

The future of flood hydrology in the UK

Article

Accepted Version

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To link to this article DOI: http://dx.doi.org/10.2166/nh.2022.053

Publisher: IWA Publishing

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1 The future of flood hydrology in the UK

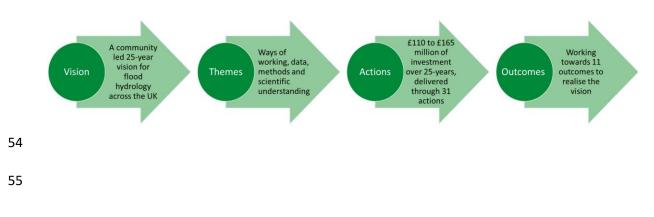
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26

27

29 Abstract

31	A "roadmap" for the future of UK flood hydrology over the next 25 years has been published, based		
32	on a wide-ranging and inclusive co-creation process involving more than 270 individuals and 50		
33	organisations from different sectors and disciplines. This paper highlights key features of the		
34	roadmap and its development as a community-owned initiative. The roadmap's relationship with		
35	hydrological research and practice is discussed, as is its context within the wider flood risk		
36	management innovation landscape, including funding. Whilst the paper has a focus on UK flood		
37	hydrology, reflecting the scope of the roadmap, it is also considered in the context of advances in		
38	hydrology internationally.		
39			
40	Keywords		
41			
42	Flood, hydrology, research, practice, future, plan		
43			
44	Highlights		
45			
46	• We describe a roadmap for flood hydrology in the UK over the next 25 years		
47	• The roadmap has been published on behalf of the flood hydrology community		
48	• More than 270 individuals and 50 organisations contributed to the roadmap's development		
49	• The roadmap will inform many flood risk management activities to strengthen community,		
50	infrastructure and climate resilience		
51	• The roadmap spans science, practice and the evolution of the professional community		
52			



53 Graphical abstract

56 Introduction

57

58 Flood risk in the UK

Floods have devastating effects on lives, communities and livelihoods, and the averaged economic risk of flooding in the UK is estimated (Sayers et al., 2020) to be £2.1bn per annum. In its National Risk Register, the UK government has judged only pandemic disease and nuclear or biochemical attacks to have greater potential impacts (Cabinet Office, 2020).

63 Rivers are the biggest source of flood risk, with £1.1bn in expected annual damages (EAD). Surface 64 water (pluvial) flood risk is £0.6bn EAD, coastal risk £0.4bn, and groundwater £0.05bn. Hydrology is therefore an essential foundation for evidence-based decisions about managing economic risks of at 65 least an estimated £1.7bn EAD. Alongside the economic assessment, 1.9 million people have more 66 67 than an estimated 1/75 annual chance of being flooded, with the risk falling disproportionately in 68 the most socially vulnerable neighbourhoods. Flood risk is assessed separately by the responsible 69 authorities in each of the UK's nations. Here, and in what follows, UK-wide baseline data and future 70 projections have been aggregated from the Third UK Climate Change Risk Assessment (CCRA3, 71 Sayers et al., 2020).

Flood risk EAD in the UK has been projected to reach £2.7-3.0bn annually in the 2080s under a global warming trajectory of +2°C by 2100, or £3.5-3.9bn under a +4°C trajectory. Inland hydrological risks will continue to predominate in economic terms, although relative increases in risk will be largest for surface water (from £587m to £1.2bn in a +4°C future) and coastal (£361m to £1bn) flooding. These projections assume that risk management measures, including land use planning and investment in
flood and coastal defences, will continue at rates commensurate with current policy objectives.
Enhanced flood management, including increased investment, appears to have the greatest
potential to mitigate the projected increases in risk for fluvial flooding, which further highlights the
importance of flood hydrology.

The risk-based approach to flood management in the UK (Hall, 2014) demands scientifically robust analysis to prioritise resources where they will deliver best value for society (HM Treasury, 2022). Economic projections (Environment Agency, 2019; JBA and Sayers, 2018) suggest that investments to mitigate the increasing risk are both feasible and economically justified, but with substantial residual risks that will be difficult to plan for, particularly in relation to surface water flooding, which may occur suddenly. The risk and investment projections are built on detailed modelling carried out for national flood management agencies, ultimately driven by hydrological analysis.

88

89 Historical context and drivers for change

90 There is a long tradition of hydrological research and observation in the UK (Rodda and Robinson, 91 2015), dating back at least as far as quantitative experiments by Edmund Halley in the seventeenth 92 century (Deming, 2021), and often intrinsically linked with practical problems in water management 93 (J.S.G. McCulloch, 2007, C. McCulloch, 2022). The water sector today reflects a complex mosaic of 94 public, private and third sector stakeholders. Although the interconnectedness of the hydrological 95 cycle has long been appreciated (Biswas, 1970), a fragmentation of responsibilities (Pitt, 2008) and 96 the generally increasing depth of technical knowledge in science and engineering disciplines mean 97 that methods applied in practice have become increasingly functionally specialised. Many methods 98 routinely used in UK flood hydrology have roots in the 1960s-1990s. For example, the Flood Studies 99 Report (NERC, 1975) paved the way for the Flood Estimation Handbook (FEH, Institute of Hydrology, 100 1999) and its derivatives in current use. The FEH methods are widely used, including in the national 101 risk mapping that underpins the risk assessments cited in the opening paragraphs of this paper. Yet 102 some choices made in the past, for example assumptions of spatial uniformity or temporal 103 stationarity, could be questioned when viewed with a contemporary perceptual understanding of

104 hydrology (Wagener et al. 2021), or when taking account of advances in observations (Beven et al., 105 2019) or evidence of change in UK flood data (Faulkner at al. 2020, Hannaford et al., 2021). 106 Regionally- and nationally- significant events in Britain (including in 1998, 2000, 2005, 2007, 2009, 107 2013-16, 2019 and 2020) have highlighted the impacts of flooding (Environment Agency, 2018). 108 Meanwhile, an increasing emphasis on whole-system thinking points to a need for integrated 109 models to support improvements in flood resilience (Cabinet Office, 2016). In recent years, 110 transformations in our capacity to share information rapidly through digital communications, an 111 increasing appreciation of the importance of community ownership of risk, and perhaps also an 112 expansion of educational and training opportunities in flood risk management have stimulated a wider range of demands on hydrological data and methods. 113

Those demands stem from many areas of flood management, including: the design and maintenance of flood defences, flood risk mapping, risk assessments for investment or development planning, the design and operation of forecasting and warning systems, reservoir safety, sustainable drainage systems, the evaluation of nature-based flood management, and understanding of the impact of environmental change on flood risk.

119

120 The 25-year roadmap for flood hydrology

This paper describes how the evolving demands for hydrological analysis and advances in scientific
 knowledge have prompted a comprehensive reappraisal of research and innovation in UK flood
 hydrology, culminating in the publication of a roadmap for the next 25 years (Environment Agency,
 2022), available from https://www.gov.uk/flood-and-coastal-erosion-risk-management-research-
 reports/flood-hydrology-roadmap.

