 bonds significantly stronger than the unmodified chitosan. The mucoadhesive properties of 67 thiolated chitosan in many different formulations have been extensively studied by Bernkop-68 Schnürch group [37]. Khutoryanskiy et al. [36] previously demonstrated that methacryloylation of 69 chitosan to form MeCHI dramatically improved its mucoadhesive properties due to the possibility 70 of forming covalent bonds between methacryloyl groups of MeCHI and thiol groups present in 71 72 mucin glycoproteins. They evaluated the mucoadhesive properties for solutions of MeCHI on 73 porcine bladder mucosa. However, it was not clear that the enhanced mucoadhesivity of MeCHI 74 can also be achieved if this polymer is formulated as nanoparticles.

Many studies have also reported the formation of chitosan polyelectrolyte complexes through 75 interactions between two oppositely charged polymers for example chitosan and natural anionic 76 77 polymers including alginate, pectin, carrageenan, xanthan gum, hyaluronic acid and fucoidan for the development of mucoadhesive nanoparticles for oral drug delivery [40-43]. The formation of 78 polyelectrolyte complexes limits the disadvantages of individual polymers, such as limited 79 mucoadhesivity, poor mechanical durability and instability in vivo, and poor aqueous solubility at 80 physiological pH (ranging from 1.2 to 8) while retaining the biological activities of the active 81 ingredient, leading to the formation of new materials with better mucoadhesive and permeation-82 enhancement properties as well as good stability at physiological pH [41,44]. However, the process 83 of formation of polyelectrolyte complexes depends on more factors, for example, the properties of 84 the anionic polymer such as its molecular weight and viscosity [40]. 85

The potential of using MeCHI for preparing nanoparticles was not explored previously. MeCHI 86 nanoparticles are expected to have a better potential as drug nanocarriers compared to other types 87 of nanoparticles as they are prepared using safe and biocompatible polymers [36]. Due to the 88 availability of various functional groups and the swelling behavior of MeCHI nanoparticles, they 89 could have a higher drug loading capacity than the non-functionalized inorganic nanoparticles. 90

Therefore, the aims of this study were to prepare chitosan and MeCHI nanoparticles and compare 91 their physicochemical and mucoadhesive characteristics. To the best of our knowledge, this is the 92 first study that reports the preparation of ciprofloxacin-loaded MeCHI nanoparticles and shows 93 their enhanced mucoadhesive properties. The novelty of this study includes developing a method 94 of preparation of a novel chitosan derivative (MeCHI)-based nanoparticles as well as establishing 95 a new method for the evaluation of mucoadhesive properties of the prepared MeCHI nanoparticles. 96 The feasibility of loading drugs into these novel nanoparticles was also explored using 97 98 ciprofloxacin as a model drug.

Several studies have reported the preparation of mucoadhesive chitosan nanoparticles using the 99 ionotropic gelation method in the presence of TPP and showed their potential as a vehicle for the 100 delivery of drugs with various physicochemical properties [45]. However, recently, the preparation 101 of chemically modified chitosan derivative nanoparticles has shown potential interest to improve 102 the mucoadhesive property of chitosan for delivering therapeutic drugs to the target position in a 103 short time. Therefore, our research group is interested in designing potential mucoadhesive drug 104 nanocarriers based on chitosan. To the best of our knowledge, the preparation of MeCHI 105 nanoparticles using this relatively simple approach has not been reported until now. In this study, 106 we have shown that it is possible to prepare MeCHI nanoparticles from MeCHI using the ionic 107 gelation method in the presence of TPP as an ionic cross-linker. Therefore, this study significantly 108 109 contributes to the development of novel excipients used in the formulation of drug-loaded nanoparticles and explores the techniques used in the preparation and characterization of such 110 111 nanoparticles.

#### 2. Materials and Methods

#### 2.1. Materials

Low molecular weight chitosan (Sigma-Aldrich UK, with a degree of deacetylation 85%), sodium 115 tripolyphosphate (TPP, Sigma-Aldrich UK), sodium chloride, sodium hydroxide (Merck, 116 Germany), acetic acid (Gainland, UK) and methacrylic anhydride (Sigma-Aldrich, Gillingham, 117 UK) were used in this study. Cellulose dialysis membrane (molecular weight cut-off 12–14 kDa) 118

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was purchased from Medicell International Ltd. Ciprofloxacin HCl was provided by Pioneer Co.	119
for Pharmaceutical Industries, Kurdistan Region, Iraq.	120
2.2. Experimental Methods	121
2.2.1. Synthesis of MeCHI	122
MeCHI was prepared via the reaction of chitosan and methacrylic anhydride at 40 °C in the dark	123
according to our previously published protocol [36]. In brief, 1 g of chitosan was dissolved in 1%	124
acetic acid under continuous stirring at room temperature (20 °C) overnight. Then 2 mL of	125
methacrylic anhydride was slowly added to the above chitosan solution under continuous stirring	126
for 12 hours at 40 °C in the dark. After 12 hours, the resulting product (MeCHI) was purified using	127
dialysis with cellulose membrane (MWCO 12-14 kDa) against 5 L of deionized water in the dark	128
for 72 hours (9 water changes were carried out). Following the dialysis, the purified MeCHI was	129
frozen and then lyophilized using the Heto Power Dry LL 3000 freeze-drier. The prepared MeCHI	130
sample was collected and stored in the fridge (4° C) for further use. The successful preparation of	131
MeCHI was confirmed using FTIR and <sup>1</sup> H NMR spectroscopy.	132

#### 2.2.2. Characterization of MeCHI

The chemical structure of the prepared MeCHI was elucidated using Fourier-transform infrared 135 spectroscopy (FTIR) and proton nuclear magnetic resonance (<sup>1</sup>H NMR) spectroscopy and 136 compared with unmodified chitosan. The FTIR spectra of chitosan and MeCHI were collected 137 from 4000 to 600 cm<sup>-1</sup> using Nicolet iS5-iD5 ATR FT-IR spectrometer (Thermo Scientific, UK). 138 A 400 MHz ULTRASHIELD PLUS<sup>TM</sup> B-ACS 60 spectrometer was used to record the <sup>1</sup>H NMR 139 spectra using D<sub>2</sub>O acidified with trifluoroacetic acid as a solvent. 140

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#### 2.3. Preparation of Nanoparticles

Chitosan and MeCHI nanoparticles were prepared using an ionic cross-linking method in the 142 presence of TPP [46,47]. Chitosan or MeCHI (1 mg/mL) were dissolved in 1% v/v acetic acid 143 with or without NaCl under continuous stirring for 24 hours at room temperature. The insoluble 144 chitosan or MeCHI was removed using a syringe filter (0.45  $\mu$ m), then the pH of the solution was 145 adjusted to 5.3 using 5 M NaOH solution. TPP was dissolved in distilled water to prepare a 1 146

mg/mL solution. Nanoparticle suspensions were prepared by the dropwise addition of TPP solution147to the chitosan or MeCHI solutions with stirring (380 rpm) at room temperature. The suspended148nanoparticles were stirred for additional 30 minutes at room temperature. Various parameters were149changed to optimize the formulations and the details of the optimization steps are shown in the150following sections.151

#### 2.3.1. Chitosan/MeCHI to TPP mass ratio

The nanoparticles were prepared at selected chitosan or MeCHI to TPP mass ratios (1:2, 1:1, 2:1,1533:1, 4:1, and 5:1) by changing the volume of the TPP solution which was added to the chitosan or154MeCHI solution.155

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#### 2.3.2. Addition of NaCl