The roadmap is a community initiative, building on a strongly collaborative approach that will be introduced in the following section. We then describe the objectives of the roadmap project, and how it fits with related initiatives both in the UK and internationally. The following sections set out the methodology for development of the roadmap and summarise its contents. We then discuss how the roadmap is being implemented, what is needed to ensure its long-term success, and

- 131 aspects of its development that may be transferable to other settings. We conclude with an
- 132 overview of the roadmap project's key outcomes.
- 133

134 Research, innovation and knowledge-sharing in UK flood risk

135 management

136

Flooding and coastal risk management (FCRM) are considered together in UK policy. No recent
estimate is available of total spend on research or innovation in flood hydrology, although analysis in
2011 found that over the preceding decade there had been annual public spend of between
approximately £7m and £14m (£12.5m and £25m at 2021 prices) in FCRM research (Moores and
Rees, 2011). The private sector also invests in FCRM research and innovation, but this has not been
quantified.

- 143 An important feature of flood risk management in the UK is the history of cooperation between
- 144 universities, the public sector and private industry. Collaborative research and development
- programmes (including those in footnotes^{1,2,3,4}) have helped to promote exchanges of knowledge
- about the scientific and practical drivers for continuing developments in flood hydrology. Research
- 147 programmes with a focus on flood risk have included Flood Risk from Extreme Events (FREE,
- 148 Hardaker and Collier, 2013), the Flood Risk Management Research Consortium (FRMRC,
- 149 Environment Agency, 2021) and Flooding From Intense Rainfall (FFIR)⁵. This coordination helps
- 150 support communities of practice, enhanced through knowledge exchange networks including
- 151 professional societies, notably the British Hydrological Society (BHS), a volunteer organisation with

¹ <u>https://www.gov.uk/government/organisations/flood-and-coastal-erosion-risk-management-research-and-development-programme</u>

² <u>https://www.sniffer.org.uk/</u>

³ <u>https://ukwir.org/</u>

⁴ <u>https://www.ciria.org/</u>

⁵ <u>http://blogs.reading.ac.uk/flooding/files/2013/11/Flooding-From-Intense-Rainfall-Summaries.pdf</u>

- more than 900 members, the British Geomorphological Society, and chartership institutions in water
 management⁶, civil engineering⁷, meteorology⁸, geology⁹ and geography¹⁰.
- 154

Governance and objectives of the flood hydrology roadmap

157	Recognising the broad drivers for change discussed above and the long-term (~10 to ~100 years)		
158	influence of flood hydrology on infrastructure and land use plans, the flood hydrology roadmap was		
159	initiated in 2018 through a research and development programme ¹ run jointly by public risk		
160	management authorities and government departments in England and Wales. First, a project board		
161	was established to take overall responsibility. The board was supported by, and worked closely with		
162	a steering group drawn from the regulatory, academic and non-profit organisations. For brevity the		
163	board and steering group will be referred to in this paper as the "project team".		
164	Broad initial objectives were set by the project team, framed as ambitions that the roadmap should		
165	• take a 25-year view		
166	be inclusive and community-owned		
167	combine scientific credibility and practical utility		
168	• consider inland flood hydrology (flood risk from rivers, surface water, groundwater and		
169	reservoirs)		
170	 consider both forecasting the near future and longer-term risk 		
171	• enable and drive change (for example in research, guidance, data or organisations).		
172			

⁶ https://www.ciwem.org/

⁷ https://www.ice.org.uk/

⁸ https://www.rmets.org/

⁹ https://www.geolsoc.org.uk/

¹⁰ https://www.rgs.org/

173 Related initiatives

174

Recently there have been several important consensus statements about challenges in hydrology. A
landmark study is the international "Twenty-three unsolved problems in hydrology" (23 UPH)
initiative (Blöschl et al., 2019) to identify major scientific problems, motivated by a need for stronger
harmonisation of research efforts. The 23 UPH project did not have a specific focus on flooding, but,
like the roadmap, it involved iterative co-creation through a blend of digital channels, in-person
meetings and working groups.

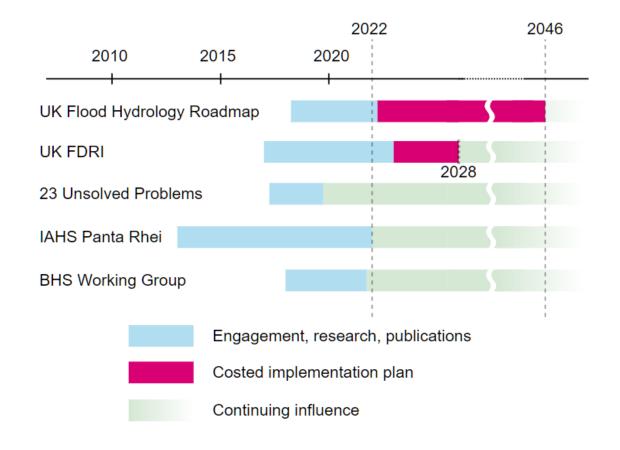
181 Three of the UPH relate specifically to hydrological extremes, either droughts or floods, and one 182 relates exclusively to exceptional runoff produced by rain-on-snow events. The 23 UPH in general 183 reflect fundamental questions about variability, scaling, process interactions, empiricism, modelling 184 and the role of water in society. Hence there are connections with flood hydrology embedded within 185 many of the remaining 20 UPH. Blöschl et al. (2019) concluded that hydrological applications and 186 fundamental research reinforce each other. They viewed the UPH as proof of concept that a broad 187 consultation process was feasible and welcomed by the hydrology community. Both findings also 188 characterise the roadmap. The 23 UPH coincided with the International Association of Hydrological Sciences (IAHS) scientific decade 2013 – 2022 denoted "Panta Rhei – Everything Flows" (Montanari 189 190 et al., 2013), which has been dedicated to research about changes in hydrology systems and their 191 relationships with a rapidly changing society.

In the UK, a working group was formed in 2018, under the auspices of the BHS, to debate and make
recommendations about the future of UK hydrology, leading to two journal papers (Beven et al.,
2020, Wagener et al., 2021). Most individuals who participated in the BHS working group also
contributed to the roadmap. Additionally, the roadmap team examined the working group's outputs
to understand areas of strong or weak alignment with the emerging roadmap, a process that
informed the final action plan.

Another source of advice that informed the roadmap was a UK flood resilience review (Cabinet
 Office, 2016), which made the case for integrated modelling, encompassing both physics-based and
 statistical approaches, regular updating of risk assessments and tests of resilience based on extreme

201 event scenarios. An earlier report commissioned by the Government Office for Science (Royal 202 Society, 2015) highlighted the need for improved observations of natural hazards, including flooding, 203 to increase the UK's resilience. This prompted a study (from 2020 to 2022) to establish the 204 requirements for national Floods and Droughts Research Infrastructure (FDRI). The aim of the FDRI is 205 to transform research capability to improve flood and drought forecasting, planning, incident 206 response and management. The FDRI study engaged with a broad range of stakeholders from public, 207 private and non-profit sectors, using similar methods to the flood hydrology roadmap, and 208 producing several proposed investment options, which are being taken forward into a business case 209 for funding at the time of writing. The Reservoir Safety Research Strategy (Environment Agency, 210 2016) also highlighted needs for research on extreme rainfall and runoff, which have informed the 211 roadmap. 212 The timing of the initiatives discussed above is summarised in Figure 1. All the initiatives can be 213 expected to have continuing long-term influence. A notable feature of the roadmap and the UK FDRI

is that both include costed plans for implementation and have led to the development of businesscases for funding.



- 217 Figure 1. Timeline of UK and international research and innovation scoping initiatives. FDRI Floods
- and Droughts Research Infrastructure, IAHS International Association of Hydrological Sciences,
- 219 FDRI Floods and Droughts Research Initiative, BHS British Hydrological Society.

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222 Methodology

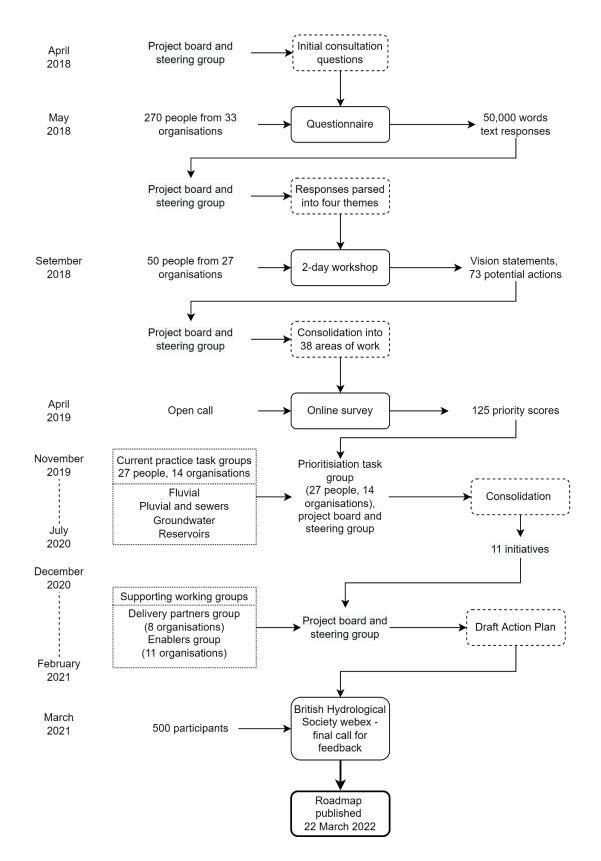
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224 Overview

- 225 The roadmap developed as an iterative co-creation process. Figure 2 shows its evolution,
- highlighting stakeholder engagements and the progressive refinement of ideas. Table 1 details
- 227 interactions with the stakeholder community. In total, there were >1,000 points of engagement
- 228 (comprising responses to surveys, attendances at meetings, webinar participation and written

229 inputs) involving >270 individuals. Different modes of engagement were used to maximise the 230 opportunities for participants to get involved, and to reduce the scope for inadvertent biases that 231 might have occurred had there been only one way to contribute. Written questionnaires, in-person 232 and online workshops, online survey, specialist task groups and public webinars were all deployed. A 233 stakeholder "map" was created and maintained throughout to monitor the makeup of the 234 community participating in the roadmap's development (see discussion of Figures 6 and 7 below) 235 and ensure that a spread of disciplines, types of organisation and interests were represented. 236 The initial consultation questionnaire represented a form of purposive sampling. Subsequent stages 237 of engagement were designed to achieve greater depth and breadth, with a larger pool of 238 participants being encouraged through promotion in professional newsletters, email lists, meetings 239 and webinars. No fixed target was set for the number of respondents; instead, the aim was to 240 ensure that anyone with an interest in hydrology and flood risk management in the UK had the 241 opportunity to contribute through at least one of the engagement processes.

242



245 Figure 2. Evolution of the flood hydrology roadmap (see Table 1 for further details of stakeholders

and processes).

Table 1. Summary of stakeholder community engagement during development of the roadmap.

Engagement	Description and outputs	Number of
actions and groups		engagements
Project board and	Ten individuals from six organisations responsible	20 meetings
steering group	for direction and delivery of the roadmap.	
Professional	A broad and open community of stakeholders	As detailed in rows
community	with interests in UK flood hydrology, including,	below.
	practitioners, academic researchers, regulators	
	and individuals with backgrounds in multiple	
	disciplines.	
Questionnaire	Initial evidence gathering through written	270 people contributed
	answers to seven open questions. Produced	representing 33
	evidence base about needs and priorities in flood	organisations
	hydrology to inform discussion at workshop.	
Workshop	Two-day event with professional facilitation.	50 participants
	Produced vision statements and 73 potential	representing 27
	actions for the future of flood hydrology in the	organisations
	UK.	
Online survey	Consolidated the potential actions generated by	125 responses received
	the workshop into 38 potential work areas.	
	Gathered feedback on draft vision statements	
	and work areas using a priority scoring scheme.	
Current Practice	Four groups produced baseline summaries of	27 individuals from 14
Task Groups	current UK practice in the sub-topics: fluvial,	organisations
	pluvial and sewers, groundwater, reservoirs.	
Prioritisation Task	Reviewed the 38 potential work areas, which	27 individuals from 19
Group	were further consolidated into 11 linked	organisations (not

	initiatives, identifying objectives and	identical to the Current
	dependencies to form the final action plan.	Practice Task Groups)
Enablers Group	Advised on how which organisations could	11 organisations
	contribute to delivery of the roadmap action plan	represented
	and how that could be achieved, taking account	
	of dependencies.	
Delivery Partners	Advised on the content, prioritisation, funding	8 organisations
Group	requirements and delivery opportunities within	represented
	the roadmap action plan.	
British Hydrological	Progress update and final call for comment on	500 participants
Society Webinar	draft roadmap.	

253 Questionnaire

254 An initial questionnaire was formulated by the project team. Its structure is shown in Table 2, which 255 details the motivation for each section. The questionnaire was sent to 52 individuals or groups, 256 selected in line with the Environment Agency's internal guidance on engagement. Responses, 257 totalling more than 50,000 words, were submitted in free text format. To avoid constraining the 258 solution space too soon, the questionnaire was intentionally directed towards mapping the 259 stakeholder community and identifying problems, needs or opportunities, rather than identifying 260 specific actions or solutions. It was designed to inform a wider discussion at the subsequent 261 workshop, enabling the refinement of problem areas and the co-creation of proposed solutions and 262 actions.