Two formulations of each of the chitosan and MeCHI nanoparticles were prepared using NaCl.157Chitosan or MeCHI (1 mg/mL) was dissolved in 1% v/v acetic acid containing 0.5 mg/mL NaCl.158The solutions were kept under continuous stirring for 24 hours at room temperature. Nanoparticles159were prepared at 4:1 and 5:1 chitosan or MeCHI to TPP mass ratio.160

#### 2.3.3. TPP concentration

Four formulations of each of the chitosan and MeCHI nanoparticles were prepared at a constant162TPP solution concentration (0.5 mg/mL). Chitosan or MeCHI (1 mg/mL) was dissolved in 1% v/v163acetic acid with or without NaCl. The nanoparticles were prepared at 4:1 and 5:1 chitosan/MeCHI164to TPP mass ratio.165

Chitosan and MeCHI nanoparticles were formulated at different polymer to cross-linker ratios 166 whereas the concentration of the polymers was kept constant (1 mg/mL). In addition, two 167 formulations of each chitosan and MeCHI nanoparticles were prepared using NaCl. Four 168 formulations of each polymeric nanoparticle were prepared by changing TPP concentration at the 169 same polymer to TPP mass ratio. Depending on the size and polydispersity index (PDI) of the 170 unloaded nanoparticles, four formulations were then selected and used to prepare ciprofloxacin-171 loaded chitosan and MeCHI nanoparticles. Ciprofloxacin-loaded chitosan nanoparticles were 172 prepared using a polymer to TPP mass ratio of 4:1 with and without NaCl. For the formulation of 173 ciprofloxacin-loaded MeCHI nanoparticles, the polymer to TPP mass ratio was 4:1 with two 174

different	TPP	concentrations	(0.5	and	1	mg/mL).	NaCl	was	not	used	in	the	formulation	of	175
ciproflox	kacin-l	loaded MeCHI r	nanop	artic	les	5.									176

#### 2.3.4. Preparation of ciprofloxacin-loaded chitosan and MeCHI nanoparticles 177

After the optimization process of the blank nanoparticles, four formulations with the smallest mean 178 179 particle size and the lowest PDI were selected to prepare ciprofloxacin-loaded chitosan/MeCHI nanoparticles. Chitosan or MeCHI was dissolved in 1% v/v acetic acid with or without NaCl to 180 prepare 1 mg/mL polymers' solutions. The solutions were stirred for 24 hours at room temperature 181 using a magnetic stirrer. Ciprofloxacin HCl (0.5 mg/mL) was added to the polymer solutions 20 182 183 minutes prior to the nanoparticles preparation. The insoluble chitosan or MeCHI was removed using a syringe filter (0.45 µm), then the pH of the solutions was adjusted to 5.3 using 5 M NaOH 184 solution. TPP was dissolved in distilled water to prepare a 1 mg/mL TPP solution. Ciprofloxacin-185 loaded nanoparticles were prepared by the dropwise addition of TPP solution to the chitosan or 186 187 MeCHI solutions with continuous stirring. The suspended nanoparticles were stirred for additional 30 minutes at room temperature. 188

#### 2.4. Nanoparticle Characterization

#### 2.4.1. Dynamic light scattering (DLS)

SZ-100z Dynamic Light Scattering (Horiba Jobin Jyovin, Japan) was used to measure the size 191 192 distribution and zeta potential of the nanoparticles. Distilled water was used as a dispersion medium to dilute the nanoparticles (1:100). For the particle size analysis, the scattering angle was 193 kept at 90 °C with a holder temperature of 25 °C, a refractive index of 1.58, and a medium viscosity 194 of 0.892 mPa·s. Measurements were performed in triplicates and samples were equilibrated for 60 195 seconds per run using 12 µL quartz cuvettes prior to each measurement. A disposable zeta potential 196 cell with carbon-coated electrodes was used for the zeta potential measurement. Smoluchowski 197 model (Fka=1.5) was used to convert the electrophoretic mobility data to the zeta-potential values. 198

#### 2.4.2. Transmission electron microscopy (TEM)

The morphology of the chitosan and MeCHI nanoparticles was studied using TEM (Carl 200 Zess\_EM1OC, Germany) at an accelerating voltage of 100 kV. One drop of nanoparticles 201 suspensions was placed onto a carbon-coated copper grid and left to dry for 1 minute at room 202

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temperature. The formulations were stained with 2% w/v phosphotungstic acid solution. The203stained formulations were left to dry in the air at room temperature and then used for TEM imaging.204The particle size was measured using ImageJ-Java 8 software.205

#### 2.5. Encapsulation Efficiency (EE) and Loading Capacity (LC) 206

The drug-loaded nanoparticle suspensions were precipitated and separated from the free 207 ciprofloxacin using a centrifugation method (Maanlab, HC 02R, Sweden, 15,000 rpm, 2 °C, 40 208 min). The free amount of ciprofloxacin in the supernatant was analyzed using UV-visible 209 spectrophotometry (PharmaSpec, UV-1700, Japan) at  $\lambda_{max}$  of 277 nm. The concentration of 210 ciprofloxacin in the supernatant was measured by referring to a calibration curve (Figure S1). The 211 experiment was performed in triplicate, the EE and LC were calculated using equations 1 and 2, 212 respectively. 213

$$EE \% = \frac{\text{Total amount of ciprofloxacin-Free amount of ciprofloxacin in supernatant}}{\text{Total amount of ciprofloxacin}} \times 100 \quad (1) \qquad 214$$

$$LC \% = \frac{\text{Total amount of ciprofloxacin-Free amount of ciprofloxaicin in supernatant}}{\text{Total weight of nanoparticles}} \times 100 \quad (2) \qquad 215$$

#### 2.6. Ciprofloxacin Release

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In vitro drug release was studied using a dialysis method in simulated gastric fluid (contained 0.2% 217 w/v NaCl aqueous solution, and the pH was adjusted to 1.2 using 1 M HCl), and simulated 218 intestinal fluid (made of 0.2 M phosphate buffer aqueous solution, pH 6.8). The ciprofloxacin-219 loaded chitosan/MeCHI nanoparticles suspensions were centrifuged (Maanlab, HC 02R, Sweden, 220 15000 rpm, 2 °C, 40 min) to prepare the drug-loaded nanoparticles precipitates, which were washed 221 with distilled water once. A specific amount of the precipitated ciprofloxacin-loaded nanoparticles 222 223 (equivalent to 5 mg ciprofloxacin) was redispersed in 5 mL phosphate buffer (0.2 M, pH 5). The 224 dialysis membrane was soaked in distilled water for one hour and washed with distilled water. 5 mL of the redispersed nanoparticles was transferred to the dialysis membrane (MWCO 14 kDa, 225 226 Membra-Cell, USA). The dialysis membrane was tied by a clump at both sides and the middle part of the dialysis membrane was immersed in 30 mL simulated intestinal fluid or stimulated gastric 227 fluid at 37±1 °C under continuous stirring at 100 rpm. At a specific time interval, 2 mL of the 228 229 dialysis medium was collected and replaced with the same volume of the freshly prepared phosphate buffer. The amount of ciprofloxacin released into the dialysis medium was measured 230 using UV-visible spectrophotometry at  $\lambda_{max}$  of 277 nm. The calibration curve was constructed from 231 the absorbance of standard solutions of ciprofloxacin HCl (Figure S1). 232