263 The project team parsed the raw questionnaire responses, collating them the into four themes: 264 "ways of working", "data", "methods" and "scientific understanding", which provided a foundation 265 for the workshop. Alongside this subjective process, a machine learning approach was applied to the 266 questionnaire responses to seek out topics with distinctive meanings as groups of key words, in this 267 case the 10 most frequent words associated with each of four putative topics. The word groups 268 discovered using machine learning aligned well with the themes chosen by the project team 269 (Environment Agency, 2022, Appendix I), giving some assurance that the choices were evidence-270 based and not strongly biased by the backgrounds of the project team.

273 Table 2. Structure of initial questionnaire.

Section	Motivation
Respondent information	Establish identities of respondents and why flood hydrology is
	relevant to them.
Vision	Inform debate about ambitions and vision for the future of UK
	flood hydrology.
Today's problems	Identify general and specific challenges for present-day flood
	hydrology, including inadequacies in knowledge, methods, data or
	ways of working, and with scoring of urgency and potential
	importance of each problem statement.
Prioritisation approaches	Gather evidence about how the stakeholder community
	understands the relative importance of problems and needs in
	flood hydrology
Roles and expectations	Evidence the community's near-term expectations about flood
	hydrology services and products provided by others.
Links	Capture connections with technical developments, projects, or
	organisations potentially relevant to the roadmap.
Open comments	Allow contributions additional to the above topics.

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276 Workshop

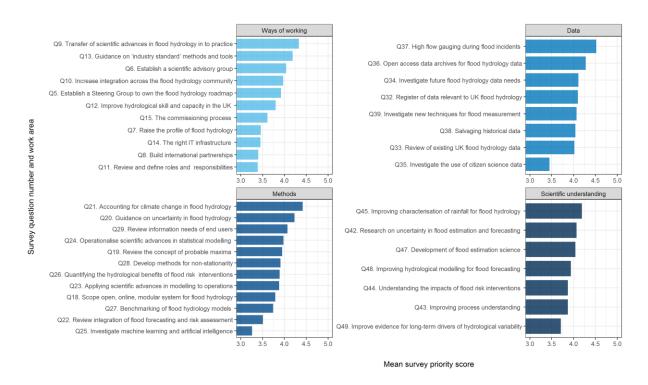
The workshop aimed to build ownership of the roadmap among influential stakeholders, and to start creating its content in terms of a vision for the future, analysis of perceived needs, and the actions required to meet those needs. Over two days, 50 individuals from 27 organisations generated draft vision statements and 73 potential actions grouped into 16 clusters. For example, one cluster of actions was "Improve access to flood hydrology data"; see Environment Agency (2022, Appendix C)

- for a comprehensive account. The 73 actions were further consolidated by the project team into 38
- 283 potential areas for future work, which formed the basis of the next step, an online survey.

285 Online survey

The survey was carried out in April 2019 to test the vision statements and the work areas emerging from the questionnaire and workshop. Engagement with the stakeholder community was expanded by use of the online survey format, with 125 responses being received in the form of priority scores for each of the 38 potential work areas (Figure 3).







- Figure 3. Prioritisation scores returned by the online survey (1 = low, 5 = high) for 38 potential work
- areas, each labelled as a survey question (prefix "Q") and classified by theme.

294

296 Current practice and prioritisation task groups

Four task groups were established in March 2019 to summarise the current state of knowledge with respect to reservoirs, groundwater, surface water and fluvial flood risk, considering both forecasting and flood risk management planning perspectives. The groups comprised volunteers with expertise in each topic from academic, government and non-government organisations. Their reports (Environment Agency, 2022, Appendix E) helped to consolidate the evidence gathered during the questionnaire and workshop and were used by the project team as baselines in developing proposals for future improvements.

304 A prioritisation task group with 27 members was also established following the April 2019 online 305 survey. Its remit was to help shape the survey responses into a draft action plan. The group included 306 people with a mix of regulatory, private sector and academic backgrounds, with interests and 307 expertise spanning the same topics as the current practice groups. The terms of reference included 308 13 prioritisation criteria, encompassing judgements about economic and social benefits, technical 309 outcomes, affordability and project management risks or opportunities. The resulting matrix of 13 310 criteria and 38 potential work areas, many of them co-dependent, was too complex to support a 311 straightforward ranking. To help constrain the process, the 38 work areas were refactored into 11 312 inter-linked "initiatives", shown in Figure 4, which identifies the relationships between the initiatives 313 and the four thematic visions. Each initiative was presented as a short proposal setting out its 314 context, drivers, objectives, outputs and expected benefits, along with the risks of not carrying out 315 the work (Environment Agency, 2022, Appendix F).

With input from the prioritisation task group about the relative importance and scheduling of the 11initiatives, the project team developed a draft action plan during 2020.

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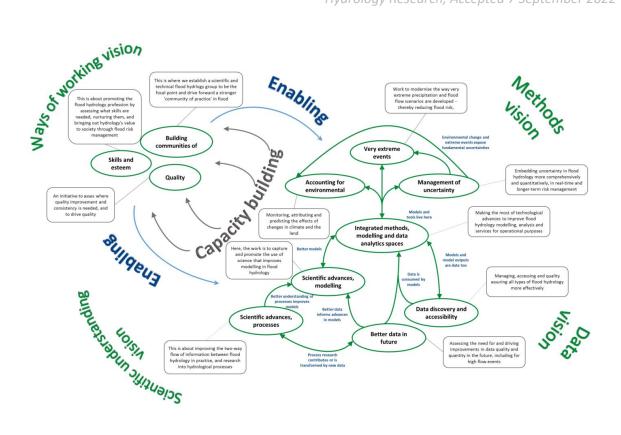




Figure 4. Eleven initiatives (green ovals) developed during work plan prioritisation. Each initiative
reflects the influence of the four thematic visions (located as "attractors" at the corners of the
image). Dependencies and synergies are identified by arrows. The rectangular boxes summarise each
of the initiatives.

326

327 Delivery plan

328 Two further task groups were established in parallel to help finalise the roadmap; a "delivery

329 partners" group advised on the timing of actions and who could fund them, whilst an "enablers"

330 group advised on how contributions could be made in other ways. Advice from the two groups was

331 gathered during four workshops in early 2021 and supported the project team in drafting a final

332 roadmap action plan including estimated costs.

334 Webinar

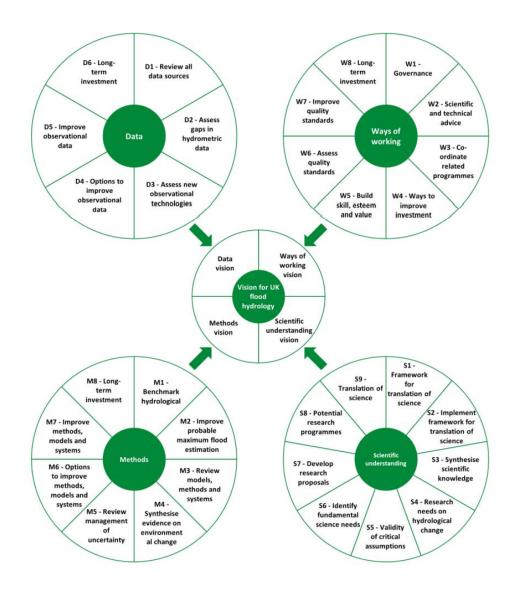
The development process and draft action plan were previewed through a public webinar hosted by the BHS in March 2021 and attended by nearly 500 people. Following this webinar, the draft plan was made available on request and eight sets of comments were received to feed into the final roadmap.

339

340 The flood hydrology roadmap

341

342 The UK flood hydrology roadmap was published in March 2022 (Environment Agency, 2022). The 343 roadmap includes details of 31 actions, shown in Figure 5, spanning 25 years. The actions were 344 formulated in response to issues raised throughout the engagement process, integrating across the 345 four themes. This means that some topics span broadly across the roadmap (for example, climate change, one of the highest-scoring work areas in the online survey, is embedded in multiple actions 346 in the Methods and Scientific Understanding themes). Appendix G of the roadmap sets out a 347 348 programme and budgets for the 31 actions, reflecting a synthesis of the inputs described earlier. 349 Here, we outline key findings that emerged during development of the roadmap.



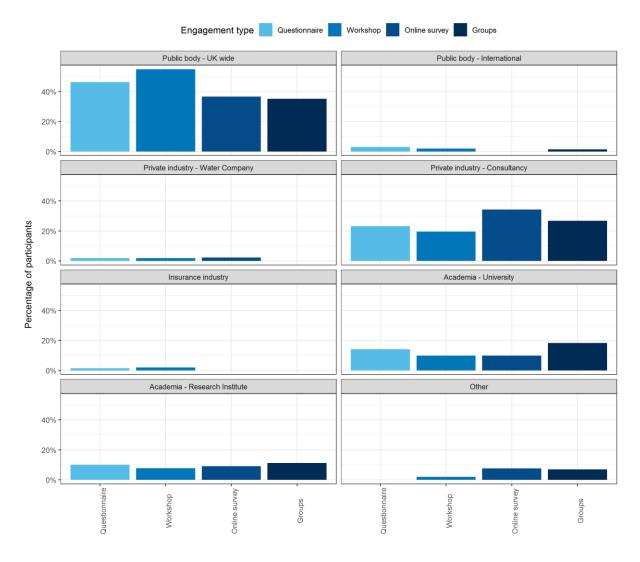
- 352 Figure 5. The 31 actions developed within the 25-year UK flood hydrology roadmap, grouped by
- 353 theme.
- 354
- 355

356 Composition of the UK flood hydrology community

- 357 The roadmap has highlighted the breadth and depth of the stakeholder community involved with
- 358 flood hydrology in the UK. Figure 6 shows the distribution by sector of organisations represented
- throughout the entire co-creation process. Nearly half (45%) of engagements were with public
- 360 sector organisations, reflecting the regulatory and policy landscape. Private industry and academia
- 361 were the next largest groups, representing 28% and 23% of engagements, respectively, and

362 providing reassurance about the representation of both the research community and practitioner

363 stakeholders.



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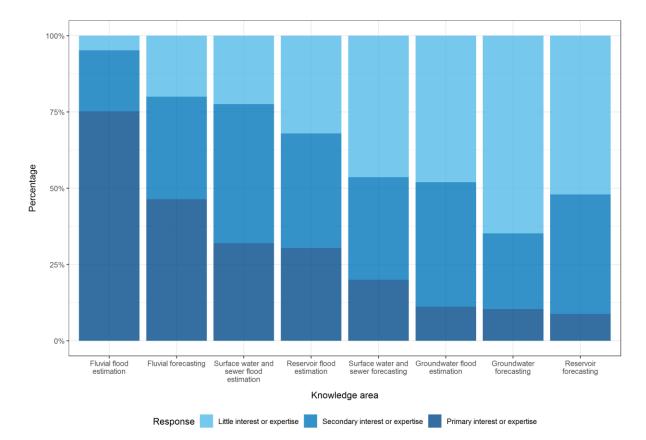
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Figure 6. Sectoral distribution of the stakeholder community engaged throughout co-creation of the
roadmap, grouped by organisation type (separate panels) and mode of engagement (shaded bars).
"Groups" refers to the multiple working groups involved in the latter stages of the roadmap.

369

- 371 Figure 7 shows the distribution of technical interests amongst those engaged during creation of the
- 372 roadmap. Both long-term analysis of river flood flows ("flood estimation") and near-future
- 373 prediction ("forecasting") were important areas of technical interest. Whilst fluvial hydrology was

the most common primary interest, surface water, reservoirs and groundwater were all strongly



375 represented.

376

377 Figure 7. Distribution of areas of technical interest, responsibility and/or expertise within the

378 stakeholder community engaged throughout co-creation of the roadmap.

379

380 25-year vision statement

- 381 The community's overall vision for the future of UK flood hydrology is that:
- during the next 25 years society will have improved hydrological information and
- 383 understanding to manage flood hazard in a changing world
- flood hydrology and whole-system process understanding will be underpinned by excellent
- 385 evidence with quantified uncertainty
- leadership and collaboration are crucial to achieving this vision.

The vision embodies the different dimensions of the roadmap, encompassing the importance both of science and applications. Specific references are made to uncertainty and holistic systems-based thinking, which is reflected throughout the action plan at the interfaces between flood hydrology and related environmental, physical and social systems.

The final part of the vision highlights the importance of leadership and partnerships, which reflects both the community ownership of the roadmap, achieved through the co-creation process, and the development of the ways of working theme. Early priorities within this theme include the establishment of a governance structure and a scientific and technical advisory group to identify funding opportunities, to promote the delivery of projects and to review progress against the roadmap action plan.