#### 2.7. Mucoadhesion Test

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The mucoadhesion of chitosan and MeCHI nanoparticles was evaluated using an ex vivo wash-off 234 method with some modifications [48-50]. The sheep stomach was obtained from animal slaughter, 235 washed with distilled water, and stored in a freezer (-20 °C). Prior to experiments, the tissues were 236 thawed and a 2.5 ×7.5 cm piece of sheep abomasum mucosa was excised and carefully washed 237 with 2 mL simulated gastric fluid. The simulated gastric fluid consisted of 0.2% NaCl solution 238 adjusted to pH 1.8±0.1 using 1 M HCl solution [48]. The dissected stomach tissue was placed on 239 a microscope slide. Then, 0.5 mL ciprofloxacin-loaded chitosan or MeCHI nanoparticles 240 suspensions (containing 0.5 mg of ciprofloxacin) were placed on the tissues, then left for 5 minutes. 241 The microscope slide was fixed at 45° angle relative to the horizontal surface. Then, the tissue was 242 exposed to simulated gastric fluid (warmed at 37 °C) at a constant flow rate (1 mL/minute). Finally, 243 the wash fluid samples were collected at pre-determined time intervals 1, 2, 3, 4, 5, 10, 15, and 20 244 245 minutes. The amount of ciprofloxacin washed with stimulated gastric fluid was analyzed using HPLC (Waters, Alliance e2695, Empower software). The HPLC system consisted of a Micro-246 vacuum degasser, a quaternary pump, an autosampler (Alliance), and a UV detector. Reverse phase 247 chromatography was used with an XBridge® C18 5µ 4.6×25 mm HPLC column. The mobile 248 phase was acetonitrile:0.025 M phosphoric acid (pH 3 adjusted with trimethylamine, 13:87 volume 249 ratio). An isocratic mode with a 1.5 mL/min flow rate was used. The injection volume was 10 µL 250 and the analysis was conducted at  $\lambda_{max}$  of 278 nm and 30±1 °C. The amount of ciprofloxacin in the 251 washed samples was found using a calibration curve (Figure S2) prepared from standard solutions 252 of ciprofloxacin HCl in simulated gastric fluid. The experiments were performed in triplicates, and 253 the percentage of retained ciprofloxacin was found using equation 3. 254

Retained 
$$\% = \frac{\text{total drug used} - \text{drug collected after wash off at predetermined time}}{\text{total drug used}} * 100$$
 (3) 255  
2.8. Stability Studies 256  
2.8.1. Storage stability study 257

The physical stability of the prepared ciprofloxacin-loaded chitosan and MeCHI nanoparticles was258assessed visually, and using DLS (Sz-100z, Horiba Jobin Jyovin, Japan). The general appearance259of the nanoparticles including any precipitation and color change was assessed after six months at260two different temperatures (4 °C and 25 °C). The size and PDI of the nanoparticles stored in fridge261(4 °C) were also analyzed using DLS.262

#### 2.8.2. pH stability study

The pH stability of ciprofloxacin-loaded chitosan and MeCHI nanoparticles in stimulated gastric264fluid (pH 1.2) and simulated intestinal fluid (pH 6.8) was analyzed. The size and PDI were265measured using DLS (Sz-100z, Horiba Jobin Jyovin, Japan). The nanoparticles were diluted to2661:100 with simulated gastric or intestinal fluid.267

2.9. Statistical Analysis

All experiments were performed in triplicates and the data are expressed as mean  $\pm$  standard 270 deviation which was calculated using Microsoft Excel software. Statistical analysis was performed 271 using the two-way analysis of variance (ANOVA), and a (Fischer's LSD) post-hoc test using 272 (GraphPad Prism version 9) software. A p-value of < 0.05 was considered statistically significant. 273

#### 3. Results and Discussion

#### 3.1. Synthesis and Structural Characterization of MeCHI

MeCHI was prepared by reacting chitosan with methacrylic anhydride (Figure S3). The 276 components of the reaction mixture, the visual appearance, and the degree of methacrylation are 277 shown in Table S1. The reaction resulted in 66% of the product yield and MeCHI had an off-white 278 appearance. 279

The chemical structure of the prepared MeCHI was confirmed using FTIR and <sup>1</sup>H NMR 280 spectroscopy. Figure 1 shows the FTIR spectra of chitosan and MeCHI. The FTIR spectrum of 281 chitosan illustrates different absorption bands at 3267 cm<sup>-1</sup> related to N-H and O-H vibration 282 stretching bonds and 2877 cm<sup>-1</sup> referred to stretching of C-H bond, 1647 cm<sup>-1</sup> and 1418 cm<sup>-1</sup> 283 referred to symmetric and asymmetric vibrations of C=O (amide I) groups. Moreover, absorption 284 bands appeared at 1559 cm<sup>-1</sup> corresponded to the bending of N-H groups, and at 1154 cm<sup>-1</sup> and 285

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 $892 \text{ cm}^{-1}$  corresponded to bending vibrations of glycosidic (C-O-C) bonds in the repeating unit of286chitosan. The bands at 1060 cm $^{-1}$  and 1033 cm $^{-1}$  indicated the stretching vibration of secondary287and primary alcohol groups (C-OH) in chitosan chains, respectively.288

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Figure 1. FTIR spectra of chitosan and MeCHI.

On the other hand, the FTIR spectrum of MeCHI shows the appearance of a new band at 1618 290  $cm^{-1}$  due to the stretching of the alkenyl (C=C) group in the MeCHI structure. Additionally, the 291 amide band at 1647 cm<sup>-1</sup> in the chitosan spectrum shifted to the sharp absorption band at 1654 292  $cm^{-1}$  referring to new amide (C=O) groups in the MeCHI structure. 293

Figure 2 shows the <sup>1</sup>H NMR spectra of both chitosan and MeCHI. The characteristic peaks of 294 chitosan are observed at 2.0, 3.2, and 4.5 ppm related to *N*-acetylated methyl groups protons, 295 protons attached to the second carbon atom, and anomeric proton (H1), respectively. Additionally, 296

multiplet peaks appeared at  $\delta = 3.6 - 3.9$  ppm, which are due to the protons attached to carbon 297 atoms number 3-6 (30-33). On the other hand, the <sup>1</sup>H NMR spectrum of MeCHI illustrates the 298 peaks of glucosamine ring's protons at 3.0-3.8 ppm, as well as the peaks of methyl protons of 299 300 acetyl and methacrylamide groups of MeCHI at 1.65-1.90 ppm. Additionally, two singlet peaks at 5.3 and 5.6 ppm indicate the protons of methacrylated double bond (C=C) which conjugated with 301 302 chitosan structure. Small peaks appeared at  $\delta = 0.8-1.1$  ppm, which are due to the protons of the inhibitor attaching to the methacrylic anhydride monomer. Moreover, a sharp peak at 4.7 ppm was 303 observed which is related to the solvent  $(D_2O)$ . 304

The degree of methacrylation of MeCHI was found using <sup>1</sup>H NMR spectra data according to equation (4). The ratio between the intensity of protons of methacrylate double bond groups from  $\delta = 5.3$  ppm to 5.6 ppm and the intensity of peaks of glucosamine ring's protons ( $\delta = 3.0-3.8$  ppm) 307 was calculated. The degree of methacrylation of MeCHI was 28%. 308



Chemical shift (ppm)

Figure 2. <sup>1</sup>H NMR spectra of chitosan and MeCHI

Chitosan and MeCHI nanoparticles were prepared by ionic cross-linking of the positively charged 312 chitosan or MeCHI and negatively charged anionic TPP. To prepare chitosan and MeCHI 313 nanoparticles with different size, several possible experimental variables were used and the results 314 were compared. Visual appearance, mean particle size, and PDI of both chitosan and MeCHI 315 nanoparticles were evaluated. 316