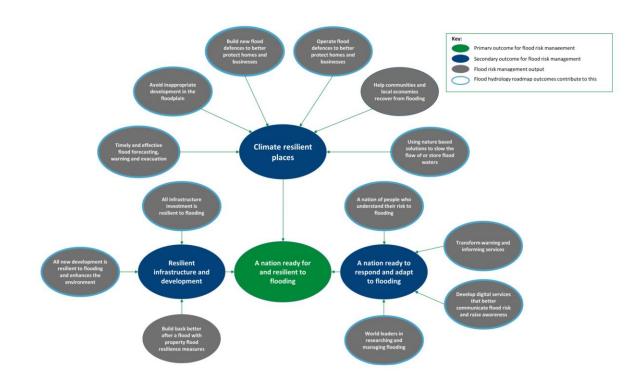
397

398 Funding and delivery

399 The roadmap has an estimated budget requirement of £110m (present value, PV, of £74m) over 25 400 years. Adjustments to account for optimism bias in cost and timing have been calculated using 401 methods detailed in Environment Agency (2022, Section 4.2), giving upper estimates of £165m (PV 402 £111) costs and a 37.5-year programme. The best estimate average annual funding requirement is 403 £4.4m, with a peak in 2032 in the range £9.9m to £14.9m (after optimism bias adjustment), and will 404 need to be met by, and coordinated across, multiple funding bodies. Already, the Environment 405 Agency in England has preliminarily allocated £6.9 million of funding over six years, from April 2021, 406 to begin implementing the roadmap. Additional funding for science, and its translation into practice 407 and policy, will be required to deliver the roadmap in full.

It is very difficult to construct a full economic appraisal of the roadmap *a priori* because the benefits of investments in flood hydrology are varied, and are embedded deeply within many facets of flood risk management, and across related aspects of environmental and socioeconomic planning. It is likewise difficult to evaluate the benefits of flood management holistically (Defra, 2008). Instead, the roadmap includes a mapping between its outcomes and the ultimate flood management benefits for people and communities. The roadmap will contribute directly (as outlined in Figure 8) to 11 of the 13 flood risk management outputs in the FCRM strategy for England (Environment Agency, 2020),

- 415 which are prerequisites for the strategy's desired outcome, "a nation ready for, and resilient to,
- 416 flooding". Further details of outcomes and benefits can be found in the roadmap, which includes
- 417 comprehensive mapping between the roadmap's flood hydrology actions and the higher-level flood
- 418 management outputs that are shown in Figure 8.
- 419



- Figure 8. High level mapping between outcomes of the flood hydrology roadmap and outcomes of
 the flood risk management strategy for England (reproduced from Figure 8 in Environment Agency,
 2022).
- 424

425

There are few estimates of the economic value of flood hydrology, or, specifically, research and
innovation in flood hydrology. The annual economic benefits of the Flood Estimation Handbook
(Institute of Hydrology, 1999) were estimated in 2006 (CEH, 2020) to be between £8.3 million and
£31.3 million (£11.2m and £42.2m at 2021 prices), whilst the reduction in damages from flood early
warning systems, including both meteorological and hydrological components, was estimated by
NERC (2015) at between £76 million and £127 million (£86m and £145m at 2021 prices). The above

assessments are not comprehensive evaluations of all the benefits of flood hydrology, which may be
larger. Even so, the figures demonstrate the potential for the roadmap action plan to deliver benefits
that considerably exceed its costs. An action within the roadmap ("W4: Identify ways to improve
investment in flood hydrology") will address in detail the business case for further investment in
flood hydrology by quantifying the contributions of hydrology and hydrometric data to flood risk
management outcomes, while other actions will explore the value of the (flood) hydrology skills base
and provide for evaluation of the benefits realised through the roadmap.

439

440 Discussion

441 Outcomes

442 Individual actions and their outcomes are detailed comprehensively within the published roadmap.

443 Rather than duplicate the details, we will discuss the key characteristics below.

444 Flood hydrology is often described in terms of physical domains (e.g., surface water, rivers, urban),

scales (e.g. long-term risk, real-time forecasting, small catchments), or sectors (e.g. regulatory,

446 academic, private). The four themes that emerged through the roadmap's co-creation process (ways

447 of working, data, methods and scientific understanding) instead provided a useful and parsimonious

448 architecture of flood hydrology, both as a technical discipline and profession, that helped enable the

449 roadmap to develop along multi-disciplinary and multi-sectoral lines.

450 As expected, the roadmap includes strong emphases on observations, data and modelling. Data

451 from past events cannot be changed (unless systematic measurement errors are discovered and

452 corrected), but it may be possible to assess uncertainties better to help decide on priorities for

453 future observations, either to improve current estimates or to commission new types of

454 measurement. It is notable that uncertainty features in the roadmap as a fundamental topic, along

455 with an open philosophy with respect to data, methods and models. Future environmental change is

456 a primary example of how knowledge uncertainties may influence decisions that shape flood

457 resilience.

Funding is inevitably a critical challenge for any programme as ambitious as the roadmap. The allocation of funds by the EA (see above) marks a significant early outcome. Further sources in future could include other UK regulatory or public sector bodies, UKRI, European and other international programmes, private industry and charities. By combining scientific research and applied needs in a coherent package, the roadmap will help to inform and substantiate the pathways to impact through which future research funding will deliver wider benefits. A critical element of this is likely to be continued efforts to understand, quantify and reduce uncertainties.

The roadmap recognises the importance of sustaining the flood hydrology community if the 25-year vision is to be realised. It represents a coalition of interests from across hydrology, flood management and allied disciplines. The roadmap offers a focal point for this community; its future evolution is likely to depend in part on funding, combined with continued voluntary activities of societies such as the British Hydrological Society, which has agreed to support the implementation of the roadmap through engagement activities. The vision is not static; rather, continuing review and opportunities for updating of the roadmap are foreseen through the "ways of working" theme.

472 Returning to comparisons with international perspectives, in Supplementary Information 1 we 473 present a text-mining analysis to map between the 23 UPH and the UK flood hydrology roadmap 474 actions. This shows strong alignment across many topics. The analysis indicates that the 475 international perspective perhaps places more explicit emphasis on links and feedbacks between 476 social and physical systems (see, for example, Di Baldassarre et al., 2015). This may in part reflect the 477 fact that the roadmap was from the start embedded within the wider practice of flood risk 478 management within the UK, which is, fundamentally, concerned with interfaces between physical 479 and social systems. Two of the three key pillars for progress in hydrology identified in the 23 UPH 480 were "generalisation and open data/models", and "activities organised around integrated 481 questions"; the UK flood hydrology roadmap is aligned with both. Blöschl et al. (2019) gave equal 482 importance to the substance of the 23 unsolved problems and to the process of community-level 483 learning involved in their development, and they advocated for similar consultations to be carried 484 out in future. This finding is perhaps echoed in the roadmap's "ways of working" theme, which aims 485 to sustain a community of science and practice in flood hydrology. However, it is interesting to 486 reflect on differences in framing of this concept; the roadmap process is perhaps more oriented

towards outputs and applications, rooted in scientific progress, whereas the 23 UPH is framed in
terms of collective learning. In taking the roadmap forward, it may be beneficial to identify explicitly
the opportunities for community-level learning.