Chitosan and MeCHI nanoparticles were formulated using different polymer to TPP ratios but the317same polymer initial concentration (1 mg/mL). In addition, two formulations of both polymers318were prepared in the presence of NaCl to explore the effects of ionic strength on the properties of319the nanoparticles. Four formulations of each polymeric nanoparticle formulation were prepared by320changing TPP concentration at the same polymer to TPP mass ratio.321

The visual observation during the preparation of the nanoparticles revealed that the chitosan 322 solutions changed from fully transparent to a translucent solution indicating the formation of the 323 nanoparticles. However, this change in transparency was not observed with the MeCHI 324 nanoparticles (Figure S4). The difference in the transparency of chitosan and MeCHI nanoparticle 325 suspensions could be due to the difference in the optical properties, refractive index, size, and 326 shape of the nanoparticles [51,52]. 327

328 For chitosan, the transparency of nanoparticle suspensions changed with the change in the mass ratio of chitosan to TPP. As the mass of TPP increased, the turbidity of the solution increased, 329 330 which reveals that the number of nanoparticles formed increases as the mass of TPP increases. This phenomenon indicates that as the TPP mass increases the number of negatively charged 331 groups available to react with the positively charged groups of chitosan increases, leading to the 332 formation of a larger number of nanoparticles [53]. This is in agreement with the study of Shafiei 333 et. al. [54] who reported that the increase in the TPP mass increased the aggregation of chitosan 334 nanoparticles. 335

At the polymer/TPP mass ratios of 1:2, 1:1, and 2:1, both chitosan and MeCHI nanoparticles 336 underwent precipitation after 48 hours when stored at room temperature. The precipitation could 337 be due to the decrease in the polymers to TPP mass ratio to less than the specific value (3:1) that 338 is required to form nanoparticles. This could result in an increase in the number of nanoparticles 339 formed and subsequent aggregation of the nanoparticles due to the presence of the excessive 340 amount of the negatively charged TPP available for binding with the positively charged groups of 341 the already formed chitosan nanoparticles. This could be due to the fact that TPP can potentially 342 form five ionic bonds with the amino groups of chitosan resulting in a single particle formation 343 and subsequent aggregation of the individual particles [55]. In contrast, at polymer to TPP mass 344 ratios of 3:1, 4:1, and 5:1, smaller nanoparticles were obtained which could be due to the decrease 345 in the mass of TPP (the details of the data are available in the following sections). This is aligned 346 with the study of Nunes et al. [56] who found that as the mass ratio of chitosan to TPP decreased 347 348 from 3.5:1 to 1.75:1, the particle size of chitosan nanoparticles remained at the nano-range, but the further decrease in chitosan to TPP mass ratio to 0.85:1, the particle size increased to 1000 nm 349 350 [56].

#### 3.3. DLS Analysis

#### 3.3.1. Polymer/TPP mass ratio

Mean particle size, Z-average size, and PDI of chitosan and MeCHI nanoparticles at 3:1, 353 4:1, and 5:1 polymer to TPP mass ratios were analyzed using DLS and the results are shown in 354 355 Table 1. DLS analysis was not performed for formulations having polymer to TPP mass ratios of 1:2, 1:1, and 2:1 as they underwent precipitation immediately after their preparation. Mean particle 356 size denotes for distribution of the size and a width for each separate size peak of the distribution 357 The Z-average size is the intensity-weighted mean hydrodynamic size of the ensemble collection 358 of particles measured by DLS. It is derived from a cumulants analysis of the measured correlation 359 360 curve, wherein a single particle size is assumed and a single exponential fit is applied to the autocorrelation function [57]. The Z-average size could be smaller, equal to, or greater than the 361 mean particle size depending on the width of the size distribution (homogeneity of the size) which 362 is usually indicated by the PDI of the nanoparticles [57]. In this study, in addition to reporting the 363 Z-average size values, the mean particle size was also used to compare the diameter of the prepared 364 nanoparticles. 365

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Table 1. Compositions and physicochemical properties of the unloaded chitosan and MeCHI nanoparticles368(mean  $\pm$  SD, n=3).369

Polymer and salt in the nanoparticles	Chitosan to TPP mass ratio	TPP concentr ation (mg/mL)	Mean particle size (nm)	PDI	Z- averag e size (nm)	Zeta potential (mV)
Chitosan	3:1	1	135±9	0.212±0. 032	129±4	2.36±1.12
Chitosan	4:1	1	133±5	0.402±0. 145	120±4	12.50±0.26
Chitosan	5:1	1	146±8	0.259±0. 137	142±7	6.26±2.58
Chitosan with NaCl	4:1	1	137±0.5	0.138±0. 056	142±5	9.10±0.52
Chitosan with NaCl	5:1	1	173±20	0.265±0. 148	142±1	2.46±1.76
Chitosan	4:1	0.5	191±7	0.192±0. 138	180±7	18.93±1.40
Chitosan with NaCl	4:1	0.5	186±7	0.333±0. 152	179±5	2.86±1.05
Chitosan	5:1	0.5	177±25	0.213±0. 177	172±8	5.50±1.05
Chitosan with NaCl	5:1	0.5	177±14	0.296±0. 195	167±23	8.96±1.60
MeCHI	3:1	1	281±54	0.666±0. 179	359±60	1.70±0.793
MeCHI	4:1	1	206±9	0.462±0. 158	263±86	10.85±0.07
MeCHI	5:1	1	377±89	0.647±0. 133	590±14 8	2.60±1.33
MeCHI with NaCl	4:1	1	334±18	0.513±0. 228	368±34	10.33±1.59
MeCHI with NaCl	5:1	1	265±94	0.613±0. 082	157±36	6.33±2.65
MeCHI	4:1	0.5	274±73	0.541±0. 211	402±17 3	10.53±0.55
MeCHI with NaCl	4:1	0.5	566±210	0.762±0. 131	244±12	1.66±0.85
MeCHI	5:1	0.5	521±100	0.664±0. 241	254±11	1.20±0.81
MeCHI with NaCl	5:1	0.5	232±101	0.621±0. 092	164±33	3.96±1.55

To better clarify the effects of polymer/TPP mass ratio on the properties of the nanoparticles, the 373 mean particle size and PDI values of the chitosan and MeCHI nanoparticles at different 374 chitosan/MeCHI to TPP mass ratios are shown in Figure 3. It is clear that there was no significant 375 difference (p > 0.05) between the size of different chitosan nanoparticles prepared using different 376 377 chitosan to TPP mass ratios. On the other hand, MeCHI nanoparticles, at the polymer/TPP mass 378 ratio of 4:1, showed a significantly smaller particle size (206±9 nm) compared to the polymer/TPP mass ratios of 3:1, and 5:1 (p < 0.05, and p < 0.001, respectively). This is related to the necessity of 379 the optimum polymer/crosslinker ratio to control the crosslinking of the polymer macromolecules 380 381 and the compactness of the nanoparticles [58].

PDI is a measure of the dispersity (distribution width) in the size of nanoparticles. As the value of 382 PDI decreases the monodispersity increases and indicates homogeneous size distribution. In 383 contrast, a high PDI value indicates the nanoparticles are heterogeneously distributed and have a 384 385 broad size distribution. The PDI values range from 0 to 1 and, generally, values smaller than 0.05 are rarely seen other than with highly monodisperse standards. On the other hand, values greater 386 than 0.7 indicate that the sample has a broad size distribution [59]. Figure 3b shows the values of 387 PDI of both chitosan and MeCHI nanoparticles. Changing the polymer/TPP mass ratio did not 388 have any significant effect (p > 0.05) on the PDI of the nanoparticles. 389



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Figure 3. Effect of polymer to TPP mass ratio and type of polymer on mean particle size (a), and PDI (b)390of chitosan and MeCHI nanoparticles at 1 mg/mL TPP and without NaCl. There is no significant difference391(p>0.05) between the sizes and PDI values of chitosan nanoparticles at different polymer to TPP mass392ratios. MeCHI nanoparticles have a smaller (p<0.05) size at a polymer to TPP mass ratio of 4:1 compared</td>393to other mass ratios, but they showed no significant difference in their PDI when prepared using different394polymer to TPP mass ratios. (\*\*\*\*) p<0.0001, (\*): p<0.05, (ns): not significant, (NP): nanoparticles.</td>395

#### 3.3.2. Effect of type of polymer

397 Chemical modification of chitosan can significantly improve the properties of chitosan including 398 its antibacterial activities, antioxidant, mucoadhesive and permeation enhancing effects [28,60-62]. Additionally, changing the type of the polymer had a significant effect on the size of 399 nanoparticles (Table 1 and Figure 3) The larger size of the MeCHI nanoparticles could be due to 400 the less dense structure of the nanoparticles formed from MeCHI compared to chitosan. The 401 402 particle size of MeCHI nanoparticles was significantly greater than the size of chitosan 403 nanoparticles (p<0.0001 for the polymer to TPP mass ratios of 3:1 and 5:1, p<0.05 for the polymer 404 to TPP mass ratio of 4:1). Other studies reported the effect of the type of polymer on the size of the nanoparticles. For instance, Shahnaz et. al. [63] found that the size of thiolated chitosan 405 nanoparticles was smaller than the size of unmodified chitosan nanoparticles. Similarly, Eliyahu 406 et al. [64] found that the size of acrylated chitosan nanoparticles (with 65% degree of acrylation) 407 was smaller than the size of unmodified chitosan nanoparticles which could be related to the 408 409 decrease in the number of the free amino groups of acrylated chitosan available for crosslinking with TPP. 410

PDI of some of the MeCHI nanoparticles were relatively large (Table 1) which could be due to the 411 difference in the polymer/TPP mass ratio used in their formulation. Additionally, grafting of 412 chitosan with methacrylated groups increases the molecular weight of chitosan which could result 413 in a slight increase in the PDI values. In general, PDI has values in the range of 0 to 1. Values 414 greater than 0.7 indicate broad size distribution i.e. samples are polydisperse [65]. However, the 415 MeCHI nanoparticles formulations which were selected for further studies had the PDI of around 416 0.4 which is acceptable. 417

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#### 3.3.3. Effect of NaCl addition

NaCl (0.5 mg/mL) was added to the formulations of chitosan and MeCHI nanoparticles and the 420 421 resultant nanoparticles were evaluated in terms of their size and PDI. Jonassen, et.al. [58] reported that NaCl had a significant effect on the size and zeta potential of chitosan nanoparticles. As per 422 423 the literature, the ionic strength of the medium also affects the charge density of chitosan nanoparticles and changes their conformation [66]. These conformational changes that are due to 424 425 the intramolecular repulsive forces are significant in the solutions. Therefore, two polymer/TPP mass ratios (4:1 and 5:1) were used to explore the effects of NaCl on the size, PDI, and zeta 426 427 potential of chitosan and MeCHI nanoparticles. For chitosan nanoparticles, it was found that at 428 both 4:1 and 5:1 polymer to TPP mass ratios, the addition of NaCl did not make any significant 429 difference (p > 0.05) in the particle size (Figure 4a). This is not consistent with the results published by Jonassen et al. [58] as they reported that the presence of NaCl led to a decrease in the size of 430 the chitosan nanoparticles, which might be due to the differences in the concentration of NaCl used 431 in the two studies. The difference in the molecular weight and the degree of deacetylation of the 432 433 chitosan used in the preparation of the nanoparticles can be considered as the other important reasons for such observation. Also, Figure 4b shows that the addition of NaCl did not have any 434 significant effects (p > 0.05) on the PDI of chitosan and MeCHI nanoparticles. 435

436 As expected, in the case of MeCHI nanoparticles, at a 4:1 polymer/TPP mass ratio, the addition of NaCl significantly increased the particle size (p <0.05). No studies reported the effects of NaCl on 437 the size of MeCHI nanoparticles, however, Sawtarie, et al. [67] observed similar effects on the size 438 of unmodified chitosan-TPP nanoparticles at a specific concentration of NaCl. The increase in the 439 440 size of MeCHI nanoparticles could be related to the two effects of NaCl on chitosan-TPP nanoparticles formation: (1) screening of electrostatic repulsion between the aggregating subunits 441 of the nanoparticles, which increases their collision frequency and aggregation; and (2) 442 competitive binding of chloride anions (Cl<sup>-</sup>) and TPP anions, which weakens chitosan-TPP 443 binding. Although the weakening of chitosan-TPP binding slows both particle formation and 444 aggregation down, in the presence of NaCl, the aggregation process becomes faster relative to the 445 446 primary particle formation and, consequently, more aggregation occurs before the free TPP is consumed by the process of primary particle formation and therefore larger nanoparticles will be 447 formed [67]. 448

3.3.4. Effect of TPP concentration

To investigate the effects of TPP concentration (1 mg/mL and 0.5 mg/mL) on the particle size and 450 451 PDI, four formulations of each of chitosan and MeCHI nanoparticles were prepared at polymer to TPP mass ratios of 4:1 and 5:1 with and without NaCl. The results of these studies are shown in 452 453 Figure 4. For chitosan nanoparticles, with and without NaCl, changing TPP concentration had no significant effect on the particle size and PDI. This is in agreement with the study of Fan et al. [68] 454 455 who reported that TPP concentration below 1.5 mg/mL had no significant effect on the size and PDI of chitosan nanoparticles. For MeCHI nanoparticles, without NaCl, there was only a 456 significant increase in particle size (p < 0.01) at both 4:1 and 5:1 polymer to TPP mass ratios with 457 decreasing TPP concentration, but no significant change in PDI was observed. For MeCHI 458 459 nanoparticles, a decrease in TPP concentration significantly increased (p < 0.0001) the particle size only when a 4:1 polymer/TPP mass ratio with NaCl, (Figures 4c, and 4d). This might be due 460 to that at higher TPP concentrations, a higher cross-linking degree of the MeCHI nanoparticles can 461 also be achieved which could result in a more compact particle structure. In contrast, at a 5:1 462 463 polymer to TPP mass ratio and in the presence of NaCl, a decrease in TPP concentration had no significant effect on the size and PDI of MeCHI nanoparticles. 464

#### 3.3.5. Zeta potential

The value of the zeta potential of all the chitosan and MeCHI nanoparticles was positive which is 466 due to the presence of protonated amino group  $(NH_3^+)$  of chitosan in the aqueous solutions. As 467 shown in Table 1, both chitosan and MeCHI nanoparticles had the highest zeta potential at a 4:1 468 polymer/TPP mass ratio. The presence of NaCl (0.5 mg/mL) in both chitosan and MeCHI 469 nanoparticles, at a 4:1 polymer/TPP mass ratio, led to a significant decrease in the zeta potential 470 which could be due to the screening of the charge of the nanoparticles by the ions of NaCl [55]. 471

For chitosan nanoparticles at a 4:1 chitosan/TPP mass ratio, with and without NaCl, the zeta potential was  $9.10\pm0.52$  mV and  $12.50\pm0.26$  mV, respectively. The zeta potential of MeCHI 473 nanoparticles at 4:1 MeCHI /TPP mass ratio, with and without NaCl, was  $10.33\pm1.59$  mV and 474  $10.85\pm0.07$  mV, respectively. Also, the addition of NaCl at polymer/TPP mass ratio of 5:1 and 0.5 475 mg/mL TPP concentration had no significant effect on the zeta potential of the unmodified 476 chitosan nanoparticles. 477