490 The third key pillar of the 23 UPH initiative may offer a useful challenge in the future implementation 491 of the UK roadmap. It relates to risk and reward. The roadmap has been developed with explicit 492 consideration of opportunity costs, delivery risks and optimism bias. Perhaps reflecting similar 493 considerations, the 23 UPH project recognised that progress is often incremental and most of the 494 UPH might not be "solved conclusively but can likely be realistically advanced in the next couple of 495 decades" (Blöschl et al., section 4.2.1). However, the authors also gave explicit consideration of high-496 risk/high-gain activities, noting that apparently "outrageous" scientific hypotheses (difficult as they 497 are to define) can turn out to be true. A hydrological case in point may be the existence of 498 preferential flows in soils (Beven, 2018), perhaps as an element of a fundamental reconsideration of 499 the natural hysteresis in hydrological systems (Beven, 2019). The 23 UPH could prompt a useful 500 debate about where the balance should lie between such apparently riskier ideas, and a professional 501 culture that tends to favour "solid, proven methodologies".

502

503 Limitations

We noted earlier that a stakeholder analysis was maintained throughout the roadmap's
development to help reduce the scope for bias. This was done to ensure representation within the
roadmap across different technical disciplines and from different categories of stakeholder, including
by sector (e.g. public, private), function (e.g. service user, service provider, regulator), geography
(representation across UK regions, international) and impact or influence (e.g. directly affected,
indirectly affected, able to affect the work).

Equality, diversity and inclusion (EDI) emerged amongst the principles to be embedded within the
roadmap. This is reflected in the emphasis given to EDI within roadmap actions, such as in the
establishment of a technical advisory group for UK flood hydrology (Action W2). Future stakeholder
engagement could include an explicit EDI plan, and usefully gather information about the
characteristics of the community.

515 It is a challenge to understand the professional community around flood hydrology because it is 516 varied and does not necessarily speak with one voice (as evidenced by the many different priorities 517 identified in the roadmap). The inter-disciplinary nature of hydrology means that the boundaries 518 between flood hydrology and related disciplines are difficult to draw. The roadmap engagement was 519 framed as a practical exercise rather than as a research project. Resources were not available to 520 fund research about the engagement process itself, but this perhaps missed an opportunity to gain 521 additional insights. An initial review of the representation of different disciplines and groups within 522 similar national or international initiatives (not only in hydrology) might help steer the initial 523 consultation in future scoping exercises.

524 Stakeholders were not asked to identify their backgrounds with fixed disciplinary categories 525 precisely because of an awareness of the inter-disciplinary nature of flood risk management and the 526 consequent risk of reductionism. However, their backgrounds spanned multiple disciplines including, 527 in alphabetical order: climate science, ecology, economics, engineering, geology, geomorphology, 528 meteorology, policy and social sciences. Input from the meteorology and climate science 529 communities was strong with participation from the Met Office (UK), incorporating perspectives on integrated environmental modelling from the wider Unified Model Partnership¹¹, from the Bureau of 530 531 Meteorology (Australia), and from the European Centre for Medium-Range Weather Forecasts 532 (ECMWF). Better integration across different sectors and disciplines was ranked 17th most 533 important out of 38 potential work areas in the online survey, and inter-disciplinary issues feature 534 explicitly in Actions W5 (Build hydrological skill, esteem and value), D3 (Assess the potential of new 535 observational technologies to improve flood hydrology), S7 (Develop proposals for research 536 programmes) and S8 (Potential research programmes).

¹¹ https://www.metoffice.gov.uk/research/approach/collaboration/unified-model/partnership

538 Conclusions

539

The flood hydrology roadmap is a significant step in the evolution of hydrology within the UK, both as a scientific and an applied profession. It has brought together different sectors and disciplines to produce a costed, long-term plan with shared ownership and a clear vision. Its origins reflect a diverse need for applications of hydrology in flood risk management and related activities, and an engaged research community.

545 The roadmap captures the energy and ambitions of a large, representative coalition of individuals 546 and public-, private-, academic- and third-sector organisations. Although its original stimulus came in 547 large part from an applied perspective, it recognises the importance both of scientific progress and 548 of practical drivers. Comparisons with other national and international hydrological scoping 549 initiatives show much commonality with the roadmap, whilst suggesting it may be useful to explore 550 further the interfaces between flood hydrology and water quality, health and social science. The 551 roadmap includes mechanisms to enable its action plan to adapt and evolve to address any scientific 552 gaps or changes in context that become apparent.

553 In total, the roadmap embodies a substantial, coordinated intellectual effort by more than 270 554 people over 47 months. It has been developed using multiple modes of engagement, an approach 555 that has succeeded in galvanising and bringing together a community of differing interests. The 556 multi-modal approach to engagement was particularly important in enabling the roadmap to 557 continue its development despite disruptions caused by the Covid-19 pandemic. The roadmap 558 presents an ambitious, 25-year, programme that has already helped to enable significant new 559 investment into the improvement of flood hydrology within the UK. By publishing this commentary 560 in Hydrology Research, an international journal, we hope to have placed the roadmap in context, to 561 have highlighted insights from the headline report and its appendices, and to encourage further 562 exchange and learning between the UK flood hydrology community and international communities 563 of practice.

565 Acknowledgments

566

567 We are grateful to Lucy Barker for help with British Hydrological Society membership data. The 568 published roadmap contains acknowledgements, too numerous to be reproduced here, detailing 569 individual contributions to the co-creation process described in this paper. We are grateful to the 570 Editor and reviewers for their guidance and comments.

571

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682 Supplementary information, S1

683

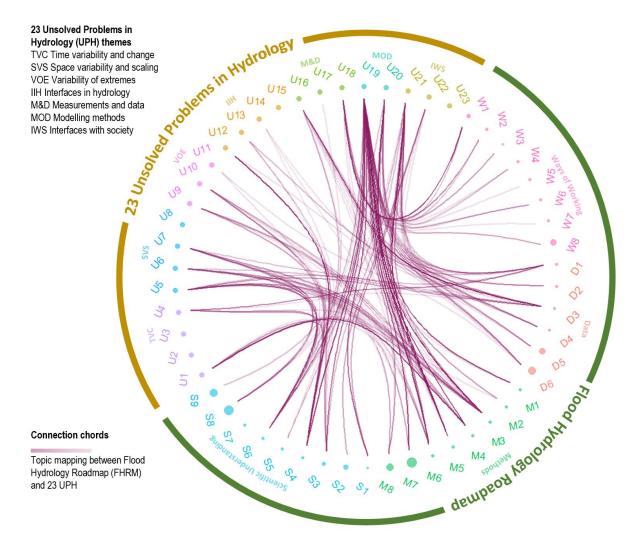
We visualise a mapping of topics between the roadmap and 23 UPH in Figure S1. First, we associated 684 685 each of the 23 UPH with a set of descriptive single or muti-word expressions, which we call key 686 words (Table S1). We then searched for occurrences of the key words within the detailed action plan 687 published in Appendix G of the roadmap. We sense-checked the results to remove spurious matches 688 caused by words appearing in unrelated contexts; for example, we rejected matches between the 689 key word "scale" associated with UPH 6 ("What are the hydrologic laws at the catchment scale and 690 how do they change with scale?") and the word "timescales" that appears in Appendix G in a project 691 management context. The results of the topic mapping are, of course, a reflection of our choice of 692 key words, which is inherently subjective, and our decision to search for matches within the 693 roadmap actions, as opposed, say, to the entire roadmap text. We chose to base the analysis 694 exclusively on the roadmap actions in order to focus on future activities.