### Polymer to TPP mass ratio, TPP conc

### Polymer to TPP mass ratio, TPP conc

#### 480

Figure 4. (a) Effects of NaCl on the mean particle size, (b) Effects of NaCl on PDI, (c) Effects of TPP concentration on mean particle size, and (d) Effects of TPP concentrations on PDI. (\*\*): p<0.01, (\*\*\*\*) p<0.0001, (\*): p<0.05, (ns): not1 significant, NP nanoparticles

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### 3.3.6. Preparation of ciprofloxacin-loaded chitosan and MeCHI nanoparticles 484

Figures S5 and S6 show the DLS size distributions of both unloaded and ciprofloxacin-loaded 485 chitosan and MeCHI nanoparticles, respectively. Ciprofloxacin-loaded MeCHI nanoparticles 486 (when 1 mg/mL TPP solution was used) showed a slightly broader particle size distribution 487 compared to their unmodified chitosan counterpart. Table 2 shows the size, PDI, and zeta potential 488 of the ciprofloxacin-loaded nanoparticles. Generally, the addition of ciprofloxacin led to an 489 increase in the particle size which could be due to the presence of the negatively charged 490 carboxylate anions (COO<sup>-</sup>) in ciprofloxacin which reduces the interactions between TPP and 491

chitosan and this could eventually form particles with a low degree of compactness, low density 492 493 yet more porosity and large particle size. Also, the addition of ciprofloxacin decreased the zeta potential of the nanoparticles. This is due to the presence of the negatively charged carboxylate 494 anions (COO<sup>-</sup>) in ciprofloxacin which neutralizes the positively charged amino groups of chitosan 495 and MeCHI on the surface of the nanoparticles. Generally, ciprofloxacin-loaded unmodified 496 497 chitosan nanoparticles had a smaller size (p < 0.01) than ciprofloxacin-loaded MeCHI nanoparticles which could be due to the less dense structure of the nanoparticles formed from 498 499 MeCHI compared to unmodified chitosan. The presence of NaCl significantly decreased the size of ciprofloxacin-loaded unmodified chitosan nanoparticles. However, the TPP concentration had 500 no significant effect on the size of ciprofloxacin-loaded MeCHI nanoparticles (Table 2). 501

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Table 2. Physicochemical properties of ciprofloxacin-loaded chitosan and MeCHI nanoparticles at 4:1	503
polymer/TPP mass ratio (mean $\pm$ SD, n=3).	504

Formulation	TPP concentration (mg/mL)	Mean particle size (nm)	PDI	Z- average size (nm)	Zeta potential (mV)	EE (%)	LC (%)
CIP+Chitosan without NaCl	1	293±93 <sup>B</sup>	0.333± 0.152	201±14	6.40±2.53	56±13 <sup>B</sup>	14±2 <sup>B</sup>
CIP+Chitosan with NaCl	1	161±9 <sup>A</sup>	0.162± 0.078	154±1	0.50±0.43	45±17 <sup>A</sup>	9±3 <sup>A</sup>
CIP+MeCHI without NaCl	1	384±39 <sup>B</sup>	0.656± 0.251	395±90	10.03±0.90	54±3 <sup>A</sup>	15±2 <sup>A</sup>
CIP+MeCHI without NaCl	0.5	171±10 <sup>B</sup>	0.556± 0.05	156±3	9.21±2.25	69±13 <sup>B</sup>	16±1 <sup>A</sup>

EE: encapsulation efficiency, LC: loading capacity, CIP: ciprofloxacin, MeCHI: methacrylated chitosan. Superscripts; A indicates no statistical significant difference, B indicates statistical significant difference.

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#### 3.4. TEM Analysis

TEM micrographs show that both chitosan and MeCHI nanoparticles have a spherical 509 shape and a smooth surface (Figure 5). From the TEM analysis, it was found that the size of 510 unloaded chitosan and MeCHI nanoparticles was 32±8 nm and 15±12 nm, respectively. The size 511 512 of ciprofloxacin-loaded chitosan and MeCHI nanoparticles was 11±3 nm, and 45±8 nm, respectively. The size obtained using TEM analysis was significantly smaller than the size 513 obtained using DLS analysis. The DLS size of unloaded chitosan and MeCHI nanoparticles was 514 133±5 nm and 206±9 nm, respectively. The DLS size of ciprofloxacin-loaded chitosan and MeCHI 515 nanoparticles was 293±93 nm and 384±39 nm, respectively. This discrepancy in the size of the 516 517 nanoparticles is expected as DLS analysis was performed using nanoparticle suspensions in the fully hydrated and swollen state and thus larger size values could be obtained. However, in the 518 TEM analysis, the samples were air-dried and dehydrated before the analysis. Several reports also 519 corroborate the TEM results of the current study [69, 70]. 520



Figure 5. TEM images of unloaded chitosan nanoparticles (a), unloaded MeCHI nanoparticles (b), ciprofloxacin-loaded chitosan nanoparticles (c), and ciprofloxacin-loaded MeCHI nanoparticles (d).

<sup>3.5.</sup> EE and LC

Table 2 shows the EE and LC of ciprofloxacin-loaded chitosan and MeCHI nanoparticles. It is 533 534 clear that the presence of NaCl in chitosan nanoparticles led to a decrease in EE and LC. This could be due to the competition of carboxylic groups of ciprofloxacin molecules with chloride 535 anions (Cl<sup>-</sup>) of NaCl for binding to the amino groups of chitosan which could decrease the 536 electrostatic attractions between the positively charged protonated amino groups (NH<sub>3</sub><sup>+</sup>) of 537 chitosan and the negatively charged carboxylate groups of ciprofloxacin resulting in a EE of the 538 drug. This result is consistent with the study of Binesh et al. [71] who observed that NaCl decreased 539 540 the EE of metronidazole-loaded chitosan nanoparticles. On the other hand, decreasing the concentration of TPP from 1 mg/mL to 0.5 mg/mL led to a significant increase (p < 0.0001) in the 541 EE of ciprofloxacin-loaded MeCHI nanoparticles, which could be attributed to the low degree of 542 competition of ciprofloxacin molecules with TPP for binding to the polymer at low TPP 543 concentration and therefore an increase in the number of binding sites of polymers available to 544 545 interact with the drug.

#### 3.6. Drug Release

547 Figure 6 shows the percentage of cumulative drug release from free ciprofloxacin solution, ciprofloxacin-loaded chitosan, and MeCHI nanoparticles within 48 hours. A bimodal drug release 548 pattern was observed which includes an initial burst release of ciprofloxacin followed by a slow 549 550 release. The initial burst release could be due to the release of the drug molecules which are 551 adsorbed on the surface of the nanoparticles [72,73]. The subsequent slow drug release could be attributed to the release of the drug molecules which are entrapped by the nanoparticles. On the 552 other hand, the free drug solution provided an immediate drug release where more than 80% of 553 554 the drug was released in 75 minutes.

Drug release in simulated gastric fluid (pH 1.2, Figure 6a) shows that chitosan nanoparticles with 555 NaCl have the greatest percentage of drug release. The cumulative drug release from the 556 ciprofloxacin-loaded chitosan nanoparticles without NaCl and with NaCl after 48 hours was 557  $24.8\pm1.9\%$  and  $26.7\pm1.7\%$ , respectively. The cumulative drug release from ciprofloxacin-loaded 558 MeCHI nanoparticles at 1 mg/mL and 0.5 mg/mL TPP concentrations after 48 hours was 559  $16.6\pm1.5\%$  and  $18.3\pm0.4\%$ , respectively. 560

Drug release in simulated intestinal fluid (pH 6.8, Figure 6b) shows that chitosan nanoparticles 561 without NaCl have the greatest percentage of drug release. The cumulative drug release from the 562

ciprofloxacin-loaded chitosan nanoparticles without NaCl and with NaCl after 48 hours was 563 24.8±1.4% and 14.1±1.0%, respectively. For chitosan nanoparticles, the addition of NaCl 564 significantly decreased (p < 0.0001) the percentage of cumulative drug release after 48 hours which 565 could be due to the decrease in the zeta potential of these nanoparticles (Table 2), leading to the 566 increase in the electrostatic attractions between chitosan macromolecules. This can result in a 567 denser nanoparticles structure which could impede the diffusion of the drug and therefore a slower 568 drug release. MeCHI nanoparticles showed a significantly smaller percentage of cumulative drug 569 570 release (p < 0.001) after 48 hours compared to chitosan nanoparticles (both with 1 mg/mL TPP) 571 which could be attributed to the relatively more hydrophobic nature of MeCHI compared to unmodified chitosan due to the presence of methacrylate groups which decreased the dissolution 572 573 rate and swelling of MeCHI macromolecules [74]. Therefore, MeCHI nanoparticles provided a more prolonged or sustained drug release compared to the unmodified chitosan nanoparticles. 574





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Figure 6. (a) Percentage of cumulative drug release of ciprofloxacin-loaded chitosan and MeCHI604nanoparticles at pH (a) 1.2, and (b) 6.8. (a) MeCHI nanoparticles have more prolonged drug release605compared to chitosan nanoparticles (p<0.0001). (b) MeCHI nanoparticles provided slower drug release (p</td>606<0.001) compared to unmodified chitosan nanoparticles.</td>607solution, CIP: ciprofloxacin, NP: nanoparticles.608

The cumulative drug release from ciprofloxacin-loaded MeCHI nanoparticles at 1 mg/mL and 0.5 609 mg/mL TPP concentrations after 48 hours was 20.0±0.4% and 14.8±1.8%, respectively. 610 Decreasing the concentration of TPP solution from 1 mg/mL to 0.5 mg/mL significantly decreased 611

the percentage of cumulative drug release (p <0.0001) from ciprofloxacin-loaded MeCHI 612 nanoparticles which could be due to the stronger interactions between ciprofloxacin and MeCHI 613 at low TPP concentration in simulated intestinal fluid. This is also consistent with the EE data614(Table 2) as the decrease in TPP concentration resulted in a higher EE.615

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#### 3.7. Mucoadhesion Study

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The retention of ciprofloxacin-loaded chitosan and MeCHI nanoparticles on the sheep abomasum 619 mucosa was evaluated. The sheep abomasum mucosa was selected as an ex vivo model to test the 620 mucoadhesive properties of chitosan and MeCHI nanoparticles as the stomach is the first organ of 621 the GIT, which is lined with mucosal surfaces, where the nanoparticles will reside for a prolonged 622 period of time before their transit into the small intestine and colon. 623

A piece of sheep abomasum (the actual stomach of ruminants) was used. Abomasum has a similar 624 function as the stomach of a non-ruminant which includes the secretion of enzymes and acids to 625 break down nutrients [76]. There was a significant difference (p<0.0001) in the retention of free 626 ciprofloxacin solution compared to all types of the tested ciprofloxacin-loaded chitosan and 627 MeCHI nanoparticles. In the case of free ciprofloxacin solution, only  $22\pm11\%$  of the drug was 628 retained on the sheep abomasum mucosa after washing with 20 mL simulated gastric fluid (Figure 629 7). However, the nanoparticles were able to adhere to the mucosal membrane of the abomasum 630 and their retention was significantly higher than free ciprofloxacin solution. Even after extensive 631 and 8 cycles of washing with 20 mL simulated gastric fluid, 87 to 96 % of the nanoparticles 632 remained on the surface of the sheep abomasum mucosa. This is attributed to the mucoadhesive 633 properties of chitosan owing to its ability to bind to the mucus layer of mucosa via hydrogen 634 bonding, electrostatic attractions, and hydrophobic effects. Interestingly, there was a significant 635 difference (p < 0.05) in the percentage of nanoparticles that remained on the abomasum mucosa 636 between ciprofloxacin-loaded chitosan nanoparticles without NaCl and ciprofloxacin-loaded 637 MeCHI nanoparticles (with 1 mg/mL TPP and without NaCl) (88.0±6.9 % and 96.0±0.4 %, 638 respectively) after a 20 mL wash off cycle. This indicated that ciprofloxacin-loaded MeCHI 639 nanoparticles were significantly more mucoadhesive compared to the unmodified chitosan 640 641 nanoparticles. Better mucosal retention of the ciprofloxacin-loaded MeCHI nanoparticles could be due to the enhanced mucoadhesivity of the MeCHI used in their formulation [36]. It is believed 642 that MeCHI has a superior mucoadhesivity compared to the unmodified chitosan because of the 643

ability of MeCHI to bind to the mucus via covalent bonds between methacrylate groups of MeCHI 644 and the thiol groups of the mucus components [36]. The increase in the hydrophobicity of MeCHI 645 due to the introduction of hydrophobic methacrylate groups could also lead to stronger 646 647 hydrophobic interactions between MeCHI nanoparticles and mucus components [36]. Additionally, the intrinsic properties of unmodified chitosan including hydrogen bonding and 648 electrostatic attractions could still be preserved upon its chemical modification, but this hypothesis 649 requires further investigation. On the other hand, when the concentration of TPP in MeCHI 650 nanoparticles was decreased (from 1 mg/mL to 0.5 mg/mL), no significant difference in the 651 652 retention of unmodified chitosan and MeCHI nanoparticles and the two different types of MeCHI nanoparticles was observed. These findings indicate that MeCHI nanoparticles are more 653 mucoadhesive than unmodified chitosan nanoparticles when a particular TPP concentration (in 654 this case high concentration) is used which could be due to the presence of high TPP concentration 655 resulting in high ionic strength. This is consistent with previous studies which explored that the 656 657 chitosan-mucin interactions, at low pH and high ionic strength, may involve other attractive forces such as hydrogen bonding and/or hydrophobic interactions, in addition to electrostatic interactions 658 [77]. Therefore, optimization of MeCHI nanoparticles formulations is essential to enhance their 659 mucoadhesivity as the type and concentration of the formulation excipients can have a significant 660 effect on the mucoadhesivity of the nanoparticles. The enhanced mucoadhesivity can increase the 661 residence time of drugs at the site of application and/or absorption and sustains its release which 662 may enhance the drug absorption and bioavailability [78,79]. For antibiotics such as ciprofloxacin, 663 better mucoadhesivity of the carrier nanoparticles can help in the treatment of different GIT 664 diseases particularly gastritis and ulcers associated with H-pylori infection through the decreased 665 frequency of administration and better patient compliance as well as the enhanced therapeutic 666 activity. Additionally, MeCHI nanoparticles are expected to have better chemical stability 667 compared to thiolated systems as the thiol groups in the thiolated systems could undergo oxidation 668 which could result in the loss of mucoadhesive properties upon long-term storage [28]. 669



Figure 7. Retention of ciprofloxacin-loaded chitosan and MeCHI nanoparticles on sheep abomasum mucosa (mean  $\pm$  SD, n=3). CIP: ciprofloxacin, NP: nanoparticles, (\*\*\*\*) p<0.0001, (\*): p<0.05. 73