The visualisation does not imply dependencies between the roadmap and the 23 UPH; the mapping merely indicates an association of topics between the two publications based on common occurrences of the chosen key words. The association appears to be stronger for the roadmap's "data", "methods" and "scientific understanding" themes than for the "ways of working" theme. This should be expected, given that the ways of working theme is less directly concerned with the underlying scientific issues than the other themes.

701 The results suggest that most of the UPHs are relevant to the roadmap. However, there were no key 702 word associations found between the roadmap actions and UPHs 2, 3, 8, 15 and 23. Of these, UPH 2 703 and UPH 3, relate specifically to cold and (semi-)arid regions, which are not dominant in the UK 704 (although both climates may be relevant to UK hydrology, especially when considering climate 705 change). UPH 8 is about the distribution of response and water transit times in catchments, which 706 are relevant to the hydrological processes that explain and control hydrological extremes. The 707 roadmap action plan places significant emphasis on process understanding and research, but specific 708 research questions about transit times are perhaps implicit within the broader ambition to improve 709 scientific understanding rather than being articulated explicitly in the actions. UPH 15 is about

710 contaminants and pathogens. Although these key words are not mentioned explicitly in the roadmap 711 actions, action S4 ("Identify research needs to improve understanding of flood generation processes 712 and drivers of hydrological change") includes a call to treat the science of flood hydrology holistically, including consideration of water quality. The lack of a match with UPH 15 is perhaps 713 714 suggestive of a gap that could be explored further in terms of interfaces between flood hydrology and pollution or health issues. We also found no key word matched with UPH 23, which relates to 715 716 the role of water in the dynamics of human civilisations. Although the FHRM is fundamentally about 717 the interaction between human and natural systems, this interaction was framed in the context of 718 established institutional and legislative structures, rather than as a research topic.







- Figure S1. Topic mapping between actions in the UK Flood Hydrology Roadmap and the IAHS 23
- 722 Unsolved Problems in Hydrology, based on co-occurrence of key words listed in Table S1. Nodes

- around the circumference represent the roadmap actions (labelled by theme: Wn, Dn, Mn, Sn, and
- scaled in proportion to their indicative costs) and the 23 UPHs (labelled Un). Each chord represents
- one key word match.

727

- 728 Table S1. Key word search terms associated with the 23 Unsolved Problems in Hydrology (UPHs) for
- topic matching with the Flood Hydrology Roadmap actions.

UPH **Key Words** 1. Is the hydrological cycle regionally climate change, environmental change, accelerating/decelerating under climate and tipping points, variability environmental change, and are there tipping points (irreversible changes)? 2. How will cold region runoff and groundwater cold region change in a warmer climate (e.g. with glacier melt and permafrost thaw)? 3. What are the mechanisms by which climate ephemeral, arid, semi-arid, water use change and water use alter ephemeral rivers and groundwater in (semi-) arid regions? 4. What are the impacts of land cover change and land cover change, land use change, land soil disturbances on water and energy fluxes at the management, soil, groundwater, energy land surface, and on the resulting groundwater fluxes, fluxes, land surface, recharge recharge? 5. What causes spatial heterogeneity and reaction coefficients, spatial patterns, homogeneity in runoff, evaporation, subsurface heterogeneity, evaporation, nutrient, water and material fluxes (carbon and other sediment, sensitivity, homogeneity, nutrients, sediments), and in their sensitivity to their carbon flux, carbon cycle, nutrient cycle, controls (e.g. snow fall regime, aridity, reaction morphology, scaling, scale, spatially coefficients)? coherent 6. What are the hydrologic laws at the catchment hydrological laws, theories, scale, scaling, scale and how do they change with scale? spatially coherent 7. Why is most flow preferential across multiple preferential flow, scaling, critical zone, scales and how does such behaviour co-evolve with scale, macropore the critical zone? 8. Why do streams respond so quickly to rapid response, transit time, wave speed, precipitation inputs when storm flow is so old, and celerity, flash, attenuation, time constant what is the transit time distribution of water in the terrestrial water cycle? 9. How do flood-rich and drought-rich periods arise, flood-rich, drought-rich, temporal are they changing, and if so why? variability, cluster, flood rich, flood poor, variability, decadal, interannual 10. Why are runoff extremes in some catchments runoff extremes, sensitivity, land use, land more sensitive to land-use/cover and geomorphic management, morphological change, change than in others? variability

	Why, how and when do rain-on-snow events duce exceptional runoff?	rain-on-snow, extreme events
12. V ripa	What are the processes that control hillslope- rian-stream-groundwater interactions and when he compartments connect?	hillslope, processes, interactions, riparian, compartments, connectivity
13. V grou rech	What are the processes controlling the fluxes of undwater across boundaries (e.g. groundwater narge, inter-catchment fluxes and discharge to ans)?	groundwater, recharge, inter-catchment, inter-basin, ocean, marine, boundary, boundaries
14. V pers degi 15. V cont mici	What factors contribute to the long-term sistence of sources responsible for the radation of water quality? What are the extent, fate and impact of taminants of emerging concern and how are robial pathogens removed or inactivated in the surface?	persistence, water quality, pollution, degradation, WFD, heavily modified, failing, chemical, chemistry pathogens, microbial, contaminants, fate
mea	How can we use innovative technologies to asure surface and subsurface properties, states fluxes at a range of spatial and temporal scales?	innovation, observations, measurement, technology, technologies, states
hydi obse	What is the relative value of traditional rological observations vs soft data (qualitative ervations from lay persons, data mining etc.), and er what conditions can we substitute space for e?	qualitative, data mining, media, anecdotal, unstructured data
data the	How can we extract information from available a on human and water systems in order to inform building process of socio-hydrological models conceptualisations?	human, socio-, governance, industry, social
19. l able	How can hydrological models be adapted to be to extrapolate to changing conditions, including nging vegetation dynamics?	vegetation, models, change, extrapolate, extrapolation
20. l stru	How can we disentangle and reduce model ctural/parameter/input uncertainty in rological prediction?	uncertainty, model structure, model input, parameter, confidence
21. I prec	How can the (un)certainty in hydrological dictions be communicated to decision makers and general public?	communication, decision, public, uncertainty
22. V soci wate 23. V urba	What are the synergies and tradeoffs between etal goals related to water management (e.g. er-environment-energy-food-health)? What is the role of water in migration, anisation and the dynamics of human isations, and what are the implications for	societal goals, society, water management, health, policy, regulation, food migration, urbanisation, human, civilisation
	temporary water management?	