#### 3.8. Stability Studies

#### 3.8.1. Storage stability study

To evaluate the storage stability of the nanoparticles, the general appearance of the ciprofloxacinloaded chitosan and MeCHI nanoparticles was investigated for the presence of any precipitation 677 and color change at 4 °C and 25 °C over a period of 6 months. After 2 months, chitosan 678 nanoparticles underwent particle aggregation and precipitation at 25 °C. After 4 months, in 679 addition to the particle aggregation, the color of chitosan nanoparticles suspensions also changed 680 from light blue to yellow. After 6 months, the color was changed again from yellow to light brown 681

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(Figure S7) indicating the long-term instability of these nanoparticles at 25 °C. The color change 682 683 of the nanoparticles could be due to their aggregation and possible degradation, but further investigations including the chemical analysis are required to understand these observations. In 684 contrast, MeCHI nanoparticles did not show any color change over 6 months, but only a slight 685 aggregation was observed after 2 and 4 months, and precipitation after 6 months. The general 686 appearance of both chitosan and MeCHI nanoparticles remained unchanged when stored at 4 °C 687 for 6 months. The results were consistent with Haliza Katas et al., [80] who reported that chitosan 688 689 nanoparticles should not be stored at ambient temperature as they could undergo degradation due 690 to an increase in the kinetic movement of the nanoparticles.

Therefore, the size and PDI of the nanoparticles were analyzed after storing the nanoparticles in a 691 fridge at 4 °C for 6 months. As shown in Table 3, only a slight change in the size of the 692 nanoparticles was observed, although the size was still below 305 nm. The size of ciprofloxacin-693 loaded chitosan nanoparticles (with and without NaCl) and MeCHI nanoparticles (0.5 mg/mL 694 TPP) significantly increased which may be attributed to the aggregation of the individual 695 nanoparticles and hydration and swelling of the nanoparticles in the presence of water [81,82]. The 696 results are consistent with the study of Haliza Katas et al, [80] who observed a slight increase in 697 the size of chitosan nanoparticles only after 14 days of storage at 4°C. It is worth mentioning that 698 after 6 months of storage, only 9% increase in the size of the nanoparticles was observed. However, 699 700 the size of ciprofloxacin-loaded MeCHI nanoparticles (with 1 mg/mL TPP) significantly decreased which could be due to the dissolution of some of the larger nanoparticles [82]. This reduction in 701 702 particle size is consistent with the study of Min-Lang Tsai et al., [81] who studied unmodified chitosan nanoparticles, and revealed that the formulations of initially larger size became smaller 703 704 upon storage for 10 days, while those of initially smaller size became larger. Overall ciprofloxacin-705 loaded MeCHI nanoparticles were more stable than their chitosan counterparts. No significant 706 change in the PDI of ciprofloxacin-loaded chitosan nanoparticles (with and without NaCl) and MeCHI nanoparticles (with 0.5 mg/mL TPP) was observed. However, the PDI of MeCHI 707 708 nanoparticles (with 1 mg/mL TPP) significantly decreased (P<0.001).

Table 3. Stability profiles of ciprofloxacin-loaded chitosan and MeCHI nanoparticles after storage for 6	710
months at 4 °C, in stimulated gastric fluid (pH 1.2) and simulated intestinal fluid (pH 6.8) (mean ± SD,	711
n=3).	712

		Particle s	ize (nm)		PDI					
Formulation	Freshly prepared pH 5	Stored for 6 months	рН 1.2	pH 6.8	Freshly prepared in pH 5	Stored for 6 months	рН 1.2	рН 6.8		
CIP+Chitosan without NaCl	293±93	305±2	287±60	123±8	0.182±0.078	0.364±0.166	0.622±0.246	0.616±0.029		
CIP+Chitosan with NaCl	161±9	289±12	178±10	155±15	0.335±0.152	0.291±0.082	0.504±0.217	0.626±0.019		
CIP+MeCHI without NaCl (1 mg/mL TPP)	384±39	264±10	196±11	253±16	$0.556 \pm 0.05$	0.221±0.055	0.634±0.026	0.599±0.027		
CIP+MeCHI without NaCl (0.5 mg/mL TPP)	171±10	195±2	186±15	201±15	0.65±0.251	0.632±0.178	0.656±0.038	0.64±0.071		

#### 3.9.2. pH stability study

The stability of nanoparticles *in vitro* is important to predict the stability of nanoparticles in the 715 human body fluids including gastric and intestinal fluids. The prepared ciprofloxacin-loaded 716 chitosan and MeCHI nanoparticles were placed in simulated gastric and intestinal fluids and their 717 size and PDI were measured and compared to their initial values. Some differences were observed, 718 however, the size and PDI were still lower than 300 nm and 0.7, respectively (Table 3) and this 719 indicates the acceptable physical stability of the nanoparticles in simulated gastric and intestinal 720 fluids. 721

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In simulated gastric fluid, no significant change in the size of chitosan nanoparticles (with and 722 without NaCl) and MeCHI nanoparticles (with 0.5 mg/mL TPP) was observed. In contrast, the size 723 of MeCHI nanoparticles (with 1 mg/mL TPP) significantly decreased (p<0.0001) which could be 724 due to the increase in the hydronium ion concentration which could attract the negatively charged 725

TPP molecules, competing with MeCHI macromolecules and weaken the electrostatic attractions726between MeCHI and TPP. This interaction could be more significant in a higher TPP727concentration. PDI of the chitosan nanoparticles with and without NaCl significantly increased.728However, no significant change in the PDI of MeCHI nanoparticles was observed.729

In simulated intestinal fluid, the size of chitosan (with and without NaCl) and MeCHI nanoparticles 730 (1 mg/mL TPP) significantly decreased which could be due to the collapse of the swollen polymer 731 chains caused by deprotonation of the amino groups of chitosan and MeCHI [83]. However, no 732 significant change in the size of MeCHI nanoparticles (0.5 mg/mL TPP) was observed. PDI of 733 chitosan nanoparticles (with and without NaCl) significantly increased. In contrast, similar to the 734 simulated gastric fluid, no significant change in the PDI of MeCHI nanoparticles in the simulated 735 intestinal fluid was observed.

#### 4. Conclusions

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The mucoadhesive chitosan and MeCHI nanoparticles were fabricated using an ionic cross-738 739 linking method. Optimization of nanoparticles formulations explored the effects of polymer/TPP 740 mass ratio, the TPP concentration and the presence of NaCl on the size, polydispersity and zeta 741 potential of unmodified chitosan and MeCHI nanoparticles. It was found that the smallest MeCHI nanoparticles with a relatively low PDI can be prepared using polymer/TPP mass ratio of 4:1. 742 Unloaded and ciprofloxacin-loaded MeCHI nanoparticles were larger than unmodified chitosan 743 nanoparticles. No significant difference in the PDI of chitosan and MeCHI nanoparticles at 4:1 744 polymer/TPP mass ratio was observed. EE of ciprofloxacin-loaded MeCHI nanoparticles can be 745 746 increased by decreasing TPP concentration. Ciprofloxacin-loaded MeCHI nanoparticles provided 747 a slower and more sustained drug release compared to their chitosan counterpart. Both 748 ciprofloxacin-loaded chitosan and MeCHI nanoparticles showed stronger retention on the sheep 749 abomasum mucosa compared to the free ciprofloxacin solution. However, ciprofloxacin-loaded MeCHI nanoparticles with optimum TPP concentration showed superior ex vivo mucoadhesivity 750 than its unmodified chitosan counterpart. The in vivo mucoadhesive properties of these MeCHI 751 nanoparticles could potentially be investigated using animal models in the future. MeCHI 752 753 nanoparticles can be considered as promising drug delivery systems due to their mucoadhesive and controlled drug release properties as well as improved stability profiles. 754

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